OMB No. 1905-0167 Expiration Date: 08/31/2020

Burden: 1.25 hours

Quarterly Survey of Industrial, Commercial, & Institutional Coal Users Form EIA-3

YOUR RESPONSE IS REQUIRED BY LAW

This report is **mandatory** under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties, and other sanctions as provided by law. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.**

All companies operating coke plants within the United States during the reporting quarter must submit form EIA-3. All other sites that use in excess of 1,000 short tons of anthracite, bituminous, subbituminous, lignite, or refined coal during the sum of the current reporting quarter and the previous three reporting quarters must submit form EIA-3.

Submit a separate form EIA-3 for each site consuming or using the coal.

PURPOSE

The U.S. Energy Information Administration's (EIA) Form EIA-3, Quarterly Survey of Industrial, Commercial, & Institutional Coal Users, collects data from U.S. manufacturing plants, coal transformation/processing plants, coke plants, and commercial and institutional users of coal. The information collected includes basic statistics concerning coal consumption, prices, quality, and stocks. These data appear in the Annual Coal Report, Quarterly Coal Report, Monthly Energy Review, and State Energy Profiles. In addition, the EIA uses the data for coal demand analyses and in short-term modeling efforts, which produce forecasts of coal demand that may be presented to Congress. The forecast data appear in the Short-Term Energy Outlook and Annual Energy Outlook as well.

DUE DATE

Submit form EIA-3 no later than **30 days** after the end of the reporting quarter. The reporting quarters are as follows: First Quarter, January 1- March 31; Second Quarter, April 1 - June 30; Third Quarter, July 1 - September 30; Fourth Quarter, October 1 - December 31.

HOW TO FILE A RESPONSE

Respondents must use the U.S. Energy Information Administration Data xChange Community Portal to access the EIA-3 campaign and submit data. With the Internet-based option, EIA uses security protocols to protect the information against unauthorized access during transmission. EIA does not accept email, fax, or mailed paper forms.

Data Submission Method

By Internet: https://eiadataxchange-c.force.com/

QUESTIONS

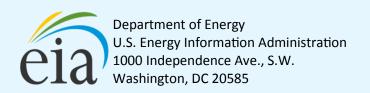
Please contact the U.S. Energy Information Administration Customer Care Team using the following communication methods:

By email: EIA4USA@eia.gov

By phone: 1-855-EIA-4USA (1-855-342-4872) [Monday through Friday, 8:00 AM - 6:00 PM E.T.]

For additional information regarding the Form EIA-3, contact the Survey Manager:

Name: Kimberly Palacios Telephone: (202) 287-6514 Email: Kimberly.Palacios@eia.gov



Burden: 1.25 hours

AR1	T 1: COMPANY	CONTA	CT INFOR	MATION					
	Respondent ID					23 Site ID:			
	Reporting for C				Calen	dar Year:			
_	, ,								
1	What is the na	me and I	ocation fo	r this <u>site</u>	?				
	Site Name: City Name:						State:	Zip Code:	
	City Name.						State.	Zip Code.	
2	What is the co	ntact info	ormation f	or the pr	eparer for thi	is <u>site</u> ?			
	Preparer's Nam	ne:					Title:		
	Street Address	:							
	City:						State:	Zip Code:	
	Preparer's Tele	phone:	()	_		Preparer's Fax: () –	
	Preparer's Ema	ail:							
	·								
3	What is the co	ntact info	ormation f	or the pr	eparer's supe	ervisor for th	is <u>site</u> ?		
	Supervisor's Na	ame:					Title:		
	Street Address	:							
	City:						State:	Zip Code:	
	Supervisor's Te	elephone:	: ()	_		Supervisor's Fax: () —	
	Supervisor's En	nail:							
4	What is the co					alba alba Carri	olatala Alata assassas ta la atas		
							vhich this survey is being on submitted and answe		ncerning
	the informaThe compare	•		•	be the prepa	ror			
	·		it person si	10010 1101	be the prepa				
	Company's Nar								
	Street Address	:							
	City:						State:	Zip Code:	
	Company Cont	act Perso	n:				Title:		
	Contact Person)	_	С	ontact Person's Fax: () –	
	Contact Person			,			(,	

