

### The Challenge of Integrating Information and Improving Care: The Breast Cancer Example

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# **Clinical Challenge**

- Integration of imaging, molecular tools, clinical trial data into tools to optimize therapy
- Why is it a challenge?
  - Cancers are heterogeneous and molecular/ imaging phenotypes have
    - Different outcomes
    - Respond differently to pharmaceutical agents,
    - Need different treatment strategies
    - Need tools for complex decision making to optimize outcome
  - Each research field evolves separately
    - Integration is not a priority and may be a "distraction"
  - Integration in the clinical care setting is key
    - Systems for integration are lacking

### Problem

- Culture
- Resources
- Lack of availability of tools, systems
- Translation
  - Is about transforming science into information for decision support
    - For physicians, and patients and physicians together
  - Translational science is usually not about decision support

# Culture

- Little motivation to share
  - Optimize assay of choice, present, and publish
  - Easier to stay within your field (easier to control)
  - Little credit for group science, collaboration
- Fear of integration/access to data (loss of control)
  - Corrupt data for final trial analysis
  - Trial design culture is around randomization, blinding, not allowing investigators or scientists to see data until data is mature (requires 3-6 yr product life cycle)
  - Correlative science, QI design is necessarily different
- No one takes ownership of or gets reward for creating tools for integration, sharing
- Common data platforms not considered critical
  - For sending and receiving images to colleagues
  - For clinical trial groups

### Lack of Resources

- Lack of common tools
- Resources, grants for informatics not directed toward integration
  - Informatics budgets are often devoted to solving specific problems (bioinformatics)
  - Many groups devoting resources to build the same tools
  - No budget to bring in teams to design informatics support

# Lack of Availability of. . .

- Integrated Data platforms for assays, imaging
- Common data platforms for imaging
  - Can't send MR films from one hospital to the next
  - Common data platforms for viewing, distributing and sharing images
- Clinical systems that
  - Integrate information across platforms (array, imaging, clinical data)
  - Facilitate multidisciplinary communication, collaboration
  - Explicitly support the delivery of quality care, and support or enable quality improvement
  - support the availability of critical information at the point of care

### **Potential Solutions**

- Platform, web portal to integrate all data from correlative science trial
  - I SPY TRIAL example
    - Integration of molecular biology, imaging, clinical science
    - Illustrative problems: data sharing; lab trak; resources
- Prototype Development of systems to support quality of care, quality improvement, shared decision making, tailoring
  - Center of Excellence (DOD): systems to tailor treatment to biology preference and performance
  - Development of tools for shared decision making: patient physician decision aids



### All Roads to Tailored Therapy for Breast Cancer Lead through the Neoadjuvant Paradigm

#### I SPY TRIAL









Molecular tools should be integrated into the context of care with the goal of finding thresholds that change clinical decisions

# Breast Cancer Treatment Building Blocks



# Neoadjuvant therapy

- Order of therapy is not important
  - Timing does not affect survival
- Tumor size and lymph node status retain predictive value after neoadjuvant therapy
- Response to therapy, however, is critical in determining outcome
- Results of response to neoadjuvant therapy impacts practice
- acceptable surrogate if consistent with other data

#### NSABP B-27 Trial: Increase in CR with Taxol used as evidence of benefit

$$AC \ge 4 \qquad \rightarrow \qquad Surgery$$

$$Stage I - IIIA$$

$$breast cancer \qquad \rightarrow \qquad AC \ge 4 \qquad \rightarrow \qquad Docetaxel \ge 4 \qquad \rightarrow \qquad Surgery$$

$$AC \ge 4 \qquad \rightarrow \qquad Surgery \qquad \rightarrow \qquad Docetaxel \ge 4$$

#### Aberdeen Protocol: Study designed around response to therapy



# Pathologic Response to Therapy

Is the most important predictor of survival after neoadjuvant chemotherapy

Assessing that response requires surgical excision and removal of surrogate

### Pilot Data on MRI from UCSF

- 74 patients with LABC (1996-2001)
  - Median follow-up 2.5 years
- Neoadjuvant Chemotherapy
  - 4 cycles of Adriamycin (60 mg/m2) and Cytoxan (600 mg/m2)
  - One pt was lost to follow-up
- Serial breast MRI was used to estimate change in longest tumor diameter (LD)
- MR: TARGET technique was used on a 1.5 Tesla machine

