

# New Employee Pre-Appointment Security Package

### Introduction

This employee pre-appointment security package contains important personnel forms which must be completed prior to a firm commitment of employment at the United States Patent and Trademark Office.

All forms can be filled out electronically. However, you may still print out the forms and complete them manually. You must review all forms for accuracy before you sign and return them to the USPTO. As a convenience to you, the Acrobat Reader software allows you to type your information, but will not allow you to save the document, so please keep copies of all documents.

Print the documents as you complete each one so data is not lost. When you print the documents, make sure that the "fit to page" option is selected in the print properties box.

Complete all forms, review for accuracy, sign, and mail them back to the USPTO. Follow the instructions in this package carefully to ensure a successful completion of these forms.

Trustworthiness is an important consideration in deciding your suitability. The information you provide will be verified. Negative information provided on your application does not necessarily preclude you from being hired. Negative information will be reviewed on a case by case basis and adjudicated per Office of Personnel Management (OPM) standards.

Should you have any questions about completing the forms, please contact the USPTO, Office of Human Resources at (703) 305-8231 and request to speak to the Human Resources Specialist who sent you the package.

















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# Checklist of Documents to be Completed

Questionnaire for Non-Sensitive Positions (must be received in
OHR prior to a firm commitment of employment at the USPTO
(Standard Form 85, Office of Personnel Management)



















# Instructions

All forms should be completed within this Adobe Acrobat file. Your screen should be divided into two panes. This document is on the right, and a listing of all book-marked pages within this document on the left. Clicking on any bookmark in the left pane will take you directly to that page. You are currently on the Instructions page. Go ahead and click around to get the feel for it, then return to this page.

All forms in this package have color-coded fields. Fields are coded as follows:

Required - you must enter information when applicable. Note: some fields will automatically highlight and prompt you for information based on data entered in previous fields.

Automatic Entry - You only need to enter basic information once (e.g., name, address, SSN, date of birth, etc). This package will automatically enter data in all other forms requiring that information. As such, these fields should already have information in them when you see them. No action is necessary with these fields.

Pre-filled - Some fields are pre-filled out for you since the entry for all employees would be the same. No action is necessary with these fields.

Sign & Date - These are reminders to show you where you need to sign and date the form after it has been printed.

















# Instructions regarding the completion of the SF-85 Questionnaire for Non-Sensitive Positions

- Follow the instructions given on both the pages of the instruction sheet and the SF-85 form. Do not leave any questions unanswered.
- You must account for all time, month to month, and year to year, even if you were in school. All time gaps in employment must be explained.
- Must provide three references.
- Make sure that you initial and date all changes/mark-outs that you make on the SF-85 form.
- THIS FORM MUST BE COMPLETED AND Received prior to receiving a commitment of employment from the U.S. Patent and Trademark Office.

### For patent examiner positions only use the following address:

United States Patent and Trademark Office Box JARS Washington, DC 20231

# For all other USPTO positions use the following addresses:

(Hand Carried or Fed Ex) (Regular Mail)

US Patent and Trademark Office

US Patent and Trademark Office

Office of Human Resources Office of Human Resources

2011 Crystal Drive, Suite 707 PO Box 171

Arlington VA 22202 Washington DC 20231

Attention: (use the name of your Human Resources Specialist point of contact)

### \* As stated on the SF-85:

THE U.S. CRIMINAL CODE (TITLE 18, SECTION 1001) PROVIDES THAT KNOWINGLY FALSIFYING OR CONCEALING MATERIAL FACT IS A FELONY WHICH MAY RESULT IN FINES OF UP TO \$10,000, AND/OR 5 YEARS IMPRISONMENT, OR BOTH. IN ADDITION, FEDERAL AGENCIES GENERALLY FIRE, OR DISQUALIFY INDIVIDUALS WHO HAVE MATERIALLY AND DELIBERATELY FALSIFIED THESE FORMS, AND THIS REMAINS A PART OF THE PERMANENT RECORD FOR FUTURE PLACEMENTS.

