Burden: 1.25 hours

PART 2: COMPANY AND SITE INFORMATION

0	Provide the North American Industry Classification System (NAICS) code and a brief product description of the <u>company's</u> primary products and/or services.							
	NAICS code:							
	Product Description:							
2	Does this <u>site</u> operate	a coke oven?						
	O Yes							
	O No							
8	Which of the followin	g options best descri	bes your <u>company'</u>	s primary product a	nd/or services? Selec	ct only one.		
	O Manufacturing fac	cilities — <i>Skip to</i> 5						
	O Institutional entiti	es — <i>Skip to</i> 5						
	O Commercial entiti	es — Skip to ⑤						
	O Coal Transformati	on/Processing plants	— Continue to 4					
4	Which plant type bes	t describes this <u>site</u> fc	or which you are re	porting? Select only	vone.			
	O Coking plant							
	O Gasification plant							
	O Liquefaction plant	:						
	O Refining plant							
6	Does this <u>site</u> have a Note:	coal-fired electric gen	nerating nameplate	capacity of 1MW o	r greater?			
	production equipme	te capacity (installed): T ent under specific condit ed in megawatts (MW) a	tions designated by t	ne manufacturer. Insta	alled generator namepla	ate capacity is		
	O Yes							
	O No							

Burden: 1.25 hours

PART 2 CONTINUED: COMPANY AND SITE INFORMATION

6	Does this <u>site</u> use another fuel for co-firing?
	Notoci

- Co-firing is the simultaneous use of two or more fuels by a single combustion system.
- Please indicate appropriate unit of measurement for type of fuel for quantity of fuel and average heat content below.

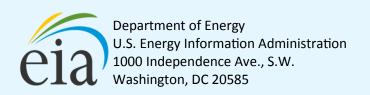
Exclude:

O No

- The limited use of a second fuel for start-up or flame stabilization.
- Yes Please fill out Table 6.A.
- O No— Move on to Question 7.

	Additional Fuel Source	Heat Content of Fuel		
Type of Fuel	Quantity	Unit of Measurement	Heat Content	Unit of Measurement
	Type of Fuel			

7	Does this <u>site</u> have CO2 capture equipment?						
	○ Yes — What is the amount of CO2 captured during this quarter?		metric tons				



Burden: 1.25 hours

PART 3: COAL CONSUMPTION AND COST AT THIS SITE

	Kepu	it the following disposition a	at this site during the repor	ting quarter.						
	Endir	ng Coal Stocks from Previous	Quarter		short tons					
		Note: This value should match Part 4 , Item 1, Column B (Quar	the Total entered in hitity of Coal Received from		short tons					
		this Origin)	······· '		SHOLL LOUIS					
	Quar	ntity of Coal Consumed During	g Quarter		short tons					
	Adjus	stments								
		Report any changes in stocks of quarter due to any stock losses to or from other sites owned be company, or sales of coal stock Enter a "+" or "-" sign to indicate adjustment is an addition or restocks.	y the same parent s to other companies. te whether the							
	•	If a value is entered, explain ad	justment below		short tons					
	Endir	ng Coal Stocks	=		short tons					
2		t was the cost of the coal rec nclude insurance, freight and ta								
	Total	Cost of Coal Received During	Quarter	\$.00						
			•							
ച	D: 1 +	h.t:								
3		his <u>site</u> receive coal from a b	roker during the reporting	quarter?						
		O Yes - <i>Continue to</i> 3 A								
	O 1	No								
	3 A	Please provide the contact i	nformation for your broke	r .						
		Company Name	Contact Name	Email Address	Phone Number					

Burden: 1.25 hours

PART 4: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

What are the characteristics of the coal receipts at this site during the reporting quarter?

Notes:

- Origin of Coal (A) and Rank of Coal (D): See page 13 for codes.
- Mine Safety and Health Administration (MSHA) ID of Coal Mine (C): Refer to http://www.msha.gov/drs/drshome.htm#MID for assistance in finding the MSHA ID.
- Is this a Spot-Market or Contract Purchase? (E): Coal received from spot-market purchases and from contract purchases must be reported separately. Data on coal received under each purchase order or contract from the same supplier must be reported separately. Coal purchases can be aggregated when supplier, purchase type, contract data, rank of coal, mode of transportation, costs, fuel quality, and all mine information are identical.
- Is this a Spot-Market or Contract Purchase Codes (E): S—Spot-Market; C—Contract Purchase.

Each line runs across pages 6 and 7. After entering column (F) data on page 6 for any line, continue with column (G) on page 7 for the same line.