# MRI Reveals Several Phenotypes



1: Single predominant mass with identifiable rim, displacing

- 2: Nodular pattern, irregular borders
- 3: Diffuse infiltrative pattern
- 4: Patchy enhancement
- 5: Septal spread



### Response to Chemotherapy by MR Phenotype



more circumscribed = more likely to respond

### MR Type predicted who could have Breast Conservation

Percentage of patients in each imaging pattern who were eligible to undergo BCT based on post-therapy MR diameter <4cm.



# MRI allows measurement of longest dimension pre/post therapy

#### **Pre-chemotherapy**



**Post-chemotherapy** (AC, 4 cycles)







### Lessons from UCSF pilot MRI study

- MRI captures size and tumor morphology over the course of neoadjuvant treatment
  - MR type strongly predicts response and recurrence
  - MR type was the only marker AT DIAGNOSIS that predicted response
  - Longest diameter does not capture density changes- volume measurement needed
- MR size more accurate than clinical exam
  - Provides opportunity to "normalize" response
- Initial and final tissue samples needed for comparison of best, worst responders
  - Lacked ability to integrate imaging with molecular markers



### cancers are not the same

Expression arrays show that tumors arise from different cell types, and that these tumor

types have different outcomes

#### Perou PNAS 2003



Esserman, Hylton 2001

## Neoadjuvant Studies

- Potential to make a difference with few patients in a short time frame, but . . .
- Barriers
  - Most conducted as single institution studies
    - But few patients at each institution with large tumors
  - correlative science harder in multiple institutions
  - Surgeons see patients first and operate
  - Individual treatments common

### Trial Design



"Pathologic Response" is a single point in time-may not be best measure: Imaging allows the opportunity to "normalize" and look at slope of response



#### Volumetric/Vascular Response Assessment



### Measurement of Tumor Volume and Vascularity







Signal enhancement ratio (SER):







### CALGB INTERSPORE ACRIN

Investigation of Serial studies to Predict Your Therapeutic **Response** with Imaging and And moLecular analysis



I SPY WITH MY LITTLE EYE . . . . . . A BIO-MARKER BEGIN-ING WITH X

. . . .

### CALGB 150007/150012 InterSPORE/ACRIN6657 NCICB

MRI and Molecular Markers in patients undergoing neoadjuvant chemotherapy for locally advanced breast cancer **UCSF** UNC U Penn Georgetown U of Alabama U Washington U Texas Southwestern Sloan Kettering (MSKCC) ... U Chicago

# Hypotheses

. . .

1. Breast Cancer is Heterogenous

2. Molecular and Imaging Markers will predict response to therapy and determine outcome

### Tools

#### MR Imaging, IHC, Genomic and Expression Analyses

Purpose

Identify women with a poor outcome at the time of diagnosis, so that targeted novel therapeutics can be introduced early in the course of treatment

# **Clinical Study Design**

Required: common MR platform; common clinical protocol; willingness to share samples; multiple funding sources; Four years to set up. . .



#### **Total Accrual: 107**

Inst Name	Accrual	
University of Pennsylvania Medical	13	
Center		
Georgetown University Hospital	3	
University of North Carolina	19	
Memorial Sloan Kettering Cancer Center	11	
University of Alabama at Birmingham	18	
Medical Center		
University of Texas Southwestern	6	
University of California San Francisco	37	

Accrual as of June 18, 2004 (1.5 years)

2640 specimens

# **Tools for Tracking Data**

- Lab Trak
  - System originally designed by CALGB
  - Web Based Version (tracking) available 8/01
  - Supports tracking of specimens
  - Supports standards, data acquisition, results
  - BUT
    - Not integrated with results
    - No longer open source: BioNumerick owns web front end .
- ACRIN
  - Central archiving and processing
    - reader studies to assess reproducibility
  - On-line registration, image transfer
  - No standard platform for image processing and analysis
    - Hylton, Lehman AVON NCI partners grant?

