

**Table 1**

**DOCUMENT SUBMISSION RATE OF EACH CIRCUIT AND DISTRICT<sup>1</sup>**  
**Fiscal Year 1997**

CIRCUIT	Number of Cases	Judgment of Conviction Order <sup>2</sup>				Presentence Report <sup>3</sup>						Report on the Sentencing Hearing <sup>4</sup>			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
District		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>TOTAL</b>	<b>48,848</b>	<b>48,458</b>	<b>99.2</b>	<b>390</b>	<b>0.8</b>	<b>44,466</b>	<b>91.0</b>	<b>2,707</b>	<b>5.5</b>	<b>1,675</b>	<b>3.4</b>	<b>45,042</b>	<b>92.2</b>	<b>3,806</b>	<b>7.8</b>
<b>D.C. CIRCUIT</b>	<b>562</b>	<b>522</b>	<b>92.9</b>	<b>40</b>	<b>7.1</b>	<b>549</b>	<b>97.7</b>	<b>11</b>	<b>2.0</b>	<b>2</b>	<b>0.4</b>	<b>534</b>	<b>95.0</b>	<b>28</b>	<b>5.0</b>
District of Columbia	562	522	92.9	40	7.1	549	97.7	11	2.0	2	0.4	534	95.0	28	5.0
<b>FIRST CIRCUIT</b>	<b>1,258</b>	<b>1,249</b>	<b>99.3</b>	<b>9</b>	<b>0.7</b>	<b>1,188</b>	<b>94.4</b>	<b>53</b>	<b>4.2</b>	<b>17</b>	<b>1.4</b>	<b>1,241</b>	<b>98.6</b>	<b>17</b>	<b>1.4</b>
Maine	166	166	100.0	0	0.0	166	100.0	0	0.0	0	0.0	165	99.4	1	0.6
Massachusetts	474	473	99.8	1	0.2	453	95.6	5	1.1	16	3.4	470	99.2	4	0.8
New Hampshire	148	147	99.3	1	0.7	147	99.3	1	0.7	0	0.0	147	99.3	1	0.7
Puerto Rico	359	354	98.6	5	1.4	312	86.9	46	12.8	1	0.3	352	98.1	7	2.0
Rhode Island	111	109	98.2	2	1.8	110	99.1	1	0.9	0	0.0	107	96.4	4	3.6
<b>SECOND CIRCUIT</b>	<b>4,385</b>	<b>4,361</b>	<b>99.5</b>	<b>24</b>	<b>0.5</b>	<b>4,113</b>	<b>93.8</b>	<b>178</b>	<b>4.1</b>	<b>94</b>	<b>2.1</b>	<b>3,757</b>	<b>85.7</b>	<b>628</b>	<b>14.3</b>
Connecticut	309	309	100.0	0	0.0	309	100.0	0	0.0	0	0.0	301	97.4	8	2.6
New York															
Eastern	1,658	1,647	99.3	11	0.7	1,572	94.8	85	5.1	1	0.1	1,186	71.5	472	28.5
Northern	541	538	99.4	3	0.6	365	67.5	88	16.3	88	16.3	484	89.5	57	10.5
Southern	1,308	1,299	99.3	9	0.7	1,306	99.8	2	0.2	0	0.0	1,220	93.3	88	6.7
Western	462	461	99.8	1	0.2	455	98.5	2	0.4	5	1.1	459	99.4	3	0.6
Vermont	107	107	100.0	0	0.0	106	99.1	1	0.9	0	0.0	107	100.0	0	0.0
<b>THIRD CIRCUIT</b>	<b>2,365</b>	<b>2,349</b>	<b>99.3</b>	<b>16</b>	<b>0.7</b>	<b>2,288</b>	<b>96.7</b>	<b>37</b>	<b>1.6</b>	<b>40</b>	<b>1.7</b>	<b>2,313</b>	<b>97.8</b>	<b>52</b>	<b>2.2</b>
Delaware	100	100	100.0	0	0.0	98	98.0	2	2.0	0	0.0	100	100.0	0	0.0
New Jersey	787	782	99.4	5	0.6	783	99.5	4	0.5	0	0.0	769	97.7	18	2.3
Pennsylvania															
Eastern	798	795	99.6	3	0.4	752	94.2	9	1.1	37	4.6	782	98.0	16	2.0
Middle	298	298	100.0	0	0.0	298	100.0	0	0.0	0	0.0	298	100.0	0	0.0
Western	274	266	97.1	8	2.9	252	92.0	19	6.9	3	1.1	259	94.5	15	5.5
Virgin Islands	108	108	100.0	0	0.0	105	97.2	3	2.8	0	0.0	105	97.2	3	2.8
<b>FOURTH CIRCUIT</b>	<b>4,963</b>	<b>4,902</b>	<b>98.8</b>	<b>61</b>	<b>1.2</b>	<b>4,432</b>	<b>89.3</b>	<b>271</b>	<b>5.5</b>	<b>260</b>	<b>5.2</b>	<b>4,259</b>	<b>85.8</b>	<b>704</b>	<b>14.2</b>
Maryland	410	403	98.3	7	1.7	403	98.3	7	1.7	0	0.0	399	97.3	11	2.7
North Carolina															
Eastern	592	589	99.5	3	0.5	466	78.7	52	8.8	74	12.5	552	93.2	40	6.8
Middle	307	306	99.7	1	0.3	302	98.4	3	1.0	2	0.7	306	99.7	1	0.3
Western	481	464	96.5	17	3.5	471	97.9	8	1.7	2	0.4	468	97.3	13	2.7
South Carolina	906	902	99.6	4	0.4	896	98.9	10	1.1	0	0.0	899	99.2	7	0.8
Virginia															
Eastern	1,429	1,403	98.2	26	1.8	1,069	74.8	182	12.7	178	12.5	810	56.7	619	43.3
Western	443	441	99.5	2	0.5	434	98.0	8	1.8	1	0.2	434	98.0	9	2.0
West Virginia															
Northern	166	165	99.4	1	0.6	164	98.8	1	0.6	1	0.6	165	99.4	1	0.6
Southern	229	229	100.0	0	0.0	227	99.1	0	0.0	2	0.9	226	98.7	3	1.3