### INSTRUCTION FOR COMPLETING THE SF-85 FORM

- 1. Enter your complete legal name. Do not use initials or nicknames. If you do not have a middle name; enter "NMN" (No Middle Name) in that space; if you have an initial for a middle name, enter: "IO". The SF-85 form is still considered incomplete if there is no entry in the "middle name" block.
- 2. Enter your date of birth in the month, day and year format. Double check to make sure it is correct.
- 3. Indicate the city, county, and state in which you were born. If you were born outside of the United States, enter the city and country. Double check to make sure the entry matches the information provided in the OF-306 (Declaration of Federal Employment) form.
- 4. Enter your Social Security Number.
- 5. List any names other than your current legal name that you have ever used. Indicate a maiden name by putting "nee" in front of it. Show when you began and stopped using each name. Double check to make sure that if your name was different at birth that you include your middle name that was used at that time. Include any nicknames or initials that you have used also.
- 6. Indicate whether you are male or female.
- 7. Mark the correct citizenship status block(s) and your mothers maiden name.
- 8. List all physical residences where you have lived for the past consecutive **FIVE** years. For example, show your dorm residence while you were in college and not your parent's address. Remember, your *physical address*. Do not list a Post Office Box. Do indicate the full complete *street address, city, state and zip cod*e. Show the "from" and "to" dates by month and year format and make sure all time periods are covered. Indicate the name and complete address of a person who knew you when you lived at that address, preferably a neighbor. Do not list a relative, spouse, or former spouse. Double check and make sure all of the information goes back five consecutive years. For example, if your first day at USPTO is January 1998, your residence information must cover from 01/93 to 01/98 and so on. The SF-85 form will be considered incomplete if 5 years to the exact month is not covered.
- 9. Indicate all schools attended after junior high school by name, *street address*, *city and zip cod*e.

Show attendance dates by month and year. If your college does not use a street address for mailing purposes, show the street address of the administrative building. Show degree earned and date awarded.

















10. Starting with the **U.S. Patent and Trademark Office as number 1 of section #10** in the SF-85 form, indicate all periods of employment or unemployment for the previous five years. A student is considered to be unemployed. Show "from" and "to" dates, company name and supervisor's name or somebody who can verify your self-employment or unemployment. Indicate your position, the physical address of your employer or verifier as well as *street address, city and zip code*. Previous Periods of Activity blocks are used to document when you worked for an employer on more than one occasion at the same location. When you indicate USPTO as number 1, use this address:

U.S. Patent and Trademark Office 2011 Crystal Drive, Suite 707 Arlington, VA 22202 Tel: (703) 305-8231

The code will be "4".

- 11. List three people who know you well. **DO NOT** list your spouse, former spouse, or other relatives. You must list their full, complete addresses (street address, city and zip code) and the dates that they have known you.
- 12. Answer yes (if applicable) or no to 12a. If you do not readily know your selective service number, call (847) 688-6888 or visit the Selective Service System web site at www.sss.gov to check your registration number or to register on-line. You cannot be sworn in as a federal employee without being registered. Place your selective service number in 12b.
- 13. Answer yes or no to both 13a and 13b. If yes to either 13a or 13b, list all necessary information, i.e., dates serviced, applicable codes, service number and status.
- 14. Answer yes or no regarding using, possessing, supplying, or manufacturing illegal drugs during the past year. If you answer yes, provide all applicable information regarding this activity. Sign and certify page 5. Read the Authorization for Release of Information on page 6, complete the bottom portion of page 6 (including full ame, other names, social security number, and full address) and sign and date the bottom.

If you have any questions regarding this form, please contact the USPTO Office of Security at (703) 306-9000.

















Standard Form 85 Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731 and 736

Form approved: OMB No. 3206-0005 NSN 7540-00-634-4035 85-111

### **Questionnaire for Non-Sensitive Positions**

Follow instructions fully or we cannot process your form. Be sure to sign and date the certification statement on Page 5 and the release on Page 6. If you have any questions, call the office that gave you the form.

#### **Purpose of this Form**

The U.S. Government conducts background investigations to establish that applicants or incumbents either employed by the Government or working for the Government under contract, are suitable for the job. Information from this form is used primarily as the basis for this investigation. Complete this form only after a conditional offer of employment has been made.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your placement or employment prospects.

#### **Authority to Request this Information**

The U.S. Government is authorized to ask for this information under Executive Order 10577, sections 3301 and 3302 of title 5, U.S. Code; and parts 5, 731, and 736 of Title 5, Code of Federal Regulations.

Your Social Security Number is needed to keep records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

### The Investigative Process

Background investigations are conducted using your responses on this form and on your Declaration for Federal Employment (OF 306) to develop information to show whether you are reliable, trustworthy, and of good conduct and character. Your current employer must be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want this.

### **Instructions for Completing this Form**

1. Follow the instructions given to you by the person who gave you the form and any other clarifying instructions furnished by that person to assist you in completion of the form. Find out how many copies of the form you are to turn in. You must sign and date, in black ink, the original and each copy you submit.

