



# Summary of Performance and Financial Information

Fiscal Year 2013



U.S. Customs and Border Protection



## WHO WE ARE

### U.S. Customs and Border Protection

#### What We Do:

U.S. Customs and Border Protection (CBP) is responsible for securing America's borders to protect the U.S. against terrorist threats and prevent the entry of inadmissible persons and contraband, while protecting lawful travel, trade, and immigration. CBP performs these missions with vigilance, integrity, and a commitment of service to country.



### U.S. Customs and Border Protection

**Established:** CBP was formally established in 2003.

**Mission:** We are the guardians of our Nation's borders. We are America's frontline. We safeguard the American homeland at and between our borders. We protect the American public against terrorists and their weapons. We enforce U.S. laws while fostering economic security through lawful international trade and travel. We serve the American public with vigilance, integrity, and professionalism.

**Leadership:** Thomas S. Winkowski, Acting Commissioner  
Deborah J. Schilling, Chief Financial Officer

**Number of Full-Time Equivalent (FTEs):** CBP employed approximately 60,000 FTEs as of September 30, 2013.

**Budget Authority:** \$12,953,010

#### Fiscal Year 2013 Statistical Highlights:

- Ports of entry: 328
- Pre-clearance locations: 15
- Border Patrol sectors: 20
- Air units: 43
- Marine units: 71
- Trade entries processed: 30.4 million
- Total revenue: \$40.9 billion (includes custodial and entity revenue)
- Illegal narcotics seized: 2.8 million pounds (represents narcotics held by CBP until disposal or destruction)
- Illegal alien apprehensions between the ports of entry: 420,789
- Inadmissible aliens interdicted at the ports of entry: 204,905
- Pedestrians and passengers processed: 362 million
- Conveyances processed: Over 110 million
- Aircraft passengers processed: Over 102 million
- Prohibited plant and animal materials seized at the ports of entry: 1,603,944
- Agricultural plant and pest intercepted at the ports of entry: 161,050

**Service to the Public:** The American people place trust and confidence in CBP to keep them safe, and CBP must ensure that its employees maintain the highest professional standards. CBP protects the American public from acts of terrorism by constant vigilance at, between, and beyond ports of entry. CBP protects American businesses and workers by ensuring travelers and goods move safely and efficiently across our borders; immigrants and visitors are properly documented; and customs, immigration, trade laws, regulations, and agreements are enforced.

## A Fiscal Year 2013 Performance and Financial Summary

### DID YOU KNOW?

- Securing America's borders is CBP's top priority. As the nation's unified border security agency, CBP deploys the government's largest law enforcement work force to protect at, between, and beyond ports of entry, supported by air and marine assets. CBP's vigilant operations ensure secure border access for legitimate trade and travel, allowing U.S. trade and travel to thrive.
- CBP plays an important role in the whole-of-government approach in protecting our homeland. In this role, CBP is a national leader in developing a well-informed, agile, and seamless global network to strengthen border security operations, without reducing the legal movement of people and goods. This network must constantly evolve its capabilities in combating terrorism; supporting and promoting economic growth; disrupting transnational criminal organizations; and preventing the spread of agricultural pests and diseases. CBP is also part of a broader public-private collaboration that extends the "zone of security" beyond our borders, ensuring that the U.S. physical border is the last line of defense, not the first.
- Along the over 5,000 miles of border with Canada, 1,900 miles of border with Mexico and approximately 95,000 miles of U.S. shoreline, CBP is responsible for preventing the illegal movement of people and contraband. CBP's Border Patrol and Air and Marine agents patrol our nation's land and water borders and associated airspace to prevent illegal entry of people and goods into the United States. CBP officers and agriculture specialists are multi-disciplined and perform inspection, intelligence analysis, examination, and law enforcement activities.
- CBP has significantly developed its intelligence and targeting capabilities to segment and target travelers and cargo according to the level of risk posed. CBP is also working with other DHS agencies on developing a capability to better identify and track suspect persons and cargo exiting the United States. CBP is committed to developing a secure global trade environment.
- CBP enhances America's economic competitiveness by enabling lawful trade and travel at the nation's 328 POEs. Processing goods and people across borders is crucial to support the U.S. economy, promote job growth, and help the private sector remain globally competitive. CBP is streamlining its processing of people and cargo to better align with modern business practices. As both international trade and travel grows, CBP increasing its capacity to facilitate and secure cross-border activity through better training and enabling technologies allowing the United States and global economies to grow and prosper.
- A secure U.S. border enhances American prosperity and adds value to our nation. When CBP expedites lawful trade, our economy thrives. By managing risk, CBP can focus our law enforcement resources where they are most needed—on the goods and people who pose threats to safety and prosperity.
- CBP meets the challenge of maximizing the agency's resources, managing thousands of employees in hundreds of worldwide locations. The agency applies advanced technology and innovative processes to reduce costs and expand border security, efficiencies adding bottom-line value to U.S. prosperity.



