

OPERATING GUIDELINES
SOUTHERN REGION
INCIDENT BUSINESS ADMINISTRATION
April 2004

Enclosed are Operating Guidelines for Incident Business Administration activities within the Southern Region. These guidelines emphasize the critical financial and administrative procedures to be followed on incidents within the Southern Region. The April 2004 Interagency Incident Business Management Handbook (IIBMH) provides national direction. One of the primary objectives of these guidelines, is to ensure that Agency Administrators (AA) and Incident Commanders (IC) are reviewing incident costs daily and documenting the reviews. These guidelines are also provided to support Incident Management Team operations and to provide consistency in incident business management operations throughout the region.

Any changes in these guidelines will be negotiated with the Agency Administrator (AA) and Administrative Officer (AO), Incident Business Advisor (IBA) in advance. Cost efficiency and accountability is mandatory.

Regional Incident Business Specialist

The Regional Incident Business Specialist is responsible for the coordination and liaison of Incident Business administrative guidelines and practices for incidents within the Southern Region. This position will be a source of information for national and regional incident business knowledge. For the Southern Region:

Liz Kinney Work phone: 404-347-2528
 Cell phone: 404-909-0262

Delegation of Authority/Responsibility

The hosting incident unit will complete the IBA Delegation of Authority (appendix A) and the contact tables below before issuing these guidelines to an incident management team (IMT).

Title	Name	Office Phone	Home Phone	Cell Phone
Incident Business Advisor (IBA)				
Administrative Officer (AO)				

The names and phone numbers for local incident administrative contacts are provided in the following table (hosting incident unit to provide):

Title	Name	Office Phone	Home Phone	Cell Phone
Human Resource Officer				
Financial Manager				
Acquisition/Contracting				
Information Resource Manager (computers)				
Telecommunications Manager				
Geographic Information System				
Claims Contact				
Law Enforcement				
Other				

Incident Business Advisor Responsibilities.

The Forest Administrative Officer or equivalent is responsible for fulfilling IBA responsibilities on their unit. One or more fully qualified IBAs normally will be brought in to assist the AO on Type II or larger incidents. The IBA works under the direct supervision of the Agency Administrator (AA) and provides needed coordination with the Incident Management Team during the incident.

The IBA will:

- ✓ Provide recommendations on administrative issues needing resolution.
- ✓ Be a source of national, regional, and local knowledge.
- ✓ Be a helpful consultant (partner) to the incident's command and general staff.
- ✓ The IBA will be a person with extensive incident experiences and is able to effectively work with people.

As a minimum, the Finance Section Chief will arrange for a **daily** flow of information with the IBA/AO. This will include a report of current progress of incident business administration operations, including copies of the current cost projections and obligations.

The Finance Section Chief will contact the AO/IBA 48 hours prior to demobilization to ensure all transitioned financial and administrative processes have been finalized. The AO/IBA will follow-up with Forest administrative manager/leaders to ensure that a smooth transition with the IMT occurs. All unresolved or open financial items will be documented and the individual responsible for the follow-up action will be identified.

The AO/IBA will participate in the exit interview of each assigned IMT. The IBA will provide a narrative statement of items that went well and items that need improvement

If the IBA is unable to complete the narrative report prior to demobilization of the IMT, the report will be submitted through the local AO to the IC within 60 days after the team departs the incident.

The AO/IBA will ensure that financial records are complete and the audit of OF-288's, OF-286's etc. (ITS/ICARS information) left on the incident are documented as complete through the end of the incident for the Incident Management Team (negotiate actual date with IMT). The Finance Section Chief will **certify** this in the **Team Transition Plan**.

The AO/IBA will check the incident property records to ensure that property is recovered and/or disposed of properly on the incident. Property remaining on the incident is documented and **certified** in the **Team Transition Plan**.

Cost Containment

Cost containment in the Southern Region is a high priority. Daily cost validation to ensure the Wildland Fire Situation Analysis (WFSA) is valid will be done by projecting costs for the duration of the WFSA based on operational projections.

