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Forest Inventory and Analysis Fiscal Year 2002 Business Report



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Introduction

The Forest Inventory and Analysis (FIA) program of the U.S. Department of Agriculture (USDA) Forest Service provides the information needed to assess the status, trends, and sustainability of America's forests. This business report, which summarizes program activities in fiscal year (FY) 2002 (October 1, 2001, through September 30, 2002), gives our customers and partners a snapshot of past activities, current business practices, and future program directions. It is designed to increase our accountability and foster performance-based management of the FIA program. [Note: This business report does not include statistical information about the forests of the United States. Those who wish to obtain such information should contact the appropriate regional or national FIA office listed on page 22 of this report.]

The FIA program is the Nation's continuous forest census. We collect, analyze, and report information on the status and trends of America's forests: how much forest exists, where it exists, who owns it, and how it is changing, as well as how the trees and other forest vegetation are growing and how much has died or been removed in recent years. This information can be used in many ways, such as evaluating wildlife habitat conditions, assessing sustainability of current ecosystem management practices, monitoring forest health, supporting planning and decisionmaking activities undertaken by public and private enterprises, and predicting the effects of global change. The FIA program combines this information with related data on insects, diseases, and other types of forest damage to assess the current health and potential future risks to forests. The program also projects how forests are likely to appear 10 to 50 years from now under various scenarios, which enables us to evaluate whether current forest management practices are sustainable in the long run and to assess whether current policies will allow the next generation to enjoy America's forests as we do today.

Changes from Previous Year's Business Reports

In response to requests from our partners and customers, we have added maps to show the currency of FIA data presently available to the public. One goal is to publish complete, Statelevel analytical reports every 5 years. Therefore, a new map pertains to the currency of information available in analyzed and published form. States on this map are colored green if they have reports less than 5 years old, yellow if they have 5- to 10-year-old reports, and blue if they have reports older than 10 years (figure 6, page 8). A second goal is to provide annual updates to our online data resources. To this end, we have included a second new map that pertains to information that is available for analysis online through our Web-based database tool. States on this map are colored green if the data are 1 year old, vellow if the data are 2 to 5 years old, and blue if the data are older than 5 years (figure 5, page 7).

The financial table (appendix 2) includes rows to show funding transferred among FIA units with no net change in the total funding available to the program. The row labeled "National Responsibilities" shows funds transferred from the National FIA Office to various regional FIA programs to cover the costs associated with various National Office functions that are performed by regional staff. FIA is a distributed program with almost all program staff located in field units (as opposed to maintaining a large national staff in Washington, DC). By internal agreements, different units hire staff to perform national coordination and leadership functions. Funds to support such positions come out of the National Office portion of the FIA budget and are transferred directly to regional units for as long as the regional unit chooses the service. The row labeled "Inter-unit exchanges" shows the net total transfer of funds among units to cover other agreed-upon assessments, such as support for Resource Planning Act (RPA) program support and other agreed-upon collective investments.

In 2002, the financial table also includes a row to show the funds lost due to fire borrowing. This situation arose during the summer of 2002 when costs of forest fire suppression during a severe fire season exceeded the amount of funding appropriated for fire suppression. Funds from many USDA Forest Service nonsuppression financial accounts, including FIA accounts, were transferred to ensure that firefighting could continue. This resulted in a loss of funds available to the FIA program in 2002.

Fiscal Year 2002 Program Highlights

Program highlights for 2002 include outputs and products, program changes, program resources, partners' contributions, and FIA data availability.

Outputs and Products

Appendix 1 shows some comparisons across FIA regional units in the rates, cost, and performance of implementing the FIA program. Federal funding available for the FIA program in 2002 totaled \$55,919,830. The funding consisted of \$50,523,000 appropriated by Congress specifically for FIA, \$1,500,000 in one-time funding from the Chief's Emergency Fund to speed up the transition to annual forest inventory, and \$3,896,830 in unspent FIA funds from the previous fiscal year, which were carried over to FY 2002 (appendix 2). In addition, partners contributed an additional \$8,655,953 toward implementing or enhancing the FIA program in 2002.

