

**National Endowment for the Arts**  
**FY 2000 PERFORMANCE REPORT**  
**February 2003**

As required by the Government Performance and Results Act of 1993, the National Endowment for the Arts is pleased to submit its Annual Performance Report for Fiscal Year 2000. The report is based on and covers performance goals and indicators contained in the Performance Plan for FY 2000. The table that follows this narrative compares the Arts Endowment's actual FY 2000 performance as of December 18, 2002, with the revised final projected levels of performance as presented with the Agency's FY 2002 Performance Plan.

**General Observations.** As discussed in each of the previous FY 2000 Performance Reports, one must take three significant issues into account when assessing FY 2000 performance:

- **First, since applications for FY 2000 funds had not been fully acted upon by the time the Performance Plan for that year was initially submitted, we used available FY 1999 data as a baseline for making projections.** As previously stated, FY 1999 projections were based on FY 1998 data. Since FY 1998 data was limited, those projections proved to be significantly smaller than actual results. Given that FY 2000 projections were originally based on FY 1999 data, it is clear why the results to date for FY 2000 are considerably above the projections. At that time, we also acknowledged that actual FY 2000 data would not be available until final reports on funded projects had been received, related information entered into our database, and those data assessed. As of December 18, 2002, approximately **92 percent of final reports for FY 2000 grants had been received**. We had originally anticipated that a minimum of 21 to 24 months would need to pass after the close of each fiscal year before sufficient information would be received to fully assess the year's accomplishments. December 18, 2002, marked 26 ½ months since the close of FY 2000; it took that long to exceed a 90 percent return, the level we determined would be sufficient to make final assessments of FY 2000 performance. However, we will – as required by GPRA – submit our final FY 2000 report next year.
- **Second, since submission of the initial FY 2000 projections, we have improved our data collection and assessment performance.** When this effort began, our capacity to collect and report on that data was limited. Further, the information requested was limited and, as experience has shown, was not as clearly defined as originally thought. Since then, we have continued to modify our data collection forms to better define requested information, modified our automated Grants Management System to ensure that the data could be captured, and significantly expanded the ability to extract and report on that data. The activity information included in this report *represents actual information reported by grantees in their final reports*.
- **Third, eligible applicants to the Arts Endowment have full discretion in choosing the funding area from which to seek support, and in determining the nature of their projects.** The Arts Endowment issues application guidelines that organizations use to submit requests for support. The guidelines identify areas of funding (e.g., Access to the Arts) that directly relate to each of our goals. The guidelines also broadly address the types of eligible projects (e.g., presentations, arts instruction, residencies). Based on historical data, we estimate numbers of applications and types of projects or activities to be funded; however, it is not until the applications are received and reviewed that a clearer picture emerges. From year to year, applicant interests may change from one area to another or from one type of project to another, thus adding to the challenge of making reliable estimates. To a large extent then, adjustments in our projections reflect this external factor.

**Specific Observations.** As noted above, initial FY 2000 performance estimates were extrapolated based on available FY 1998 and FY 1999 data. Overall, there are four areas in which there were notable changes in accomplishments from the revised final FY 2000 projections (see tables below). These concern achievements under four of the goals then in effect – Access to the Arts, Creativity, Arts Education, and Heritage and Preservation. We believe that these differences are indicative of three primary occurrences:

- actual increases in level of activity from that originally projected;
- differences between Agency and grantee understanding of activity types used in application and final report forms; and
- choices by the applicants as to which area of support to apply to or the type of project to seek funding for – which differed from Agency projections.

The tables below present three sets of data for this final FY 2000 Performance Report: revised final projections as presented with the FY 2002 Performance Plan; then-current performance as reported with the FY 2003 Plan; and the final figures now reported with the FY 2004 Plan.

<b>Goal 1: Access to the Arts</b>	Concerts/Readings/Performances	Residencies
Revised Final Projections	1,918	40
Performance-from FY 03 Plan	9,226	4,376
Final Figures	11,469	4,341

<b>Goal 2: Creativity</b>	Artworks Created <sup>1</sup>	Concerts/Readings/ Performances	Exhibitions	Residencies
Revised Final Projections	345	4,155	110	200
Performance-from FY 03 Plan	9,383	24,267	3,297	5,362
Final Figures	9,065	18,864	3,327	5,064

<b>Goal 3: Arts Education</b>	Concerts/Readings/Performances	Residencies
Revised Final Projections	880	1,175
Performance-from FY 03 Plan	7,793	3,757
Final Figures	8,654	3,532

<b>Goal 4: Heritage and Preservation</b>	Apprenticeships	Concerts/ Readings/ Performances	Conserve/ Repair/Restore and Identify/ Document	Residencies	National Heritage Fellows
Revised Final Projections	12	315	3,435	90	13
Performance-from FY 03 Plan	420	1,634	94,861 <sup>2</sup>	388	13
Final Figures	509	1,965	15,409	422	13

<sup>1</sup> In subsequent years, re-defined to exclude student works.

