



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Scott H. Peters
Status: Member
State/District: CA52

FILING INFORMATION

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alabama State Public School Bonds		None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Angel Oak Multi Strategy (ANGIX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Angel Oak Multi Strategy (ANGIX)	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Apco Oil and Gas International Inc. - Ordinary Shares (APAGF)		\$100,001 - \$250,000	None		<input type="checkbox"/>
Apco Oil and Gas International Inc. - Ordinary Shares (APAGF)	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>
Aston Tamro Small Cap (ATSIX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	None	Capital Gains	Spouse/DC	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				Over \$1,000,000	
Austin TX Water Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Bank of America Cash Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Barclays ETN Plus Select MLP (ATMP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Maturity Date: 3/18/2043					
Blue Water Pandero Investors LLC	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Bowling Green, KY, US					
DESCRIPTION: Quarry Mining & Manufacturing					
Butte-Glenn Muni Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CA State Dept. of Trans. Bonds		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CA State Dept. of Water Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
CA State GO Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Cameron Investment Corp	SP	None	Dividends, Interest, S-Corp. Income	None	<input type="checkbox"/>
LOCATION: San Diego, CA, US					
DESCRIPTION: Family investment office used to hold other assets, securities and income that are separately itemized on this report					
Charles Schwab Cash Account		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Charles Schwab Cash Account	SP	Spouse/DC Over \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Columbia County, Wis. Bonds		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Credit Suisse Equal Weight MLP Index ETN due April		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
20, 2020 Linked to the Cushing 30 MLP Index (MLPN)					
East Bay Calif. Regl. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Eastern Company (EML)		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Eastern Company (EML)	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	<input type="checkbox"/>
Ecology and Environment, Inc. - Class A (EEI)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Ecology and Environment, Inc. - Class A (EEI)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Essex County Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Globecomm Systems Inc. (GCOM)		None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Globecomm Systems Inc. (GCOM)	SP	None	Capital Gains	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Graham Corporation (GHM)		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Graham Corporation (GHM)	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
GSE Systems, Inc. (GVP)	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Harbor International (HAINX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Harbor International (HAINX)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Harris County TX Flood Bonds		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Henderson Enterprises Inc. LOCATION: Manchester, IA, US DESCRIPTION: Manufacturing Company	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Hillsborough County Sch. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Houston TX Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Huntington Beach, CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Inrad Optics, Inc. (INRD)	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Inrad Series B DESCRIPTION: Inrad Stock Series B		\$100,001 - \$250,000	None		<input type="checkbox"/>
Invesco Disciplined Equity (AWEIX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ishares Russell 1000 (IFW)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ishares Russell Growth (IWP)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ishares TR Bond (CSJ)		\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Ishares TR Bond (CSJ)	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ISI Managed Muni Fund (MUNIZ)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
JHancock2 Ggl Absolute (JHAIX)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JHancock2 Ggl Absolute (JHAIX)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Kenosha Wis. Unified Sch. Dist. Bonds		\$1,000,001 -	Interest	\$15,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$5,000,000		\$50,000	