**Table 1 (cont.)**

CIRCUIT District	Number of Cases	Judgment of Conviction Order <sup>2</sup>				Presentence Report <sup>3</sup>						Report on the Sentencing Hearing <sup>4</sup>			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>FIFTH CIRCUIT</b>	<b>7,836</b>	<b>7,800</b>	<b>99.5</b>	<b>36</b>	<b>0.5</b>	<b>7,095</b>	<b>90.5</b>	<b>101</b>	<b>1.3</b>	<b>640</b>	<b>8.2</b>	<b>7,759</b>	<b>99.0</b>	<b>77</b>	<b>1.0</b>
Louisiana															
Eastern	361	358	99.2	3	0.8	359	99.4	2	0.6	0	0.0	356	98.6	5	1.4
Middle	98	98	100.0	0	0.0	98	100.0	0	0.0	0	0.0	98	100.0	0	0.0
Western	298	297	99.7	1	0.3	289	97.0	8	2.7	1	0.3	284	95.3	14	4.7
Mississippi															
Northern	166	166	100.0	0	0.0	166	100.0	0	0.0	0	0.0	166	100.0	0	0.0
Southern	200	194	97.0	6	3.0	196	98.0	4	2.0	0	0.0	194	97.0	6	3.0
Texas															
Eastern	479	474	99.0	5	1.0	477	99.6	2	0.4	0	0.0	474	99.0	5	1.0
Northern	1,080	1,079	99.9	1	0.1	1,060	98.1	2	0.2	18	1.7	1,076	99.6	4	0.4
Southern	2,183	2,182	100.0	1	0.0	2,160	98.9	4	0.2	19	0.9	2,175	99.6	8	0.4
Western	2,971	2,952	99.4	19	0.6	2,290	77.1	79	2.7	602	20.3	2,936	98.8	35	1.2
<b>SIXTH CIRCUIT</b>	<b>3,589</b>	<b>3,559</b>	<b>99.2</b>	<b>30</b>	<b>0.8</b>	<b>3,354</b>	<b>93.5</b>	<b>147</b>	<b>4.1</b>	<b>88</b>	<b>2.5</b>	<b>3,520</b>	<b>98.1</b>	<b>69</b>	<b>1.9</b>
Kentucky															
Eastern	331	331	100.0	0	0.0	325	98.2	5	1.5	1	0.3	327	98.8	4	1.2
Western	423	423	100.0	0	0.0	255	60.3	102	24.1	66	15.6	404	95.5	19	4.5
Michigan															
Eastern	787	782	99.4	5	0.6	775	98.5	5	0.6	7	0.9	779	99.0	8	1.0
Western	297	295	99.3	2	0.7	292	98.3	3	1.0	2	0.7	295	99.3	2	0.7
Ohio															
Northern	601	600	99.8	1	0.2	591	98.3	9	1.5	1	0.2	600	99.8	1	0.2
Southern	363	346	95.3	17	4.7	355	97.8	6	1.7	2	0.6	344	94.8	19	5.2
Tennessee															