NCICB has stepped up to the plate to help develop tools for integration



### Goals for Analysis

1. Quality Control

sufficiency of tissue cores RNA, DNA quality IHC quality

2. Cross Platform Validation

Her2 IHC  $\rightarrow$  FISH  $\rightarrow$  Expression Array  $\rightarrow$  CGH  $\rightarrow$  proteomics  $\rightarrow$  serum

3. Assay Validation

p53 conformal mutation analysis vs. p53 IHC

- 4. Identify Robust Predictors of Response confirmation across assay platforms
- 5. Identify non-invasive predictors of molecular features MRI phenotypes (LOC) vs. Expression Array cell types
- 6. Identify/predict therapeutic alternatives
#### **Response Markers**

Early <b>: primary tumor</b>	Intermediate: primary tumor	Long term: systemic
clinical size change	clinical size change over Rx	3 Yr disease free survival
MRI size change at 3 v	MR size change after Rx	3 Yr overall survival
longest diameter	longest diameter	
volume	volume	
	Residual disease at surgery	
	no invasive	
	<u>&lt;</u> 1 cm invasive	
	>1 cm	

## **Predictors of Response**

PREDICTORS OF RESPONSE: Baseline, 24-72 hours, Post Rx							
Imaging	Specific mar	kers	Arrays	Serum			
MRI	IHC	FISH	Genomic	proteomics			
Phenotypes	EGFR, Her-2	EGFR	Expression	markers			
SER (angiogenesis)	cyclin D,E, p21	Her-2	cell types				
	lkB, Topo 2		Protein Lysates				
	Ploidy						
	CD 34						

# Summary of Markers

#### Volume Response

• MRI

#### • Cell Types

• Luminal and basal (expression); LOC (imaging)

#### Angiogenesis

• CD 34, SER by MRI

#### Proliferation and Cell Death

• e.g. Ki67, proteomic lysates, p21, cyclin E,D1,

#### Molecular profiles:

• DNA copy number, expression arrays

#### • Specific Therapeutic Targets

- e.g. ER, PR, erbB2, EGFR, Topo 2 etc.
- Proteomic Profiles
  - Serum, tissue phosphoproteins, proteomic imaging

# Functional Goals of Web Portal

- 1. Data entry for assay results
- 2. Linkage of sample results across platforms
- 3. Integration of systems
  - a. Specimen Tracking (Lab trak)
  - Results Repository (Cooperative Group Data/CDE, Molecular Assays)
  - c. Analysis tools (CaINTEGRATOR)
- 4. Facilitation of work flow for trial/treatment (FUTURE)

## Neoadjuvant Trials as a platform for change

Requires infrastructure and culture change

#### **NCI Informatics**

- Operationalize data sharing
  - Levels of access to data by password
- Integrate data analysis with results repository
- Agreement to release data set to public at the conclusion of the trial
- Facilitate viewing of clinical data (images, pathology)
- Facilitate Investigator meetings, review of benchmarks

## I-SPY Trial Web Site



# The I-SPY Trial



- The Questions:
  - How are we doing? What is the accrual rate by Site?
  - What is the quality of the sample?
  - What is the difference between no-patient response and a good patient response?
  - What is the right surrogate marker?
  - Does the drug work or not?
  - Compare expression data and identify patterns
- The Answers:
  - Embedded in data captured within each data type, in aggregate views of the captured data, and in relationships between each data type
    - Includes quality indicators within each data type and across multiple data types

# The Challenge

- The capture and integration of diverse data types provided by multiple researchers working on different aspects of the trial
  - Includes the capture of specific and cross data-type quality indicators
- The use of standards (meta-data) supporting the capture of data and interrelationships to facilitate cross data type queries
- The integration of existing applications and analysis tools that may be leveraged to conduct further analytical studies
- The protection (access controls, encryption) of data types and integrated data views
- Assurance that looking at data "early" won't corrupt results

## caIntegrator

- caIntegrator is an application framework that allows researchers to access and analyze clinical and experimental data across multiple trials and studies
- caIntegrator facilitates the generation of ad hoc queries and customized reports
- caIntegrator will support data aggregation across patients and samples