Lazard Emerging Markets Inst (LZEMX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Los Angeles CA Sewer Revenue Bonds		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Los Angeles CA Waste Water Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Los Angeles County Met Trans Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Los Angeles County Sanitation District Bonds		None	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Los Angeles Unified Sch Dist Bonds		\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Los Angeles, CA GO UTX Bonds		None	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Los Angeles, CA Sanitation Equipment Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Mainstay Marketfield Fund (MFLDX)	SP	\$250,001 - \$500,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mainstay Marketfield Fund (MFLDX)		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Managers AMG Times Square (TMDIX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Massachusetts State Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Matthews Pacific Tiger (MAPTX)		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Metropolitan Water District of Southern CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Minnesota Public Facilities Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Multi-Pack Solutions LLC	SP	Spouse/DC Over \$1,000,000	Dividends, Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
LOCATION: Mt. Prospect, IL, US DESCRIPTION: Packaging Company					
Nevada Irrigation District Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Norwalk La Mirada Bonds		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Pajaro Valley CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Plaza Logistica LLC	SP	\$250,001 - \$500,000	Interest, Partnership Income, Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Buenos Aires, AR DESCRIPTION: Commercial Real Estate					
PMFG, Inc. (PMFG)		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
PMFG, Inc. (PMFG)	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>
Poway, CA Unified Sch. Dist. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Prudential High Yield (PHYZX)		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Prudential High Yield (PHYZX)	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Puerto Rico Commonwealth Bonds		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Rancho Corte Madera, Inc.		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Pine Valley, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Working Cattle Ranch					
Rand Capital Corporation - Common Stock (RAND)	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Retirement Advocate Moderate Fund		\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					
Ridgeworth Seix Float (SAMBX)		\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ridgeworth Seix Float (SAMBX)	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Riverside CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
S&P Index Option Exp 1/19/2013 @ \$1400	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Stock Index Option					
S&P Index Option Exp 2/16/2013 @ \$1480	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Stock Index Option					
S&P Index Option Exp 3/16/13 @ \$1520	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Stock Index Option					
Sacramento County CA Bonds		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Diego County, CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Francisco CA Rev. Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
San Jose, CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Ramon Valley, CA Bonds		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Santa Clara Valley Var Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Scholarshare: Passive Age-Based Portfolio 18 & Over		\$250,001 - \$500,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: MA DESCRIPTION: Sponsor: Lynn Gorguze					
Schwab CA Muni Money Fund		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Sequoia CA Bonds		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Sinclair & Rush	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Arnold, MO, US DESCRIPTION: Manufacturing Company					
Stralem Equity Fund Inst.(STEFX)	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
TANK Holding, Inc.	SP	Spouse/DC Over \$1,000,000	Interest	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Anderson, MO, US DESCRIPTION: Manufacturing Company					
Telos Corporation Preferred Stock (TLSRP)		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Tortoise Energy Capital (TYY)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tortoise Energy Capital (TYY)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Transcat, Inc. (TRNS)		None	Capital Gains	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
United States Lime & Minerals, Inc. (USLM)		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
University of CA Rev. Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
University of Neb. Facilities Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
University of Texas Bonds		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Life Strategy Growth Fund (VASGX)		\$100,001 - \$250,000	None		<input type="checkbox"/>
Vanguard Total Stock Market (VTI)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Total Stock Market (VTI)	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Virginia Public Sch. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Virginia State Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Virginia State Res. Bonds		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Washington State Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
