



AUDIT INFORMATION

9. b) Does the applicant nonprofit organization maintain offshore accounts for the purpose of avoiding paying the tax described in 26 U.S.C. 511(a)?	Yes	No		
<p>9. c) With respect to the most recent year in which the applicant nonprofit organization was required to file a tax return, does the applicant nonprofit organization believe (or assert) that it satisfies the requirements of 26 C.F.R. 53.4958-6 (which relate to the reasonableness of compensation of certain individuals)?</p> <p>If "Yes", refer to "Additional Attachments" under "What An Application Should Include" in the OJP solicitation (or application guidance) under which the applicant is submitting its application. If the solicitation/guidance describes the "Disclosure of Process related to Executive Compensation," the applicant nonprofit organization must provide -- as an attachment to its application -- a disclosure that satisfies the minimum requirements as described by OJP.</p>	Yes	No		
<p>For purposes of this questionnaire, an "audit" is conducted by an independent, external auditor using generally accepted auditing standards (GAAS) or Generally Governmental Auditing Standards (GAGAS), and results in an audit report with an opinion.</p> <p>10. Has the applicant entity undergone any of the following types of audit(s)(Please check all that apply):</p> <p> "Single Audit" under OMB A-133 or Subpart F of 2 C.F.R. Part 200</p> <p> Financial Statement Audit</p> <p> Defense Contract Agency Audit (DCAA)</p> <p> Other Audit & Agency (list type of audit):</p> <p> None (if none, skip to question 13)</p>				
11. Most Recent Audit Report Issued:	Within the last 12 months	Within the last 2 years	Over 2 years ago	N/A
Name of Audit Agency/Firm:				
AUDITOR'S OPINION				
12. On the most recent audit, what was the auditor's opinion?				
Unqualified Opinion	Qualified Opinion	Disclaimer, Going Concern or Adverse Opinions	N/A: No audits as described above	
Enter the number of findings (if none, enter "0"): Enter the dollar amount of questioned costs (if none, enter "\$0"):				
Were material weaknesses noted in the report or opinion?			Yes	No
13. Which of the following best describes the applicant entity's accounting system:				
Manual	Automated	Combination of manual and automated		
14. Does the applicant entity's accounting system have the capability to identify the receipt and expenditure of award funds separately for each Federal award?	Yes	No	Not Sure	
15. Does the applicant entity's accounting system have the capability to record expenditures for each Federal award by the budget cost categories shown in the approved budget?	Yes	No	Not Sure	
16. Does the applicant entity's accounting system have the capability to record cost sharing ("match") separately for each Federal award, and maintain documentation to support recorded match or cost share?	Yes	No	Not Sure	