<sup>2</sup> This figure overstated activity solely in this goal. Adjustments were made in current calculations.

**National Endowment for the Arts  
FY 2000 PERFORMANCE REPORT  
February 2003**

GOAL	FUNDING CATEGORY	AWARDS/FUNDS/ACTIVITY	FY 2000 Revised Final Projections Reported with FY 2002 Performance Plan			FY 2000 Final Presentation with FY 2004 Performance Plan (Performance as of 12-18-02; 92% of Final Reports Received )			Difference		
			# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
<b>1. ACCESS: Broaden public access to the excellence and diversity of the arts.</b>	Competitive and Leadership	Number of awards and funds	269	\$ 8,959		269	\$ 8,959		0	\$ -	
		<i>Concerts/readings/performances</i>			1,918			11,469			9,551
		<i>School and other residencies</i>			40			4,341			4,301
		<i>Radio &amp; TV broadcasts (hrs.)</i>			52			53			1
<b>2. CREATIVITY: Foster opportunities for the creation and presentation of artistically excellent work.</b>	Competitive and Leadership	Number of awards and funds	753	\$ 18,530		753	\$ 18,530		0	\$ -	
		<i>Artworks created</i>			345			9,065			8,720
		<i>Concerts/readings/performances</i>			4,155			18,864			14,709
		<i>Exhibitions</i>			110			3,327			3,217
		<i>Fellowships to writers</i>			41			41			0
		<i>School and other residencies</i>			200			5,064			4,864

Shaded areas include representative activity, not exclusive activity.  
Dollars rounded to nearest thousand.

**National Endowment for the Arts  
FY 2000 PERFORMANCE REPORT  
February 2003**

GOAL	FUNDING CATEGORY	AWARDS/FUNDS/ACTIVITY	FY 2000 Revised Final Projections Reported with FY 2002 Performance Plan			FY 2000 Final Presentation with FY 2004 Performance Plan (Performance as of 12-18-02; 92% of Final Reports Received )			Difference		
			# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
<b>3. ARTS EDUCATION: Strengthen the role of the arts in our Nation's educational system and encourage lifelong learning in the arts.</b>	Competitive and Leadership	Number of awards and funds	263	\$ 7,414		263	\$ 7,414		0	\$ -	
		<i>Concerts/readings/performances</i>			880			8,654			7,774
		<i>School and other residencies</i>			1,175			3,532			2,357
<b>4. PRESERVATION: Preserve our Nation's cultural heritage for the 21st century.</b>	Competitive and Leadership	Number of awards and funds	266	\$ 7,218		266	\$ 7,218		0	\$ -	
		<i>American Jazz Masters</i>			3			3			0
		<i>Apprenticeships</i>			12			509			497
		<i>Concerts/readings/performances</i>			315			1,965			1,650
		<i>Conserve, repair, restore</i>			3,380			5,298			1,918
		<i>Identify/document</i>			55			4,426			4,371
		<i>National Heritage Fellowships</i>			13			13			0
		<i>School and other residencies</i>			90			422			332

Shaded areas include representative activity, not exclusive activity.  
Dollars rounded to nearest thousand.

**National Endowment for the Arts  
FY 2000 PERFORMANCE REPORT  
February 2003**

GOAL	FUNDING CATEGORY	AWARDS/FUNDS/ACTIVITY	FY 2000 Revised Final Projections Reported with FY 2002 Performance Plan			FY 2000 Final Presentation with FY 2004 Performance Plan (Performance as of 12-18-02; 92% of Final Reports Received )			Difference		
			# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
<b>5. ORGANIZATIONAL STABILITY: Strengthen the organizational and financial capabilities of America's arts organizations.</b>	Competitive and Leadership	Number of awards and funds	76	\$ 2,603		76	\$ 2,603		0	\$ -	
<b>6. COMMUNITY ARTS DEVELOPMENT: Help address the concerns of America's communities through the arts.</b>	Competitive and Leadership	Number of awards and funds	128	\$ 3,198		128	\$ 3,198		0	\$ -	
		<i>Mayors at Inst for City Design</i>			60			58			(2)
		<i>ArtsREACH</i>			58			58			0
<b>7. PARTNERSHIPS: Strengthen the Endowment's partnerships with the public and private sectors.</b>	Competitive and Leadership	Number of awards and funds	27	\$ 1,341		27	\$ 1,341		0	\$ -	
		<i>Collaborate w/Federal partners</i>			24			24			0
		<i>Projects in different countries</i>			30			30			0
<b>Totals</b>	Competitive and Leadership		<b>1782</b>	<b>\$ 49,263</b>		<b>1782</b>	<b>\$ 49,263</b>				

Shaded areas include representative activity, not exclusive activity.  
Dollars rounded to nearest thousand.