WLR Recovery IV Investors LLC	SP	\$250,001 - \$500,000	Capital Gains, Dividends, Interest, Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Yacktman Focused Inst.(YAFIX)	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alabama State Public School Bonds		05/1/2013	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Angel Oak Multi Strategy (ANGIX)		04/19/2013	P	\$1,001 - \$15,000	
Angel Oak Multi Strategy (ANGIX)		02/13/2013	P	\$1,001 - \$15,000	
Angel Oak Multi Strategy (ANGIX)		02/13/2013	P	\$1,001 - \$15,000	
Angel Oak Multi Strategy (ANGIX)		04/19/2013	P	\$1,001 - \$15,000	
Angel Oak Multi Strategy (ANGIX)	SP	05/22/2013	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy (ANGIX)	SP	04/29/2013	P	\$250,001 - \$500,000	
Angel Oak Multi Strategy (ANGIX)	SP	04/22/2013	P	\$250,001 - \$500,000	
Angel Oak Multi Strategy (ANGIX)	SP	03/6/2013	P	\$250,001 - \$500,000	
Angel Oak Multi Strategy (ANGIX)		05/22/2013	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy (ANGIX)		04/22/2013	P	\$50,001 - \$100,000	
Angel Oak Multi Strategy (ANGIX)		03/6/2013	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy (ANGIX)	SP	03/5/2013	P	\$50,001 - \$100,000	
Apco Oil and Gas International Inc. - Ordinary Shares (APAGF)		02/19/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Aston Tamro Small Cap (ATSIX)		02/13/2013	P	\$1,001 - \$15,000	
Aston Tamro Small Cap (ATSIX)		02/13/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Astronics Corporation (ATRO)	SP	01/11/2013	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)		02/19/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/3/2013	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/4/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/4/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/5/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/8/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/8/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/9/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Astronics Corporation (ATRO)	SP	04/10/2013	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Barclays ETN Plus Select MLP (ATMP)		03/15/2013	P	\$1,001 - \$15,000	
Barclays ETN Plus Select MLP (ATMP)		03/15/2013	P	\$1,001 - \$15,000	
Barclays ETN Plus Select MLP (ATMP)		03/12/2013	P	\$1,001 - \$15,000	
Barclays ETN Plus Select MLP (ATMP)		03/15/2013	P	\$1,001 - \$15,000	
CA State Dept. of Trans. Bonds		02/1/2013	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
CA State Dept. of Water Bonds		05/1/2013	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Credit Suisse Commodity Return Strat Inst. (CRSOX)	03/15/2013	P	\$1,001 - \$15,000	
Credit Suisse Commodity Return Strat Inst. (CRSOX)	08/28/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse Commodity Return Strat Inst. (CRSOX)	02/13/2013	P	\$1,001 - \$15,000	
Credit Suisse Commodity Return Strat Inst. (CRSOX)	08/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse Commodity Return Strat Inst. (CRSOX)	02/13/2013	P	\$1,001 - \$15,000	
Credit Suisse Commodity Return Strat Inst. (CRSOX)	08/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse Commodity Return Strat Inst. (CRSOX)	03/15/2013	P	\$1,001 - \$15,000	
Credit Suisse Commodity Return Strat Inst. (CRSOX)	08/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse Equal Weight MLP Index ETN due April 20, 2020 Linked to the Cushing 30 MLP Index (MLPN)	02/13/2013	P	\$1,001 - \$15,000	
Credit Suisse Equal Weight MLP Index ETN due April 20, 2020 Linked to the Cushing 30 MLP Index (MLPN)	02/19/2013	P	\$1,001 - \$15,000	
Credit Suisse Equal Weight MLP Index ETN due April 20, 2020 Linked to the Cushing 30 MLP Index (MLPN)	03/15/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse Equal Weight MLP Index ETN due April 20, 2020 Linked to the Cushing 30 MLP Index (MLPN)	02/13/2013	P	\$1,001 - \$15,000	
Credit Suisse Equal Weight MLP Index ETN due April 20, 2020 Linked to the Cushing 30 MLP Index (MLPN)	02/19/2013	P	\$1,001 - \$15,000	
Eastern Company (EML)	02/19/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Eastern Company (EML)	03/20/2013	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Ecology and Environment, Inc. - Class A (EEI)	02/19/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ecology and Environment, Inc. - Class A (EEI)		03/20/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ecology and Environment, Inc. - Class A (EEI)		03/21/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Globecomm Systems Inc. (GCOM)		02/19/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Globecomm Systems Inc. (GCOM)		03/20/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Globecomm Systems Inc. (GCOM)	SP	10/1/2013	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Globecomm Systems Inc. (GCOM)		10/1/2013	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Graham Corporation (GHM)	SP	03/4/2013	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Graham Corporation (GHM)	SP	03/6/2013	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Graham Corporation (GHM)		05/24/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Harbor International (HAINX)		03/25/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)		12/5/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)		02/13/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)		02/13/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)		03/25/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)		12/5/2013	P	\$1,001 - \$15,000	
