



## State-by-State Health Home State Plan Amendment Matrix

This matrix outlines key program design features from health home State Plan Amendments (SPAs) approved by the Centers for Medicare & Medicaid Services (CMS). As of September 2018, 22 states and the District of Columbia have a total of 35 approved Medicaid health home models.<sup>1</sup> For more information about health homes (HH), visit <http://www.Medicaid.gov>.

State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Alabama</b> <b>SPA approved 3/04/15</b> <b>SPA effective 04/01/15</b>	Chronic conditions and severe mental illness (SMI)	Individuals with SMI; two chronic conditions; or one chronic condition and the risk of developing another, from the following list of conditions: mental health condition, substance use disorder (SUD), asthma, diabetes, heart disease; cancer, cardiovascular disease, chronic obstructive pulmonary disease, Hepatitis C, HIV, sickle cell anemia, and transplants.	Team of health care professionals: lead health home entity is the Patient Care Network of Alabama which contracts with primary medical providers (PMPs), Alabama Department of Public Health (ADPH) and community mental health centers (CMHCs).	Opt-in enrollment	Fee-for-service (FFS) per-member-per-month (PMPM)	Statewide
<b>California</b> <b>SPA approved 5/29/18</b> <b>SPA effective 07/01/18</b>	Chronic conditions	Individuals with two chronic conditions including: substance use disorder; asthma; diabetes; heart disease; chronic liver disease; chronic obstructive pulmonary disease (COPD); congestive heart failure; chronic renal disease; dementia; traumatic brain injury; or high blood pressure (but only when combined with COPD, diabetes, heart disease, or congestive heart failure). Also individuals with one chronic condition of asthma and risk of developing diabetes, substance use disorder, depression, or BMI over 25.	Designated providers: Community Based Care Management Entity (CB-CMEs)	Opt-in enrollment	Risk-based managed care	Targeted to 1 county: San Francisco