### OUR STRATEGIC FOCUS

#### Mission Set 1: Securing America's Borders

*Performance Objective* — Secure the U.S. border in areas deemed as high priority for terrorist threat potential or other national security objectives.

#### Mission Set 2: Securing and Expediting the Movement of People and the Flow of Goods

*Performance Objective* — Improve the targeting, screening, and apprehension or seizure of high-risk international travelers and cargo away from the physical border in order to prevent terrorist attacks and criminal activity while expediting the processing of legitimate travelers and cargo.

#### Mission Set 3: Sustaining Investment in People and Capabilities

*Performance Objective* — Improve mission effectiveness and efficiency by integrating, unifying, and leveraging resources to support CBP's operational offices.

# A Fiscal Year 2013 Performance and Financial Summary

## CBP FISCAL YEAR 2013 HIGHLIGHTS

**TABLE 1: FY 2013 Summary of Strategic Performance Goals**

Mission Set	Performance Measure	FY 2012 Recap		FY 2013 Recap		
		Target	Result	Target	Result	Target Status
<b>Securing America's Borders</b>	Percent of people apprehended multiple times along the southwest border.	N/A	N/A	<= 18%	16.00%	●
	Number of smuggled outbound weapons seized at the ports of entry.	N/A	N/A	400	731	●
	Number of apprehensions on the southwest border between the ports of entry.	<= 371,000	356,873	< 391,000	414,397	●
	Percent of detected conventional aircraft incursions resolved along all borders of the U.S.	100%	96.0%	100%	99.3%	●
<b>Securing and Expediting the Movement of People and the Flow of Goods</b>	Compliance rate for Customs-Trade Partnership Against Terrorism (C-TPAT) members with the established C-TPAT security guidelines.	100%	94.50%	94%	96.89%	●
	Percent of import revenue successfully collected.	100%	98.88%	100%	98.73%	●
	Percent of imports compliant with U.S. trade laws.	98%	96.46%	97.5%	97.66%	●
	Percent of cargo by value imported to the U.S. by participants in CBP trade partnership programs.	45%	54.66%	57%	55.20%	●
	Percent of inbound cargo identified by CBP as potentially high-risk that is assessed or scanned prior to departure or at arrival at a U.S. port of entry. [FOUO]	100%	FOUO	100%	FOUO	●
<b>Sustaining Investment in People and Capabilities</b>	GPRA or Strategic measures do not exist within this Mission Set. Operational measures exist within this Mission Set and are used in internal decision-making processes.	<div style="display: flex; justify-content: space-around;"> <span>● = Target Met</span> <span>● = Target Not Met</span> </div>				

**TABLE 2: FY 2013 Financial Highlights**

Selected Financial Data	2012	2013
<b>Total Assets</b>	\$15.9 Billion	\$15.5 Billion
<b>Total Liabilities</b>	\$6.1 Billion	\$6 Billion
<b>Net Cost of Operations</b>	\$12.3 Billion	\$12.6 Billion

The financial statements and footnotes appear in the "Financial Section" of the CBP PAR.