Harbor International (HAINX)	SP	12/5/2013	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco Disciplined Equity (AWEIX)		03/25/2013	P	\$50,001 - \$100,000	
Invesco Disciplined Equity (AWEIX)		02/13/2013	P	\$15,001 - \$50,000	
Invesco Disciplined Equity (AWEIX)		02/19/2013	P	\$15,001 - \$50,000	
Invesco Disciplined Equity (AWEIX)		02/13/2013	P	\$15,001 - \$50,000	
Invesco Disciplined Equity (AWEIX)		02/19/2013	P	\$15,001 - \$50,000	
Invesco Disciplined Equity (AWEIX)		03/25/2013	P	\$50,001 - \$100,000	
Ishares Russell 1000 (IFW)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell 1000 (IFW)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell 1000 (IFW)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell 1000 (IFW)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell Growth (IWP)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell Growth (IWP)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell Growth (IWP)		08/30/2013	P	\$1,001 - \$15,000	
Ishares Russell Growth (IWP)		08/30/2013	P	\$1,001 - \$15,000	
Ishares TR Bond (CSJ)	SP	12/3/2013	P	Spouse/DC Over \$1,000,000	
Ishares TR Bond (CSJ)		12/3/2013	P	\$1,000,001 - \$5,000,000	
Ishares TR Bond (CSJ)	SP	12/3/2013	P	Spouse/DC Over \$1,000,000	
Ishares TR Bond (CSJ)		11/27/2013	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ishares TR Bond (CSJ)		05/21/2013	P	\$100,001 - \$250,000	
JHancock2 Ggl Absolute (JHAIX)		05/21/2013	P	\$15,001 - \$50,000	
JHancock2 Ggl Absolute (JHAIX)		05/21/2013	P	\$15,001 - \$50,000	
JHancock2 Ggl Absolute (JHAIX)	SP	05/21/2013	P	\$100,001 - \$250,000	
Lazard Emerging Markets Inst (LZEMX)		03/25/2013	P	\$1,001 - \$15,000	
Lazard Emerging Markets Inst (LZEMX)		02/13/2013	P	\$1,001 - \$15,000	
Lazard Emerging Markets Inst (LZEMX)		02/13/2013	P	\$1,001 - \$15,000	
Lazard Emerging Markets Inst (LZEMX)		03/25/2013	P	\$1,001 - \$15,000	
Los Angeles County Sanitation Dist Bonds		10/1/2013	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Los Angeles County Sewer Rev. Bonds		06/3/2013	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Los Angeles, CA GO UTX Bonds		07/1/2013	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Mainstay Marketfield Fund (MFLDX)		03/15/2013	P	\$15,001 - \$50,000	
Mainstay Marketfield Fund (MFLDX)		05/21/2013	P	\$1,001 - \$15,000	
Mainstay Marketfield Fund (MFLDX)		03/15/2013	P	\$15,001 - \$50,000	
Mainstay Marketfield Fund (MFLDX)		05/17/2013	P	\$1,001 - \$15,000	
Mainstay Marketfield Fund (MFLDX)		05/21/2013	P	\$15,001 - \$50,000	
Mainstay Marketfield Fund (MFLDX)	SP	03/5/2013	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mainstay Marketfield Fund (MFLDX)	SP	03/13/2013	P	\$100,001 - \$250,000	
Managers AMG Times Square (TMDIX)		02/13/2013	P	\$1,001 - \$15,000	
Managers AMG Times Square (TMDIX)		02/13/2013	P	\$1,001 - \$15,000	
Matthews Pacific Tiger (MAPTX)		03/25/2013	P	\$1,001 - \$15,000	
Matthews Pacific Tiger (MAPTX)		03/25/2013	P	\$1,001 - \$15,000	
Norwalk La Mirada Bonds		08/1/2013	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		02/19/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		03/20/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		03/21/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		03/22/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		03/25/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)		03/26/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)	SP	12/18/2013	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)	SP	12/19/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
PMFG, Inc. (PMFG)	SP	12/20/2013	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Prudential High Yield (PHYZX)		03/15/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Prudential High Yield (PHYZX)		03/19/2013	P	\$1,001 - \$15,000	
Prudential High Yield (PHYZX)		02/13/2013	P	\$1,001 - \$15,000	
Prudential High Yield (PHYZX)		02/13/2013	P	\$1,001 - \$15,000	
Prudential High Yield (PHYZX)		03/15/2013	P	\$1,001 - \$15,000	
Prudential High Yield (PHYZX)		03/19/2013	P	\$1,001 - \$15,000	
Prudential High Yield (PHYZX)	SP	05/22/2013	P	\$100,001 - \$250,000	
Prudential High Yield (PHYZX)	SP	04/29/2013	P	\$500,001 - \$1,000,000	
Prudential High Yield (PHYZX)	SP	04/22/2013	P	\$500,001 - \$1,000,000	
Prudential High Yield (PHYZX)	SP	03/6/2013	P	\$500,001 - \$1,000,000	
Prudential High Yield (PHYZX)		05/22/2013	P	\$100,001 - \$250,000	
Prudential High Yield (PHYZX)		04/22/2013	P	\$100,001 - \$250,000	
Prudential High Yield (PHYZX)		03/6/2013	P	\$250,001 - \$500,000	
Ridgeworth Seix Float (SAMBX)		03/15/2013	P	\$1,001 - \$15,000	
Ridgeworth Seix Float (SAMBX)		03/19/2013	P	\$1,001 - \$15,000	
Ridgeworth Seix Float (SAMBX)		02/13/2013	P	\$1,001 - \$15,000	
Ridgeworth Seix Float (SAMBX)		02/13/2013	P	\$1,001 - \$15,000	
Ridgeworth Seix Float (SAMBX)		03/15/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ridgeworth Seix Float (SAMBX)		04/19/2013	P	\$1,001 - \$15,000	
Ridgeworth Seix Float (SAMBX)	SP	11/29/2013	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	05/22/2013	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	04/29/2013	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	04/22/2013	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	03/6/2013	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)		05/22/2013	P	\$500,001 - \$1,000,000	