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Connecticut</b>  <b>SPA approved 9/28/16</b> <b>SPA effective 10/1/15</b>	SMI	Individuals with SMI including schizophrenia and psychotic disorders, mood disorders, anxiety disorders, obsessive compulsive disorder, post-traumatic stress disorder, and/or borderline personality disorder.	Designated providers are Local Mental Health Authorities (LMHA) and designated LMHA affiliates.	Opt-in enrollment	Fee-for-service (FFS) per-member-per-month (PMPM)	Statewide
<b>District of Columbia</b>  <b>SPA approved 09/02/15</b> <b>SPA effective 01/01/16</b>	SMI	Individuals with one or more serious and persistent mental health condition, defined as: a diagnosable mental, behavioral, or emotional disorder which substantially impairs the mental health of the person, substance abuse disorders, intellectual disabilities and other developmental disorders or seizure disorders, unless those exceptions co-occur with another diagnosable metal illness.	Designated provider: Community mental health centers (CMHCs) – also known as core services agencies (CSAs) - meeting state qualifications	Opt-in enrollment	Tiered PMPM; two rates based on acuity (high and low)	Statewide
<b>District of Columbia</b>  <b>SPA approved 02/06/17</b> <b>SPA effective 07/01/17</b>	Chronic conditions	Individuals with three or more chronic conditions.	Designated provider: Clinical practices, clinical group practices, Federally Qualified Health Centers (FQHCs)	Opt-out enrollment	PMPM	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Illinois</b>  <b>SPA approved 07/18/18</b> <b>SPA effective 10/01/18</b>	SMI	Individuals with SMI including schizophrenia, bipolar disorder or individuals who have attempted self-injury or suicide, or with homicidal ideation and one or more conditions including SUD, depression or other mood disorders, conduct disorder, oppositional defiant disorder, psychosis, PTSD, personality disorders, or eating disorders. Also individuals with SMI who are also at risk of developing one of the conditions in the 3M CRG categories "Catastrophic", "Dominant/Metastatic" and "Dominant chronic diseases in 3 or more organ systems."	Designated provider: PCPs, clinical practices/clinical group practices, Rural Health Clinics, physicians and physicians groups employed by hospitals, community mental health centers, home health agencies, community/behavioral health agencies, and FQHCs.	Opt-out enrollment	PMPM	Statewide
<b>Iowa</b>  <b>SPA approved 04/03/14</b> <b>SPA effective 04/01/14</b>	Chronic conditions	Individuals with two chronic conditions; or one chronic condition and at risk for another, including mental health conditions, substance abuse disorders, asthma, diabetes, heart disease, hypertension, BMI over 25 , and BMI over 85 percentile for pediatric populations.	Primary care practices, CMHCs, FQHCs, RHCs meeting State standards and sharing policies/procedures and electronic systems if practice includes multiple sites	Opt-out enrollment	PMPM	Statewide
<b>Iowa</b>  <b>SPA approved 06/19/14</b> <b>SPA effective 07/01/14</b>	SMI	Adults with SMI, including psychotic disorders, schizophrenia, schizoaffective disorder, major depression, bipolar disorder, delusional disorder, and obsessive-compulsive disorder; Children with SED; and individuals with chronic functional impairment.	Team of healthcare professionals: Team comprised of a lead entity and qualified integrated health home (IHH). Team to include: physicians, nurse care coordinators, social workers, behavioral health professional, and peer support specialist/family support specialist	Opt-out enrollment	PMPM	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Maine</b> <b>SPA approved 07/12/13</b> <b>SPA effective 04/01/13</b>	Chronic conditions	Individuals with two chronic conditions or one chronic condition and at risk for another. Conditions include: mental health condition (non-SMI), SUD, asthma, diabetes, heart disease, BMI over 25, tobacco use, COPD, hypertension, hyperlipidemia, developmental disabilities or autism spectrum disorders, acquired brain injury, seizure disorders and cardiac and circulatory congenital abnormalities.	Community Care Teams partner with primary care health home practices to manage the care of eligible individuals	Opt-out enrollment	PMPM	Statewide
<b>Maine</b> <b>SPA approved 04/21/15</b> <b>SPA effective 01/01/15</b>	SMI	Individuals with one or more SMI.	Team of Health Care Professionals including enhanced primary care practices (EPCPs) and behavioral health home organizations (BHHOs)	Opt-out enrollment	PMPM Care management fee tiered based on distinct activities provided	Statewide
<b>Maine</b> <b>SPA approved 10/13/17</b> <b>SPA effective 10/1/17</b>	SUD	Individuals with opioid SUD and the risk of developing another chronic condition including: a mental health condition; substance use disorder; tobacco use; diabetes; heart disease; BMI >25; COPD; hypertension; hyperlipidemia; developmental and intellectual disorders; circulatory congenital abnormalities; asthma; acquired brain injury; or seizure disorders.	Multidisciplinary team of providers, including a clinical team lead, Medication Assisted Treatment prescriber, nurse care manager, opioid dependency clinical counselor, and peer recovery coach.	Opt-in enrollment	FFS PMPM	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Maryland</b> <b>SPA approved 09/27/2013</b> <b>SPA effective 10/01/2013</b>	SMI and SUD	Individuals with opioid SUD and the risk of developing another chronic condition; or one or more SMI.	Psychiatric rehabilitation programs, mobile treatment service providers, and opioid treatment programs	Opt-in enrollment	PMPM Plus, one-time initial intake assessment payment	Statewide
<b>Michigan</b> <b>SPA approved 12/11/14</b> <b>SPA effective 07/01/14</b>	SMI	Individuals with one or more SMI.	Designated providers of HH will be CMHSPs operating under Michigan Mental Health Code	Opt-out enrollment	PMPM	Targeted to 2 counties: Manistee and Grand Traverse
<b>Michigan</b> <b>SPA approved 03/4/16</b> <b>SPA effective 07/01/16</b>	Chronic conditions	Individuals with asthma, diabetes, heart disease, anxiety, COPD, depression, or hypertension.	FQHCs and Tribal Health Centers	Opt-out enrollment	FFS PMPM	Targeted to 21 counties: Bay, Genesee, Houghton, Huron, Iron, Kalamazoo, Kent, Lapeer, Lenawee, Macomb, Marquette, Menominee, Monroe, Montcalm, Montmorency, Oakland, Ontonagon, Presque Isle, Saginaw, Shiawassee, Wayne
<b>Minnesota</b> <b>SPA approved 03/21/16</b> <b>SPA effective 07/01/16</b>	SMI/SED	Adults with serious mental illness and children and youth experiencing severe emotional disturbances.	Designated providers of health homes services are clinical practices or clinical group practices, rural health clinics, community health centers, community mental health centers, community/behavioral health agencies, and FQHCs	Opt-in enrollment	PMPM	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Missouri</b> SPA approved 10/20/11 SPA effective 01/01/12	SMI	Individuals with SMI only, mental health or SUD plus a chronic condition; or mental health or SUD plus tobacco use.	CMHC meeting state qualifications	Opt-out enrollment	PMPM	Statewide
<b>Missouri</b> SPA approved 12/22/11 SPA effective 01/01/12	Chronic conditions	Individuals with two chronic conditions including mental health conditions, substance use disorder, asthma, cardiovascular disease, diabetes, developmental disabilities, or overweight (BMI >25); <i>or</i>  One of the previous chronic conditions and at risk of developing another. At risk criteria include: tobacco use or diabetes.	Designated providers of HH services will be FQHCs, RHCs and primary care clinics operated by hospitals	Opt-out enrollment	PMPM	Statewide
<b>New Jersey</b> SPA approved 08/12/15 SPA effective 10/01/14		Adults with one or more serious mental illness that causes serious impairments in emotional and behavioral functioning that interfere with an individual's capacity to remain in the community unless supported by treatment and services.	Behavioral health homes will be licensed as a mental health provider by the New Jersey Department of Human Services	Opt-in enrollment	PMPM  Case rate basis	Targeted to 5 counties: Atlantic, Bergen, Cape May, Mercer, and Monmouth
<b>New Jersey</b> SPA approved 08/12/15 SPA effective 10/01/14	SED child	Children with SED, co-occurring developmental disability or mental illness, co-occurring mental health and substance abuse disorder, or developmental disability with symptomology of SED.	Behavioral health homes will be designated care management organizations	Opt-in enrollment	PMPM	Targeted to 5 counties: Atlantic, Bergen, Cape May, Mercer, and Monmouth
<b>New Mexico</b> SPA approved 03/21/16 SPA effective 04/01/16	SMI/SED	Adults with SMI and children with SED.	Clinical practices or clinical group practices, rural health clinics, community/behavioral health agencies including Core Services Agencies, FQHCs, and Indian Health Services Clinics	Opt-out enrollment	FFS PMPM	Targeted to 10 counties: Bernalillo, Curry, De Baca, Grant, Hidalgo, Lea, Quay, Roosevelt, San Juan, and Sandoval