- Multiple shipments received from one MSHA ID can be combined into one entry as long as Coal Rank is the same.
- Input the computed weighted average for heat, sulfur, ash, and mercury content.

short tons

	ımber	Origin of Coal State or Country	Quantity of Coal Received from this Origin	MSHA ID of Coal Mine	Rank of Coal	Is this a Spot-Market or Contract Purchase?	Heat Content BTU per Pound	Continue with Column (G) on Page 7
	Line Number	(A)	(B)	(C)	(D)	(E)	(F)	Continu
PLES	0	AL	20,000 short tons	103321	BIT	С	12,715	→
EXAMPLES	00	EKY	5,000 short tons	1512564	BIT	S	12,950	→
	1		short tons					→
	2		short tons					→
	3		short tons					→
	4		short tons					→
	5		short tons					→
	6		short tons					→
	7		short tons					→
	8		short tons					→
	9		short tons					→
	10		short tons					→
	11		short tons					→
	12		short tons					→

Total:

Burden: 1.25 hours

PART 4 CONTINUED: CHARACTERISTICS OF COAL RECEIPTS AT THIS SITE

What are the characteristics of the coal receipts at this site during the reporting quarter?

Notes:

- Mercury Content (I): If lab tests of the coal receipts do not include the mercury content, enter the amount specified in the contract with the supplier. If mercury content information is not available from these sources, please enter "0" for mercury content.
- Commodity Cost (J): Commodity cost is free-on-board and does not include freight, insurance, and taxes.
- Average Cost of Delivered Coal (K): Report Commodity Cost (J), plus insurance, freight, and taxes.
- Mode of Transportation (L, M): The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine. See page 13 for additional instructions on Mode of Transportation.
- Mode of Transportation Codes (L, M): TR—Truck; RR—Railroad; BG—Barge; GL—Great Lakes and tidewater barges and colliers; PC—Pipelines, slurry pipelines, conveyors and tramways; OV—Ocean vessel.

Line Number	Percent by weight to nearest 0.01%	Ash Content Percent by weight to nearest 0.01%	Mercury Content Parts per million on a dry basis to nearest .001	Commodity Cost/Ton (Report Cost of Coal Only) Dollars per short ton	Co	Average Cost of Delivered Coal / Ton (Report ommodity Cost (J) + Insurance, reight, and Taxes) Dollars per short ton (K)	Primary Mode of Transportation From Mine with- in United States	Secondary Mode of Transportation From Mine with- in United States
0	1.29 %	11.31 %	0.021	\$ 95.00	\$	100 .00	RR	TR
00	1.25 %	11.22 %	0.010	\$ 100 .00	\$	105 .00	TR	
1	%	%		\$.00	\$.00		
2	%	%		\$.00	\$.00		
3	%	%		\$.00	\$.00		
4	%	%		\$.00	\$.00		
5	%	%		\$.00	\$.00		
6	%	%		\$.00	\$.00		
7	%	%		\$.00	\$.00		
8	%	%		\$.00	\$.00		
9	%	%		\$.00	\$.00		
10	%	%		\$.00	\$.00		
11	%	%		\$.00	\$.00		
12	%	%		\$.00	\$.00		

Burden: 1.25 hours

PART 5: COKING PLANTS ONLY

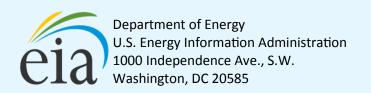
1 A	re you	reporting f	or a	coking	plant?
------------	--------	-------------	------	--------	--------

- \bigcirc Yes *Continue to* **2**
- \bigcirc No *Skip to* Part 6
- What were the coke and breeze production, distribution, and ending stocks at this <u>coking plant</u> during the reporting quarter?

	<u>Coke</u>	<u>Breeze</u>
Ending Stocks from Previous Quarter	short tons	short tons
Production	+ short tons	+ short tons
Quantity Consumed by your Company	- short tons	- short tons
Quantity of Domestic Sales	- short tons	- short tons
Quantity of Export Sales	- short tons	- short tons
Adjustments	+/- short ton	s +/- short tons
Ending Stocks	short tons	short tons

What was the total revenue from coke and breeze commercial sales at this <u>coking plant</u> during the reporting quarter?

	<u>Coke</u>	<u>Br</u>	<u>eeze</u>
Total Revenue from Domestic Sales	\$.00	\$.00
Total Revenue from Export Sales	\$.00	\$.00
Total Sales	\$.00	\$.00



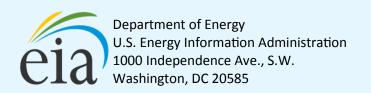
Are you reporting for a gasification plant?