#### caIntegrator Framework



#### **Conceptual Model**



#### Sample question 1: What is the right intermediate marker of response?

- Question answered by using multiple queries.
- Using MRI as a reliable predictor, correlate with molecular markers
- Sample query to answer this question:
  - Show me data for all patients that have a change in volume after first AC treatment > 30%.
    - Group this data by tumor patterns?
    - Which pattern has the greatest number of patients with a change > 30%.
    - Repeat for the fourth AC treatment?
    - Show me the DFS for these patients and # of lymph nodes present at the time of surgery.

# Qn.1) What is the right surrogate marker?

- Data needed to answer query
  - Longest Tumor diameter (M3 Pre-treatment and M4 treatment and post, longest dia. of full extent of disease)
  - Protocol Time Point (M4)
  - Tumor pattern (Morphologic Pattern Classification, M3 & M4)
  - DFS (CALGB Form C-997 From/To dates and Survival Status)

# Qn.1) What is the right surrogate marker?

- Data retrieval
  - Get longest diameter from M3
  - Get longest diameter from M4
  - Calculate change in diameter
  - Obtain Pattern and DFS
  - Get Samples



#### Sample question 2: What is the quality of the sample?

- Biopsy samples:
  - 1. Frozen core.
  - 2. Paraffin core.

Touch preps: collected at the time of core biopsy to maximize the chance of obtaining high quality tumor samples.

## Workflow for Sample Cores



them, Usually the Perou's lab works on the experiments first, Haqq's lab is only used as a backup.

# Frozen Core Quality Indicators

- If the sample(core) is along the bottom of the casette.
- H&E processing to check whether the tumor is present in the cell or not., what is the tumor %?
- Enriched or not?
- DNA yield for doing CGH, how is the quality? Good, ok, or bad?
- DNA amount, volume received: gene chip.
- RNA yield for gene expression, amt?

# Paraffin Core Quality Indicators

- This type of samples are used for IHC and FISH experiments.
   1.FISH: gene amplification for HER2 and TopoII.
   2.IHC: protein over expression for HER2.
- Tumor present or not by H&E.
- Quality indicators for FISH:
  - 1. Fixation: good, bad or ok?
  - 2. Signal strength for Total Topo II, total HER2 and total Cep17 counts (positive controls, good or bad).
- Quality indicators for IHC:
  - 1. Fixation: good, bad or ok?
  - 2. Signal strength for Intensity of the stain.
  - 3. Percent Positive: SG stain must be >=10% of tumor cells.
  - 4. Localization: SG stain must be localized to the membrane, or membrane associated.
  - 5. Distribution of the stain.

#### Neoadjuvant MRI Correlative Science Trial Procedure Time Line: Advocate Support





#### Neoadjuvant MRI Correlative Science Trial Page 2, Taxane arm





#### Investigators, Organizers

Alabama	5	, ,		
Helen Krontira	s; Carla	MRI		
Falkson; David Chiieng		Nola Hylton	Nola Hylton	
Georgetown		Molecular Profile	S	
Minetta Liu; Ba	aljit Singh	Charles Perou Hagg: Lisa	; Joe Gray; Chris Carev	
MSKCC	D.	THC	,	
Leslie Montgomery, Diana Lake; Cliff Hudis; Larry		Lvnn Dressler	: Angie	
Nortón; Lee	e Tan	DeMichele	, <u>.</u>	
Penn	Manycall	abarators		
Angie DeMiche Czerniecki,	Many conaborators Many disciplines Many gaencies		Chip Petricoin; Prioli	
UCSF				
Laura Esserman Alfred Au	education, trus	t, collaboration	Sarah Duggan;	
UTSW		ACRIN		
Debu Tripathy Weatherall	; Paul	Ben Herman		
U Washington		SPORE		
Julie Gralow; (	Connie Lehman	Jorge Gomez;	Jane Fountain	
UNC		NCI		
Lisa Carey; Da Livasy; Lyn	avid Ollila, Chad n Dressler	Ken Buetow, S Sharon Settnic	ue Dubman, k	

