



# 2017

## Freedom of Information Act Annual Report to the Attorney General of the United States

The Department of Education's mission is to promote student achievement and preparation for global competitiveness by fostering educational excellence and ensuring equal access.



DEPARTMENT OF EDUCATION  
OFFICE OF MANAGEMENT  
FREEDOM OF INFORMATION ACT (FOIA)  
SERVICE CENTER

**U.S. Department of Education  
FREEDOM OF INFORMATION ACT ANNUAL REPORT  
FOR FISCAL YEAR 2017**

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# U.S. Department of Education

## I. BASIC INFORMATION REGARDING REPORT

1. The person to contact with questions about this report is:  
Gregory Smith, Director  
FOIA Service Center Office of the Chief Privacy Officer  
Office of Management  
United States Department of Education  
400 Maryland Ave, SW, LBJ 2W218-70  
Washington, DC 20202-4503
2. The Internet address for this report on the World Wide Web (www) is: <http://www2.ed.gov/policy/gen/leg/foia/foiatoc.html>
3. To obtain a copy of this report in paper form contact the person identified in #1 above.

## II. MAKING A FOIA REQUEST

1. Names, Addresses, and Contact Numbers for the Department of Education (ED) Freedom of Information Act (FOIA) Officers, FOIA Analysts, and Regional FOIA Coordinators. FOIA requests should be submitted directly to the FOIA Requester Service Center or, if known, to the Regional FOIA Coordinators.

### **Chief FOIA Officer**

Denise Carter, (202) 205-8770

### **FOIA Requester Service Center**

U.S. Department of Education  
400 Maryland Avenue, SW, LBJ 2W218-32  
Washington, DC 20202-4503  
(202) 401-8365

### **FOIA Analysts**

Elise Cook  
Shahanga Lee  
Christie Swafford

**FOIA Public Liaison:** Robert Wehausen

**FOIA Appeals Coordinator:** Arthur Caliguiran

**Chief Privacy Officer:** Kathleen Styles

Region I: OCR Boston Office  
FOIA Coordinator; McCormack Post Office & Courthouse,  
Boston, MA 02109; (617) 289-0013

Region II: OCR New York Office  
FOIA Coordinator; 75 Park Place, New York, NY 10007; (646) 428-3811

Region III: OCR Philadelphia Office  
FOIA Coordinator; 100 Penn Square East, Philadelphia, PA 19107;  
(215) 656-8557

Region IV: OCR Atlanta Office  
FOIA Coordinator; 61 Forsyth Street, SW, Atlanta, GA 30303; (404) 562-6357

Region V: OCR Chicago Office  
FOIA Coordinator; 111 North Canal Street, Chicago, IL 60606; (312) 730-1610

Region VI: OCR Dallas Office  
FOIA Coordinator; 1999 Bryan Street, Dallas, TX 75201; (214) 661-9623

Region VII: OCR Kansas City Office  
FOIA Coordinator; 10220 N. Executive Hills Boulevard, Kansas City, MO  
64153; (816) 268-0594

Region VIII: OCR Denver Office  
FOIA Coordinator; 1244 Speer Boulevard, Denver, CO 80204; (303) 844-5942

Region IX: OCR San Francisco Office  
FOIA Coordinator; 50 United Nations Plaza, San Francisco, CA 94102;  
(415) 486-5507

Region X: OCR Seattle Office  
FOIA Coordinator; 915 Second Avenue, Seattle, WA 98174; (206) 220-7946

Metro Region: OCR District of Columbia Office  
FOIA Coordinator; P.O. Box 14620; Washington, D.C. 20044-4620;  
(202) 786-0521

Region XII: OCR Cleveland Office  
FOIA Coordinator; 600 Superior Avenue East, Suite 750, Cleveland, OH  
4114- 2611; (216) 522-4468

2. Information on how to make a FOIA Request and on how to make a Privacy Act request is available from the ED FOIA website: <http://www.ed.gov/policy/gen/leg/foia/foiatoc.html>  
Responsive records are released in their entirety unless release is precluded on the basis of nine statutory exemptions, as specified in the FOIA. In general, as a regulatory agency, some investigative records or portions of records are not released. In addition, if records are part of an ongoing investigation or involved with litigation they may not be released or are only partially released. Personally identifiable information is also not released to anyone except the individual to whom it applies. Certain information contained in grants and contracts is withheld such as non-federal funding amounts and sources, business proposals, and technical information unique to the submitter that falls within protected business information.

### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS:

1. Agency-specific acronyms or terms used:

- a. **The Department:** Congress established the U.S. Department of Education (ED) on May 4, 1980, in the Department of Education Organization Act (Public Law 96-88 of October 1979). Under this law, ED's mission is to: Strengthen the Federal commitment to assuring access to equal educational opportunity for every individual; Supplement and complement the efforts of states, the local school systems and other instrumentalities of the states, the private sector, public and private nonprofit educational research institutions, community-based organizations, parents, and students to improve the quality of education; Encourage the increased involvement of the public, parents, and students in Federal education programs; Promote improvements in the quality and usefulness of education through Federally supported research, evaluation, and sharing of information; Improve the coordination of Federal education programs; Improve the management of Federal education activities; and, Increase the accountability of Federal education programs to the President, the Congress, and the public.
- b. **Calendar Day** – Every day shown on a calendar. A 24-hour period beginning at 12:01a.m. and ending at 12:00 p.m. midnight.
- c. **Fees** – an agency will charge processing fees based on how the documents will be used and not on the category of the FOIA requester. All fees allowed will be charged to the first requester, when there are multiple requesters for the same documents, and, unless waived, all subsequent requesters will be charged duplication and special services fees only. If a fee is not allowed by statute or regulation to be charged to the first requester, the fee cannot be passed on to the next subsequent requester.
- e. **First-In-First-Out (FIFO) Processing Policy** – an agency will process requests in the order in which requests are received consistent with a multi-track processing system and reasonable allocation of processing resources available for each track and the FOIA program of the agency.
- f. **FOIA/PA Request** – a FOIA request is generally a request or access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself; such requests are also treated as FOIA requests. (All requests for access to records, regardless of which law is cited by the requester, are included in this report.)
- g. **Glomar Response** – a Glomar response neither confirms nor denies the existence or non-existence of responsive records to a request in order to protect law enforcement, privacy, or other appropriate interests.
- h. **Initial Denial Authority** – agency official who is delegated the authority to make release determinations of documents and information contained in documents requested under the FOIA on behalf of the agency.
- i. **Initial Request** – a request to a federal agency for access to records under the Freedom of Information Act.
- j. **Perjury Statement** – a signed (notarization is not required) statement executed under the penalty of law, usually associated with a request for the personal records of the

requester, attesting that they are the individual who they say they are.

- k. **Proper Request** – a request that fits the definition of a FOIA request: reasonably describes the agency records being sought and cites a willingness to pay assessable fees or justifies the granting of a fee waiver. Proper requests do not use federal government resources in making the request – federal government requests for agency documents for official purposes are not FOIA requests.
- l. **Reasonably Described** – a request is reasonably described if it enables a professional agency employee familiar with the subject area to locate the record with a reasonable amount of effort. An appeal is reasonably described if it adequately describes the action taken by the agency regarding the request and the basis on why the action is not in compliance with the statute or agency regulation.
- m. **Referral** – transferring a FOIA request and/or document(s) that are under another agency’s purview to another entity for processing. This also includes redirecting a requester to the appropriate agency instead of referring the request.
- n. **Release** – an agency decision to disclose all records in full in response to a FOIA request. This sometimes is referred to as a “grant.”
- o. **Remanded** – a request that is returned to the initial denial authority for reconsideration of their release determination and further processing.
- p. **Request Disposition** – an agency determination to take one of four actions on requests for records:
  - i. Responsive records are released in full.
  - ii. Responsive records are released in part because some of the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions.
  - iii. Responsive records are denied in full because all of the information in the requested records is determined by the agency to be exempt under one or more of the FOIA exemptions.
  - iv. Determined by the agency not to process for other procedural reasons:
    - (1) No Records – After a thorough search of agency records, no records were found to be responsive to the FOIA request or within the scope of the FOIA request.
    - (2) Duplicate Request – The request is a duplicate of a previously submitted request by the same requester or organization represented by the requester.
    - (3) Fee Related – Request is not processed because there were fee issues. For example, the requester was not willing to pay assessable FOIA processing fees or the requester had delinquent fees from previous FOIA requests.
    - (4) Request Withdrawn – Requester withdraws their request.
    - (5) Not Reasonably Described – sufficient information has not been provided for a professional agency employee familiar with the subject area to locate the record with a reasonable amount of effort.
    - (6) Not a Proper Request – the requester is asking questions posing as a FOIA request or a response would require the agency to create a record.

- (7) Not an Agency Record – Documents requested in a FOIA request that are not maintained, or possibly originated, by the Department or its components.
- (8) Referred to an Appropriate Agency – request for records that are not held by a Department agency and are more likely the documents of some other federal agency other than the Department.

q. **Working Days** – days except Saturdays, Sundays, and federally recognized public holidays.

## 2. Basic Terms Used in This Report and in FOIA Training:

- a. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a component is an entity, also sometimes referred to as an office, division, bureau, center, or directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b) (3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a third-party request) or concerning an organization or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., first-party requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act systems of records or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests that require the agency to use the FOIA in responding to the requester are included in this report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported



separately in Section XII of this report.)