OMB No. 1905-0167 Expiration Date: XX/XX/XXXX

Burden: 1.25 hours

PART 6: GASIFICATION PLANTS ONLY

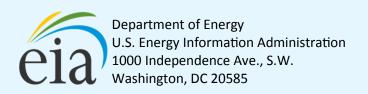
	○ Yes — Continue○ No — Skip to P								
	O Yes — How dee	on plant gasify coal in-some p is the coal seam fueling is the coal seam fueling is the coal field of the gasified of the gasi	ng the process? feet		ing quarter?				
	 What was the distribution of the gasified coal produced at this gasification plant during the reporting quarter? State codes and "Type of Consumer" codes are on page 14. If you need more room, copy page 9. 								
	Report States Ser	viced by the Pipeline	How much of that gasified coal was distrib	buted or sold?	Type of Consumer				
			1	thousand cubic feet					
			1	thousand cubic feet					
			1	thousand cubic feet					
			1	thousand cubic feet					
			1	thousand cubic feet					
			1	thousand cubic feet					
		Total:		thousand cubic feet					
)	What was the avera quarter?	age heat content of the	gasified coal produced at this gasification	on plant during t	he reporting				
		BTU per cubic foot							
)		roducts of the gasified room, copy page 9.	coal produced at this gasification plant d	luring the report	ting quarter?				
	Byproduct of the Gasified Coal	Unit of Measurement	Quantity Produced	Quantity Di	stributed or Sold				



Burden: 1.25 hours

PART 7: LIQUEFACTION PLANTS ONLY

U	Are you reporting for	_	<u>t</u> ?						
	O Yes — Continue to 2								
	O No — Skip to Part	: 8							
2	 What was the distribution "State or Country what from Plant" codes are If you need more room 	here the Liquefied Co re on page 14.	ed coal produced at to all went to," "Type of C					on	
	State or Country where the Liquefied Coal wen to:		outed or Sold to each or Country	Type of Consumer	Primary Transporta Pla	ation from	Secondary Mo Transportation Plant		
			short tons						
			short tons						
			short tons						
			short tons						
			short tons						
			short tons						
	Tota	al:	short tons						
3	What was the average the reporting quarter?	?							
		bro per barrer							
6	What were the byprod • If you need more rod	•	ed coal produced at	this <u>liquefac</u>	<u>ction plant</u> d	uring the re	eporting quarter?	•	
	Byproduct of the Liquefied Coal	Unit of Measurement	Quantity	Produced		Quantity	Distributed or Sol	d	



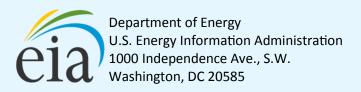
Burden: 1.25 hours

Are you reporting for a <u>co</u>						
Yes — Continue to 2						
○ No — <i>Skip to</i> Part 9	. af the watined earl steels at this ea					
-		ai refining p	refining plant during the reporting quarter?			
Ending Refined Coal Stocks from Previous Quarter			short tons			
Refined Coal Produced			SHORT TOHS			
Quantity of Refined Coal Consumed		-	short tons			
Quantity of Refined Coal Sold			short tons			
Other Adjustments		+/-	short tons			
Refined Coal Ending Stock	.S =	:	short tons			
termed cour Ending Stock						
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	copy page 11. Quantity Distributed or Sold to each	Type of	Primary Mode of	Secondary Mode		
"State or Country where Plant" codes are on pagIf you need more room,	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country	nsumer," and		Secondary Mode		
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of	Primary Mode of Transportation from	Secondary Mode of Transportation fro		
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country	Type of	Primary Mode of Transportation from	Secondary Mode of Transportation fro		
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of	Primary Mode of Transportation from	Secondary Mode o		
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of	Primary Mode of Transportation from	Secondary Mode o		
"State or Country where Plant" codes are on pagIf you need more room,State or Country where	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons short tons short tons short tons	Type of	Primary Mode of Transportation from	Secondary Mode o		
 "State or Country where Plant" codes are on pag If you need more room, State or Country where the Refined Coal went to: 	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons short tons short tons short tons short tons short tons	Type of	Primary Mode of Transportation from	Secondary Mode o		
"State or Country where Plant" codes are on pag If you need more room, State or Country where the Refined Coal went to: Total:	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of Consumer	Primary Mode of Transportation from Plant	Secondary Mode of Transportation fro Plant		
"State or Country where Plant" codes are on pag If you need more room, State or Country where the Refined Coal went to: Total:	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons short tons short tons short tons short tons short tons	Type of Consumer	Primary Mode of Transportation from Plant	Secondary Mode of Transportation from Plant		
"State or Country where Plant" codes are on pag If you need more room, State or Country where the Refined Coal went to: Total: What was the average sureporting quarter?	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of Consumer	Primary Mode of Transportation from Plant produced at this <u>coal re</u>	Secondary Mode of Transportation from Plant		
"State or Country where Plant" codes are on pag If you need more room, State or Country where the Refined Coal went to: Total: What was the average sureporting quarter?	e the Refined Coal went to," "Type of Core 14. copy page 11. Quantity Distributed or Sold to each State or Country short tons	Type of Consumer	Primary Mode of Transportation from Plant produced at this <u>coal re</u>	Secondary Mode Transportation fro Plant		