# Changing the Paradigm

#### . . .

#### Use Molecular and Imaging Markers to

- characterize breast cancer type
- predict response to therapy (molecular/imaging)
- Validate prediction at 3 weeks by MRI
- Introduce novel therapeutics for patients with < PR (60% of patients)

# We need a new approach to testing new agents in the clinic

Focus on patients at risk for adverse outcome





#### I-SPY Trial



# Ultimately, we need shared decision making tools

to help patients and physicians make decisions together, so both are comfortable with choice of treatment option

# ADJUVANT!

Quantitative Estimates of Risk from Your Breast Cancer and Benefits of Therapy

Based on a model by Peter Ravdin MD

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ress 🗃 https://www.adjuvantonline.com/breast.jsp 🔹 🖉 Go 🛛 L						
Adjuvant!	А	djuvant! for Breast Cancer				
ustern Notices	Patient Information					
	Age: 68	No additional therapy:				
reast Cancer	Comorbidity: Major Prob. (+10) 💌					
olon Cancer	ER Status: Positive 💌	41.2 alive in 10 years.				
	Tumor Grade: Undefined	<ul> <li>15.2 die of cancer.</li> <li>43.6 die of other causes.</li> </ul>				
nline Resources	Tumor Size: 2.1 - 3.0 cm 💌	With hormonal therapy: Benefit = 3.0 alive.				
ownloads	Positive Nodes: 0					
ersonal Info.	Calculate For: Mortality 💌	With chemotherapy: Benefit = 0.8 alive.				
og Out	10 Year Risk: 20 Prognostic					
	Adjuvant Therapy Effectiveness	With combined therapy: Benefit = 3.6 alive.				
	Horm: Overview 98 (Tamoxifen) 💌					
	Chemo: Overview 98 (CMF-Like) 🔽					
	Hormonal Therapy: 28	Print				
	Chemotherapy: 8	Help				
	Combined Therapy: 34					

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# Improving the signal-tonoise ratio

- Decision Analysis
  - Divide and conquer decision into dimensions:
    - Frame, Alternatives, Information, Values
  - Decision tables (pairwise comparisons, look for dominance)
- Adult Learning
  - What are people ready to receive? (Connect to this)
  - Layers of complexity (start simple, detail is optional)
- Cognitive Science (Tufte)
  - Train people on small number of formats, stick to them
- Risk Communication
  - Relative risk presentations are confusing, misleading

#### Adjuvant - Framing

"90% ten-year survival rate" means that on average, out of 100 women, 90 can be expected to be alive ten years from now 10 can be expected to die.



Ten-year survival rates show *how many* women will be alive ten years from now. They do not show *which ones* will be alive or how much longer than ten years these women survive.

#### Adjuvant - Baseline

For every 100 women without breast cancer today (otherwise like you) 91 would still be alive in 10 years



For every 100 women with breast cancer similar to you, 89 would still be alive in ten years with surgery only



For every 100 women with breast cancer similar to you, 89 still alive in ten years with surgery alone or surgery plus chemo



For every 100 women with breast cancer similar to you, 1 additional woman still alive at 10 years due to surgery + hormone



For every 100 women with breast cancer similar to you, 1 additional woman still alive at 10 years due to all treatments


# Summary Table

- Qualitative view of risk
  - Rare, low, medium, high
- Type and severity of risk
  - Columns across the top
- Ability to layer detail, drill down

## Adjuvant – Summary Detail

			H M L R 	igh: 50 led: 10 ow: 1% are: le -: 0%	% or grea %-50% %-10% ss than 1%	ater %		ear
Treatment	Ten year survival rate			.0		ity level	ty of life	1st y
Surgery only	89%	Death	Hospit	alization Redu	red activ	iced qual	nd year	-5 years
+ Chemo (AC)	89%	Rare	Low	Rare	High	Rare		
+ Tam	90%		Low	Low	Med	Med	Med	
+ Chemo (AC) + Tam	90%	Rare	Med	Low	High	Med	Med	