Eastern	287	283	98.6	4	1.4	280	97.6	6	2.1	1	0.3	283	98.6	4	1.4
Middle	204	203	99.5	1	0.5	195	95.6	8	3.9	1	0.5	200	98.0	4	2.0
Western	296	296	100.0	0	0.0	286	96.6	3	1.0	7	2.4	288	97.3	8	2.7
<b>SEVENTH CIRCUIT</b>	<b>1,876</b>	<b>1,861</b>	<b>99.2</b>	<b>15</b>	<b>0.8</b>	<b>1,827</b>	<b>97.4</b>	<b>36</b>	<b>1.9</b>	<b>13</b>	<b>0.7</b>	<b>1,798</b>	<b>95.8</b>	<b>78</b>	<b>4.2</b>
Illinois															
Central	246	246	100.0	0	0.0	238	96.7	8	3.3	0	0.0	239	97.2	7	2.8
Northern	647	640	98.9	7	1.1	639	98.8	2	0.3	6	0.9	602	93.0	45	7.0
Southern	258	255	98.8	3	1.2	248	96.1	7	2.7	3	1.2	256	99.2	2	0.8
Indiana															
Northern	161	161	100.0	0	0.0	142	88.2	18	11.2	1	0.6	146	90.7	15	9.3
Southern	206	203	98.5	3	1.5	204	99.0	0	0.0	2	1.0	199	96.6	7	3.4
Wisconsin															
Eastern	260	258	99.2	2	0.8	258	99.2	1	0.4	1	0.4	258	99.2	2	0.8
Western	98	98	100.0	0	0.0	98	100.0	0	0.0	0	0.0	98	100.0	0	0.0
<b>EIGHTH CIRCUIT</b>	<b>2,785</b>	<b>2,777</b>	<b>99.7</b>	<b>8</b>	<b>0.3</b>	<b>2,735</b>	<b>98.2</b>	<b>25</b>	<b>0.9</b>	<b>25</b>	<b>0.9</b>	<b>2,765</b>	<b>99.3</b>	<b>20</b>	<b>0.7</b>
Arkansas															
Eastern	315	315	100.0	0	0.0	313	99.4	1	0.3	1	0.3	313	99.4	2	0.6
Western	113	113	100.0	0	0.0	108	95.6	3	2.7	2	1.8	110	97.3	3	2.7
Iowa															
Northern	196	196	100.0	0	0.0	196	100.0	0	0.0	0	0.0	196	100.0	0	0.0
Southern	182	182	100.0	0	0.0	182	100.0	0	0.0	0	0.0	182	100.0	0	0.0
Minnesota	378	377	99.7	1	0.3	377	99.7	0	0.0	1	0.3	377	99.7	1	0.3
Missouri															
Eastern	457	455	99.6	2	0.4	453	99.1	4	0.9	0	0.0	453	99.1	4	0.9
Western	502	499	99.4	3	0.6	499	99.4	3	0.6	0	0.0	498	99.2	4	0.8
Nebraska	243	242	99.6	1	0.4	238	97.9	5	2.1	0	0.0	241	99.2	2	0.8
North Dakota	118	118	100.0	0	0.0	109	92.4	9	7.6	0	0.0	116	98.3	2	1.7
South Dakota	281	280	99.6	1	0.4	260	92.5	0	0.0	21	7.5	279	99.3	2	0.7