In FY 2002, we were active in some manner in 46 States (figure 1), covering 37,400 Phase 2 and 3,167 Phase 3 sample locations from the base grid, or 12 percent and 16 percent, respectively, of the total. At the end of FY 2002, 76 percent of the forested lands of the lower 48 States were covered by the new annual FIA program, an increase from 65 percent in FY 2001. The FIA program produced 167 reports and publications in FY 2002, 52 more than in FY 2001. Of these

publications, 55 were core publications consisting of reports specific to a complete survey unit, complete State, or national forest. This number represents an increase of 19 core reports over the FY 2001 total of 36 core publications and reflects the increased program emphasis and productivity in analyzing and reporting inventory data for geographic units. We also published 28 articles in peer-reviewed journals (the same number as in FY 2001) and 48 articles in proceedings from scientific meetings and conferences (compared to 19 in FY 2001). FIA staff participated in 1,026 significant consultations with FIA customers, requiring over 3,600 hours of staff time—or the equivalent of nearly two full-time staff positions. This is a slight increase in consultations but fewer total hours than in FY 2001 (921 consultations and 3,751 hours, respectively). FIA technical staff met on several occasions to further refine the national core FIA program, resulting in the development and release of Version 1.6 of the national core field guide; refinement of a set of core tables for reporting purposes that includes Phase 3 (forest health) data; enhancement of Internet tools for accessing and analyzing FIA data; and generation of the National Information Management System (NIMS), which will provide a single national platform for processing and publishing FIA data. Our Web-based Internet resources processed over 12,000 completed data retrievals where FIA customers obtained userdefined tables and maps of interest.

♦ Program Changes in FY 2002

In FY 2002, the FIA program completed the fourth of 5 years of program transition to an annual inventory system, as outlined in the Strategic Plan for Forest Monitoring written in response to the Agricultural Research, Extension, and Education Reform Act of 1998 (Public Law 105-185). The enhanced FIA program now includes three sample levels ("phases"): **Phase 1** consists of remote sensing for stratification and analysis, **Phase 2** consists of the original set of FIA forest mensuration plots (approximately one plot per 6,000 acres), and **Phase 3** consists of a subsample of FIA plots measured for a

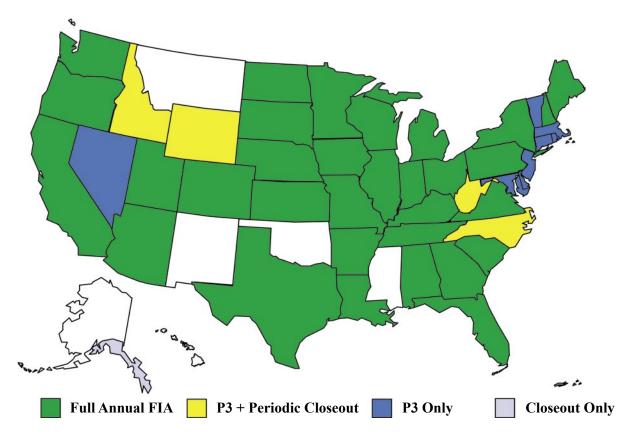


Figure 1. FIA implementation status, FY 2002.

broader suite of forest ecosystem indicators (approximately one sample location per 94,800 acres). By the end of FY 2003, our goal was to implement an annual FIA program that measures at least 10 percent of all Phase 2 sample locations per year in the Western United States, 15 percent of Phase 2 sample locations per year in the Eastern United States, and 20 percent of Phase 3 sample locations per year in all States. The base program will include annual compilations of the most recent panel of information, with full reporting at 5-year intervals. All States have the option to contribute the resources necessary to bring the program up to the full sample intensity of 20 percent per year, or to make other value-added contributions such as funding new measurements or additional sample locations. Funding levels in 2002 were somewhat less than the funding levels required to complete this transition by 2003. At the present, it seems more likely that full funding and implementation will be achieved no sooner than 2004.

We did not add any new sampling protocols in 2002. We did continue pilot testing of a complete vegetative diversity measurement protocol that we hope to implement nationally in 2004.

♦ FIA Program Resources

The FIA program started fiscal year 2002 with \$3,896,830 in unspent funds from the previous fiscal year (appendix 2). Congress appropriates funds annually for the FIA program in three different USDA Forest Service Deputy Areas: (1) Research and Development, (2) National Forest System (NFS), and (3) State and Private Forestry (S&PF). Historically, the bulk of FIA funding was contained within the research budget of the USDA Forest Service. In FY 2002, the amount of research money provided by Congress for the FIA program was \$36,498,000, an increase of \$4,811,000 over the FY 2001 level (appendix 2). Congress also provided \$5,015,000 in the

S&PF Forest Resource Inventory and Analysis (FRIA) budget line (an increase of \$15,000 above FY 2001) to support the FIA program in those States that provide a cost-share contribution. The NFS Deputy Area has made a permanent commitment of \$6,200,000 to help cover the cost of implementing FIA on NFS lands. The S&PF Forest Health Protection Staff has made a permanent commitment of \$2,810,000 to help cover the cost of the FIA program, particularly the Phase 3 sample component.

In FY 2002, an additional \$1,500,000 was made available to the FIA program on a one-time basis to cover some one-time transition costs primarily associated with closing out periodic forest inventories and initiating the new annual approach. These funds came from the USDA Forest Service Chief's Emergency Fund, which in turn was drawn from Research and Development (\$885,000), S&PF/Forest Health (\$350,000), and NFS/Inventory and Monitoring (\$265,000). Total funding available to the FIA program in FY 2002 was \$55,919,830.