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>New York</b> <b>SPA approved 03/10/15</b> <b>SPA effective 08/01/14</b>	Chronic conditions and SMI	Individuals with SMI, chronic medical and behavioral health conditions.	Any interested providers or groups of providers that meet state defined requirements that assure access to primary, specialty and behavioral health care and that support the integration and coordination of all care	Opt-out enrollment	PMPM adjusted based on region and case mix (using Clinical Risk Group method)	Statewide
<b>New York</b> <b>SPA approved 04/09/18</b> <b>SPA effective 07/01/18</b>	Chronic conditions	Individuals with intellectual and/or developmental disabilities	Designated providers are Care Coordination Organizations/Health Homes (CCO/HHs), which can include managed care plans, hospitals, medical, mental and chemical dependency treatment teams, primary care practitioner practices, PCMHs, FQHCs, designated home health care agencies and any other Medicaid enrolled provider that meets CCO/HH provider standards.	Referral and assignment with opt-out	FFS PMPM	Statewide
<b>North Carolina</b> <b>SPA approved 5/24/12</b> <b>SPA effective 10/01/11</b>	Chronic conditions	Individuals with two chronic medical conditions or one chronic condition and risk of developing another. Qualifying chronic medical conditions include asthma, diabetes, heart disease, BMI over 25, blindness, chronic cardiovascular disease, COPD, congenital anomalies, chronic disease of the alimentary system, schizophrenia, cerebrovascular disease, congestive heart failure, hypertension, peripheral vascular disease, HIV, and chronic renal failure.	Medical homes	Opt-in enrollment	Tiered PMPM	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>Oklahoma</b> SPA approved 02/10/15 SPA effective 01/01/15	SMI adult	Individuals with one or more SMI, except for DSM "V" codes, substance abuse, and developmental disorders unless they co-occur with another diagnosable SMI.	Designated providers of HH will be licensed by the state as a CMHC or be Community /Behavioral Health Agency Behavioral Health Providers that meet qualifications certified by the Department of Mental Health Substance Abuse Services	Opt-out enrollment  Self- or provider-referral allowed	Tiered PMPM	Statewide
<b>Oklahoma</b> SPA approved 02/10/15 SPA effective 01/01/15	SED Child	Individuals with one or more SED, except for DSM "V" codes, substance abuse, and developmental disorders unless they co-occur with another diagnosable SED.	Designated providers of HH will be licensed by the state as a CMHC or be Community /Behavioral Health Agency Behavioral Health Providers that meet qualifications certified by the Department of Mental Health Substance Abuse Services	Opt-out enrollment  Self- or provider-referral allowed	Tiered PMPM	Statewide
<b>Rhode Island</b> SPA approved 11/23/11 SPA effective 10/01/11	Chronic conditions and SMI	Individuals with a diagnosis of SMI or SED, two chronic conditions or one of the following and risk of developing another: mental health condition, asthma, diabetes, developmental disability, and seizure disorders.	CEDARR Family Centers that serve Medicaid-eligible children who are identified as having one or more special health care needs	Opt-in enrollment	Alternate payment methodology; rate developed based on level of effort required and market based hourly rate	Statewide
<b>Rhode Island</b> SPA approved 11/23/11 SPA effective 10/01/11	SMI	Individuals with SMI who are eligible for state's community support program.	Seven CMHOs and two smaller providers of specialty mental health services	Opt-out enrollment  Self-referral allowed	Case rate	Statewide
<b>Rhode Island</b> SPA approved 11/06/13 SPA effective 07/01/13	Opioid dependency	Individuals with opioid dependence currently receiving or who meet criteria for Medication Assisted Treatment.	Opioid Treatment Programs licensed by the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals as Behavioral Healthcare Organizations	Opt-out enrollment	Weekly FFS per member payment	Statewide