- 2. Type or legibly print your answers in black ink (if your form is not legible, it will not be accepted). You may also be asked to submit your form in an approved electronic format.
- 3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form (for example, enter "None" or "N/A"). If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by marking "APPROX." or "EST."
- 4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify the form consistent with your intent.
- 5. You must use the State codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.
- 6. The 5-digit postal ZIP codes are needed to speed the processing of your investigation. The office that provided the form will assist you in completing the ZIP codes.
- 7. All telephone numbers must include area codes.
- 8. All dates provided on this form must be in Month/Day/Year or Month/Year format. Use numbers (1-12) to indicate months. For example, June 10, 1978, should be shown as 6/10/78.
- 9. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the United States.
- 10. If you need additional space to list your residences or employments/self-employments/unemployment or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use a blank piece of paper. Each blank piece of paper you use must contain your name and Social Security Number at the top of the page.

#### Final Determination on Your Eligibility

Final determination on your eligibility for a position is the responsibility of the Office of Personnel Management or the Federal agency that requested your investigation. You may be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

#### **Penalties for Inaccurate or False Statements**

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$10,000, and/or 5 years imprisonment, or both. In addition, Federal agencies generally fire, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Your trustworthiness is a very important consideration in deciding your suitability. Your prospects of placement are better if you answer

all questions truthfully and completely. You will have adequate opportunity to explain any information you give us on the form and to make your comments part of the record.

#### **Disclosure of Information**

The information you give us is for the purpose of determining your suitability for Federal employment; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency which requested the investigation and the agency which conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. You may obtain copies of the relevant notices from the person who gave you this form. The information on this form, and information we collect during an investigation may be disclosed without your consent as permitted by the Privacy Act (5 USC 552a(b)) and as follows:

### PRIVACY ACT ROUTINE USES

- 1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 3. Except as noted in Question 14, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.
- 4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

- 5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.
- 6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.
- To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.
- 8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.
- 9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
- 10. To the National Archives and Records Administration for records management inspections conducted under 44 USC 2904 and 2906.
- 11. To the Office of Management and Budget when necessary to the review of private relief legislation.

			STA	TE CODES (ABB	REVIATION	ONS)			
Alabama	AL	Hawaii	HI	Massachusetts	MA	New Mexico	NM	South Dakota	SD
Alaska Arizona	AK AZ	Idaho Illinois	ID IL	Michigan Minnesota	MI MN	New York North Carolina	NY NC	Tennessee Texas	TN TX
Arkansas	AR	Indiana	IN	Mississippi	MS	North Dakota	ND	Utah	UT
California	CA	Iowa	IA	Missouri	MO	Ohio	OH	Vermont	VT
Colorado	CO	Kansas	KS	Montana	MT	Oklahoma	OK	Virginia	VA
Connecticut	CT	Kentucky	KY	Nebraska	NE	Oregon	OR	Washington	WA
Delaware	DE	Louisiana	LA	Nevada	NV	Pennsylvania	PA	West Virginia	WV
Florida	FL	Maine	ME	New Hampshire	NH	Rhode Island	RI	Wisconsin	WI
Georgia	GA	Maryland	MD	New Jersey	NJ	South Carolina	SC	Wyoming	WY
American Samoa Trust Territory	AS TT	District of Columbia Virgin Islands	DC VI	Guam	GU	Northern Marianas	СМ	Puerto Rico	PR

### PUBLIC BURDEN INFORMATION

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Room CHP-500, Washington, D.C. 20415. Do not send your completed form to this address.

Standard Form 85 (EG) Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731 and 736

# QUESTIONNAIRE FOR NON-SENSITIVE POSITIONS

Form approved: OMB No. 3206-0005 NSN 7540-00-634-4035 85-111

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# 8 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 5 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 3 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 3-year period, and do not list your spouse, former spouses, or other relatives).

Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
#1 To Present					
Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
<b>#2</b> To					
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year Month/Year #3	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code
Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code

### 9 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working back 5 years.** List all College or University degrees and the dates they were received. If all of your education occurred more than 5 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:
  - 1 High School
- 2 College/University/Military College
- 3 Vocational/Technical/Trade School
- For correspondence schools and extension classes, provide the address where the records are maintained.

Enter your Social Security Number before going to the next page-

Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
<b>£1</b> To				
street Address and City (Country) o	f School		St	ate ZIP Code
Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
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Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
<b>t3</b> To				
Street Address and City (Country) o	f Cobool	•	St	ate ZIP Code

### 10 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 5 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 5-year period must be accounted for without breaks, but you need not list employments before your 16th birthday.