In the summer of 2002, a severe fire season forced the USDA Forest Service to suspend many nonfire activities in order to redirect funding to emergency fire suppression activities. This process, referred to as "fire borrowing," resulted in a loss of \$2,314,316 from the FIA program, or nearly 5 percent of available funding. This borrowing occurred late in the fiscal year, resulting in the same effects as those suffered by other USDA Forest Service programs and partners: lower-than-expected fieldwork completed, delays in funding and completing cooperative agreements and grants, and delays in publishing results. The uncertainty associated with fire borrowing and the pressure placed on all nonfire budget accounts to conserve funding in case more was needed also led to a higher-thannormal level of funds remaining unspent at the end of the fiscal year.

Of the funding available, approximately 73 percent was spent in direct support of FIA activities (figure 2), 23 percent was spent on indirect costs charged by research stations (an

increase from the 19 percent expended in the previous year, due primarily to the funds lost to fire borrowing which are treated as an indirect expense), and 4 percent remained unspent at the end of the fiscal year. Without fire borrowing, our indirect expense rate would have been approximately 19 percent, or the same as the previous fiscal year. Across FIA regions, cost and productivity figures vary because of the cyclical nature of the current inventory system and because of differences among field units in operational methods and ease of access. Rates of indirect costs in FIA field units in 2002, including the effect of fire borrowing, ranged from about 18 to 29 percent across the country (appendix 2), reflecting differences in both sources of funding as well as research station overhead assessment practices. The National Office had a 39-percent rate of indirect cost because its FIA budget includes the USDA overhead assessed to the entire FIA program. Figure 3 shows the total appropriated funding available for FIA from FY 1995 to FY 2002 from all sources, as well as the projected future total funding needed to deliver the base Federal FIA program as planned by FY 2003 and to maintain that program beyond FY 2003.

In FY 2002, FIA program staffing consisted of 401 Federal person-years of effort (appendix 3), up from 375 Federal person-years in FY 2001. The largest changes were in field crew staff (increased from 140 to 156 person-years) and quality assurance staff (increased from 26 to 35 person-years). Otherwise, changes were relatively small and may indicate that our non-field staffing is approaching the strength needed to implement the program over the long run. Of the Federal FIA employees, 56 percent were involved in supervising and collecting field data, 26 percent in analysis and information management, 8 percent in program management and administration, 6 percent in techniques research, and 5 percent in Phase 1 production work (figure 4).

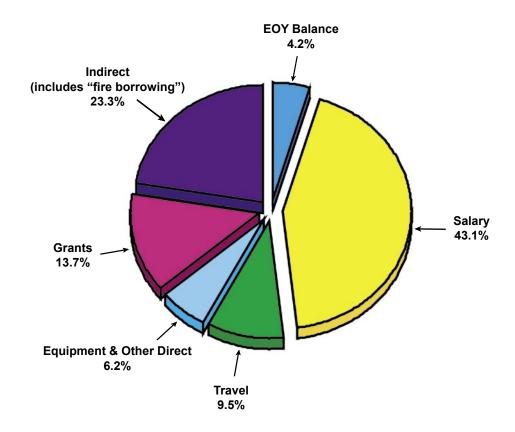


Figure 2. FIA program expenditures, by category, FY 2002.

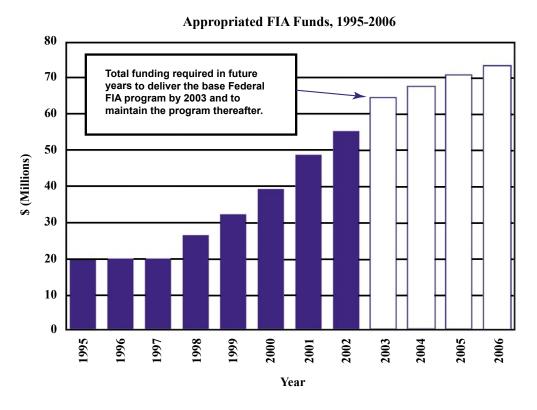


Figure 3. FIA funding level, 1995-2006 (projected).

♦ Partners' Contributions

The complete FIA program required by Congress is envisioned to be a Federal-State partnership, with both partners contributing resources to accomplish the work. We have agreed that the base Federal share of this program is an inventory program that collects data from 10 percent of the sample locations in the Western United States and 15 percent of the sample locations in the Eastern United States on an annual basis, with reports for all States produced at 5-year intervals.

