

Protocol Information Office Division of Cancer Prevention, NCI Executive Plaza North, Room 2050 Rockville, MD 20892-7329	E-mail: <a href="mailto:parrecol@mail.nih.gov">parrecol@mail.nih.gov</a> <i>This form must accompany all protocol document submissions.</i>	<i>For internal use only:</i> DCP Protocol #:
<b>DCP Consortia Protocol Submission Worksheet (v2.0)</b>		

Please print or type. Complete all relevant sections. Attach to protocol and submit to the above address.

## Section 1: Overview of Protocol Information

Local Protocol #	
Protocol Title: _____	
Consortium Name	
Name of Consortium Principal Investigator:	
Protocol Chair:	Protocol Chair Organization:
Is this a Multi-Institutional Protocol? <input type="checkbox"/> yes <input type="checkbox"/> no	
<ul style="list-style-type: none"> <li>If yes, list name of each Protocol Lead Investigator and Organization</li> </ul>	
Will CCOPs be participating in this protocol? <input type="checkbox"/> yes <input type="checkbox"/> no	
<ul style="list-style-type: none"> <li>If yes, indicate name of individual CCOPs or CCOP Research Base</li> </ul>	
Will additional funding be used from other NIH funding mechanism(s)? <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> pending	
<ul style="list-style-type: none"> <li>If yes, provide the Grant No. or CA No: (NCI U01 CA-12345)</li> </ul>	
Are you receiving support from non-NCI sources (i.e., industry, ACS) for this study? <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> pending	
<ul style="list-style-type: none"> <li>If yes, specify the source and use of funds:</li> </ul>	
Will this study be conducted under an IND? <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> unknown	
IND Sponsor: <input type="checkbox"/> DCP <input type="checkbox"/> Investigator (name): _____ <input type="checkbox"/> Pharmaceutical Company (name): _____	
IND Number (if known):	
Data and Safety Monitoring: Has the Data & Safety Monitoring Plan for this study been submitted to the NCI for approval? <input type="checkbox"/> yes <input type="checkbox"/> no	

## Section 2: Purpose of Protocol Submission

<input type="checkbox"/> First Submission of this Protocol to DCP PIO	Document date:	Version Number:	IRB Submission Date (if applicable):	PIO Submission Date:
<input type="checkbox"/> Revised Protocol (changes made to the protocol prior to NCI approval)	Document date:	Version Number:	IRB Submission Date (if applicable):	PIO Submission Date:
<input type="checkbox"/> Amended Protocol (changes made to protocol since NCI approval)	Document date:	Version Number:	IRB Submission Date (if applicable):	PIO Submission Date:
<input type="checkbox"/> Other: (specify)	Document date:	Version Number:	IRB Submission Date (if applicable):	PIO Submission Date:
Is this document submitted in response to a DCP review? <input type="checkbox"/> yes <input type="checkbox"/> no				
If yes, date of DCP review letter: _____				

## Section 3: Overview of Protocol Design

Study Phase: <input type="checkbox"/> I <input type="checkbox"/> I/II <input type="checkbox"/> II <input type="checkbox"/> Other: specify			
Study Population			
Study Endpoints (select <u>ALL</u> that apply):			
<input type="checkbox"/> Single dose Pharmacokinetics	<input type="checkbox"/> Dose Selection for Phase II	<input type="checkbox"/> Safety	<input type="checkbox"/> Intermediate Biomarkers
<input type="checkbox"/> Multi dose Pharmacokinetics	<input type="checkbox"/> Drug Effect Measurements	<input type="checkbox"/> Efficacy	<input type="checkbox"/> Feasibility
<input type="checkbox"/> Other _____			
Study Participant Accrual Details:			
Projected Study Start Date:	Total Sample Size:	Projected Accrual Rate:	
Projected Completion Date of Accrual:	Estimated # evaluable:	Estimated # withdrawals:	
Expected # subjects/site:	#Case Report Forms per subject:		

## SECTION 4: GENDER AND MINORITY ACCRUAL ESTIMATES Required for ALL Trials

In accordance with the NIH guidelines on the inclusion of women and minorities as subjects in clinical research, the Department of Health and Human Services (HHS) requires that all Phase 2 and 3 trials must include accrual targets for males, females and minorities. The accrual targets should reflect the expected accrual over the life of the study.