**Table 1 (cont.)**

CIRCUIT	Number of Cases	Judgment of Conviction Order <sup>2</sup>				Presentence Report <sup>3</sup>						Report on the Sentencing Hearing <sup>4</sup>			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>NINTH CIRCUIT</b>	<b>10,340</b>	<b>10,240</b>	<b>99.0</b>	<b>100</b>	<b>1.0</b>	<b>8,360</b>	<b>80.9</b>	<b>1,566</b>	<b>15.1</b>	<b>414</b>	<b>4.0</b>	<b>8,766</b>	<b>84.8</b>	<b>1,574</b>	<b>15.2</b>
Alaska	109	98	89.9	11	10.1	108	99.1	1	0.9	0	0.0	98	89.9	11	10.1
Arizona	1,701	1,680	98.8	21	1.2	1,589	93.4	8	0.5	104	6.1	1,640	96.4	61	3.6
California															
Central	1,327	1,310	98.7	17	1.3	1,315	99.1	12	0.9	0	0.0	491	37.0	836	63.0
Eastern	792	784	99.0	8	1.0	675	85.2	116	14.6	1	0.1	775	97.9	17	2.1
Northern	743	733	98.7	10	1.3	701	94.3	20	2.7	22	3.0	673	90.6	70	9.4
Southern	3,130	3,120	99.7	10	0.3	1,981	63.3	949	30.3	200	6.4	2,802	89.5	328	10.5
Guam	85	85	100.0	0	0.0	81	95.3	4	4.7	0	0.0	81	95.3	4	4.7
Hawaii	282	276	97.9	6	2.1	45	16.0	208	73.8	29	10.3	254	90.1	28	9.9
Idaho	103	99	96.1	4	3.9	103	100.0	0	0.0	0	0.0	101	98.1	2	1.9
Montana	278	277	99.6	1	0.4	277	99.6	1	0.4	0	0.0	278	100.0	0	0.0
Nevada	398	390	98.0	8	2.0	370	93.0	28	7.0	0	0.0	385	96.7	13	3.3
Northern Mariana Islands	23	23	100.0	0	0.0	21	91.3	2	8.7	0	0.0	22	95.7	1	4.3
Oregon	586	584	99.7	2	0.3	461	78.7	124	21.2	1	0.2	491	83.8	95	16.2
Washington															
Eastern	224	224	100.0	0	0.0	164	73.2	22	9.8	38	17.0	223	99.6	1	0.4
Western	559	557	99.6	2	0.4	469	83.9	71	12.7	19	3.4	452	80.9	107	19.1
<b>TENTH CIRCUIT</b>	<b>2,691</b>	<b>2,677</b>	<b>99.5</b>	<b>14</b>	<b>0.5</b>	<b>2,606</b>	<b>96.8</b>	<b>77</b>	<b>2.9</b>	<b>8</b>	<b>0.3</b>	<b>2,597</b>	<b>96.5</b>	<b>94</b>	<b>3.5</b>
Colorado	561	557	99.3	4	0.7	529	94.3	30	5.3	2	0.4	491	87.5	70	12.5
Kansas	290	290	100.0	0	0.0	289	99.7	1	0.3	0	0.0	289	99.7	1	0.3
New Mexico	829	824	99.4	5	0.6	792	95.5	32	3.9	5	0.6	820	98.9	9	1.1
Oklahoma															
Eastern	75	75	100.0	0	0.0	73	97.3	2	2.7	0	0.0	75	100.0	0	0.0
Northern	169	166	98.2	3	1.8	169	100.0	0	0.0	0	0.0	166	98.2	3	1.8
Western	282	280	99.3	2	0.7	281	99.6	1	0.4	0	0.0	275	97.5	7	2.5
Utah	351	351	100.0	0	0.0	339	96.6	11	3.1	1	0.3	347	98.9	4	1.1
Wyoming	134	134	100.0	0	0.0	134	100.0	0	0.0	0	0.0	134	100.0	0	0.0
<b>ELEVENTH CIRCUIT</b>	<b>6,198</b>	<b>6,161</b>	<b>99.4</b>	<b>37</b>	<b>0.6</b>	<b>5,919</b>	<b>95.5</b>	<b>205</b>	<b>3.3</b>	<b>74</b>	<b>1.2</b>	<b>5,733</b>	<b>92.5</b>	<b>465</b>	<b>7.5</b>
Alabama															
Middle	242	241	99.6	1	0.4	241	99.6	0	0.0	1	0.4	234	96.7	8	3.3
Northern	432	424	98.1	8	1.9	427	98.8	4	0.9	1	0.2	432	100.0	0	0.0
Southern	393	391	99.5	2	0.5	393	100.0	0	0.0	0	0.0	391	99.5	2	0.5
Florida															
Middle	1,297	1,296	99.9	1	0.1	1,287	99.2	6	0.5	4	0.3	1,288	99.3	9	0.7
Northern	379	378	99.7	1	0.3	376	99.2	3	0.8	0	0.0	371	97.9	8	2.1
Southern	1,956	1,942	99.3	14	0.7	1,915	97.9	31	1.6	10	0.5	1,698	86.8	258	13.2
Georgia															
Middle	352	352	100.0	0	0.0	349	99.1	2	0.6	1	0.3	348	98.9	4	1.1
Northern	849	841	99.1	8	0.9	708	83.4	126	14.8	15	1.8	682	80.3	167	19.7
Southern	298	296	99.3	2	0.7	223	74.8	33	11.1	42	14.1	289	97.0	9	3.0

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<sup>1</sup>Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>One of the cases for which no Judgment of Conviction Order was received was sealed by the court.

<sup>3</sup>Four of the cases for which no Presentence Report was received were sealed by the court.

<sup>4</sup>Three of the cases for which no Sentencing Hearing Report was received were sealed by the court.

SOURCE: U.S. Sentencing Commission, 1997 Datafile, USSCFY97.