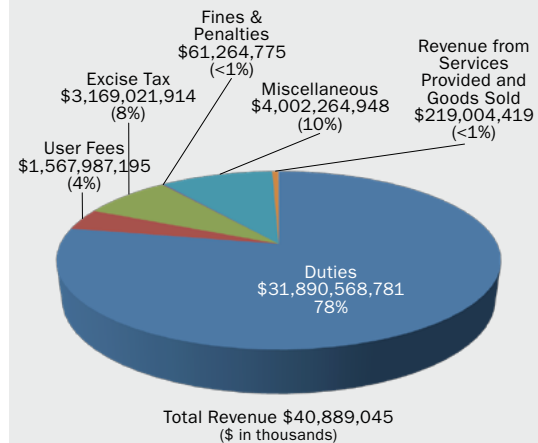
**TABLE 3: Independent Audit Results**

Category	FY 2013 Audit Finding
<b>Material Weaknesses</b>	Drawback Controls
<b>Significant Deficiencies</b>	Property, Plant, and Equipment Entry Process Information Technology

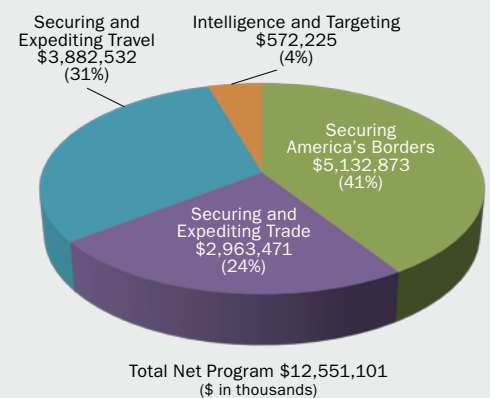
An independent audit of the CBP's financial statements resulted in an unmodified audit opinion in FY 2013.

The financial statements have been audited by our independent auditor, KPMG LLP, and have been found to present fairly, in all material respects, CBP's financial position.

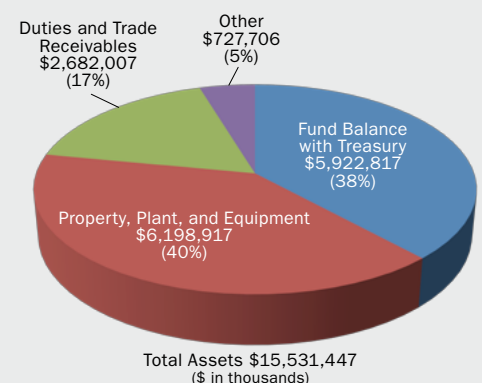
**FIGURE 1: Total Revenue**



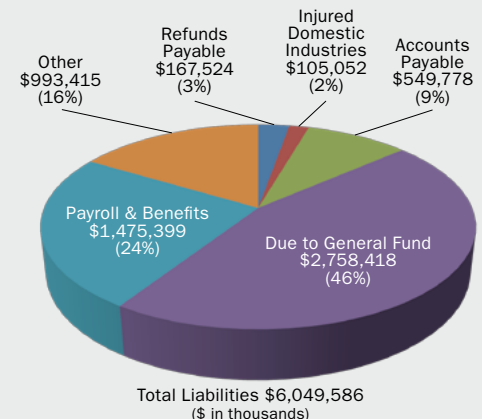
**FIGURE 2: Net Program Cost**



**FIGURE 3: Assets**



**FIGURE 4: Liabilities**



## What's Next? Future Challenges and Priorities

### COUNTERING TERRORISM AND TRANSNATIONAL CRIME

CBP's law enforcement initiative must anticipate and respond to criminal networks that engage in diverse conspiracies that include terrorism, the importation of counterfeit or unsafe goods, drug smuggling, human smuggling and trafficking, as well as the outbound transfer of prohibited weapons and illicit bulk currency. Since the number of people and volume of cargo moving across our borders is so large, and border enforcement resources are finite, we must be the Nation's proactive leader as a well-informed and highly agile law enforcement organization that can anticipate, target, detect and disrupt cross-border criminal violators that threaten national security, economic prosperity and public safety.