BTU per pound

Burden: 1.25 hours

9: COMMENTS
Report any comments in the comment box below and then return the EIA-3 form to EIA.



Burden: 1.25 hours

Codes for use when completing the EIA-3 Form.

Codes for Part 4 Item 1:

"Origin of Coal (A)"

States:

AL—Alabama

AK—Alaska

AR—Arkansas

AZ—Arizona

CO-Colorado

IL—Illinois

IN—Indiana

IA—Iowa

KS—Kansas

EKY—Kentucky, Eastern

WKY—Kentucky, Western

LA-Louisiana

MD-Maryland

MS-Mississippi

MO-Missouri

MT—Montana

NM—New Mexico

ND-North Dakota

OH-Ohio

OK-Oklahoma

PAA—Pennsylvania, Anthracite

PAB—Pennsylvania, Bituminous

TN—Tennessee

TX—Texas

UT-Utah

VA—Virginia

WA-Washington

NWV—West Virginia, Northern

SWV—West Virginia, Southern

WY-Wyoming

Countries:

AU-Australia

CL—Columbia

CN-Canada

IS—Indonesia

MX-Mexico

VZ-Venezuela

OT—Other (please specify)

"RANK OF COAL (D)"

ANT—Anthracite

BIT—Bituminous

SUB-Subbituminous

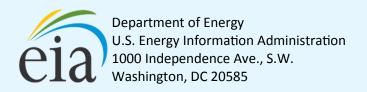
LIG-Lignite

RC—Refined Coal

WOC-Waste Coal

Special instructions for "Primary/Secondary Mode of Transportation from Site (L) (M):

- 1. The Primary Mode of Transportation refers to the longest leg from the mine. The Secondary Mode of Transportation refers to the second longest leg from the mine.
- 2. If only one mode of transportation is used for a shipment, enter the applicable code under the Primary Mode column.
- 3. If more than one method of transportation is used for a single shipment, enter the applicable code for Secondary Mode as well.
- 4. If two methods of transportation are used for a single shipment and both distances are equal, then the Primary Mode shall be considered the method used to deliver coal to the consumer. Enter the other method utilized under Secondary Mode.
- 5. If more than two methods are used in a single shipment, only the Primary and Secondary Modes should be reported.
- 6. Do not report "Truck" as a transportation mode if trucks are used to transport coal exclusively on private roads between the mine and the rail loadout or barge terminal.
- 7. Do not report the transportation modes used entirely within a mine, terminal or power plant (e.g. trucks used to move coal from a mine pit to the mine loadout, conveyors at a power plant used to move coal from the plant storage pile to the plant, etc.).
- 8. For mine mouth coal plants, report "Conveyor" (code "PC") as the Primary Mode if the conveyor feeding the coal to the plant site originates at the mine. Otherwise, report the Primary Mode (typically truck or rail) used to move the coal to the plant site.
- 9. If the coal has a foreign origin and has to be transported from the ocean vessel to a plant site elsewhere, then the Primary and Secondary Transportation Modes are the methods used within the United States. For example, if the coal is unloaded from an ocean vessel and moved from the port to the plant by railroad, then select "RR" as the Primary Transportation Mode.
- 10. If the coal is unloaded from an ocean vessel directly to the plant site, then select "OV" as the Primary Transportation Mode.



Burden: 1.25 hours

Codes for use when completing the EIA-3 Form.