State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>South Dakota</b> SPA approved 11/22/13 SPA effective 07/02/13	Chronic conditions and SMI	Individuals with two select chronic conditions, one select chronic condition and the risk of developing a second, or one SMI.	Designated providers, including: physicians, RHCs, Community Health Centers, CMHCs, FQHCs, advanced practice nurses, and physician assistants	Opt-in enrollment	Tiered PMPM	Statewide
<b>Tennessee</b> SPA approved 4/28/17 SPA effective 1/1/17	SMI	Adults with SMI and children with SED.	Designated providers, including: physicians, clinical practices or clinical group practices, RHCs, Community Health Centers, CMHCs, and FQHCs	Opt-out enrollment	Risk-based managed care	Statewide
<b>Vermont</b> SPA approved 04/10/14 SPA effective 01/01/14	Opioid dependency	Individuals with opioid dependency as defined by the DSM-IV-TR criteria and risk for developing other drug or alcohol dependency, co-occurring mental health conditions, especially depression and anxiety, affective disorders, or PTSD.	Team of healthcare professionals: physicians, nurse care coordinators, social workers, and behavioral health professionals	Opt-out enrollment	Hub: Monthly bundled rate per member  Spoke: PMPM	Statewide
<b>Washington</b> SPA approved (06/11/15) SPA effective (01/01/15)	Chronic conditions	Individuals with one chronic condition and the risk of developing another: mental health condition, substance abuse disorder, asthma, diabetes, heart disease. Other chronic conditions include: cancer, cerebrovascular disease, chronic respiratory conditions, coronary artery disease, dementia or Alzheimer's disease, gastrointestinal, hematological conditions, HIV/AIDS, intellectual disability or disease, musculoskeletal conditions, neurological disease, and renal failure.	Clinical practices or clinical group practices, rural health clinics, CHCs, CMHCs, home health agencies, case management agencies, community BHAs, FQHCs, hospitals, managed care organizations, primary care case management, or SUD treatment providers	Opt-out enrollment	FFS rates built for three levels of payment using a clinical and non-clinical staffing model combined with monthly service intensity  Encounters are submitted PMPM  Incentive payment, PCCM managed care, and risk based managed care also included	Statewide



State	Model Type	Target Population	HH Providers	Enrollment	Payment	Geographic Area
<b>West Virginia</b> <b>SPA approved 01/27/15</b> <b>SPA effective 07/01/14</b>	SMI	Individuals with one chronic condition and at risk for developing another including bipolar disorder, and Hepatitis B or C.	Designated providers including physicians, clinical practices or clinical group practices, RHCs, FQHCs, CHCs, CMHCs, and community/ behavioral health agencies	Opt-out enrollment	Tiered PMPM	Targeted to 6 counties: Wayne, Cabell, Putnam, Kanawha, Raleigh, and Mercer
<b>West Virginia</b> <b>SPA approved 05/04/17</b> <b>SPA effective 04/01/17</b>	Chronic conditions	Individuals diagnosed with pre-diabetes, diabetes, and/or obesity who are at risk of developing anxiety and/or depression.	Designated providers, including: physicians, clinical practices or clinical group practices, RHCs, Community Health Centers, CMHCs, and FQHCs	Opt-out enrollment	FFS PMPM	Statewide
<b>Wisconsin</b> <b>SPA approved (1/29/13)</b> <b>SPA effective (10/01/12)</b>	HIV/AIDS	Individuals diagnosed with HIV and at least one other chronic condition, or at risk of developing another chronic condition.	AIDS Service Organizations	Opt-out enrollment	Flat Fee/Rate	Targeted to 4 counties: Brown, Kenosha, Milwaukee, and Dane

<sup>1</sup> Idaho, Kansas, Ohio, and Oregon had Medicaid health home programs, but terminated their SPAs and no longer provide services under the 2703 option.