Partners, at their discretion, may choose to contribute the resources needed to bring the FIA program up to the full 20-percent measurement per year described in the law. Additionally, or alternately, partners may choose to contribute resources for other purposes that add value to the FIA program from their perspective, such as intensifying the base FIA sample location grid to support analysis at finer spatial resolution, funding additional types of measurements on FIA sample locations, or providing analyses

or reporting beyond that provided by FIA. The willingness of partners to contribute resources demonstrates the inherent value of the FIA program as a flexible framework upon which to address other issues of interest.

Appendix 4 lists those partners that have chosen to contribute resources to the FIA program in FY 2002, either to achieve the 20-percent program envisioned by Congress or to add value to FIA data in other ways. These resources include staff time, vehicle use, office space, equipment, travel costs, and other noncash items that support or add value to the FIA program. For reporting purposes, contributions are valued in terms of what it would cost the Federal FIA staff to provide the same service, which may not necessarily be the same as the actual cost to the partner making the contribution. Overall, partners contributed \$3,637,267 toward the full 20-percent FIA program envisioned by Congress, and another \$5,018,686 in contributions that add value to the FIA program, for a total of \$8,655,953 in partners' contributions. This amount is an increase

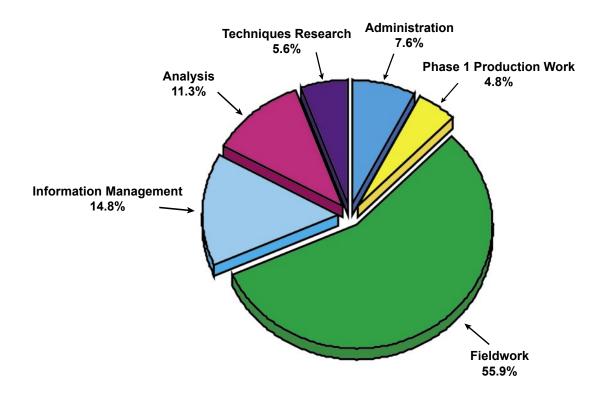


Figure 4. FIA program employees, by job group, FY 2002.

from \$8,020,651 contributed by partners in FY 2001. By far the greatest contributors to the FIA program are State agencies that collectively contributed \$6,911,217 toward the program, accounting for 80 percent of all partners' contributions. Many of our State partners were themselves affected by the fire borrowing situation in 2002, which may account for the slight decrease in funds contributed by States in FY 2002 compared to FY 2001.

♦ FIA Data Availability

The FIA program is designed and intended to provide continuously updated, accurate, and reliable information on the status and trends in the Nation's forested resources. Currency of information is one of the chief interests of FIA customers. Our program objectives include (1) providing annual updates for all forested lands sampled as part of the annual inventory system

and (2) producing complete analytical reports for all U.S. States on a 5-year cycle.

As we move through our transition and toward full program implementation, it is timely to begin measuring how we are satisfying that objective. Figure 5 shows, for each State, the age of FIA data accessible in our public database as of the end of FY 2002. States with 1-year-old data-the program objective-are colored green, States with 2- to 5-year-old data are colored yellow, and States with data more than 5 years old are shown in blue. This map shows that the greatest progress in making current data available has been achieved in the North Central region, along with several Southern and Northeastern States. Relatively few Western States have current data available, reflecting the historically longer inventory cycle in the West as well as the later implementation of annual inventory. The FIA program has increased investments in fieldwork in

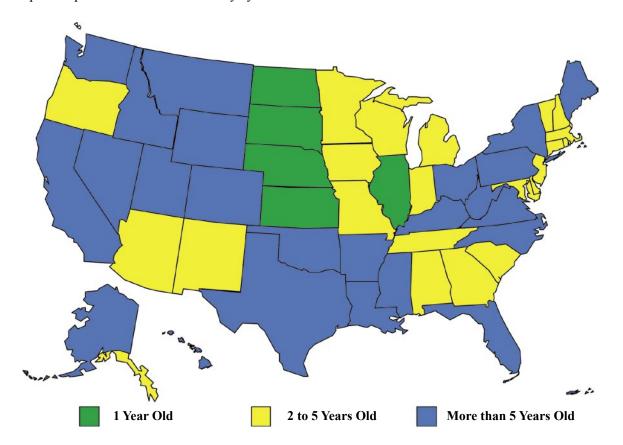


Figure 5. Availability of State FIA data, FY 2002 (age of the most recent data available to the public in online FIA databases).

the West in the last year, and we expect that this trend will begin to change in FY 2003.

Figure 6 shows, for each State, the age of the data reported in the most recently published statewide FIA report. States where publications exist based on data less than 5 years old—the program objective—are colored green. States with publications based on data 5 to 10 years old are colored yellow, and States where the most recent publications report on data more than 10 years old are colored blue. Once again, the East generally has more up-to-date publications due to historically shorter inventory cycles. Several Western States are also green, reflecting the recent publication of inventory reports from periodic inventories. This trend, too, is changing, and we expect to show progress in FY 2003.