- h. **Full Grant** – an agency determination to disclose all records in full in response to a FOIA request.
  - i. **Full Denial** – an agency determination not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions or because of a procedural reason such as when no records could be located.
  - j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
  - k. **Multi-track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first-in/first-out basis. The tracks are:
    - i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations;
    - ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of records requested; and
    - iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of records requested.
  - l. **Partial Grant / Partial Denial** – in response to a FOIA request, an agency determination to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
  - m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
  - n. **Perfected Request** – a request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any), and procedures to be followed.
  - o. **Processed Request or Processed Administrative Appeal** – action on the request or the appeal in all respects has been completed.
  - p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
  - q. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. The Nine FOIA Exemptions:
- a. **Exemption 1**: classified national defense and foreign relations information;

- b. **Exemption 2:** internal agency rules and practices;
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law;
- d. **Exemption 4:** trade secrets and other confidential business information;
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges;
- f. **Exemption 6:** information involving matters of personal privacy;
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- h. **Exemption 8:** information relating to the supervision of financial institutions; and
- i. **Exemption 9:** geological information on wells

4. **Principal Offices (POs)** – In FY2017, ED had the following program offices and/or processing designations:

<b>Component Abbreviation</b>	<b>Component Name</b>
FSA	Federal Student Aid
IES	Institute of Education Sciences
IES-NAGB	IES-National Assessment Governing Board
OCFO	Office of the Chief Financial Officer
M	Multiple Office Assigned
OCFO-CAM	OCFO-Contracts and Acquisitions Management
OCIO	Office of the Chief Information Officer
OCO	Office of Communication and Outreach
OCR	Office for Civil Rights (Headquarters)
ODS	Office of the Deputy Secretary
OESE	Office of Elementary and Secondary Education
OGC	Office of the General Counsel

OIG	Office of Inspector General
OII	Office of Innovation and Improvement
OLCA	Office of Legislative and Congressional Affairs
OM	Office of Management
OM-OCPO	OM – Office of the Chief Privacy Officer
OPE	Office of Postsecondary Education
OPEPD	Office of Planning, Evaluation, and Policy Development
OS	Office of the Secretary
OCTAE	Office of Career, Technical & Adult Education
OCR-1 Boston	OCR Region I - Boston
OCR-2 New York	OCR Region II - New York
OCR-3 Philadelphia	OCR Region III - Philadelphia
OCR-4 Atlanta	OCR Region IV - Atlanta
OCR-5 Chicago	OCR Region V - Chicago
OCR-6 Dallas	OCR Region VI - Dallas
OCR-7 Kansas City	OCR Region VII - Kansas City
OCR-8 Denver	OCR Region VIII - Denver
OCR-9 San Francisco	OCR Region IX - San Francisco
OCR-10 Seattle	OCR Region X - Seattle
OCR-Metro D.C.	OCR - District of Columbia
OCR-12 Cleveland	OCR Region XII - Cleveland
OSHS	Office of Safe and Healthy Students
OSERS	Office of Special Education and Rehabilitative Services
OUS	Office of the Under Secretary
OCR-9 San Francisco	OCR Region IX - San Francisco

3. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 253b(m)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, NO. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	FSA	3	5
			M	1	
			OIG	1	
5 U.S.C. app. § 107(a) (Ethics in Government Act of 1978)	Financial disclosure information pertaining to certain government employees	Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgement granted, 699 F. Supp. 2d 106 (D.D.C. 2010); Glascoe v.	FSA	1	1
44 U.S.C. § 3501 Note	Data or information acquired under a pledge of confidentiality for exclusively statistical purposes	N/A	FSA	1	2
			OPEPD	1	

31 U.S.C. § 3730	Records pertaining to civil actions for false claims against the United States Government	N/A	OIG	1	1
20 U.S.C. § 1232g	Identities of students and parents identified in data collected by representatives of the Comptroller General, the Secretary of Education, or State educational authorities in connection with the audit, evaluation, or enforcement of a Federally-supported education program.	N/A	FSA	1	1
41 U.S.C. § 2102 (amending 41 U.S.C § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection	Legal & Safety Employer Research, Inc. v. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	FSA	3	4
			IES-NAGB	1	
49 U.S.C. § 114	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	Tooley v. Bush, No. 06-306, 2006 WL 3783142, at *19 (D.D.C. Dec. 21, 2006, aff'd on other grounds, 586 F.3d 1006 (D.C. Cir. 2009); Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal 2004).	FSA	1	1
28 U.S.C. § 652(d)	Confidential dispute resolution communications	Yelder v. DOD, 577 F. Supp. 2d 342, 347-48 (D.D.C. 2008)	M	1	

			OCR	1	3
			OCR - 8 Denver	1	
20 U.S.C. § 1099c-1 (Higher Education Act)	Certain program review reports and institutional responses until the Department of Education issues a final program review determination.	Bagwell v. Dep't of Educ., 2016 WL 1664808 (D.D.C. Apr. 26, 2016)(Kollar-Kotelly,J.)	FSA	3	5
			M	2	
5 U.S.C. § 574(j)	Dispute resolution communication between a neutral and a third party.	N/A	OCR - 10 Seattle	1	2
			OCR - 8 Denver	1	
20 U.S.C. § 9573	Section 183 of the ESRA requires to protect the confidentiality of persons in information collections	N/A	IES	1	1

#### IV. A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
CAM	6	43	47	2
FSA	102	294	252	144
IES	4	32	33	3
M	73	222	178	117
IES-NAGB	0	1	1	0
OCFO	0	8	3	5
OCIO	1	5	4	2
OCO	4	26	19	11
OCR	42	268	206	104
OCR - Metro D.C.	9	81	68	22
OCR - 1 Boston	31	179	126	84
OCR - 10 Seattle	2	82	80	4
OCR - 12 Cleveland	13	53	47	19
OCR - 2 New York	70	189	218	41
OCR - 3 Philadelphia	1	117	116	2
OCR - 4 Atlanta	21	85	87	19
OCR - 5 Chicago	13	181	173	21
OCR - 6 Dallas	6	96	94	8
OCR - 7 Kansas City	8	36	38	6
OCR - 8 Denver	3	58	54	7
OCR - 9 San Francisco	1	78	78	1
OCTAE	0	2	2	0
ODS	2	5	5	2
OESE	4	54	52	6
OGC	4	27	19	12
OIG	2	52	49	5
OII	1	17	18	0
OLCA	2	5	7	0

OM	10	91	99	2
OM-OCPO	4	211	159	56
OPE	18	137	148	7
OPEPD	3	2	5	0
OS	37	142	55	124
OSERS	5	30	29	6
OUS	5	6	5	6
AGENCY OVERALL	507	2,915	2,574	848

*The discrepancy in the number of pending requests in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: a request initially assigned to Office "A" in FY16 is later assigned to Office "B" would show as a pending case for Office "A" at the end of FY16, but when Office "B" closes the case in FY17, the report will show Office "B" as the pending office at the start of FY16 rather than Office "A".*

*The Department reported 508 requests pending FY16 but in FY17 one of the requests pending FY16 was deleted because it was mistakenly entered in FY16. As a result, report is showing now 507 requests pending for FY16.*



**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL	
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	*Explain in Chart Below		
CAM	3	30	7	5	0	2	0	0	0	0	0	0	0	47
FSA	69	97	18	31	1	28	1	3	0	1	3	0	252	
IES	7	19	1	4	0	2	0	0	0	0	0	0	33	
M	35	68	4	37	0	28	0	0	0	0	3	3	178	
IES-NAGB	0	1	0	0	0	0	0	0	0	0	0	0	1	
OCFO	0	0	0	3	0	0	0	0	0	0	0	0	3	
OCIO	4	0	0	0	0	0	0	0	0	0	0	0	4	
OCO	8	5	0	5	0	1	0	0	0	0	0	0	19	
OCR	49	116	4	15	0	10	1	2	0	0	9	0	206	
OCR - Metro D.C.	1	57	1	4	0	4	0	0	0	0	1	0	68	
OCR - 1 Boston	18	92	1	2	0	11	0	0	0	0	2	0	126	
OCR - 10 Seattle	8	64	2	4	0	0	0	0	0	0	2	0	80	
OCR - 12 Cleveland	11	24	5	2	0	5	0	0	0	0	0	0	47	
OCR - 2 New York	22	153	4	5	0	18	0	4	0	4	8	0	218	
OCR - 3 Philadelphia	11	89	2	5	0	3	2	3	0	0	1	0	116	
OCR - 4 Atlanta	8	29	37	1	0	6	0	3	0	0	3	0	87	
OCR - 5 Chicago	62	91	7	5	0	4	1	0	0	0	3	0	173	
OCR - 6 Dallas	7	67	10	7	0	2	0	0	0	0	1	0	94	
OCR - 7 Kansas City	5	14	11	1	0	2	2	2	0	0	1	0	38	

OCR - 8 Denver	5	24	10	8	0	1	3	1	0	0	2	0	54
OCR - 9 San Francisco	14	49	12	3	0	0	0	0	0	0	0	0	78
OCTAE	1	1	0	0	0	0	0	0	0	0	0	0	2
ODS	1	2	0	2	0	0	0	0	0	0	0	0	5
OESE	10	24	1	13	0	3	1	0	0	0	0	0	52
OGC	7	4	0	5	0	3	0	0	0	0	0	0	19
OIG	5	20	10	10	1	1	0	0	0	0	2	0	49
OII	12	2	0	2	0	2	0	0	0	0	0	0	18
OLCA	1	2	0	4	0	0	0	0	0	0	0	0	7
OM	34	42	1	16	1	2	1	0	0	1	1	0	99
OM-OCPO	7	32	0	13	0	4	0	0	0	102	1	0	159
OPE	16	115	0	16	0	1	0	0	0	0	0	0	148
OPEPD	1	2	0	2	0	0	0	0	0	0	0	0	5
OS	19	15	0	14	0	6	0	0	0	0	0	1	55
OSERS	10	8	1	7	0	0	1	0	0	1	1	0	29
OUS	3	1	1	0	0	0	0	0	0	0	0	0	5
AGENCY OVERALL	474	1,359	150	251	3	149	13	18	0	109	44	4	2,574

\* 4 requests were closed since they were in litigation and the response, if any, would be released through litigation.