In dealing with cost containment issues, it is important for the IBA to be a partner with the IMT and AA for effective cost containment balances, taking into consideration fire suppression tactics and strategies relative to the incident. This requires aggressive action to highlight inappropriate or questionable procurement requests as well as ineffective use of items under contract, plus exploration of alternatives, whereby joint IC, AA and IBA decision can be made.

Focus on high cost aviation resources, under-utilized equipment, extravagant purchases, sensitive items and property accountability issues. IBAs should raise unresolved cost concerns to the Regional Incident Business Management Specialist, Liz Kinney 404-347-2528 wk or 404-909-0262 cell, who will take the lead to involve other regional staff as needed to ensure a timely resolution of the issue.

Supplemental Foods

The NWCG Supplemental Food Policy dated March 10, 2003, is in effect in R8.

Procurement

Upon arrival on the host forest the Finance Section will be given an Incident Service and Supply Plan (if one has been established). If available, an employee from the Acquisition staff will deliver the book, in person, to discuss information provided in the plan.

Included in the Service and Supply Plan are:

- Acquisition Organization
- Emergency Services (including Agency-Provided Medical Care information)
- Listing of Emergency Equipment Rental Agreements (EERAS)
- Local interagency agreements and operating plans
- Forest Procurement Procedures
- Geographic Area Equipment Rates (Interagency Incident Business Management Handbook, Chapter 20)
- Order Forms
- Maps - geographical information
- Buying Unit Procedures
- Supply/Service Vendors (including Blanket Purchase Agreements)

Many of the normal restrictions on purchasing supplies and services apply when buying for incident operations. Some exceptions exist, such as commissary items and items in lieu of per diem necessary for operating an incident camp. Procurement personnel should consult with the Incident Business Advisor before purchasing items of questionable nature or questionable quantities. The NWCG Supplemental Food Policy is the standard for the Southern Region.

Restricted items are listed in Appendix B.

The PUL should communicate with the Supply Unit Leader and Buying Team leader throughout the incident. The open flow of communication between these parties will facilitate acquisition needs, as well as property tracking.

Motel and meal tickets may be used or another approved documentation system. If a method other than motel and meal tickets is used, the method must be approved by the IBA in advance. Other approved methods are electronic spreadsheets with room number, names etc. which are receipted by the Logistics Section Chief or Facilities Unit Leader.

Purchase/Rental of Personal Property

In accordance with the Federal Acquisition Regulations, the decision to rent or purchase property should be made on a case-by-case basis through evaluation of comparative costs and other factors. The following are factors that should be considered:

- Estimate the length of time the equipment will be used
- Cumulative rental payments for the estimated period of use
- Net purchase price
- Transportation and installation costs
- Maintenance and other service costs
- Whether a rental-with-purchase option is available
- Cost of handling and disposal following the incident

The length of time the equipment will be utilized is often unknown. The use of day/week rental rates may help lower overall costs.

Buying Unit Procedures

As a minimum, when an Incident Management Team is assigned, a Buying Unit Team will be established. Buying unit team members are authorized to use their purchase cards/checks during this incident.

The unit's Administrative Officer or equivalent, will determine when a Geographic/National Buying Team will be ordered to replace the unit's Buying Team. The unit's Buying Team members may be included in the organization of the Geographic Area Buying Team, depending on availability. When a Geographic/National Buying Team is assigned, the operating procedures described in the National Interagency Buying Team Guide will be followed, along with any applicable Geographical Area supplements.

The Incident Business Advisor and/or appropriate official will consult with the Incident Management Team/expanded dispatch to decide when to release a Buying Team.

Buying Team Leader should visit the incident administrative sites and establish open lines of communication with the incident supply unit and the incident procurement unit leader. **Establish a direct ordering system between the supply unit and the buying team to expedite procurement resource orders.** On those incidents where a direct ordering system is not practical, orders should be processed through expanded dispatch. Items available through the national cache system should be ordered through established channels.