Fiscal Year 2002 Regional Highlights

The following section presents general information on the types of activities completed in each part of the country in FY 2002. Those wanting more detailed information on results, accomplishments, and impacts may contact the respective FIA unit (contact information for each FIA unit can be found on the page 22).

♦ Interior West

FY 2002 accomplishments for the Interior West FIA unit include—

☐ Completing periodic inventory fieldwork in Idaho and Wyoming, continuing the annual FIA program in Utah and Arizona, continuing Phase 3 sampling in Nevada, and initiating the complete annual FIA system in Colorado.

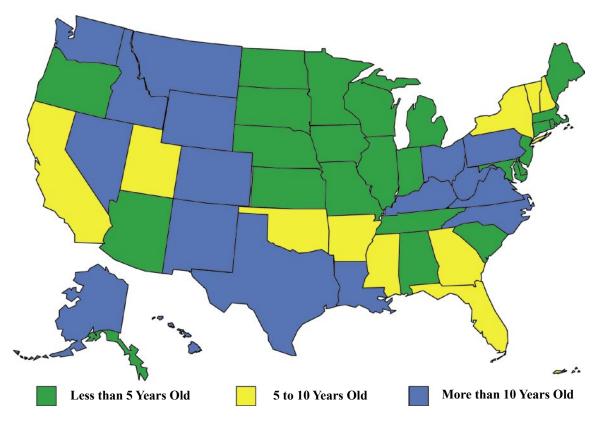


Figure 6. Publication status of State reports, FY 2002 (age of the data used in the latest published FIA report).

- ☐ Measuring 3,843 Phase 2 plots (4 percent of the total for the region) and 781 Phase 3 plots (14 percent of the total for the region) (appendix 1).
- ☐ Producing 7 publications in FY 2002 (a decrease from 14 in FY 2001), including 5 core reports (2 of them specific to individual national forests), 1 peer-reviewed journal article, and 1 proceedings article.
- ☐ Holding one regional user group meeting and one regional management team meeting, continuing to provide national co-leadership for the soil indicator (west) through an agreement with another research unit within the Rocky Mountain Research Station (RMRS), accepting responsibility to house a national information management coordinator, and continuing to provide national leadership and staff to ensure the timely loading of FIA data into NFS information management systems to make FIA data readily available to national forest staff.
- ☐ Conducting collaborative research with the Fishlake National Forest and the U.S. Geological Survey on developing habitat models that predict the presence of cavity nesting birds.

The RMRS also hosts an FIA techniques research unit with a national mission to conduct research on the mathematical statistics of FIA surveys. In FY 2002, this unit produced 12 publications pertaining to the FIA program (an increase over the 8 produced in FY 2001), including 7 peerreviewed journal articles, 4 proceedings papers, and 1 other publication. These publications dealt with the theoretical foundation for the statistical techniques used by FIA, including (1) techniques that improve efficiency and incorporate remotely sensed data, (2) scientific methods to infer the cause for changes in forest health, (3) analyses of forest health with FIA data, and (4) methods for the global inventory of tropical forests by the United Nations. The techniques research unit collaborated with the State of Minnesota and USDA's Natural Resources Conservation Service to evaluate integration of FIA data with those

from the National Resources Inventory (NRI). The techniques research unit also continued their work facilitating a multi-institutional partnership to improve forest inventory in the State of Jalisco, Mexico.

♦ West Coast

FY 2002 accomplishments for the Pacific Northwest FIA unit include—

- ☐ Completing periodic inventory fieldwork in coastal Alaska and American Samoa, continuing annual inventory in Oregon and California, and initiating annual inventory fieldwork in Washington. The completion of the coastal Alaska inventory led to the description of seven forest and one nonforest vegetation types not shown in previous studies of Alaskan vegetation types.
- ☐ Measuring 4,066 Phase 2 plots (10 percent of the regional total outside of interior Alaska) and 419 Phase 3 plots (17 percent of the regional total outside of interior Alaska) (appendix 1).
- □ Producing 28 publications in FY 2002 (an increase over the 19 produced in FY 2001), including 2 core reports for western Oregon and 8 peer-reviewed publications.
- ☐ Holding two user group meetings and three regional management team meetings, continuing to provide national leadership for the understory vegetation indicator, and providing partial year national leadership for the down woody material indicator.
- ☐ Conducting research on remote sensing applications for collecting data from inaccessible areas, fire hazard and fire history in the wildland-urban interface, patterns and importance of down woody material in northwestern forests, and the development of an interactive tool for assessing the economic feasibility of forest treatment opportunities to reduce fire risk.