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
M	Litigation	3	3
OS	Litigation	1	1
AGENCY OVERALL			4

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CAM	0	0	0	36	2	14	0	0	0	0	0	0	0	0
FSA	0	0	12	30	32	102	13	0	3	1	5	2	0	0
IES	0	0	1	11	1	17	0	0	0	0	0	0	0	0
M	0	0	5	13	31	62	12	0	11	0	0	0	0	0
IES-NAGB	0	0	1	1	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCIO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCO	0	0	0	0	0	4	0	0	0	0	0	0	0	0
OCR	0	0	1	1	10	55	51	2	59	0	3	0	0	0
OCR - Metro D.C.	0	0	0	0	3	2	3	0	0	0	2	0	0	0
OCR - 1 Boston	0	0	0	0	13	48	24	0	60	1	0	0	0	0
OCR - 10 Seattle	0	0	1	0	14	1	29	1	0	0	0	0	0	0
OCR - 12 Cleveland	0	0	0	0	4	6	4	0	4	0	0	0	0	0
OCR - 2 New York	0	0	0	0	2	0	21	0	106	0	0	0	0	0
OCR - 3 Philadelphia	0	0	0	2	10	12	19	0	12	0	0	0	0	0
OCR - 4 Atlanta	0	2	0	0	7	15	23	0	11	0	2	0	0	0
OCR - 5 Chicago	0	0	0	0	33	13	27	0	8	0	33	0	0	0
OCR - 6 Dallas	0	0	0	0	22	14	27	0	11	0	1	0	0	0
OCR - 7 Kansas City	0	0	0	0	0	2	1	0	4	0	0	0	0	0
OCR - 8 Denver	0	0	1	0	1	8	9	0	2	1	0	0	0	0
OCR - 9 San Francisco	0	0	0	1	8	61	24	0	57	0	3	0	0	0
OCTAE	0	0	0	0	0	1	0	0	0	0	0	0	0	0
ODS	0	0	0	0	2	2	0	0	0	0	0	0	0	0

OESE	0	0	0	11	2	23	0	0	0	0	0	0	0	0
OGC	0	0	0	1	3	4	0	0	0	0	0	0	0	0
OIG	0	0	2	3	7	19	9	0	16	0	0	1	0	0
OII	0	0	0	0	1	1	0	0	0	0	0	0	0	0
OLCA	0	0	0	0	1	2	0	0	0	0	0	0	0	0
OM	0	0	0	2	3	43	0	0	0	0	1	0	0	0
OM-OCPO	0	0	0	2	9	32	0	0	0	0	0	0	0	0
OPE	0	0	0	66	0	100	2	1	0	0	0	0	0	0
OPEPD	0	0	1	1	2	1	0	0	0	0	0	0	0	0
OS	0	0	0	1	2	15	0	0	3	0	3	2	0	0
OSERS	0	0	0	2	4	8	0	0	0	0	0	0	0	0
OUS	0	0	0	0	2	1	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	2	25	184	231	688	298	4	367	3	53	5	0	0

**V. A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
CAM	1	1	1	1
FSA	21	15	9	27
IES	1	0	1	0
M	5	6	6	5
IES-NAGB	0	0	0	0
OCFO	0	0	0	0
OCIO	0	0	0	0
OCO	0	1	1	0
OCR	2	9	8	3
OCR - Metro D.C.	0	0	0	0
OCR - 1 Boston	0	0	0	0
OCR - 10 Seattle	0	0	0	0
OCR - 12 Cleveland	0	0	0	0
OCR - 2 New York	1	2	2	1
OCR - 3 Philadelphia	0	5	4	1
OCR - 4 Atlanta	0	4	1	3
OCR - 5 Chicago	2	2	3	1
OCR - 6 Dallas	0	0	0	0
OCR - 7 Kansas City	0	2	1	1
OCR - 8 Denver	0	0	0	0
OCR - 9 San Francisco	1	0	1	0
OCTAE	0	0	0	0
ODS	1	0	1	0
OESE	2	4	4	2
OGC	1	7	1	7
OIG	1	0	1	0
OII	0	0	0	0
OLCA	0	0	0	0

OM	0	1	0	1
OM-OCPO	1	3	3	1
OPE	0	0	0	0
OPEPD	1	0	1	0
OS	0	2	0	2
OSERS	0	0	0	0
OUS	0	1	1	0
AGENCY OVERALL	41	65	50	56

*The discrepancy in the number of pending appeals in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: an appeal initially assigned to Office "A" in FY16 is later assigned to Office "B" would show as a pending appeal for Office "A" at the end of FY16, but when Office "B" closes the appeal in FY17, the report will show Office "B" as the pending office for FY16 rather than Office "A".*

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
CAM	0	1	0	0	1
FSA	3	2	1	3	9
IES	1	0	0	0	1
M	0	1	0	5	6
IES-NAGB	0	0	0	0	0
OCFO	0	0	0	0	0
OCIO	0	0	0	0	0
OCO	0	0	0	1	1
OCR	4	2	1	1	8
OCR - Metro D.C.	0	0	0	0	0
OCR - 1 Boston	0	0	0	0	0
OCR - 10 Seattle	0	0	0	0	0
OCR - 12 Cleveland	0	0	0	0	0
OCR - 2 New York	1	0	1	0	2
OCR - 3 Philadelphia	1	1	1	1	4
OCR - 4 Atlanta	0	0	0	1	1
OCR - 5 Chicago	1	2	0	0	3
OCR - 6 Dallas	0	0	0	0	0
OCR - 7 Kansas City	1	0	0	0	1
OCR - 8 Denver	0	0	0	0	0
OCR - 9 San Francisco	0	0	1	0	1



OCTAE	0	0	0	0	0
ODS	0	1	0	0	1
OESE	2	1	1	0	4
OGC	1	0	0	0	1
OIG	0	0	0	1	1
OII	0	0	0	0	0
OLCA	0	0	0	0	0
OM	0	0	0	0	0
OM-OCPO	1	1	1	0	3
OPE	0	0	0	0	0
OPEPD	1	0	0	0	1
OS	0	0	0	0	0
OSERS	0	0	0	0	0
OUS	0	1	0	0	1
AGENCY OVERALL	17	13	7	13	50

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CAM	0	0	0	1	0	0	0	0	0	0	0	0	0	0
FSA	0	0	2	2	1	2	0	0	0	0	1	0	0	0
IES	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M	0	0	0	0	0	1	0	0	0	0	0	0	0	0
IES-NAGB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCIO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR	0	0	0	0	0	0	3	0	3	0	0	0	0	0
OCR - Metro D.C.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 1 Boston	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 10 Seattle	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 12 Cleveland	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 2 New York	0	0	0	0	0	0	0	0	1	0	0	0	0	0
OCR - 3 Philadelphia	0	0	0	0	0	1	2	0	1	0	0	0	0	0
OCR - 4 Atlanta	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 5 Chicago	0	0	0	0	0	0	2	0	0	0	0	0	0	0
OCR - 6 Dallas	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 7 Kansas City	0	0	0	0	0	0	0	0	1	0	0	0	0	0
OCR - 8 Denver	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 9 San Francisco	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCTAE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ODS	0	0	0	0	1	1	0	0	0	0	0	0	0	0

OESE	0	0	0	0	1	1	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OII	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OLCA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OM-OCPO	0	0	0	0	1	0	0	0	0	0	0	0	0	0
OPE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OPEPD	0	0	0	0	0	1	0	0	0	0	0	0	0	0
OS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSERS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OUS	0	0	0	0	1	1	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	2	3	5	8	7	0	6	0	1	0	0	0

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
CAM	0	0	0	0	0	0	0	0	0	0	0
FSA	1	0	1	0	0	0	0	0	1	0	0
IES	0	0	0	0	0	0	0	0	0	0	0
M	0	0	3	0	0	0	0	0	1	0	1
IES-NAGB	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0
OCIO	0	0	0	0	0	0	0	0	0	0	0
OCO	0	0	0	0	0	0	0	0	0	0	1
OCR	0	0	1	0	0	0	0	0	0	0	0
OCR - Metro D.C.	0	0	0	0	0	0	0	0	0	0	0
OCR - 1 Boston	0	0	0	0	0	0	0	0	0	0	0
OCR - 10 Seattle	0	0	0	0	0	0	0	0	0	0	0
OCR - 12 Cleveland	0	0	0	0	0	0	0	0	0	0	0
OCR - 2 New York	0	0	0	0	0	0	0	0	0	0	0
OCR - 3 Philadelphia	0	0	0	0	0	0	0	0	0	0	1
OCR - 4 Atlanta	0	0	0	0	0	0	0	0	0	0	1
OCR - 5 Chicago	0	0	0	0	0	0	0	0	0	0	0
OCR - 6 Dallas	0	0	0	0	0	0	0	0	0	0	0