- Code. Use one of the codes listed below to identify the type of employment:
  - 1 Active military duty stations
  - 2 National Guard/Reserve
  - 3 U.S.P.H.S. Commissioned Corps
  - 4 Other Federal employment
- 5 State Government (Non-Federal employment)
- 6 Self-employment (Include business name and/or name of person who can verify)
- 7 Unemployment (Include name of 9 - Other person who can verify)
- 8 Federal Contractor (List Contractor, not Federal agency)
- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- Previous Periods of Activity. Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

M (1.0	/ 14 / 1/	10.1	TE   0/ 'C'   N   /N	'. D. I'	LV	Your Position Title/Military Rank					
Month/Y	Year Month/Year	Code	Employer/Verifier Name/Mil	itary Duty Location	YC	our Po	sition little/Milita	ary Rank			
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(Block #3)	Month/Year Mon	th/Year	Position Title	Supervisor							
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Enter your Social Security Number before going to the next page-

YOUR EMPLOYMENT ACTIVITIES (CONTINUED) Your Position Title/Military Rank Code Employer/Verifier Name/Military Duty Location #4 То Employer's/Verifier's Street Address City (Country) ZIP Code Telephone Number State Street Address of Job Location (if different than Employer's Address) City (Country) State ZIP Code Telephone Number Supervisor's Name & Street Address (if different than Job Location) City (Country) State ZIP Code Telephone Number Month/Year Month/Year Position Title Supervisor **PREVIOUS** То **PERIODS** Month/Year Month/Year Position Title Supervisor OF Tο **ACTIVITY** Month/Year Month/Year Position Title Supervisor (Block #4) Tο Code Employer/Verifier Name/Military Duty Location Your Position Title/Military Rank Month/Year Month/Year #5 To Employer's/Verifier's Street Address ZIP Code City (Country) State Telephone Number Street Address of Job Location (if different than Employer's Address) City (Country) State ZIP Code Telephone Number Supervisor's Name & Street Address (if different than Job Location) City (Country) State ZIP Code Telephone Number Month/Year Month/Year Position Title Supervisor **PREVIOUS** To **PERIODS** Month/Year Month/Year Position Title Supervisor OF То **ACTIVITY** Month/Year Month/Year Position Title Supervisor (Block #5) To Month/Year Code Employer/Verifier Name/Military Duty Location Your Position Title/Military Rank #6 То Employer's/Verifier's Street Address ZIP Code Telephone Number City (Country) State Street Address of Job Location (if different than Employer's Address) State City (Country) ZIP Code Telephone Number Supervisor's Name & Street Address (if different than Job Location) City (Country) State ZIP Code Telephone Number Month/Year Month/Year Position Title Supervisor **PREVIOUS** To **PERIODS** Month/Year Month/Year Position Title Supervisor OF То **ACTIVITY** Month/Year Month/Year Position Title Supervisor (Block #6) То PEOPLE WHO KNOW YOU WELL List three people who know you well and live in the United States. They should be good friends, peers, colleagues, elsewhere on this form. Name Dates Known Telephone Number Day Month/Year #1 Night To Home or Work Address City (Country) State | ZIP Code Telephone Number Name Dates Known Month/Year Month/Year Day #2 Night To Home or Work Address City (Country) State | ZIP Code Name Dates Known Telephone Number Month/Year Month/Year Day #3 ) Night Home or Work Address City (Country) State ZIP Code Enter your Social Security Number before going to the next page

Are you a male born after December 31, 1959? If "No," go to 13. If "Yes," go to b.  Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.  Registration Number  Legal Exemption Explanation	YOUR SELECTIVE SERVICE										Va-	
Have you registered with the Selective Service System? If "Yes," provide your registation number. If "No," show the reason for your legal exemption below.  Registration Number  Legal Exemption Explanation  YOUE MILITARY HISTORY  There you served in the United States military?  Have you served in the United States Merchant Marine?  List all of your military service before, including service helder, including service and service for the service of the content of the other service. It is not because it is a better of the service of the service during the time that you served. If your service was in the National Guard.  Offe. Mark 'O' book for Criticer or "E' block for Enlisted.  Status. "O' the appropriate block for the state of your service during the time that you served. If your service was in the National Guard, do not use an 'X; use the two-stetic code for the seate of your service during the time that you served.  Month Year Month Year Code Service Certificate \$\frac{\text{Vertificate first book.}{Active Month Year Reserve Rese											Yes	No
Registration Number   Logal Exemption Explanation	Are you a male born after	er Decemb	er 31, 1959? If "No," go to 13. If	"Yes,"	go to b							
Have you served in the United States military?  It have you served in the United States military?  It have you served in the United States Merchant Marine?  List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and bactorial. If you had a break in service, each separate period and be falsed.  Code. Use one of the codes listed one low loisentility out rank of a service.  1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard, do not use an 'X'; use the two-letion code for the status of your service during the time that you served. If your service was in the National Guard, do not use an 'X'; use the two-letion code for the status of your service during the time that you served.  Status. 'X' the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an 'X'; use if the two-letion code for the status of your service was with other than the U.S. Armed Forces, identify the country for which you service.  Country. If your service was with other than the U.S. Armed Forces, identify the country for which you service.  Month? Year Month? Year Code Service/Certificate s	exemption below.	the Selec		rovide y	our re	gistration n	umber. If "N	No," show the	e reason fo	r your legal		
Have you served in the United States Merchant Marine?    Have you served in the United States Merchant Marine?	Registration Number		Legai Exemption Explanation									
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To T	Month/Year Month/Year	Code	Service/Certificate #	0	Е		Sta	atus			Country	
PILLEGAL DRUGS  In the last year, have you used, possessed, supplied, or manufactured illegal drugs? When used without a prescription, illegal drugs include marijuana, occaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). (NOTE: Neither your truthful response nor information derived from your response will be used as evidence against you in any subsequent criminal proceeding).  If you answered 'Yes,' provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs. Include any treatment or counselling received.  Month/Year Month/Year Type of Substance Explanation  To  To  To  Continuation Space  The continuation sheet(s) (SF86A) for additional answers to items 8, 8, and 10. Use the space below to continue answers to all other items and any information you to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security number. Before each arily the number of the item.  Certification That My Answers Are True  statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and fe in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment.						Active			Guard			
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Standard Form 85 Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731 and 736 Form approved: OMB No. 3206-0005 NSN 7540-00-634-4035 85-111