Ridgeworth Seix Float (SAMBX)		04/22/2013	P	\$250,001 - \$500,000	
Ridgeworth Seix Float (SAMBX)		03/6/2013	P	\$500,001 - \$1,000,000	
S&P Index Option Exp 1/19/2013 @ \$1400	SP	01/18/2013	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 1/19/2013@\$950	SP	01/18/2013	P	Spouse/DC Over \$1,000,000	
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 2/16/13 @ \$1000	SP	02/15/2013	P	Spouse/DC Over \$1,000,000	
LOCATION: US DESCRIPTION: Stock Index Options					
S&P Index Option Exp 2/16/13 @ \$1480	SP	02/15/2013	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Stock Index Options					
S&P Index Option Exp 2/16/2013 @ \$1000	SP	01/18/2013	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 2/16/2013 @ \$1480	SP	01/18/2013	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 3/16/13 @ \$1520	SP	02/15/2013	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 3/16/2013 \$1520	SP	03/18/2013	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 3/16/2013 @ \$1000	SP	02/15/2013	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Stock Index Option					
S&P Index Option Exp 3/16/2013 @ \$1000	SP	03/18/2013	P	Spouse/DC Over \$1,000,000	
LOCATION: US DESCRIPTION: Stock Index Option					
San Francisco CA Revenue Bonds		06/17/2013	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
San Francisco CA Revenue Bonds		06/18/2013	P	\$250,001 - \$500,000	
Santa Clara Valley Var Bonds		06/3/2013	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Tortoise Energy Capital (TYY)		03/15/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital (TYY)		04/19/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tortoise Energy Capital (TYY)		03/15/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital (TYY)		03/15/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital (TYY)		04/19/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital (TYY)	SP	04/19/2013	P	\$100,001 - \$250,000	
Tortoise Energy Capital Corporation (TYY)		03/20/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital Corporation (TYY)		04/24/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital Corporation (TYY)		04/24/2013	P	\$1,001 - \$15,000	
Tortoise Energy Capital Corporation (TYY)		04/24/2013	P	\$100,001 - \$250,000	
Transcat, Inc. (TRNS)		03/20/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Transcat, Inc. (TRNS)		03/21/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Transcat, Inc. (TRNS)		10/7/2013	S	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
United States Lime & Minerals, Inc. (USLM)		01/7/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Total Stock Market (VTI)		03/15/2013	P	\$15,001 - \$50,000	
Vanguard Total Stock Market (VTI)		04/19/2013	P	\$15,001 - \$50,000	
Vanguard Total Stock Market (VTI)		03/15/2013	P	\$15,001 - \$50,000	
Vanguard Total Stock Market (VTI)		04/19/2013	P	\$15,001 - \$50,000	
Vanguard Total Stock Market (VTI)	SP	03/5/2013	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Total Stock Market (VTI)	SP	03/13/2013	P	\$100,001 - \$250,000	
Vanguard Total Stock Market (VTI)	SP	05/21/2013	P	\$100,001 - \$250,000	
Virginia State Res. Bonds		11/1/2013	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		03/25/2013	P	\$1,001 - \$15,000	
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		08/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		12/5/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		03/25/2013	P	\$1,001 - \$15,000	
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		08/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		12/5/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		05/21/2013	P	\$50,001 - \$100,000	
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		12/6/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
Virtus Emerg. Mrkts Oppty Fund (HIEMX)		08/28/2013	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Yacktman Focused Inst.(YAFIX)	SP	03/5/2013	P	\$100,001 - \$250,000	
Yacktman Focused Inst.(YAFIX)	SP	04/19/2013	P	\$250,001 - \$500,000	
Yacktman Focused Inst.(YAFIX)	SP	09/19/2013	P	\$500,001 - \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Yacktman Focused Inst.(YAFIX)	SP	09/19/2013	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cameron Holdings Corp	Spouse Salary	N/A
Sinclair & Rush, Inc.	Spouse Salary	N/A
San Diego City Retirement System	Retirement Payments	\$20,703
COMMENTS: Donated to the San Diego Library Department		

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Citibank	Revolving Credit	Revolving Credit Debt	\$50,001 - \$100,000
	Bank of America Credit Card	Revolving Credit	Revolving Credit Debt	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	Myself and San Diego City Employee Retirement System	Continued participation in City Pension Plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
American Israel Education Foundation	08/4/2013	08/18/2013	Washington, DC, USA - Jerusalem, Israel - Tiberias, Israel - Tel Aviv, Israel - San Diego, CA, USA	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Travel August 11 - 18, 2013, was at Member's personal expense and not paid by AIEF						

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Scott H. Peters , 08/12/2014