PUBLIC SUBMISSION

As of: 3/13/17 10:42 PM Received: March 12, 2017 Status: Pending_Post Tracking No. 1k1-8v7u-o8ib Comments Due: March 17, 2017 Submission Type: API

Docket: EBSA-2010-0050

Definition of the Term "Fiduciary"; Conflict of Interest Rule—Retirement Investment Advice

Comment On: EBSA-2010-0050-3491 Definition of Term Fiduciary; Conflict of Interest Rule-Retirement Investment

Document: EBSA-2010-0050-DRAFT-14060 Comment on FR Doc # 2017-04096

Submitter Information

Name: Susan De Carlo Address: Walnut Creek, 94595 Email: decarlo901@comcast.net Organization: Ms.

General Comment

There should be no cases in which my financial adviser puts his firms or his own financial interests above mine when counseling me as to where to put my money. I am sure most investors rely on their advisors, as i do, to help them make the most of their invested assets every time they suggest a trade. The Conflict of Interest Rule is a service to both the financial advisor and the investor because it belies any question of the adviser's interests so that the investor's faith in the advise given is not compromised. Please do not exempt any investments from the rule and certainly do not rescind the rule.