### UNITED STATES OF AMERICA

### **AUTHORIZATION FOR RELEASE OF INFORMATION**

Carefully read this authorization to release information about you, then sign and date it in black ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

**I Understand** that, for some sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

**I Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 85, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in ink)	Full Name (Type or Print Legibly)			Date Signed
Other Names Used				Social Security Number
Current Address (Street, City)		State	ZIP Code	Home Telephone Number
				(Include Area Code)
				l ( )

Standard Form 86A (EG) Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736

### CONTINUATION SHEET FOR QUESTIONNAIRES SF 86, SF 85P, AND SF 85

Form approved: OMB No. 3206-0007 NSN 7540-01-268-4828 86-203

For use with the SF 86, Questionnaire for National Security Positions; SF 85P, Questionnaire for Public Trust Positions; and SF 85, Questionnaire for Non-Sensitive Positions

**INSTRUCTIONS:** Use this form to continue your answers to "Where You Have Lived," "Where You Went to School," and/or "Your Employment Activities." Follow the instructions on the form for the particular questions you are answering and give information in the same sequence. Use as many continuation sheets as needed.

Your Name						Your	Socia	l Secur	rity Num	nber
WHERE YOU HAVE LIVED (C	ontinued)									
Month/Year Month/Year	Street A	ddress		Apt. #	City (Country)				State	ZIP Code
<b>#1</b> To										
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count	ry)	State	ZIP C	ode	Telepho	ne Number
Marsh Marsh Marsh Marsh	Ctro-st A	d do		A = 4 #	Oit (O-veter)				(	) ZIP Code
Month/Year Month/Year	Street A	aaress		Apt. #	City (Country)				State	ZIP Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count		State	ZIP C	ode	Telepho	ne Number
				, (	,,				(	)
Month/Year Month/Year	Street A	ddress		Apt. #	City (Country)	1	I.		State	ZIP Code
<b>#3</b> To										
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count	ry)	State	ZIP C	ode	Telepho	ne Number
M (1 0/ M (1 0/	0, , ,			<b>A</b> . "	10: (0 )				(	)
Month/Year Month/Year #4 To	Street A	aaress		Apt.#	City (Country)				State	ZIP Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Counti	y)	State	ZIP C	ode	Telepho	ne Number
					T-21- (2				(	)
Month/Year Month/Year	Street A	ddress		Apt. #	City (Country)				State	ZIP Code
Name of Person Who Knew You	Street A	ddraee	Apt. #	City (Counti	-v)	State	ZIP C	nda	Telenho	ne Number
Name of Ferson who knew You	Olleet A	uuless	Αρι. #	City (Count	у)	State	211 01	oue	(	)
WHERE YOU WENT TO SCHO	OOL (Coi	ntinued)								
Month/Year Month/Year	Code	Name of School			Degree/Diplom	a/Other			Month/Y	ear Awarded
<b>#1</b> To										
Street Address and City (Country) of	School							State	ZIF	P Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count	ry)	State	ZIP C	ode	Telepho	ne Number
									(	)
Month/Year Month/Year	Code	Name of School			Degree/Diplom	a/Other			Month/Y	ear Awarded
#2 To	<u> </u>							10		
Street Address and City (Country) of	School							State	ZIF	<sup>o</sup> Code
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count	ry)	State	ZIP C	ode	Telepho	ne Number
									(	)
Month/Year Month/Year #3	Code	Name of School			Degree/Diplom	a/Other			Month/Y	ear Awarded
To Street Address and City (Country) of	School							State	710	Code
Otteet Address and City (Country) Of	JUIJUI							Siale	ZIP	Coue
Name of Person Who Knew You	Street A	ddress	Apt. #	City (Count	ry)	State	ZIP C	ode	Telepho	ne Number
			•						(	)

