

PUBLIC SUBMISSION

Received: March 14, 2017
Status: Pending_Post
Tracking No. 1k1-8v99-pua0
Comments Due: March 17, 2017
Submission Type: API

Docket: EBSA-2010-0050

Definition of the Term ‘‘Fiduciary’’; Conflict of Interest Rule—Retirement Investment Advice

Comment On: EBSA-2010-0050-3491

Definition of Term Fiduciary; Conflict of Interest Rule-Retirement Investment

Document: EBSA-2010-0050-DRAFT-14303

Comment on FR Doc # 2017-04096

Submitter Information

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General Comment

Insurance only agents who only sell fixed rate annuities and who have never held a securities license - probably doesn't want to be a financial advisor.

His insurance license does not allow him to give investment advice, so how can he be on both sides of the fence.