♦ North Central

FY 2002 accomplishments for the North Central FIA unit include—

- ☐ Continuing annual inventory fieldwork in all States—Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, South Dakota, and Wisconsin. The North Central FIA unit is still the only unit to be implemented in 100 percent of its region.
- ☐ Measuring 16,142 Phase 2 plots (21 percent of their regional total) and 1,000 Phase 3 plots (21 percent of their regional total) (appendix 1).
- □ Producing 40 publications in FY 2002 (an increase from 26 in FY 2001), including 6 State resource reports based on annual inventory data, 5 State timber product output reports, 7 peer-reviewed journal articles, and 15 proceedings papers.
- ☐ Participating in one regional user group meeting and one regional management meeting.
- □ Researching topics, including imputation and model-based updating techniques for annual forest inventories, diameter growth models using Minnesota forest inventory and analysis data, and incorporation of satellite imagery into forest inventory estimation.
- □ Continuing national co-leadership, along with the Northeastern FIA unit, for the National Forest Land Ownership Study; continuing to develop and manage the Resource Planning Act (RPA) database and develop and house national FIA Web applications for serving FIA data to users; continuing to provide national co-leadership for the soil indicator; and accepting responsibility for providing national leadership for down woody material. The North Central FIA unit also led a national assessment of forest biomass with focus on potential forest fire fuels in the wildland-urban interface.

♦ Northeastern

FY 2002 accomplishments for the Northeastern FIA unit include—

- ☐ Completing periodic fieldwork in West Virginia, the final State inventoried in the Northeast under the periodic system. The Northeastern FIA unit continued annual FIA operations in Maine, Pennsylvania, and Ohio, and initiated annual FIA inventories in New York and New Hampshire. The Northeastern FIA unit also continued Phase 3 data collection in all these States plus Connecticut, Delaware, Maryland, Massachusetts, New Jersey, Rhode Island, and Vermont.
- ☐ Measuring 3,247 Phase 2 plots (13 percent of the regional total) and 321 Phase 3 plots (20 percent of the regional total) (appendix 1).
- □ Producing 27 publications in FY 2002 (an increase from 19 in FY 2001), including 14 State resource reports, 4 peer-reviewed journal articles, and 4 proceedings papers.
- ☐ Collaborating with the Maine Forest Service to complete the third annual analysis of annual inventory data collected under the new FIA system, which was released in October of FY 2002.
- ☐ Holding one regional management team meeting and no user group meetings.
- ☐ Continuing its pioneering research into geostatistical methods for producing localized estimates and maps of forest attributes at State and regional scales and continuing to coordinate the agreement to provide national support for the ozone indicator.
- ☐ Continuing to provide national leadership, along with the North Central FIA unit, for the National Forest Land Ownership Study and for internal technical coordination within the FIA program.
- ☐ Continuing to support an assessment of the Delaware River Basin in collaboration with the Northern Global Change Research Program and the National Forest Health Monitoring Program.

☐ Accepting responsibility to house a National Geospatial Service Center to assist external FIA customers in working with FIA data in a spatial context.

♦ Southern

FY 2002 accomplishments of the Southern FIA unit include—

- ☐ Continuing periodic inventory fieldwork in North Carolina and continuing annual inventory fieldwork in Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, South Carolina, Puerto Rico, Tennessee, Texas, and Virginia.
- ☐ Measuring, in collaboration with its partners, a total of 9,999 Phase 2 plots (11 percent of the regional total) and 646 Phase 3 plots (12 percent of the regional total) (appendix 1).
- □ Producing 42 publications in FY 2002 (an increase from 20 in FY 2001), including
 7 State resource reports, 10 State timber product output (TPO) reports, and 17 proceedings articles.
- ☐ Attending or hosting one regional user group meeting and hosting two regional management team meetings.
- ☐ Conducting research on methodologies for predicting forest area for large-area research monitoring based on multi-spectral satellite data, as well as statistical methods and estimators for the annual inventory system that are applicable for both measured and modeled data.
- ☐ Entering into or continuing funding of a number of cooperative ventures with university scientists and other stations on topics including tests of vegetative diversity measures, forest loss associated with urbanization, and the use of satellite imagery in forest inventory.
- ☐ Developing Web-based tools for tracking fieldwork and demonstrating the use of digital orthophoto quads (DOQ) and aerial surveys to identify nonforest plots in west Texas, saving significant field costs through more efficient sampling.

♦ National Office

The National Office of the FIA program coordinates, oversees, and guides the FIA field units engaged in implementing the enhanced FIA program. In FY 2002, National Office staff—

- ☐ Produced 11 publications (2 more than produced in FY 2001), including portions of 2 national assessments, 1 peer-reviewed article, and 5 brochures related to the 1997 Resource Planning Act Assessment.
- ☐ Organized, facilitated, and documented three FIA management team meetings and dozens of briefings for internal and external partners, customers, collaborators, and supporters.
- ☐ In collaboration with the Society of American Foresters (SAF), participated in the fourth national user group meeting for FIA customers, held in Alexandria, Virginia.
- □ Participated in several international workshops focusing on global forest resource assessment and reporting and led the drafting of the forest chapter for the USDA Forest Service's 2003 Sustainability Report.