YOUR EM	PLOYMENT ACTIVIT	IES (Co	YOUR EMPLOYMENT ACTIVITIES (Continued)										
Month/Y		Code	Employer/Verifier Name/Military	Duty Location	Yo	our Pos	sition Title/Militar	y Rank					
Employer's/\	Verifier's Street Address	l .		City (Country)	Sta	tate	ZIP Code	Telephone Number					
Street Addre	ess of Job Location (If diffe	erent than	Employer's Address)	City (Country)	Sta	tate	ZIP Code	Telephone Number					
Supervisor's	Name & Street Address	(If differen	t than Job Location)	City (Country)	Sta	tate	ZIP Code	Telephone Number					
	Month/Year Montl	h/Year	Position Title		Supervisor	or							
PREVIOUS PERIODS OF	-	h/Year	Position Title		Supervisor								
ACTIVITY	Month/Year Month	h/Year	Position Title Supervis										
Month/Y		Code	Employer/Verifier Name/Military	Duty Location	Yo	our Pos	sition Title/Militar	y Rank					
Employer's/\	To /erifier's Street Address			City (Country)	Sta	tate	ZIP Code	Telephone Number					
Street Addre	ess of Job Location (If diffe	erent than	Employer's Address)	City (Country)	Sta	tate	ZIP Code	Telephone Number					
Supervisor's	Name & Street Address	(If differen	t than Job Location)	City (Country)	Sta	tate	ZIP Code	Telephone Number					
	Month/Year Month	h/Year	Position Title		Supervisor		I	1					
PREVIOUS Month/Year Month/Year To  OF To			Position Title		Supervisor	sor							
ACTIVITY	Month/Year Montl	h/Year	Position Title		Supervisor								
Month/Y		Code	Employer/Verifier Name/Military	Employer/Verifier Name/Military Duty Location   Your Position Title/Military									
	То												
Employer's/\	Verifier's Street Address	l .		City (Country)	Sta	tate	ZIP Code	Telephone Number					
Street Addre	ess of Job Location (If diffe	erent than	Employer's Address)	City (Country)	Sta	tate	ZIP Code	Telephone Number ( )					
Supervisor's	Name & Street Address	(If differen	t than Job Location)	City (Country)	Sta	tate	ZIP Code	Telephone Number ( )					
	Month/Year Month	h/Year	Position Title		Supervisor		•						
PREVIOUS PERIODS OF	To Month/Year Montl To	h/Year	Position Title		Supervisor								
ACTIVITY	Month/Year Month	h/Year	Position Title		Supervisor								
Month/Y		Code	Employer/Verifier Name/Military	Duty Location	Yo	our Pos	sition Title/Militar	y Rank					
Employer's/\	To /erifier's Street Address			City (Country)	Str	tate	ZIP Code	Telephone Number					
Employer of vermor o'etroet / tagroos				Ony (Country)		iaic	Zii Oodc	( )					
Street Address of Job Location (If different that			Employer's Address)	City (Country)	Sta	tate	ZIP Code	Telephone Number					
Supervisor's Name & Street Address (If differer			t than Job Location)	City (Country)	State ZIP Code Telephone Number ( )								
PREVIOUS	Month/Year Montl	h/Year	Position Title	Title Superv									
PERIODS OF	Month/Year Montl	h/Year	Position Title		Supervisor								
ACTIVITY	Month/Year Montl	h/Year	Position Title		Supervisor								

Standard Form 86A (Back) September 1995

### **Declaration for Federal Employment**

Form Approved OMB No. 3206-0182

### Instructions =

The information collected on this form is used to determine your acceptability for Federal and Federal contract employment and your enrollment status in the Government's Life Insurance program. You may be asked to complete this form at any time during the hiring process. Follow instructions that the agency provides. If you are selected, before you are appointed you will be asked to update your responses on this form and on other materials submitted during the application process and then to recertify that your answers are true.

All your answers must be truthful and complete. A false statement on any part of this declaration or attached forms or sheets may be grounds for not hiring you, or for firing you after you begin work. Also, you may be punished by a fine or imprisonment (U.S. Code, title 18, section 1001).