The policy states that women and members of minority groups and their sub-populations must be included in all NIH-supported biomedical and behavioral research projects involving human subjects, unless a clear and compelling rational and justification establishes inclusion is inappropriate with respect to the health of the subjects or the purpose of the research. The NCI suggests that the accrual targets be based on data from similar trials completed by your organization during the previous five years. It is hoped that the accrual targets will resemble the gender, ethnic and racial composition of the U.S. population as closely as possible. Please see the **Ethnic and Racial Categories** listed below for a complete description of ethnic and racial categories.

<b>Ethnic Categories:</b>	<p><b>Hispanic or Latino</b> – a person of Cuban, Mexican, Puerto Rico, South or Central American, or other Spanish culture or origin, regardless of race. The term “Spanish origin” can also be used in addition to “Hispanic or Latino.”</p> <p><b>Not Hispanic or Latino</b></p>
<b>Racial Categories:</b>	<p><b>American Indian or Alaskan Native</b> – a person having origins in any of the original peoples of North, Central, or South America, and who maintains tribal affiliations or community attachment.</p> <p><b>Asian</b> – a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. (Note: Individuals from the Philippine Islands have been recorded as Pacific Islanders in previous data collection strategies.)</p> <p><b>Black or African American</b> – a person having origins in any of the black racial groups of Africa. Terms such as “Haitian” or “Negro” can be used in addition to “Black or African American.”</p> <p><b>Native Hawaiian or other Pacific Islander</b> – a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.</p> <p><b>White</b> – a person having origins in any of the original peoples of Europe, the Middle East, or North Africa.</p>

EXAMPLE Accrual Targets					
Ethnic Category	Sex/Gender				
	Females		Males		Total
Hispanic or Latino	20	+	10	=	30
Not Hispanic or Latino	40	+	30	=	70
<b>Ethnic Category: Total of all subjects</b>	60 (A1)	+	40 (B1)	=	100 (C1)
Racial Category					
American Indian or Alaskan Native	1	+	0	=	1
Asian	1	+	1	=	2
Black or African American	1	+	0	=	1
Native Hawaiian or other Pacific Islander	7	+	9	=	16
White	50	+	30	=	80
<b>Racial Category: Total of all subjects</b>	60 (A2)	+	40 (B2)	=	100 (C2)
	(A1 = A2)		(B1 = B2)		(C1 = C2)

Enter actual estimates, whole numbers only (percentages, fractions, or decimals are not acceptable). The totals provided for each Ethnic/gender or Ethnic/total combination must match those given for each Race/gender or Race/total combination (i.e., A1 must match A2, B1 must match B2, and C1 must match C2).

Accrual Targets				
Ethnic Category	Sex/Gender			
	Females		Males	Total
Hispanic or Latino		+		=
Not Hispanic or Latino		+		=
<b>Ethnic Category: Total of all subjects</b>	(A1)	+	(B1)	= (C1)
Racial Category				
American Indian or Alaskan Native		+		=
Asian		+		=
Black or African American		+		=
Native Hawaiian or other Pacific Islander		+		=
White		+		=
<b>Racial Category: Total of all subjects</b>	(A2)	+	(B2)	= (C2)
	(A1 = A2)		(B1 = B2)	(C1 = C2)

### Section 5: Study Agents

Agent Name	Request for DCP-Supplied	Dose & Schedule	CAS Registry No. (if known)
	<input type="checkbox"/> yes <input type="checkbox"/> no		
	<input type="checkbox"/> yes <input type="checkbox"/> no		
	<input type="checkbox"/> yes <input type="checkbox"/> no		

### Section 6: Person Completing Worksheet

Provide the following information.

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*Print Name*    *Phone No.*    *E-mail Address*    *Date*