OCR - 7 Kansas City	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 8 Denver	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 9 San Francisco	0	0	0	0	0	0	0	0	0	0	0	0
OCTAE	0	0	0	0	0	0	0	0	0	0	0	0
ODS	0	0	0	0	0	0	0	0	0	0	0	0
OESE	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	1	0	0	0	0	0	0	0	0	0
OII	0	0	0	0	0	0	0	0	0	0	0	0
OLCA	0	0	0	0	0	0	0	0	0	0	0	0
OM	0	0	0	0	0	0	0	0	0	0	0	0
OM-OCPO	0	0	0	0	0	0	0	0	0	0	0	0
OPE	0	0	0	0	0	0	0	0	0	0	0	0
OPEPD	0	0	0	0	0	0	0	0	0	0	0	0
OS	0	0	0	0	0	0	0	0	0	0	0	0
OSERS	0	0	0	0	0	0	0	0	0	0	0	0
OUS	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	0	6	0	0	0	0	0	0	2	0	4

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
M	Moot	1	1
OCO	Moot	1	1
OCR - 3 Philadelphia	Moot	1	1
OCR - 4 Atlanta	Moot	1	1
AGENCY OVERALL			4

#### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CAM	582.00	582.00	582.00	582.00
FSA	473.00	647.00	73.00	1,717.00
IES	1,043.00	1,043.00	1,043.00	1,043.00
M	454.00	469.33	14.00	979.00
IES-NAGB	0.00	0.00	0.00	0.00
OCFO	0.00	0.00	0.00	0.00
OCIO	0.00	0.00	0.00	0.00
OCO	34.00	34.00	34.00	34.00
OCR	37.50	39.25	8.00	104.00
OCR - Metro D.C.	0.00	0.00	0.00	0.00
OCR - 1 Boston	0.00	0.00	0.00	0.00
OCR - 10 Seattle	0.00	0.00	0.00	0.00
OCR - 12 Cleveland	0.00	0.00	0.00	0.00
OCR - 2 New York	213.00	213.00	159.00	267.00
OCR - 3 Philadelphia	36.50	37.25	15.00	61.00
OCR - 4 Atlanta	73.00	73.00	73.00	73.00
OCR - 5 Chicago	85.00	101.33	60.00	159.00
OCR - 6 Dallas	0.00	0.00	0.00	0.00
OCR - 7 Kansas City	9.00	9.00	9.00	9.00
OCR - 8 Denver	0.00	0.00	0.00	0.00
OCR - 9 San Francisco	73.00	73.00	73.00	73.00
OCTAE	0.00	0.00	0.00	0.00
ODS	1,708.00	1,708.00	1,708.00	1,708.00
OESE	110.00	154.25	63.00	334.00
OGC	14.00	14.00	14.00	14.00
OIG	65.00	65.00	65.00	65.00
OII	0.00	0.00	0.00	0.00
OLCA	0.00	0.00	0.00	0.00
OM	0.00	0.00	0.00	0.00
OM-OCPO	20.00	72.67	15.00	183.00

OPE	0.00	0.00	0.00	0.00
OPEPD	438.00	438.00	438.00	438.00
OS	0.00	0.00	0.00	0.00
OSERS	0.00	0.00	0.00	0.00
OUS	36.00	36.00	36.00	36.00
AGENCY OVERALL	78.00	294.84	8.00	1,717.00



**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
CAM	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-03-27
	Number of Days Pending	0	0	0	0	0	0	0	0	0	131
FSA	Date of Appeal	2016-05-10	2016-05-03	2016-03-31	2016-01-21	2015-12-01	2015-10-27	2015-03-13	2014-06-13	2014-03-27	2014-03-07
	Number of Days Pending	350	355	378	427	461	484	642	827	882	896
IES	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
M	Date of Appeal	N/A	N/A	N/A	N/A	N/A	2017-07-26	2017-04-26	2016-10-31	2014-11-28	2012-07-10
	Number of Days Pending	0	0	0	0	0	46	109	230	712	1311
IES-NAGB	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCIO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCR	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-12-21	2016-08-26	2016-07-14

	Number of Days Pending	0	0	0	0	0	0	0	0	195	274	305
OCR - Metro D.C.	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCR - 1 Boston	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCR - 10 Seattle	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCR - 12 Cleveland	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCR - 2 New York	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-05-30
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	86
OCR - 3 Philadelphia	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-11-30
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	210
OCR - 4 Atlanta	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-15	2017-08-31	2017-07-27
	Number of Days Pending	0	0	0	0	0	0	0	0	10	20	45
OCR - 5 Chicago	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-25
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	4
OCR - 6 Dallas	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0

	Pending											
OCR - 7 Kansas City	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-07-18
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	52
OCR - 8 Denver	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCR - 9 San Francisco	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OCTAE	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
ODS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OESE	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-10-24	2015-08-26
	Number of Days Pending	0	0	0	0	0	0	0	0	0	235	526
OGC	Date of Appeal	N/A	N/A	N/A	2017-08-29	2017-08-15	2017-08-05	2017-05-16	2017-05-02	2017-03-09	2016-07-21	
	Number of Days Pending	0	0	0	22	32	38	95	105	143	300	
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OII	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0

OLCA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OM	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-25
	Number of Days Pending	0	0	0	0	0	0	0	0	0	4
OM-OCPO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2012-11-19
	Number of Days Pending	0	0	0	0	0	0	0	0	0	1220
OPE	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OPEPD	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-15	2017-04-26
	Number of Days Pending	0	0	0	0	0	0	0	0	32	109
OSERS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OUS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2015-12-01	2015-10-27	2015-08-26	2015-03-13	2014-11-28	2014-06-13	2014-03-27	2014-03-07	2012-11-19	2012-07-10
	Number of Days Pending	461	484	526	642	712	827	882	896	1220	1311

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CAM	13	11.92	2	20	46	47.32	25	89	N/A	N/A	N/A	N/A
FSA	15	44.93	2	331	81	152.88	21	1039	N/A	N/A	N/A	N/A
IES	8	8.65	1	19	33.5	39.06	1	104	N/A	N/A	N/A	N/A
M	13	12.66	<1	30	115.5	303.73	19	1764	N/A	N/A	N/A	N/A
IES-NAGB	14	14	14	14	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCFO	N/A	N/A	N/A	N/A	108	92.33	40	129	N/A	N/A	N/A	N/A
OCIO	N/A	N/A	N/A	N/A	73	74	23	127	N/A	N/A	N/A	N/A
OCO	12	13.43	3	25	65	85.83	23	234	N/A	N/A	N/A	N/A
OCR	11	11.36	<1	27	46	93.19	8	433	N/A	N/A	N/A	N/A
OCR - Metro D.C.	12	20.03	<1	235	141	136.46	27	259	N/A	N/A	N/A	N/A
OCR - 1 Boston	14	27.11	2	492	97	158.86	24	664	N/A	N/A	N/A	N/A
OCR - 10 Seattle	12	11.23	1	19	19	17.27	8	26	N/A	N/A	N/A	N/A
OCR - 12 Cleveland	10	36.25	1	242	76	104.84	21	434	N/A	N/A	N/A	N/A
OCR - 2 New York	13	28.03	1	427	100.5	146.91	4	487	17	17	17	17
OCR - 3 Philadelphia	9	9.29	<1	20	38	40.8	28	65	N/A	N/A	N/A	N/A
OCR - 4 Atlanta	9	16.62	2	235	52	86.22	4	499	N/A	N/A	N/A	N/A
OCR - 5 Chicago	16	13.07	<1	20	20	19.14	3	22	N/A	N/A	N/A	N/A
OCR - 6 Dallas	14.5	12.98	1	24	24.5	34.61	2	144	N/A	N/A	N/A	N/A
OCR - 7 Kansas City	8	7.47	1	15	54	71.19	16	224	N/A	N/A	N/A	N/A
OCR - 8 Denver	11	10.64	<1	25	29	33	20	53	N/A	N/A	N/A	N/A

OCR - 9 San Francisco	6	7.9	<1	19	31	34.75	12	111	N/A	N/A	N/A	N/A
OCTAE	6.5	6.5	6	7	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ODS	12.5	12.5	11	14	296	229	58	333	N/A	N/A	N/A	N/A
OESE	10.5	10.03	2	18	40	44.41	20	95	N/A	N/A	N/A	N/A
OGC	14.5	24.67	9	83	82	80.23	20	158	N/A	N/A	N/A	N/A
OIG	17	14.68	1	25	46	56.08	20	141	N/A	N/A	N/A	N/A
OII	8	12.33	2	39	32	35	20	54	N/A	N/A	N/A	N/A
OLCA	17	13.67	6	18	192	172.25	19	286	N/A	N/A	N/A	N/A
OM	12	11.03	2	24	44	80.44	5	464	N/A	N/A	N/A	N/A
OM-OCPO	<1	1.93	<1	25	160	122.79	<1	195	N/A	N/A	N/A	N/A
OPE	12	12.57	3	19	40.5	56.46	6	386	129	129	129	129
OPEPD	18	18	18	18	104	131.67	100	191	N/A	N/A	N/A	N/A
OS	10	10.5	1	21	67	225.36	11	1091	N/A	N/A	N/A	N/A
OSERS	12	11.19	3	19	32	47.46	21	150	N/A	N/A	N/A	N/A
OUS	11	10.33	6	14	50.5	50.5	35	66	N/A	N/A	N/A	N/A
AGENCY OVERALL	11	14.18	<1	492	58	124	<1	1764	73	73	17	129