Codes for Part 6 Item 3; Part 7 Item 2; Part 8 Item 3.

States: LA—Louisiana OR-Oregon AL-Alabama ME-Maine PA—Pennsylvania AK-Alaska MD—Maryland RI-Rhode Island AR—Arkansas MA—Massachusetts SC—South Carolina MI-Michigan AZ-Arizona SD—South Dakota CA-California MN-Minnesota TN—Tennessee CO—Colorado TX—Texas MS-Mississippi CT—Connecticut MO-Missouri UT-Utah DE—Delaware MT—Montana VT—Vermont DC—District of Columbia NE-Nebraska VA-Virginia WA—Washington NV-Nevada FL—Florida GA-Georgia NH—New Hampshire HI-Hawaii NJ—New Jersey ID-Idaho NM-New Mexico

WV-West Virginia WI-Wisconsin WY-Wyoming **Countries:**

IN-Indiana NC-North Carolina IA-lowa ND-North Dakota CN-Canada OH-Ohio MX-Mexico KS-Kansas

NY-New York

KY—Kentucky OK-Oklahoma OS—Overseas (other than Canada or Mexico)

Codes for Part 6 Item 3; Part 7 Item 2; Part 8 Item 3.

Type of Consumer:

IL—Illinois

AG—Agriculture, Mining and Construction: Companies engaged in agriculture, mining, or construction industries. Exclude transformed coal consumed by companies producing construction materials (such as brick and cement), industrial minerals (such as Bentonite) and agricultural supplies (such as fertilizer) and by companies engaged in processing agricultural products. These distributions or sales are to be included in Manufacturing (MF).

CP—Coke Plants: All plants where transformed coal is carbonized for the manufacture of coke. Shipments of transformed coal to coke plants for use in space heating or electric power generation should be included with Manufacturing (MF).

CI—Commercial/Institutional: Wholesale or retail businesses; health institutions (hospitals); social and educational institutions (schools and universities); and Federal, State and local governments (military installations, prisons, office buildings, etc.). Exclude shipments to Federal power projects, such as TVA; and rural electrification cooperatives, power districts and State power projects. These are to be included in Electric Generation (EG).

EG—Electric Generation: All utility and non-utility power producers. All privately owned companies and all publicly owned agencies engaged in the production of electric power for public use. Publicly owned agencies include the following: Municipal electric utilities; Federal power projects, such as the Tennessee Valley Authority

(TVA); and rural electrification cooperatives, power districts, and State power projects.

MF—Manufacturing (except Coke Plants): Those industrial users/ plants, not including coke plants, that are engaged in the mechanical or chemical transformation of materials or substances into new (i.e., finished or semi-finished) products.

SE—Sales to Employees: Transformed coal sold to employees during the reporting year.

OT—Other: Shipments of transformed coal to any other consumer category. In page 12, enter a brief explanation of the buyer's general business.

Primary/Secondary

Modes of Transportation:

TR-Truck

RR-Railroad

BG—Barge

GL—Great Lakes and tidewater barges and colliers

PC—Pipelines, slurry pipelines, conveyors and tramways

OV—Ocean Vessel

Burden: 1.25 hours

DEFINITIONS

See the EIA Glossary for coal definitions: http://www.eia.gov/tools/glossary/.

SANCTIONS

The timely submission of Form EIA-3 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended (codified at 15 U.S.C. 772). Failure to respond may result in criminal fines, civil penalties, and other sanctions as provided by law. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. Title 18 U.S.C. 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 1.25 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Office of Survey Development and Statistical Integration, EI-21, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

DISCLOSURE OF INFORMATION

The information reported on this form will be protected and not disclosed to the public to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905.

The Federal Energy Administration Act requires the Energy Information Administration to provide company-specific data to other Federal agencies when requested for official use. The information reported on these forms may also be made available, upon request, to another component of the Department of Energy (DOE); to any Committee of Congress, the Government Accountability Office, or other Federal agencies authorized by law to receive such information. A court of competent jurisdiction may obtain this information in response to an order. The information may be used for any non-statistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

Data protection methods are applied to the cost data reported in Parts 3 and 4 to ensure that the risk of disclosure of identifiable information is very small. Data protection methods are not applied to the other aggregate statistical and quantity data published from this survey. Thus, there may be some statistics that are based on data from fewer than three respondents, or that are dominated by data from one or two large respondents. In these cases, it may be possible for a knowledgeable person to estimate the information reported by a specific respondent.