Property Management

The Incident Agency unit expects the incident management team to place a high priority on property management. Included in this expectation is the need for the Finance Section to review property issuance (including gas/oil/wcf/other), sign out, and return procedures to ensure proper accountability. The buying team leader should assume responsibility for coordinating with the incident on property tracking. Buying teams are responsible for ensuring that accountable property which they have purchased is tracked and the information available to the incident unit for record keeping purposes.

During the demobilization process, Agency specific forms (e.g., Forest Service AD-112), will be processed when items are not returned to supply. These forms will always be signed by the employee's supervisors.

If property items are not returned by vendors assigned to the incident, a system will be incorporated to ensure the value of missing items is deducted from the payment invoices.

All property treated as replacement will be so described on Agency specific forms, Waybill, or left on the incident for rehab or mop up (manifest to the incident unit). All property left on the unit at the close of the incident, will be properly temporarily transferred on the Agency's form.

The Southern Region has established an Incident Replacement Policy that will be used as a guideline for Supply Unit Leaders and Ordering Managers. See Appendix C.

Compensation for Injury and Agency-Provided Medical Care

The Incident Agency's will provide a contact for compensation and medical treatment. All required copies of injury compensation forms will be forwarded to this contact, as soon as possible, for disposition. The Incident Management Teams Comp/Claims Unit Leader ensures all original documents are forwarded to the employee's home unit.

All medical services, agency-provided medical care agreements, physicians, burn center, forms, etc., are included in the Emergency Incident Acquisition Plan that will be given to all Finance Sections. If the plan is not readily available, contact the assigned Buying Unit or Incident Agency's Acquisition Section for a copy of the information.

Claims

Contract Disputes Act Claims: Procurement Unit Leaders, with delegated authority, are responsible for settling all Contract Disputes Act (CDA) claims involving equipment agreements at the incident. The PUL or Finance/Administration Section Chief shall coordinate with the buying team any claims that cannot be resolved at the incident. The buying team or agency will then be responsible for settling these claims and will coordinate with the agency's procurement official.

At the end of the incident all actual and potential non CDA claims will be fully documented and turned over to Financial Management. Use of Incident Case File Envelope (OF 314) is strongly recommended.

Tort/Employee Claims: Upon arrival to the incident, the Comp/Claims Unit Leader will make contact with the Forest Claims Officer to determine the expectations and discuss the requirements of the claims process. The Forest Claims Officer will provide forms or other documents the Comp/Claims Unit Leader will need for duration of the incident. The Forest Claims Officer will also be available to support the Comp/Claims Unit Leader when issues arise that might require research of unusual situations, authorities, etc.

Before leaving the incident, the Comp/Claims Unit Leader will audit the documentation and prepare a log of all claims, defining what's included and what is left to collect on each claim. The case files should be enclosed in an Incident Case File Envelope (OF-314). The log and envelopes will be given to the Forest Claims Officer at the end of the incident. A copy will also be included in the fire package.

Information Systems Management

The host Forest will provide the requested computer equipment (desktops, monitors, printers, keyboards, mouse) as available, to assist the incident needs.

The host Forest will provide a list of local computer personnel and their home telephone numbers.

Incident Agency Payments

A representative from the host Forest's Budget/Fiscal Section and Acquisition will visit all assigned Finance Sections to ensure accuracy of payment processes. If available, this employee may actually work in the Finance Section as time permits.

Payment packets are to be forwarded to Budget/Fiscal or Acquisition as soon as completed, to ensure timely payment to vendors and contractors. Invoices submitted at closeout of the incident are to be hand-delivered to the Budget/Fiscal Section by a Finance Section employee who will be able to discuss incomplete payments or those requiring additional clarification.

Payment packages will adhere to IIBMHB Chapter 27.0 guidelines.

Obligations

The Finance Section Chief and/or Cost Unit Leader will work with the Financial Manager to report daily the current obligation report from ICARS. The first obligation report will be due within 72 hours of the start of the incident.

AD-5 Rates

AD-5 rates will be determined on the basis contained in the Interagency Incident Business Management Handbook and pre-established rates in the Geographic Area AD-5 supplement to Chapter 10.