Geospatial Service Center

In FY 2002, in response to growing demand by FIA customers for access to spatial data, we created a new Geospatial Service Center housed within the Northeastern FIA unit in Newtown Square, Pennsylvania. The center is intended to provide one-stop shopping for FIA customers needing access to FIA sample location information that, by law, cannot be made generally available to the public. The center will work with customers to help define their precise needs in terms of precision and area of interest; will do data retrievals, extractions, and overlays necessary to accomplish the task; and will provide output products to the customer that address the customer's needs without violating the ownership privacy requirements. For more information about the center, including operating procedures and instructions on placing requests, please go

to the center's Web site at http://www.fs.fed.us/ne/fia/spatial/index_ss.html. Priority is given to requests that span multiple FIA unit boundaries.

In FY 2002, the center received 29 and completed 19 requests for data and collaboration. Requests fell into three broad classes: (1) summaries of FIA plot data for user-supplied strata (for example, a watershed basin within a State); (2) imagery analysis (for example, accuracy assessments of user-supplied forest/nonforest maps derived from satellite imagery); and (3) GIS/spatial data extractions where FIA data are overlaid on a user-provided coverage in order to classify FIA plots. The center's largest customer group was other FIA units, accounting for 32 percent of all requests. Requests also came from academic researchers (28 percent), other USDA Forest Service customers (17 percent), other State customers (10 percent), other Federal customers (10 percent), and nongovernmental organizations (3 percent). Only 28 percent of the requests in FY 2002 spanned more than a single FIA unit. As the

center becomes more widely known, the percent of external customers and percent of requests spanning multiple FIA units should increase.

Grants and Agreements

Each year, FIA units enter into various grants and cooperative agreements with partners to accomplish specialized work in support of the FIA mission. In some cases, partners provide expertise that is not available within the FIA program; in other cases, they share the workload. Appendix 5 lists 53 grants and agreements funded in FY 2002, comprising \$7,631,164, or approximately 14 percent, of the total available FIA program budget. This amount is a decrease compared to the total expenditure of \$8,855,673 awarded in grants in FY 2001. This shortfall is due to the effects of fire borrowing in the summer of 2002, which unexpectedly prevented us from finalizing approximately 15 grants and agreements that had been negotiated and drafted

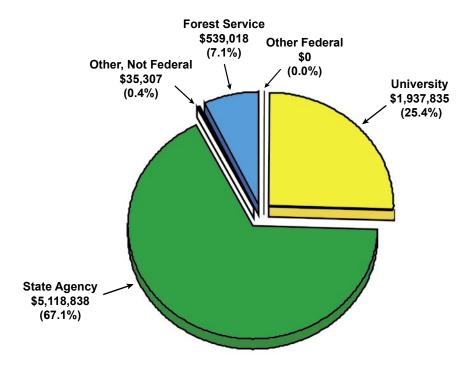


Figure 7. Grants and Agreements, by recipient group, FY 2002.

but not completed. Most of the funded grants and agreements were with State agency (67 percent of funds) and university partners (25 percent of funds) (figure 7). Other cooperators included other USDA Forest Service offices (7 percent of funds) and other Federal agencies (1 percent of funds). The major purpose for the grants was for collaboration in data collection and for research in techniques development. In the future, grants and agreements will increasingly be used to augment FIA staff capacity in the analysis and reporting of annual FIA data for individual States. We will work hard to finalize grants earlier in the fiscal year to avoid the risk of missed opportunities.

Consultations by FIA Staff

Consulting with FIA customers is a growing part of our business. Just as we have increased information (both data and analyses) made available on our Web site, we are increasingly available for customers that contact us seeking either to understand more about the FIA program and our results or seeking to address a specific question not obviously addressed through other means. Questions pertaining to a single administrative unit (for example, to a single State or to a single national forest) often are referred to partners (for example, State foresters, national forest analytical staff) within that administrative unit who can often provide better context and who prefer to maintain their contacts with their customers. When questions span multiple administrative units, we will try to the best of our ability to help the customer find an answer. We do not compete with private sector consultants; rather, we answer questions about our methods and help customers (including private consultants) use FIA data to answer their own questions. Appendix 6 shows the number of significant consultations that FIA staff provided in FY 2002, by unit and by type of customer. A significant consultation is defined as any dialogue with a customer outside of FIA that requires more than a single hour to address. Altogether, FIA staff addressed 1,026 significant consultations requiring 3,675 staff hours to complete-equivalent to almost two full-

time staff-years. More than half of the time and approximately one-third of the consultations were conducted with other Federal, State, and local government agencies, in addition to having internal discussions within the USDA Forest Service. Other major client groups included academic clients (approximately 20 percent of the consultations and 19 percent of the time), industry (22 percent of the consultations and 14 percent of the time), and nongovernmental organizations (8 percent of the consultations and 5 percent of the time). The data also show some regional variations. For example, industrial customers are the major clients of the Southern FIA unit, while government organizations (largely State agencies) are the major client for other FIA regional units.