**VII.B. ROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CAM	10	11.4	2	20	46	47.32	24	89	N/A	N/A	N/A	N/A
FSA	17	51.89	4	331	78	140.41	21	1039	N/A	N/A	N/A	N/A
IES	10	11.18	4	19	36	40.13	1	104	N/A	N/A	N/A	N/A
M	15	14.75	8	20	109	272.86	21	1254	N/A	N/A	N/A	N/A
IES-NAGB	14	14	14	14	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCFO	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCIO	N/A	N/A	N/A	N/A	73	74	23	127	N/A	N/A	N/A	N/A
OCO	16	14.33	9	18	65	84.5	23	234	N/A	N/A	N/A	N/A
OCR	14	13.89	4	27	46	95.06	8	433	N/A	N/A	N/A	N/A
OCR - Metro D.C.	13	22.68	<1	235	149	141.36	27	259	N/A	N/A	N/A	N/A
OCR - 1 Boston	14	27.64	2	492	71	150.35	24	664	N/A	N/A	N/A	N/A
OCR - 10 Seattle	12	11.25	1	19	19	17.27	8	26	N/A	N/A	N/A	N/A
OCR - 12 Cleveland	9	55.78	1	242	72	104.46	21	434	N/A	N/A	N/A	N/A
OCR - 2 New York	15.5	28.46	3	427	93	144.22	22	487	17	17	17	17
OCR - 3 Philadelphia	10	9.58	<1	20	38	40.8	28	65	N/A	N/A	N/A	N/A
OCR - 4 Atlanta	8	9.73	2	21	48	77.92	21	499	N/A	N/A	N/A	N/A
OCR - 5 Chicago	17	14.26	<1	20	20	19.73	9	22	N/A	N/A	N/A	N/A
OCR - 6 Dallas	17	14.17	1	24	24	33.97	2	144	N/A	N/A	N/A	N/A
OCR - 7 Kansas City	8	10.4	7	15	79.5	75	28	157	N/A	N/A	N/A	N/A
OCR - 8 Denver	13.5	13.73	<1	25	29	32.14	20	53	N/A	N/A	N/A	N/A

OCR - 9 San Francisco	6.5	8.3	1	19	31	36	12	111	N/A	N/A	N/A	N/A
OCTAE	6.5	6.5	6	7	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ODS	11	11	11	11	314.5	314.5	296	333	N/A	N/A	N/A	N/A
OESE	9	9.32	2	18	33	39.73	20	73	N/A	N/A	N/A	N/A
OGC	16	14	9	17	110	100.12	20	158	N/A	N/A	N/A	N/A
OIG	19	18.53	9	25	42	55	20	141	N/A	N/A	N/A	N/A
OII	9	12.88	2	39	29	33.83	20	54	N/A	N/A	N/A	N/A
OLCA	N/A	N/A	N/A	N/A	276	223.33	108	286	N/A	N/A	N/A	N/A
OM	13	11.22	2	21	45	70.31	5	323	N/A	N/A	N/A	N/A
OM-OCPO	8	7.94	2	19	167	131.68	21	195	N/A	N/A	N/A	N/A
OPE	12	13.04	3	19	40	56.39	6	386	N/A	N/A	N/A	N/A
OPEPD	N/A	N/A	N/A	N/A	104	131.67	100	191	N/A	N/A	N/A	N/A
OS	9	9.62	1	21	60	218.15	23	1091	N/A	N/A	N/A	N/A
OSERS	12.5	11.5	7	13	31.5	44.17	21	150	N/A	N/A	N/A	N/A
OUS	10	10	6	14	50.5	50.5	35	66	N/A	N/A	N/A	N/A
AGENCY OVERALL	13	16.88	<1	492	54	111.72	1	1254	17	17	17	17



**VII.C. ROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
CAM	13	0	0	0	0	0	0	0	0	0	0	0	0	13
FSA	29	2	2	0	0	1	3	1	2	0	0	1	0	41
IES	17	0	0	0	0	0	0	0	0	0	0	0	0	17
M	37	1	0	0	0	0	0	0	0	0	0	0	0	38
IES-NAGB	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCIO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCO	6	1	0	0	0	0	0	0	0	0	0	0	0	7
OCR	56	3	0	0	0	0	0	0	0	0	0	0	0	59
OCR - Metro D.C.	22	6	0	0	0	0	0	0	0	0	1	0	0	29
OCR - 1 Boston	42	9	2	2	0	1	0	0	0	0	0	0	1	57
OCR - 10 Seattle	65	0	0	0	0	0	0	0	0	0	0	0	0	65
OCR - 12 Cleveland	12	2	0	0	0	0	0	0	0	1	1	0	0	16
OCR - 2 New York	75	14	0	1	0	1	0	2	0	1	2	0	1	97
OCR - 3 Philadelphia	108	0	0	0	0	0	0	0	0	0	0	0	0	108
OCR - 4 Atlanta	31	2	0	0	0	0	0	0	0	0	1	0	0	34
OCR - 5 Chicago	114	0	0	0	0	0	0	0	0	0	0	0	0	114
OCR - 6 Dallas	45	3	0	0	0	0	0	0	0	0	0	0	0	48
OCR - 7 Kansas City	15	0	0	0	0	0	0	0	0	0	0	0	0	15
OCR - 8 Denver	40	4	0	0	0	0	0	0	0	0	0	0	0	44
OCR - 9 San Francisco	58	0	0	0	0	0	0	0	0	0	0	0	0	58
OCTAE	2	0	0	0	0	0	0	0	0	0	0	0	0	2

ODS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2
OESE	30	0	0	0	0	0	0	0	0	0	0	0	0	0	30
OGC	5	0	0	0	1	0	0	0	0	0	0	0	0	0	6
OIG	35	2	0	0	0	0	0	0	0	0	0	0	0	0	37
OII	7	2	0	0	0	0	0	0	0	0	0	0	0	0	9
OLCA	3	0	0	0	0	0	0	0	0	0	0	0	0	0	3
OM	36	2	0	0	0	0	0	0	0	0	0	0	0	0	38
OM-OCPO	134	1	0	0	0	0	0	0	0	0	0	0	0	0	135
OPE	63	0	0	0	0	0	0	0	0	0	0	0	0	0	63
OPEPD	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2
OS	15	1	0	0	0	0	0	0	0	0	0	0	0	0	16
OSERS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	16
OUS	3	0	0	0	0	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	1,139	55	4	3	1	3	3	3	2	2	5	1	2	1,223	

**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
CAM	0	15	13	5	1	0	0	0	0	0	0	0	0	34
FSA	0	37	33	33	16	15	7	5	2	4	26	9	21	208
IES	2	9	2	2	0	1	0	0	0	0	0	0	0	16
M	1	14	22	22	6	6	5	1	4	1	14	10	34	140
IES-NAGB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	1	0	0	0	1	1	0	0	0	0	0	0	3
OCIO	0	1	0	2	0	0	1	0	0	0	0	0	0	4
OCO	0	3	2	2	2	0	1	1	0	0	1	0	0	12
OCR	5	59	28	7	5	7	3	3	4	1	15	3	5	145
OCR - Metro D.C.	0	2	4	2	4	4	3	3	7	5	5	0	0	39
OCR - 1 Boston	0	14	11	9	1	7	2	3	1	3	4	7	7	69
OCR - 10 Seattle	14	1	0	0	0	0	0	0	0	0	0	0	0	15
OCR - 12 Cleveland	0	10	1	8	1	1	1	3	1	0	4	0	1	31
OCR - 2 New York	1	21	19	6	11	5	6	2	4	4	20	15	2	116
OCR - 3 Philadelphia	0	3	1	1	0	0	0	0	0	0	0	0	0	5
OCR - 4 Atlanta	1	18	10	5	2	3	3	1	1	1	4	0	1	50
OCR - 5 Chicago	55	4	0	0	0	0	0	0	0	0	0	0	0	59
OCR - 6 Dallas	15	20	6	1	1	2	0	1	0	0	0	0	0	46
OCR - 7 Kansas City	1	7	4	1	5	0	0	2	0	0	1	0	0	21
OCR - 8 Denver	1	6	2	0	0	0	0	0	0	0	0	0	0	9
OCR - 9 San Francisco	2	15	2	0	0	1	0	0	0	0	0	0	0	20
OCTAE	0	0	0	0	0	0	0	0	0	0	0	0	0	0

ODS	0	0	1	0	0	0	0	0	0	0	1	1	0	3
OESE	1	10	5	5	1	0	0	0	0	0	0	0	0	22
OGC	1	3	1	1	3	0	2	2	0	0	0	0	0	13
OIG	1	4	3	1	2	0	0	1	0	0	0	0	0	12
OII	1	5	3	0	0	0	0	0	0	0	0	0	0	9
OLCA	1	0	0	0	0	1	0	0	0	0	2	0	0	4
OM	7	23	8	6	6	1	2	2	0	0	1	4	1	61
OM-OCPO	1	5	1	1	1	0	1	2	9	3	0	0	0	24
OPE	2	40	18	13	4	3	0	0	1	0	2	1	0	84
OPEPD	0	0	0	0	1	1	0	0	0	1	0	0	0	3
OS	1	7	10	3	2	2	0	1	0	0	3	3	7	39
OSERS	0	9	1	1	1	0	0	1	0	0	0	0	0	13
OUS	0	1	0	1	0	0	0	0	0	0	0	0	0	2
AGENCY OVERALL	114	367	211	138	76	61	38	34	34	23	103	53	79	1,331