End of Pay Period Time & Attendance Reports

All assigned Finance Sections will contact the Incident Business Advisor to determine the most efficient and effective means for processing/communicating pay information at the end of each pay period.

Law Enforcement

All criminal investigations will be conducted by the assigned criminal investigators and law enforcement officers, and will be supervised by the Assistant Special Agent in Charge for Enforcement Operations, Jeannie Pollard 404-347-2736.

Other investigations (claims, motor vehicle accidents, etc.) will be done by law enforcement or finance personnel assigned to the incident. Also, other law enforcement work (security, traffic control, etc.) will be assigned to the incident.

Closeout

The final Incident Finance Package will meet the standards outlined in Chapter 40 of the Interagency Incident Business Management Handbook.

The Incident Business Advisor and Administrative Officer will participate in the exit interview of each assigned Incident Management Team. If time permits, the Incident Business Advisor and Administrative Officer will provide a verbal assessment of (1) commendable performance, (2) things that went well, and (3) things needing improvement.

APPENDIX A

INCIDENT BUSINESS ADVISOR RESPONSIBILITIES

File 6520/5130
Code:
Route
To:

Date
:

Subject Delegation of Authority, Incident Business Advisor
:

To: Incident Command Teams, _____ National
Forest

This letter authorizes (*name*) _____ to act as an Incident Business Advisor (IBA) for the (*unit name*) _____ and Incident Command Teams assigned to incidents on the Forest. The IBA works as a liaison and advisor between the Forest and the Incident Team(s) for all issues related to incident business management.

Specific responsibilities include:

- Maintaining close communication with the Incident Commander, Finance Section Chief, and other members of the Incident Command Team, Area Command Team, the Ranger District(s) and the administrative sections on the Forest
- Attends incident planning meetings. Represents the Forest and assists the Incident Management Team in strategic planning, transitions or significant changes in status.
- Provides guidance to Forest AO/AA for the need to assign a Liaison to ensure all payment packages are complete prior to transmittal to National Payment Centers.
- Represents the Forest in cost management activities and works with the team to ensure cost control measures and other fiscal controls are in place. Specifically, the IBA will monitor, track and document their involvement in cost containment items such as WFSAs, Cost Share Agreements, and daily costs/obligations ICARS reports, etc.
- Will review questionable orders as requested by the Buying team or expanded dispatch, and hold until clarification is made with the IMT. Questionable items, which cannot be resolved between the IMT and the IBA, will be discussed with the Agency Administrator and Forest Administrative Officer for resolution.

- Provides advice to the Forest and the Incident Team(s) concerning local, regional and national incident business management policies. The IBA will provide communication links, guidance, and advice to facilitate efficiency in business management practices.
- Reviews incident business administration practices to ensure compliance with approved practices, and obtains necessary information or interpretations of laws, regulations, and agreements as needed to efficiently and effectively accomplish administrative practices.
- Specifically monitors business administration activities at Expanded Dispatch, Buying Teams, R&R sites, ICP or any other sites that may support the incident. The IBA has full access to any and all administrative functions of the incident, and is expected to make frequent site visits to all support locations.
- Advises the Incident Commander, Forest Supervisor, Agency Administrator, Administrative Officer and/or interagency coordinating groups such as MAC and Area Command teams of the need for special support units such as Buying Units, Payment Liaison Teams, Cost Share Teams, Claims Teams, or other support as needed.
- Participates in the Incident Management Team initial briefings and exit meeting and provides a critique of team incident business activities to both the Agency Administrator and the team.
- Represents the Forest in other related activities as needed and identified by the Agency Administrator or as requested by the Incident Commander or Area Command.
- May serve in the same role as identified above in BAER and post fire activities.
- Provides briefings to the Forest Supervisor, Deputy Forest Supervisor, Agency Administrator, MAC, Area Command and Forest IBA as needed.

The Forest Agency Administrator is the primary point of contact, but coordination will be maintained with _____.