# The challenge of Implementing point of care tools

formidable

# The Future Will Require Integrated Clinical Systems that Enable Quality Care

- Integrate information across platforms (array, imaging, clinical data)
- Facilitate multidisciplinary communication, collaboration
- Explicitly support the delivery of quality care, and support or enable quality improvement
- Support the availability of critical information, and decision support tools at the point of care

## Translation: Integrating Clinical and Research Data

Breast Cancer Learning Cycle



### Adjuvant – Tamoxifen Side Effects

Treatment Length: take pill daily for 5 years

Likelihood	Medium	Life Impact	Duration	Source	Likelihood
		Reduced quality of life	Treatment	<ul> <li>Vaginal discharge</li> <li>Hot flashes</li> </ul>	<ul> <li>15 per 100 patients (15%)</li> <li>15 per 100 patients (15%)</li> </ul>
		Hospitalization	A few days	<ul> <li>Blood clots (stroke)</li> <li>Cataracts (surgery)</li> <li>Endometrial cancer (hysterectomy)</li> </ul>	7 per 100 patients (6.7%)
	Low	Reduced activity level	Recovery from cataract surgery	Cataracts	3 per 100 patients (2.7%)
		Reduced activity level	Long term	Blood clots	3 per 100 patients (2%)
		Reduced quality of life	6+ months after hysterectomy	Endometrial cancer	2 per 100 patients (2%)

# Adjuvant - AC Side Effects

Treatment Length: total of 12 weeks = 4 courses x once every 3 weeks

		Life Impact	Duration	Source	Likelihood
Likelihood	gh	Reduced quality of life	6+ months after end of treatment	<ul> <li>Hair Loss</li> <li>Fatigue</li> <li>Muscle/joint pain</li> </ul>	<ul> <li>90 per 100 patients (90%)</li> <li>50 per 100 patients (50%)</li> <li>5 per 100 patients (5.2%)</li> </ul>
	Hi	Reduced quality of life	Treatment	<ul> <li>Nausea</li> <li>Vomiting</li> <li>Mouth sores</li> </ul>	<ul> <li>77 per 100 patients (77%)*^</li> <li>43 per 100 patients (43%)*^</li> <li>40 per 100 patients (40%)*</li> </ul>
	Low	Hospitalization	A few days during treatment	Infection	7 per 100 patients (7%)
	Ire	Reduced activity level	Permanent	Heart problems	1 per 100 patients (1%)
	Ra	Death	Permanent	Leukemia	2-3 per 1000 patients (0.25%)

\*about half of the affected patients have only mild symptoms

^medication to prevent nausea and vomiting is given to all patients

#### Appendix:

#### Summary Tables:

Ages 35-39 AC/ Tam	Ages 40-49 AC/ Tam	Ages 50-59 AC/ Tam	Ages 50-59Ages 60-69AC/ TamAC/ Tam	
Ages 35-39 CMF/ Tam	Ages 40-49 CMF/ TamAges 50-59 CMF/ TamAges 60-69 CMF/ Tam		Ages 70-79 CMF/ Tam	
		Ages 50-59 AC/ AI	Ages 60-69 AC/ AI	Ages 70-79 AC/ AI
		Ages 50-59 CMF/ AI	Ages 60-69 CMF/ AI	Ages 70-79 CMF/ AI

#### Toxicity Tables:

AC				
CMF				
Tam (ages 35-39)	Tam (ages 40-49)	Tam (ages 50-59)	Tam (ages 60-69)	Tam (ages 70-79)
AI				

# Point of Care Systems

acurate data capture decision support





## . . . and Ethnically Diverse

#### Diverse Population

- Caucasian: 65%
- Hispanic: 9%
- African American: 14%
- Asian: 6%
- Native American: 1%

#### • Younger Age Distribution

- <40: 19%
- 40-49: 37%
- 50-59: 33
- >65: 11%

# **Tissue Acquisition**

- 16 gauge cores
  - 2 frozen
  - 2 paraffin
- Touch preps to assess adequacy
- Additional core for H&E, markers if diagnosis made by FNA, mammo, exam
- Careful correlation of MR findings and final pathology at time of surgical resection



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