Either type your responses on this form or print clearly in dark ink. If you need additional space, attach letter-size sheets (8.5" X 11"). Include your name, Social Security Number, and item number on each sheet. We recommend that you keep a photocopy of your completed form for your records.

### Privacy Act Statement

The Office of Personnel Management is authorized to request this information under sections 1302, 3301, 3304, 3328, and 8716 of title 5, U. S. Code. Section 1104 of title 5 allows the Office of Personnel Management to delegate personnel management functions to other Federal agencies. If necessary, and usually in conjunction with another form or forms, this form may be used in conducting an investigation to determine your suitability or your ability to hold a security clearance, and it may be disclosed to authorized officials making similar, subsequent determinations.

Your Social Security Number (SSN) is needed to keep our records accurate, because other people may have the same name and birth date. Public Law 104-134 (April 26, 1996) asks Federal agencies to use this number to help identify individuals in agency records. Giving us your SSN or any other information is voluntary. However, if you do not give us your SSN or any other information requested, we cannot process your application. Incomplete addresses and ZIP Codes may also slow processing.

ROUTINE USES: Any disclosure of this record or information in this record is in accordance with routine uses found in System Notice OPM/GOVT-1, General Personnel Records. This system allows disclosure of information to: training facilities; organizations deciding claims for retirement, insurance, unemployment, or health benefits; officials in litigation or administrative proceedings where the Government is a party; law enforcement agencies concerning a violation of law or regulation; Federal agencies for statistical reports and studies; officials of labor organizations recognized by law in connection with representation of employees; Federal agencies or other sources requesting information for Federal agencies in connection with hiring or retaining, security clearance, security or suitability investigations, classifying jobs, contracting, or issuing licenses, grants, or other benefits; public and private organizations, including news media, which grant or publicize employee recognitions and awards; the Merit Systems Protection Board, the Office of Special Counsel, the Equal Employment Opportunity Commission, the Federal Labor Relations Authority, the National Archives and Records Administration, and Congressional offices in connection with their official functions; prospective non-Federal employers concerning tenure of employment, civil service status, length of service, and the date and nature of action for separation as shown on the SF 50 (or authorized exception) of a specifically identified individual; requesting organizations or individuals concerning the home address and other relevant information on those who might have contracted an illness or been exposed to a health hazard; authorized Federal and non-Federal agencies for use in computer matching; spouses or dependent children asking whether the employee has changed from a self-and-family to a self-only health benefits enrollment; individuals working on a contract, service, grant, cooperative agreement, or job for the Federal government; non-agency members of an agency's performance or other panel; and agency-appointed representatives of employees concerning information issued to the employees about fitness-for-duty or agency-filed disability retirement procedures.

### Public Burden Statement

Public burden reporting for this collection of information is estimated to vary from 5 to 30 minutes with an average of 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to the U.S. Office of Personnel Management, Reports and Forms Manager (3206-0182), Washington, DC 20415-7900. The OMB number, 3206-0182, is valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.

# **Declaration for Federal Employment**

Form Approved OMB No. 3206-0182

GE	NERAL INFORMATI	ON					
1.	FULL NAME (First, middle	e, last)		2. SOCIAL SECURITY NU	MBER		
	<b>•</b>			•			
3.	PLACE OF BIRTH (Include	city and state or country	y)		4. DATE OF BIRTH (MM/DD/	YYYY)	
	•				•		
5.	OTHER NAMES EVER USE	ED (For example, maide	en name, nickname, etc	)	6. PHONE NUMBERS (Include	le area co	odes)
	•				Day •		
	•			=	NO. 1.4. A		
Se	lective Service Regi	stration			Night ◆		
If y	ou are a male born after Dec	ember 31, 1959, and are	-		ce employment law (5 U.S.C. 33	28) requ	ires that
_	must register with the Selec	•	· —				
7a. 7b.			ystem? YES	=	If "NO" skip 7b and 7c. If "YES  If "NO" go to 7c.	;" go to 7	b.
7c.		•			ge te .e.		
Mi	litary Service ———		_				
8.	Have you ever served in th		_		Provide information below	NO	)
	If you answered "YES," lis  If your only active duty was						
		From	To	iswei NO.			
	Branch	MM/DD/YYYY	MM/DD/YYYY		Type of Discharge		
L Ra	ckground Information						
For	_	dditional requested in			tached sheets. The circumstand	es of ead	ch event
For	questions 9,10, and 11, you	r answers should includ	e convictions resulting f	rom a plea o	of nolo contendere (no contest),		
					iolation of law committed before e under the Federal Youth Corre		
	ilar state law, and (5) any co						
9.	During the last 10 years, ha			-	on, or been on parole? nses.) If "YES," use item 16	YES	NO
	to provide the date, explan	ation of the violation, pl					
40	department or court involve		l in the ment 40	16	11 A A A A A A A A A A A A A A A A A A	YES	NO
10.	Have you been convicted b "YES," use item 16 to provi				ce, and the name and address		
	of the military authority or c						
11.	Are you now under charges violation, place of occurrent			-		YES	NO
12.	During the last 5 years, have	ve you been fired from a	ny job for any reason, d	id you quit a	after being told that you	VEC	NO.
	would be fired, did you leave Federal employment by the				ns, or were you debarred from ency? If "YES," use item 16	YES	NO
	to provide the date, an exp					<u></u>	
13.					taxes, loans, overpayment of	YES	NO
	benefits, and other debts to student and home mortgag	e loans.) If "YES," use	item 16 to provide the t	ype, length,	and amount of the delinquency		