### ADVANCING COMPREHENSIVE BORDER SECURITY

CBP plays the preeminent role in securing and managing the air, land, and maritime borders through the active administration of U.S. laws, which includes addressing cross-border criminal activity, inspection and enforcement at ports of entry, and comprehensive trade enforcement. Protecting the United States from the illegal entry of people, weapons, drugs, and contraband is vital to homeland security, as well as our economic prosperity. CBP will safeguard and manage the U.S. air, land, and maritime borders through integrated, intelligence-driven, risk-informed strategies and leverage operational capabilities to enhance anticipatory and agile operations against the nodes and paths of adversary networks.

### ENABLING TRADE, TRAVEL, AND EXPORTS

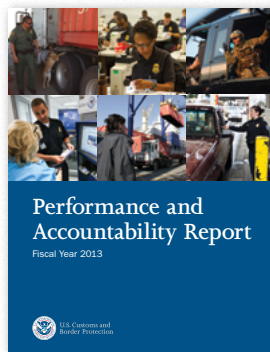
Efficient and effective processing of goods and people to and through the United States is critical to CBP's mission of supporting our Nation's economic competitiveness by enabling legitimate trade and travel, and to the successful implementation of the National Export Initiative and the National Travel and Tourism Strategy. As international trade and travel grow, CBP must create efficiencies, drive down cost, and streamline regulatory policies. This will require sustained transformative and innovative thinking on how to minimize the costs and optimize efficacy in an increasingly competitive and complex global economy.

### PROMOTING INTEGRATION AND OPERATIONAL EXCELLENCE

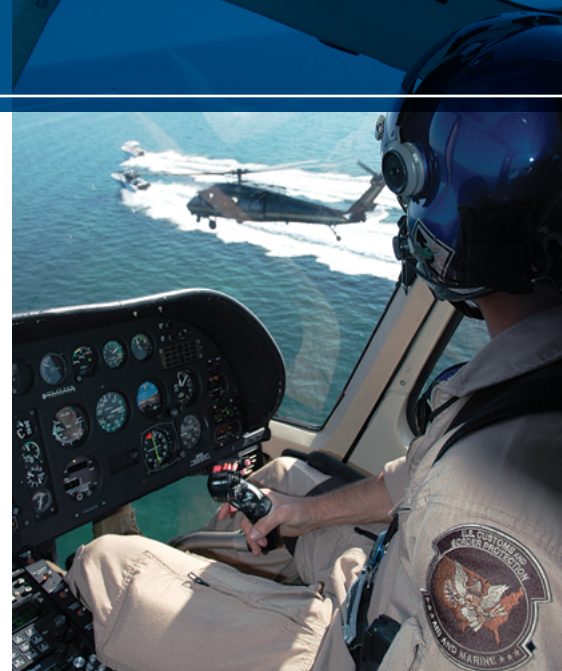
In a constantly changing environment, CBP must pursue organizational efficiencies, optimized business processes, and continuous capability development to support operational priorities and ensure organizational effectiveness. CBP's people and culture are some of its most valuable resources and must be fully supported to ensure a capable, competent, integrated and collaborative CBP.

### ADVANCING INTERNATIONAL ENGAGEMENT

While each of the requirements detailed in the major sections above require consistent and committed international partnerships, CBP will seek deeper systematic engagement with global partners through international organizations and broad strategic efforts.



For a complete version of CBP's *Performance and Accountability Report*, visit our website at: [www.cbp.gov](http://www.cbp.gov)





## U.S. Customs and Border Protection

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Please visit the U.S. Customs and Border Protection website at  
[www.cbp.gov](http://www.cbp.gov)

To report suspicious activity, call  
1-800-BE ALERT

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