**VII.C. ROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
CAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IES	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IES-NAGB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCIO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - Metro D.C.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 1 Boston	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 10 Seattle	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 12 Cleveland	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 2 New York	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OCR - 3 Philadelphia	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 4 Atlanta	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 5 Chicago	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 6 Dallas	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 7 Kansas City	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 8 Denver	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCR - 9 San Francisco	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCTAE	0	0	0	0	0	0	0	0	0	0	0	0	0	0

ODS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OESE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OII	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OLCA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OM-OCPO	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OPE	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
OPEPD	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSERS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OUS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	0	0	0	0	0	1	0	0	0	0	0	0	0	2

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
CAM	0	N/A	N/A	2	28.5	28	0	N/A	N/A
FSA	7	97	98	137	72	118	0	N/A	N/A
IES	0	N/A	N/A	3	31	36	0	N/A	N/A
M	3	121	95	111	67	75	0	N/A	N/A
IES-NAGB	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OCFO	0	N/A	N/A	5	53	77	0	N/A	N/A
OCIO	0	N/A	N/A	2	23	23	0	N/A	N/A
OCO	0	N/A	N/A	11	75	107	0	N/A	N/A
OCR	2	100	100	91	36	65	0	N/A	N/A
OCR - Metro D.C.	8	63	81.25	14	45	67	0	N/A	N/A
OCR - 1 Boston	56	45.5	61	28	206.5	223	0	N/A	N/A
OCR - 10 Seattle	1	8	8	3	8	7	0	N/A	N/A
OCR - 12 Cleveland	4	68	72	15	48	63	0	N/A	N/A
OCR - 2 New York	24	26	84	16	91	164	0	N/A	N/A
OCR - 3 Philadelphia	1	14	14	1	58	58	0	N/A	N/A
OCR - 4 Atlanta	9	60	66	10	39.5	101	0	N/A	N/A
OCR - 5 Chicago	2	31.5	31	19	6	7	0	N/A	N/A
OCR - 6 Dallas	0	N/A	N/A	6	4.5	32	0	N/A	N/A
OCR - 7 Kansas City	1	44	44	4	30	32	0	N/A	N/A
OCR - 8 Denver	0	N/A	N/A	6	6.5	7	0	N/A	N/A
OCR - 9 San Francisco	0	N/A	N/A	1	9	9	0	N/A	N/A

OCTAE	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ODS	0	N/A	N/A	2	40.5	40	0	N/A	N/A
OESE	0	N/A	N/A	5	6	36	0	N/A	N/A
OGC	1	83	83	11	75	92	0	N/A	N/A
OIG	3	131	169	1	2	2	0	N/A	N/A
OII	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OLCA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OM	0	N/A	N/A	2	24.5	24	0	N/A	N/A
OM-OCPO	0	N/A	N/A	54	116.5	137	0	N/A	N/A
OPE	0	N/A	N/A	7	29	29	0	N/A	N/A
OPEPD	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OS	3	32	69	121	130	202	0	N/A	N/A
OSERS	0	N/A	N/A	6	97	165	0	N/A	N/A
OUS	1	257	257	5	276	203	0	N/A	N/A
AGENCY OVERALL	126	47	74	699	72	114	0	N/A	N/A



**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CAM	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-12	2017-07-28
	Number of Days Pending	0	0	0	0	0	0	0	0	13	44
FSA	Date of Receipt	2016-04-08	2016-04-05	2016-03-30	2016-02-16	2015-11-19	2015-10-16	2014-12-02	2014-09-19	2014-03-07	2014-03-07
	Number of Days Pending	372	375	379	410	468	491	710	759	896	896
IES	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-17	2017-08-16	2017-07-24
	Number of Days Pending	0	0	0	0	0	0	0	30	31	48
M	Date of Receipt	2017-02-24	2017-02-23	2017-02-13	2017-02-08	2017-01-12	2016-11-18	2016-10-24	2016-08-24	2016-05-19	2015-09-18
	Number of Days Pending	152	153	160	163	181	217	235	276	343	510
IES-NAGB	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2017-09-08	2017-07-26	2017-07-17	2017-07-10	2016-11-19
	Number of Days Pending	0	0	0	0	0	15	46	53	58	216
OCIO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-22	2017-08-02
	Number of Days Pending	0	0	0	0	0	0	0	0	5	41
OCO	Date of Receipt	2017-08-24	2017-08-10	2017-08-09	2017-07-14	2017-06-14	2017-06-06	2017-04-11	2017-02-24	2017-02-22	2016-01-15
	Number of Days Pending	25	35	36	54	75	81	120	152	154	430
OCR	Date of Receipt	2017-02-13	2016-12-21	2016-12-06	2016-11-28	2016-11-15	2016-11-02	2016-10-16	2016-06-16	2016-06-09	2016-05-24

	Number of Days Pending	160	195	206	212	220	228	240	324	329	340
OCR - Metro D.C.	Date of Receipt	2017-06-08	2017-06-08	2017-05-18	2017-05-16	2017-05-01	2017-03-24	2017-02-19	2017-01-23	2016-11-30	2016-11-21
	Number of Days Pending	79	79	93	95	106	132	155	175	210	216
OCR - 1 Boston	Date of Receipt	2016-11-01	2016-10-22	2016-10-20	2016-07-19	2016-07-11	2016-02-04	2016-02-02	2016-02-02	2015-11-13	2015-10-09
	Number of Days Pending	229	235	237	302	308	417	419	419	472	495
OCR - 10 Seattle	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-22	2017-09-19	2017-09-19	2017-09-16
	Number of Days Pending	0	0	0	0	0	0	5	8	8	9
OCR - 12 Cleveland	Date of Receipt	2017-07-20	2017-07-18	2017-07-17	2017-07-06	2017-07-01	2017-06-27	2017-06-15	2017-03-15	2016-12-02	2016-11-22
	Number of Days Pending	50	52	53	60	62	66	74	139	208	215
OCR - 2 New York	Date of Receipt	2016-11-23	2016-11-20	2016-11-07	2016-08-29	2016-07-26	2016-06-02	2016-03-11	2016-01-13	2015-10-01	2015-09-17
	Number of Days Pending	214	216	225	273	297	334	392	432	501	511
OCR - 3 Philadelphia	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-11	2017-07-10
	Number of Days Pending	0	0	0	0	0	0	0	0	14	58
OCR - 4 Atlanta	Date of Receipt	2017-07-14	2017-07-06	2017-06-28	2017-05-19	2017-02-03	2017-01-20	2017-01-20	2017-01-02	2016-10-25	2016-07-29
	Number of Days Pending	54	60	65	92	166	176	176	188	234	294
OCR - 5 Chicago	Date of Receipt	2017-09-21	2017-09-20	2017-09-18	2017-09-12	2017-09-11	2017-09-07	2017-09-07	2017-09-07	2017-09-07	2017-07-12
	Number of Days Pending	6	7	9	13	14	16	16	16	16	56
OCR - 6 Dallas	Date of Receipt	N/A	N/A	N/A	N/A	2017-09-26	2017-09-25	2017-09-25	2017-09-22	2017-09-14	2017-01-30
	Number of Days Pending	0	0	0	0	3	4	4	5	11	170

	Pending											
OCR - 7 Kansas City	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2017-09-25	2017-08-25	2017-08-09	2017-07-28	2017-06-26	
	Number of Days Pending	0	0	0	0	0	4	24	36	44	67	
OCR - 8 Denver	Date of Receipt	N/A	N/A	N/A	N/A	2017-09-29	2017-09-25	2017-09-21	2017-09-20	2017-09-14	2017-09-05	
	Number of Days Pending	0	0	0	0	0	4	6	7	11	18	
OCR - 9 San Francisco	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-18	
	Number of Days Pending	0	0	0	0	0	0	0	0	0	9	
OCTAE	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	
ODS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-10	2017-07-26	
	Number of Days Pending	0	0	0	0	0	0	0	0	35	46	
OESE	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2017-09-27	2017-09-21	2017-09-21	2017-08-10	2017-03-27	
	Number of Days Pending	0	0	0	0	0	2	6	6	35	131	
OGC	Date of Receipt	2017-09-12	2017-08-21	2017-06-23	2017-06-14	2017-06-02	2017-03-29	2017-03-07	2017-03-03	2017-01-06	2016-11-09	
	Number of Days Pending	13	28	68	75	83	129	145	147	185	223	
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-27	2017-03-27	2017-03-27	2016-10-06	
	Number of Days Pending	0	0	0	0	0	0	2	131	131	246	
OII	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	

OLCA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OM	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-17	2017-08-03
	Number of Days Pending	0	0	0	0	0	0	0	0	9	40
OM-OCPO	Date of Receipt	2017-01-12	2017-01-06	2016-12-21	2016-12-16	2016-12-12	2016-12-12	2016-09-07	2016-02-26	2015-07-22	2013-06-25
	Number of Days Pending	181	185	195	198	202	202	267	402	551	1070
OPE	Date of Receipt	N/A	N/A	N/A	2017-09-14	2017-09-04	2017-08-31	2017-08-18	2017-07-18	2017-05-08	2017-04-18
	Number of Days Pending	0	0	0	11	18	20	29	52	101	115
OPEPD	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OS	Date of Receipt	2015-07-22	2014-10-02	2014-07-31	2014-06-04	2014-05-06	2014-04-25	2014-03-31	2014-01-24	2013-11-14	2011-01-03
	Number of Days Pending	551	750	794	834	854	861	880	925	972	1693
OSERS	Date of Receipt	N/A	N/A	N/A	N/A	2017-09-14	2017-07-31	2017-07-28	2017-02-28	2016-08-11	2015-11-30
	Number of Days Pending	0	0	0	0	11	43	44	150	285	462
OUS	Date of Receipt	N/A	N/A	N/A	N/A	2017-08-22	2017-07-13	2016-09-21	2016-08-24	2016-07-28	2016-04-19
	Number of Days Pending	0	0	0	0	27	55	257	276	295	365
AGENCY OVERALL	Date of Receipt	2014-06-04	2014-05-06	2014-04-25	2014-03-31	2014-03-07	2014-03-07	2014-01-24	2013-11-14	2013-06-25	2011-01-03
	Number of Days Pending	834	854	861	880	896	896	925	972	1070	1693

### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
CAM	0	0	N/A	N/A	N/A
FSA	0	3	20	18.67	1
IES	0	0	N/A	N/A	N/A
M	0	4	10	14	2
IES-NAGB	0	0	N/A	N/A	N/A
OCFO	0	0	N/A	N/A	N/A
OCIO	0	0	N/A	N/A	N/A
OCO	0	0	N/A	N/A	N/A
OCR	0	2	28.5	28.5	1
OCR - Metro D.C.	0	0	N/A	N/A	N/A
OCR - 1 Boston	0	1	53	53	0
OCR - 10 Seattle	0	0	N/A	N/A	N/A
OCR - 12 Cleveland	0	0	N/A	N/A	N/A
OCR - 2 New York	1	0	7	7	1
OCR - 3 Philadelphia	0	0	N/A	N/A	N/A
OCR - 4 Atlanta	0	1	11	11	0
OCR - 5 Chicago	0	1	0	0	1
OCR - 6 Dallas	0	1	13	13	0
OCR - 7 Kansas City	0	0	N/A	N/A	N/A
OCR - 8 Denver	0	0	N/A	N/A	N/A
OCR - 9 San Francisco	0	0	N/A	N/A	N/A
OCTAE	0	0	N/A	N/A	N/A
ODS	0	0	N/A	N/A	N/A
OESE	0	0	N/A	N/A	N/A
OGC	0	1	5	5	1
OIG	0	2	10.5	10.5	1
OII	0	0	N/A	N/A	N/A
OLCA	0	0	N/A	N/A	N/A

OM	0	1	27	27	0
OM-OCPO	0	4	22.5	20.5	1
OPE	1	0	129	129	0
OPEPD	0	0	N/A	N/A	N/A
OS	0	5	21	19.8	0
OSERS	0	0	N/A	N/A	N/A
OUS	0	0	N/A	N/A	N/A
AGENCY OVERALL	2	26	16.5	25.54	9

## VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
CAM	2	4	20.5	21.67
FSA	44	21	21	26.92
IES	5	1	10.5	8.33
M	56	10	20	44.61
IES-NAGB	0	0	N/A	N/A
OCFO	1	0	33	33
OCIO	2	0	14.5	14.5
OCO	6	0	13.5	15.5
OCR	46	9	21	26.71
OCR - Metro D.C.	7	1	19	20.88
OCR - 1 Boston	9	0	27	20.78
OCR - 10 Seattle	0	0	N/A	N/A
OCR - 12 Cleveland	5	2	22	24.14
OCR - 2 New York	5	1	13	11.83
OCR - 3 Philadelphia	1	0	0	0
OCR - 4 Atlanta	3	2	17	17
OCR - 5 Chicago	3	2	9	8.4
OCR - 6 Dallas	3	0	17	14.67
OCR - 7 Kansas City	1	2	9	9.67
OCR - 8 Denver	5	2	12	10
OCR - 9 San Francisco	1	1	12.5	12.5
OCTAE	0	0	N/A	N/A
ODS	1	0	20	20
OESE	6	1	20	18.29
OGC	3	1	18.5	23.75
OIG	2	0	16	16
OII	1	1	11	11
OLCA	1	0	26	26
OM	7	2	18	19.56

OM-OCPO	28	2	15.5	21.3
OPE	7	9	19.5	21.94
OPEPD	0	1	20	20
OS	53	5	19	22.76
OSERS	2	1	27	22.33
OUS	1	0	37	37
AGENCY OVERALL	317	81	19	25.93



## IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
CAM	0	1	1	\$69,996.00	\$0.00	\$69,996.00
FSA	4	0.5	4.5	\$339,351.00	\$0.00	\$339,351.00
IES	0	0.2	0.2	\$28,989.00	\$0.00	\$28,989.00
M	0	0	0	\$0.00	\$0.00	\$0.00
IES-NAGB	0	0.1	0.1	\$1,067.00	\$0.00	\$1,067.00
OCFO	0	0.1	0.1	\$4,998.00	\$0.00	\$4,998.00
OCIO	0	0.2	0.2	\$2,145.00	\$0.00	\$2,145.00
OCO	0	0.4	0.4	\$49,167.00	\$0.00	\$49,167.00
OCR	0	3.4	3.4	\$360,408.00	\$0.00	\$360,408.00
OCR - Metro D.C.	1	3.3	4.3	\$445,329.00	\$0.00	\$445,329.00
OCR - 1 Boston	0	3	3	\$306,254.00	\$0.00	\$306,254.00
OCR - 10 Seattle	0	0.4	0.4	\$43,134.00	\$0.00	\$43,134.00
OCR - 12 Cleveland	0	0.4	0.4	\$42,895.00	\$0.00	\$42,895.00
OCR - 2 New York	0	4.2	4.2	\$432,455.00	\$0.00	\$432,455.00
OCR - 3 Philadelphia	1	2.2	3.2	\$319,356.00	\$0.00	\$319,356.00
OCR - 4 Atlanta	0	0.7	0.7	\$91,725.00	\$0.00	\$91,725.00
OCR - 5 Chicago	0	3.3	3.3	\$291,678.00	\$0.00	\$291,678.00
OCR - 6 Dallas	0	1.6	1.6	\$185,376.00	\$0.00	\$185,376.00
OCR - 7 Kansas City	0	0.7	0.7	\$74,927.00	\$0.00	\$74,927.00
OCR - 8 Denver	0	2.5	2.5	\$272,739.00	\$0.00	\$272,739.00

OCR - 9 San Francisco	0	0.5	0.5	\$61,653.00	\$0.00	\$61,653.00
OCTAE	0	0.6	0.6	\$8,513.00	\$0.00	\$8,513.00
ODS	0	0.2	0.2	\$22,437.00	\$0.00	\$22,437.00
OESE	1	2.5	3.5	\$281,141.00	\$0.00	\$281,141.00
OGC	0	1.5	1.5	\$84,817.00	\$200,011.00	\$284,828.00
OIG	1	0.3	1.3	\$43,689.00	\$0.00	\$43,689.00
OII	1	0	1	\$82,377.00	\$0.00	\$82,377.00
OLCA	0	0.3	0.3	\$26,879.00	\$0.00	\$26,879.00
OM	0	0.3	0.3	\$43,689.00	\$0.00	\$43,689.00
OM-OCPO	11	0	11	\$1,520,228.00	\$0.00	\$1,520,228.00
OPE	2	3.3	5.3	\$432,638.00	\$0.00	\$432,638.00
OPEPD	0	0.7	0.7	\$65,017.00	\$0.00	\$65,017.00
OS	0	1.8	1.8	\$242,770.00	\$0.00	\$242,770.00
OSERS	0	1.2	1.2	\$108,261.00	\$0.00	\$108,261.00
OUS	0	0.1	0.1	\$7,432.00	\$0.00	\$7,432.00
AGENCY OVERALL	22	41.4	63.4	\$6,498,856.00	\$0.00	\$6,498,856.00

*M represents Multiple Offices assigned, therefore their personnel are captured under the individual offices data.*

## X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
CAM	\$521.00	0.74%
FSA	\$1,389.37	0.41%
IES	\$0.00	0.00%
M	\$803.20	0.00%
IES-NAGB	\$0.00	0.00%
OCFO	\$0.00	0.00%
OCIO	\$0.00	0.00%
OCO	\$0.00	0.00%
OCR	\$0.00	0.00%
OCR - Metro D.C.	\$0.00	0.00%
OCR - 1 Boston	\$0.00	0.00%
OCR - 10 Seattle	\$0.00	0.00%
OCR - 12 Cleveland	\$50.92	0.12%
OCR - 2 New York	\$0.00	0.00%
OCR - 3 Philadelphia	\$0.00	0.00%
OCR - 4 Atlanta	\$0.00	0.00%
OCR - 5 Chicago	\$0.00	0.00%
OCR - 6 Dallas	\$0.00	0.00%
OCR - 7 Kansas City	\$0.00	0.00%
OCR - 8 Denver	\$482.80	0.18%
OCR - 9 San Francisco	\$0.00	0.00%
OCTAE	\$0.00	0.00%
ODS	\$0.00	0.00%
OESE	\$1,696.03	0.60%
OGC	\$0.00	0.00%
OIG	\$0.00	0.00%
OII	\$353.03	0.43%
OLCA	\$0.00	0.00%
OM	\$0.00	0.00%
OM-OCPO	\$0.00	0.00%

OPE	\$419.79	0.10%
OPEPD	\$0.00	0.00%
OS	\$0.00	0.00%
OSERS	\$154.19	0.14%
OUS	\$0.00	0.00%
AGENCY OVERALL	\$5,870.33	0.09%

*Agency total Fees Collected reflects amount collected in FY17. This includes fees collected from prior year, closed cases.*