# **Declaration for Federal Employment**

Form Approved: OMB No. 3206-0182

Add	ditional Questions			
14.	Do any of your relatives work for the agency or government organization to which you are submitting this (Include: father, mother, husband, wife, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmo stepson, stepdaughter, stepbrother, stepsister, half brother, and half sister.) If "YES," use item 16 to pro relative's name, relationship, and the department, agency, or branch of the Armed Forces for which your	niece, ther, <i>vide the</i>	YE Dorks.	ES NO
15.	Do you receive, or have you ever applied for, retirement pay, pension, or other retired pay based on mili Federal civilian, or District of Columbia Government service?	tary,	YE	ES NO
Con	tinuation Space / Agency Optional Questions			
16.	Provide details requested in items 7 through 15 and 18c in the space below or on attached sheets. Be s with your name, Social Security Number, and item number, and to include ZIP Codes in all addresses. I please answer as instructed (these questions are specific to your position and your agency is authorized)	f any quest	tions are	
APP	tifications / Additional Questions  LICANT: If you are applying for a position and have not yet been selected, carefully review your answ	wers on thi	s form a	nd any
attac	hed sheets. When this form and all attached materials are accurate, read item 17, and complete 17a.			
mate chan addit	<b>OINTEE:</b> If you are being appointed, carefully review your answers on this form and any attached sheet rials that your agency has attached to this form. If any information requires correction to be accurate as o ges on this form or the attachments and/or provide updated information on additional sheets, initialing an ions. When this form and all attached materials are accurate, read item 17, complete 17b, read 18, and a opriate.	of the date yet	ou are s change	signing, make es and
17.	I certify that, to the best of my knowledge and belief, all of the information on and attached to this Decla including any attached application materials, is true, correct, complete, and made in good faith. I understanswer to any question or item on any part of this declaration or its attachments may be grounds me after I begin work, and may be punishable by fine or imprisonment. I understand that any information about my ability and fitness for Federal employment as allowed by law or Presidential order. I conformation about my ability and fitness for Federal employment by employers, schools, law enforcement and organizations to investigators, personnel specialists, and other authorized employees or representated understand that for financial or lending institutions, medical institutions, hospitals, health care profession information, a separate specific release may be needed, and I may be contacted for such a release at	stand that a for not him mation I give consent to t agencies, tives of the onals, and	a false or ring me ye may be the release and oth Federal some or	or fraudulent e, or for firing be investigated ase of her indi viduals I Government.
	(Sign in ink)	Enter Date of		Officer:  nt or Conversion  YYYY
17b.	Appointee's Signature: Date			
18.	Appointee (Only respond if you have been employed by the Federal Government before): Your ele previous Federal employment may affect your eligibility for life insurance during your new appointment. The pour personnel office make a correct determination.			
18a.	When did you leave your last Federal job? DATE:			
18b.	When you worked for the Federal Government the last time, did you waive Basic Life Insurance or any type of optional life insurance?	YES	NO	Do Not Know
18c.	If you answered "YES" to item 18b, did you later cancel the waiver(s)? If your answer to item 18c is "NO," use item 16 to identify the type(s) of insurance for which waivers were not canceled.	YES	NO	Do Not Know

# Mailing Instructions

# Once the forms have been printed mail to:

# For patent examiner positions only use the following address:

United States Patent and Trademark Office Box JARS Washington, DC 20231

### For all other USPTO positions use the following addresses:

(Hand Carried or Fed Ex) (Regular Mail)

US Patent and Trademark Office
Office of Human Resources
US Patent and Trademark Office
Office of Human Resources

2011 Crystal Drive, Suite 707 PO Box 171

Arlington VA 22202 Washington DC 20231

Attention: (use the name of your Human Resources Specialist point of contact).