17. Does the applicant entity's accounting system have the capability to accurately track employees actual time spent performing work for each federal award, and to accurately allocate charges for employee salaries and wages for each federal award, and maintain records to support the actual time spent and specific allocation of charges associated with each applicant employee?	Yes	No	Not Sure								
18. Does the applicant entity's accounting system include budgetary controls to preclude the applicant entity from incurring obligations or costs that exceed the amount of funds available under a federal award (the total amount of the award, as well as the amount available in each budget cost category)?	Yes	No	Not Sure								
19. Is applicant entity familiar with the "cost principles" that apply to recent and future federal awards, including the general and specific principles set out in 2 C.F.R Part 200?	Yes	No	Not Sure								
PROPERTY STANDARDS AND PROCUREMENT STANDARDS											
20. Does the applicant entity's property management system(s) maintain the following information on property purchased with federal award funds (1) a description of the property; (2) an identification number; (3) the source of funding for the property, including the award number; (4) who holds title; (5) acquisition date; (6) acquisition cost; (7) federal share of the acquisition cost; (8) location and condition of the property; (9) ultimate disposition information?	Yes	No	Not Sure								
21. Does the applicant entity maintain written policies and procedures for procurement transactions that -- (1) are designed to avoid unnecessary or duplicative purchases; (2) provide for analysis of lease versus purchase alternatives; (3) set out a process for soliciting goods and services, and (4) include standards of conduct that address conflicts of interest?	Yes	No	Not Sure								
22. a) Are the applicant entity's procurement policies and procedures designed to ensure that procurements are conducted in a manner that provides full and open competition to the extent practicable, and to avoid practices that restrict competition?	Yes	No	Not Sure								
22. b) Do the applicant entity's procurement policies and procedures require documentation of the history of a procurement, including the rationale for the method of procurement, selection of contract type, selection or rejection of contractors, and basis for the contract price?	Yes	No	Not Sure								
23. Does the applicant entity have written policies and procedures designed to prevent the applicant entity from entering into a procurement contract under a federal award with any entity or individual that is suspended or debarred from such contracts, including provisions for checking the "Excluded Parties List" system (www.sam.gov) for suspended or debarred sub-grantees and contractors, prior to award?	Yes	No	Not Sure								
TRAVEL POLICY											
<p>24. Does the applicant entity:</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">(a) maintain a standard travel policy?</td> <td style="width: 10%;">Yes</td> <td style="width: 10%;">No</td> <td style="width: 30%;"></td> </tr> <tr> <td>(b) adhere to the Federal Travel Regulation (FTR)?</td> <td>Yes</td> <td>No</td> <td></td> </tr> </table>				(a) maintain a standard travel policy?	Yes	No		(b) adhere to the Federal Travel Regulation (FTR)?	Yes	No	
(a) maintain a standard travel policy?	Yes	No									
(b) adhere to the Federal Travel Regulation (FTR)?	Yes	No									
SUBRECIPIENT MANAGEMENT AND MONITORING											
25. Does the applicant entity have written policies, procedures, and/or guidance designed to ensure that any subawards made by the applicant entity under a federal award -- (1) clearly document applicable federal requirements, (2) are appropriately monitored by the applicant, and (3) comply with the requirements in 2 CFR Part 200 (see 2 CFR 200.331)?	Yes	No	<p>Not Sure</p> <p>N/A - Applicant does not make subawards under any OJP awards</p>								



<p>26. Is the applicant entity aware of the differences between subawards under federal awards and procurement contracts under federal awards, including the different roles and responsibilities associated with each?</p>	<p>Yes No Not Sure N/A - Applicant does not make subawards under any OJP awards</p>
<p>27. Does the applicant entity have written policies and procedures designed to prevent the applicant entity from making a subaward under a federal award to any entity or individual is suspended or debarred from such subawards?</p>	<p>Yes No Not Sure N/A - Applicant does not make subawards under any OJP awards</p>

DESIGNATION AS 'HIGH-RISK' BY OTHER FEDERAL AGENCIES

<p>28. Is the applicant entity designated "high risk" by a federal grant-making agency outside of DOJ? (High risk includes any status under which a federal awarding agency provides additional oversight due to the applicant's past performance, or other programmatic or financial concerns with the applicant.)</p> <p>If "Yes", provide the following:</p> <p>(a) Name(s) of the federal awarding agency:</p> <p>(b) Date(s) the agency notified the applicant entity of the "high risk" designation:</p> <p>(c) Contact information for the "high risk" point of contact at the federal agency: Name: Phone: Email:</p> <p>(d) Reason for "high risk" status, as set out by the federal agency:</p>	<p>Yes No Not Sure</p>
---	----------------------------------

CERTIFICATION ON BEHALF OF THE APPLICANT ENTITY

(Must be made by the chief executive, executive director, chief financial officer, designated authorized representative ("AOR"), or other official with the requisite knowledge and authority)

On behalf of the applicant entity, I certify to the U.S. Department of Justice that the information provided above is complete and correct to the best of my knowledge. I have the requisite authority and information to make this certification on behalf of the applicant entity.

Name:		Date:	
Title:	Executive Director	Chief Financial Officer	Chairman
Other:			
Phone:			