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
CAM	1	1
FSA	114	23
IES	3	0
M	84	5
IES-NAGB	0	0
OCFO	4	0
OCIO	1	0
OCO	11	0
OCR	61	3
OCR - Metro D.C.	16	0
OCR - 1 Boston	72	0
OCR - 10 Seattle	0	0
OCR - 12 Cleveland	17	0
OCR - 2 New York	22	1
OCR - 3 Philadelphia	1	1
OCR - 4 Atlanta	11	2
OCR - 5 Chicago	1	0
OCR - 6 Dallas	1	0
OCR - 7 Kansas City	4	1
OCR - 8 Denver	0	0
OCR - 9 San Francisco	0	0
OCTAE	0	0
ODS	2	0
OESE	2	2
OGC	8	7
OIG	3	0
OII	0	0
OLCA	0	0
OM	1	0

OM-OCPO	47	1
OPE	5	0
OPEPD	0	0
OS	115	2
OSERS	5	0
OUS	6	0
AGENCY OVERALL	618	49

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
CAM	0	0	0	0
FSA	0	0	0	0
IES	0	0	0	0
M	0	0	0	0
IES-NAGB	0	0	0	0
OCFO	0	0	0	0
OCIO	0	0	0	0
OCO	0	0	0	0
OCR	0	0	0	0
OCR - Metro D.C.	0	0	0	0
OCR - 1 Boston	0	0	0	0
OCR - 10 Seattle	0	0	0	0
OCR - 12 Cleveland	0	0	0	0
OCR - 2 New York	0	0	0	0
OCR - 3 Philadelphia	0	0	0	0
OCR - 4 Atlanta	0	0	0	0
OCR - 5 Chicago	0	0	0	0
OCR - 6 Dallas	0	0	0	0
OCR - 7 Kansas City	0	0	0	0
OCR - 8 Denver	0	0	0	0
OCR - 9 San Francisco	0	0	0	0
OCTAE	0	1	1	0
ODS	0	0	0	0
OESE	0	0	0	0
OGC	0	1	1	0
OIG	0	0	0	0

OII	0	0	0	0
OLCA	0	0	0	0
OM	0	1	0	1
OM-OCPO	0	0	0	0
OPE	0	0	0	0
OPEPD	0	0	0	0
OS	0	1	1	0
OSERS	0	0	0	0
OUS	0	0	0	0
AGENCY OVERALL	0	4	3	1



**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
CAM	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
FSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
IES	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
M	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
IES-NAGB	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCIO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - Metro D.C.	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 1 Boston	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 10 Seattle	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 12 Cleveland	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 2 New York	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 3 Philadelphia	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 4 Atlanta	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 5 Chicago	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 6 Dallas	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 7 Kansas City	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 8 Denver	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCR - 9 San Francisco	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCTAE	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ODS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

OESE	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OII	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OLCA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OM	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-23
	Number of Days	0	0	0	0	0	0	0	0	0	0	26
OM-OCPO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OPE	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OPEPD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OUS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-23
	Number of Days	0	0	0	0	0	0	0	0	0	0	26

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
CAM	46	43	42	47
FSA	231	294	186	252
IES	35	32	32	33
M	80	222	76	178
IES-NAGB	0	1	0	1
OCFO	2	8	2	3
OCIO	13	5	12	4
OCO	5	26	1	19
OCR	190	268	168	206
OCR - Metro D.C.	89	81	90	68
OCR - 1 Boston	107	179	90	126
OCR - 10 Seattle	91	82	93	80
OCR - 12 Cleveland	53	53	45	47
OCR - 2 New York	199	189	175	218
OCR - 3 Philadelphia	105	117	106	116
OCR - 4 Atlanta	62	85	63	87
OCR - 5 Chicago	180	181	174	173
OCR - 6 Dallas	99	96	108	94
OCR - 7 Kansas City	36	36	39	38

OCR - 8 Denver	52	58	53	54
OCR - 9 San Francisco	66	78	66	78
OCTAE	10	2	10	2
ODS	5	5	4	5
OESE	74	54	74	52
OGC	10	27	8	19
OIG	47	52	47	49
OII	35	17	38	18
OLCA	6	5	4	7
OM	34	91	29	99
OM-OCPO	250	211	262	159
OPE	151	137	151	148
OPEPD	6	2	3	5
OS	32	142	17	55
OSERS	34	30	34	29
OUS	9	6	5	5
AGENCY OVERALL	2,444	2,915	2,307	2,574

*The Department reported 2,445 requests received for FY16 but in FY17 one of the requests received for FY16 was deleted because it was mistakenly entered in FY16. As a result, report is showing 2,444 requests received for FY16.*

*The discrepancy in the number of requests in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: a request initially assigned to Office "A" in FY16 is later assigned to Office "B" would show as a request for Office "A" at the end of FY16, but when Office "B" closes the request in FY17, the report will show Office "B" as the office at the start of FY16 rather than Office "A".*

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
CAM	1	1
FSA	83	114
IES	0	3
M	68	84
IES-NAGB	0	0
OCFO	0	4
OCIO	0	1
OCO	4	11
OCR	30	61
OCR - Metro D.C.	7	16
OCR - 1 Boston	31	72
OCR - 10 Seattle	0	0
OCR - 12 Cleveland	4	17
OCR - 2 New York	57	22
OCR - 3 Philadelphia	0	1
OCR - 4 Atlanta	15	11
OCR - 5 Chicago	0	1
OCR - 6 Dallas	2	1
OCR - 7 Kansas City	5	4
OCR - 8 Denver	1	0
OCR - 9 San Francisco	1	0
OCTAE	0	0
ODS	2	2
OESE	1	2
OGC	3	8
OIG	0	3
OII	1	0
OLCA	2	0

OM	9	1
OM-OCPO	3	47
OPE	8	5
OPEPD	1	0
OS	36	115
OSERS	4	5
OUS	4	6
AGENCY OVERALL	383	618

*The discrepancy in the number of backlog requests pending FY16 is due to how cases are tracked within our case management system. Example: a request received initially to Office "A" in FY16 is later assigned to Office "B" would show as a backlog for Office "A" at the end of FY16, but when Office "B" closes the case in FY17, the report will show Office "B" as the backlog office at the start of FY17 rather than Office "A."*

*Per vendor of our case management system, if a request was on hold during FY16, it would not show up as a backlog. If the hold was released in FY17, the request would show up as a backlog. As a result, our backlog numbers were reported as 381 but 2 requests were released from hold in FY17 so our backlog numbers are reporting 383.*

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
CAM	0	1	1	1
FSA	19	15	17	9
IES	0	0	2	1
M	2	6	4	6
IES-NAGB	0	0	0	0
OCFO	0	0	0	0
OCIO	1	0	2	0
OCO	0	1	0	1
OCR	5	9	22	8
OCR - Metro D.C.	7	0	7	0
OCR - 1 Boston	3	0	3	0
OCR - 10 Seattle	2	0	2	0
OCR - 12 Cleveland	2	0	2	0
OCR - 2 New York	1	2	2	2
OCR - 3 Philadelphia	4	5	8	4
OCR - 4 Atlanta	2	4	3	1
OCR - 5 Chicago	12	2	13	3
OCR - 6 Dallas	3	0	5	0
OCR - 7 Kansas City	1	2	1	1



OCR - 8 Denver	4	0	4	0
OCR - 9 San Francisco	3	0	2	1
OCTAE	1	0	1	0
ODS	0	0	0	1
OESE	1	4	0	4
OGC	2	7	2	1
OIG	2	0	3	1
OII	1	0	1	0
OLCA	0	0	0	0
OM	0	1	0	0
OM-OCPO	15	3	15	3
OPE	2	0	3	0
OPEPD	1	0	1	1
OS	0	2	0	0
OSERS	0	0	2	0
OUS	0	1	0	1
AGENCY OVERALL	96	65	128	50

*The discrepancy in the number of received appeals in beginning of fiscal year for the components is due to how cases are tracked within our case management system. Example: a request initially assigned to Office "A" in FY16 is later assigned to Office "B" would show as a received appeal for Office "A" at the end of FY16, but when Office "B" closes the appeal in FY17, the report will show Office "B" as the received office at the start of FY16 rather than Office "A".*

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
CAM	1	1
FSA	20	23
IES	1	0
M	5	5
IES-NAGB	0	0
OCFO	0	0
OCIO	0	0
OCO	0	0
OCR	2	3
OCR - Metro D.C.	0	0
OCR - 1 Boston	0	0
OCR - 10 Seattle	0	0
OCR - 12 Cleveland	0	0
OCR - 2 New York	0	1
OCR - 3 Philadelphia	0	1
OCR - 4 Atlanta	0	2
OCR - 5 Chicago	2	0
OCR - 6 Dallas	0	0
OCR - 7 Kansas City	0	1
OCR - 8 Denver	0	0
OCR - 9 San Francisco	1	0
OCTAE	0	0
ODS	1	0
OESE	2	2
OGC	1	7
OIG	0	0
OII	0	0
OLCA	0	0

OM	0	0
OM-OCPO	1	1
OPE	0	0
OPEPD	1	0
OS	0	2
OSERS	0	0
OUS	0	0
AGENCY OVERALL	38	49

*The discrepancy in the number of backlog appeals pending FY16 is due to how cases are tracked within our case management system. Example: an appeal received initially to Office "A" in FY16 is later assigned to Office "B" would show as a backlog for Office "A" at the end of FY16, but when Office "B" closes the case in FY17, the report will show Office "B" as the backlog office at the start of FY17 rather than Office "A."*