



IN FSSA DDERS Non-Residential Self-Assessment

Indiana Family and Social Services Administration
HCBS Statewide Transition Plan



Division of Aging | Division of Disability and Rehabilitative Services | Division of Mental Health and Addiction | Office of Medicaid Policy and Planning

PCG | *Human Services*
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Agenda

Non-Residential Self-Assessment

- Background
- Purpose of self-assessment
- How to complete the self-assessment
- Next steps
- Contact



Background

What is the HCBS Settings Rule?

- CMS established the HCBS Settings Rule in January 2014
- The rule identifies what is and is not an HCBS setting
- The goal of the rule is to make sure people getting services through HCBS programs, like the CIH and FS Waiver programs, have the chance to work and spend time with other people that do not have disabilities
- This rule is special because it focuses on the person's daily life- where they go, what they do, the choices they have
- It talks about the importance of person centered planning
- It also talks about individuals or family member's rights and making sure those rights are protected



Background

What Services is CMS looking at?

- The rule is for **ALL** of the places where HCBS services are provided.
- This means residential services and day services.
- Day services include:
 - facility-based day,
 - community based habilitation (CHIO), and
 - facility-based services (pre-vocational)



Background

States must ensure the Home and Community-Based setting:

- Is integrated in and supports access to the greater community
- Provides opportunities to seek employment and work in competitive integrated settings, engage in community life, and control personal resources
- Ensures the individual receives services in the community to the same degree of access as individuals not receiving Medicaid home and community-based services
- Is selected by the individual from among setting options, including non-disability specific settings and an option for a private unit in a residential setting



Background

States must ensure the Home and Community-Based setting (cont.):

- Person-centered service plans document the options based on the individual's needs, preferences; and for residential settings, the individual's resources
- Ensures an individual's rights of privacy, dignity, respect, and freedom from coercion and restraint
- Optimizes individual initiative, autonomy, and independence in making life choices
- Facilitates individual choice regarding services and supports, and who provides them



Purpose of the Self-Assessment

Purpose of the Self-Assessment

Goals of the self-assessment

- Work with members, providers and other stakeholders to transition services to meet compliance with the CMS final rule
- Ensure members are fully integrated into the community, afforded choice, and have their health and safety needs met

This does not mean that any services are ending or will have to close down, but **some services might need to change.**



Completing the Self-Assessment

Non-residential Services Self-Assessment

- Non-residential providers will complete a self-assessment to determine general compliance with the HCBS rule
- Specific evidence supporting compliance could include provider specific policies, trainings, practices, etc., which support the claim of compliance
- Each provider will receive an e-mail invitation to their NOA account to complete a self-assessment on Survey Gizmo
- Completion of the survey is mandatory for all service providers
- You will be given 30 calendar days to complete the survey
- It is important to review all of the questions and your company's policies prior to starting the survey



Non-residential Services Self-Assessment

- This survey is **MANDATORY** for providers of non-residential services
- Providers who self-report any area of non-compliance will work with DDRS to develop a provider-specific transition plan with specific dates of completion that DDRS can monitor
- Site information will be verified, and in some cases, an on-site visit will be scheduled to confirm the self-assessment responses.



Helpful Tips for Completing the Assessment

- Follow the link provided in the email to access the survey
- Each question must be answered completely before moving forward
- Once you click 'Next,' your answers from the previous page automatically save
- You can exit from the survey and return later
- When you are ready to submit your answers, you are given the option to review your responses
 - If you select this option, you will also have the ability to print your responses from this screen
- Once you have submitted the survey, you CANNOT go back and edit any responses
- Once you have submitted the survey, you CANNOT print your responses

Important Dates

- 9/13/16-Survey opens (Email sent to NOA account)
- Week of 9/19- Web session
- Week of 9/26- Web session
- 10/13/16-Survey closes



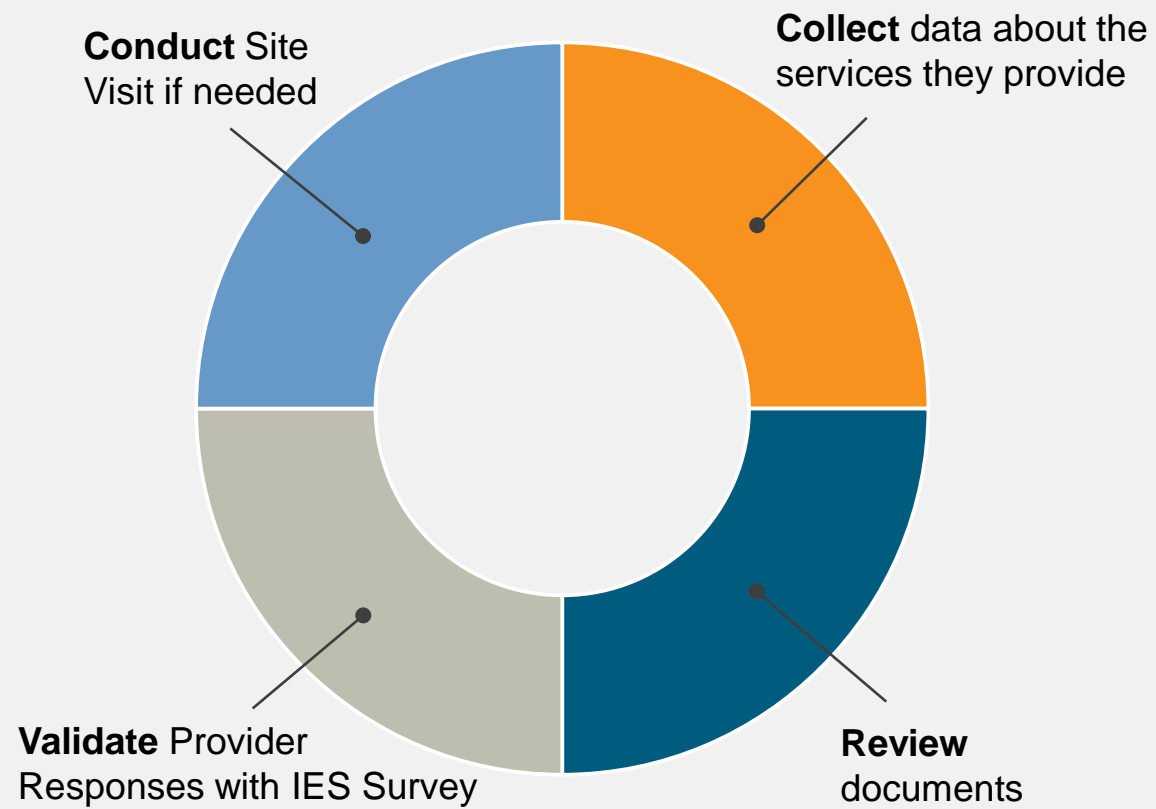
Next Steps

Non-residential Assessment

What is next?

DDRS will review the non-residential service self-assessments and make an initial determination of compliance

How will we do this?



Next Steps

- Additional steps may be necessary to make a determination of compliance
 - Document reviews and/or site assessments may be conducted
- DDRS will work with each provider to help them make a plan to meet the requirements
 - Transition plans will be developed
- If a provider is not able to meet the requirements, DDRS will help individuals choose a provider that does



Completing the Non-residential Services Self-Assessment

It is important to note that the desire of this self-assessment is not to close or terminate services but instead, to work with members, providers, and other stakeholders to transition these waiver services to meet compliance with the CMS final rule and the vision of ensuring members are fully integrated into the community, afforded choice, and have their health and safety needs met.



Contact

For assistance with the self-assessment, please contact:

inbdds@pcgus.com