Forest Supervisor

cc: Unit Managers

APPENDIX B **Restricted Items**

The following items are either restricted from purchasing, or limited in some manner. Take into account local considerations (i.e. a remote location with limited services and supplies verse being in or adjacent to a full service community where the needed resources are readily available) when applying this direction. Assigned Supply Unit Leaders and/or buying unit teams will refer to this list when purchasing supplies and services for incident operations.

- ✓ Alcoholic beverages of any type are prohibited.
- ✓ Local purchase of newspapers for the incident will be limited to 5 per day per incident up to 250 individuals then limited to 1 for every 50 personnel per day. (Type II or greater)
- ✓ Items that may be provided by the Commissary Operations:
- ✓ Clothing, buttons, stickers, hats, etc., with special or specific printing, coloring, or logos. Bathing suits or other special clothing to be worn in R&R centers and/or incident camps.
- ✓ Pillows or sleeping bags (other than regular GSA, fire cache type).
- ✓ Orders for specific magazines, newspapers, or other literature.
- ✓ Plants & Flowers
- ✓ WCF and GSA Vehicle modifications/repairs will be coordinated through the local fleet manager.
- ✓ Barbers will only be provided for the military at their request.
- ✓ Fees for the use of recreational facilities (i.e. hot springs) unless associated with R&R.
- ✓ Massage or other therapist services.

Note: All purchased equipment and personal property will be transferred to the fire cache and will not be retained on or by the District. All rental equipment, with owner's concurrence, will have a lease purchase clause inserted in the procurement document to allow maximum flexibility to meet cost effectiveness goals.

The following items may be purchased or rented, but with the following limitations:

- ✓ Chairs: Use local cache. If additional chairs are needed, rent with an option to buy.
- ✓ Trailers and motor homes will be used for offices only, not for sleeping purposes.
- ✓ Cots, other than ordered through the cache system, will be justified and approved in writing by the incident commander for health and safety reasons.
- ✓ Information Resource must approve all Automatic Data Processing Equipment.
- ✓ The Forest Telecommunication Manager must approve all telecommunications equipment.

Specialty Items:

The Agency Administrator or/IBA has the authority to establish additional purchasing restrictions or limitations on specialty items, such as:

Cameras: digital, video, still
Satellite Telephones
Laptop Computers
(electronic organizers)
Handheld radios
Facsimile machines
Printers

Cellular Telephones
GPS Units
Personal Digital Assistant

Scanners
Copy machines

*Procurement officials must follow agency regulations when purchasing any of the above items. (Property will be contacted to issue property numbers as required.)

Appendix C Incident Replacement Policy

The Team follows the incident replacement process as outlined in the NWCG National Fire Equipment System Catalog on page 47.

- The Supply Unit Leader approves replacement requests.
- If equipment and supplies are available at the incident for replacement, the request is filled at the incident.
- If equipment and supplies cannot be obtained before requesting resource is demobilized, and OF-315 Incident Replacement Requisition will be completed. S numbers will be given for each replacement item.

The process for approving requests follows:

- Chainsaw part requests will be reviewed and approved by the Chainsaw Repair Specialist at the supply cache or, if not available, the Receiving and Distribution Manager.
- Radio or other communication equipment will be reviewed and approved by the Communication Unit Leader.
- Firing device requests will be reviewed and approved by the Operations Section Chief or the Branch Director.
- **All non-standard cache items such as eagle packs, sleeping bags, Kevlar pants, headlamps, and other specialized equipment will not be replaced on the incident. These are home unit expenses charged to preparedness (WFPR).**
- The incident replacement request will be signed by the Supply Unit Leader and faxed to Expanded dispatch for S numbers.
- This process may apply to repairable items.

The process to receive S numbers for replacement items can take time.

IHC are to provide the Supply Unit Leader an OF-315 Incident Replacement form at least two shifts prior to demob.

What does this mean to you.....

- **Expect to receive a like item from the “cache”**
- **Expect to be denied specialty item replacement**
- **Supplies used on the fire will come from stock available in supply or through the OF-315 resource order**
- **Outdated items or property that is worn out (i.e. outdated radios, GPS units, bags, tents) will not be replaced**
- **Expect to complete proper paperwork before lost or destroyed government property items are replaced by the incident**