FIA-National Forest Collaboration

In FY 2002, the Deputy Chief for Research and Development and the Deputy Chief for National Forest System (NFS) signed an internal memorandum of understanding providing for permanent inclusion of all NFS lands within the FIA program. This was a significant step forward for FIA customers, guaranteeing the availability of consistent FIA information across the entire United States, including all NFS lands. Under the terms of the negotiated agreement, the NFS provides permanent funding to help cover the cost of the FIA program on national forest lands. In return, the FIA program agrees to implement the program in a consistent manner with inventory on other lands within the same State and to load FIA data into the NFS land information base for use in forest planning and other strategic scale assessments. FIA will also prepare standardized reports specific to individual national forests, provide advice and assistance in developing forest-level sampling protocols linked to FIA. and collaborate with national forests that want to contribute additional resources for additional sampling. Table 1 on page 14 highlights some of the expectations outlined in the agreement and the degree to which the agreement is being satisfied.

Table 1. FIA-National Forest System collaboration.

Criterion	R1	R2	R3	R4	R5	R6	R8	R9	R10
Percent of national forest land covered by annual FIA	6	76	54	25	100	100	78	88	0
Percent of national forest FIA data loaded into FSVeg*	12	0	0	0	0	0	0	0	0
Did region staff participate in FIA management?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Informed of plans for fieldwork within region?	Yes	Partly	Yes	Yes	Partly	Partly	Yes	Yes	Yes
Satisfied with opportunities for enhancing FIA to better meet regional needs?	Yes	Partly	Yes	Partly	Yes	Yes	Not currently an issue	Yes	Yes
Satisfied with technical support and consultation from FIA?	Yes	Yes	Yes	Yes	No	Too early to tell	Yes	Yes	Yes

^{*}FSVeg is the Field Sampled Vegetation module of the Natural Resource Information System. This is the primary vehicle for making inventory data available to NFS staff.

In FY 2002, in response to concerns expressed by several NFS regions about data consistency, the FIA program reached an internal agreement to ensure that NFS lands would receive consistent data across all lands within their administrative region, even when that region is covered by two or more FIA units. FIA identified all such situations where regions were serviced by multiple FIA units, and FIA regional managers will be responsible to do whatever coordination is needed to deliver a consistent set of data across all NFS lands. We also completed an internal Memorandum of Understanding between FIA and NFS describing use of sample plot location data on NFS lands to support land management and planning purposes. We also continued development of software to load FIA data from

national forests into FSVeg (Field Sampled Vegetation module of the National Resource Information System), the corporate standard database for national forest staff, and expect to begin populating the NFS database in 2003.

Based on feedback from the nine NFS regions, FIA in general is meeting the needs of NFS partners. Some additional work is required in the western regions in the areas of coordinating fieldwork as well as in defining and collecting a consistent set of regional variables on NFS lands to meet NFS needs. More effort needs to be made in getting FIA data from NFS lands into the hands of NFS staff. FIA will work on these issues in FY 2003.

Comparing FY 2002 FIA Accomplishments with FY 2001 Plans

In the FY 2001 business report for FIA, we included a section stating our plans for FY 2002. Table 2 on page 16 shows how our actions in FY 2002 matched our plans in FY 2001.

Fiscal Year 2003 FIA Program Direction

The FIA program initially intended to implement the Strategic Plan for Forest Inventory and Monitoring, with an initial focus on achieving a base Federal program of 10 percent per year in the Western United States and 15 percent per year in the Eastern United States by FY 2003. We have made significant changes to our program by forming a partnership with State foresters to

lead and implement the FIA program in a more responsive manner, and we are implementing annual inventory systems in every region of the country. Unfortunately, while funding for the FIA program has increased over the past several years, it has not increased sufficiently to allow full program implementation as scheduled in 2003. We continue to be optimistic that we will achieve the target level of funding by 2004 or 2005 and will, at that time, achieve full program implementation (see figure 3, page 5).

In FY 2003, in order to continue progress toward full program implementation, we intend to accomplish the following:

☐ Continue transition to an annual inventory system by continuing annual inventories on all forested lands in all current States and initiating an annual inventory system in coastal Alaska, Connecticut, Massachusetts, Montana, Rhode Island, and Vermont (figure 8). This change will mean that an annual

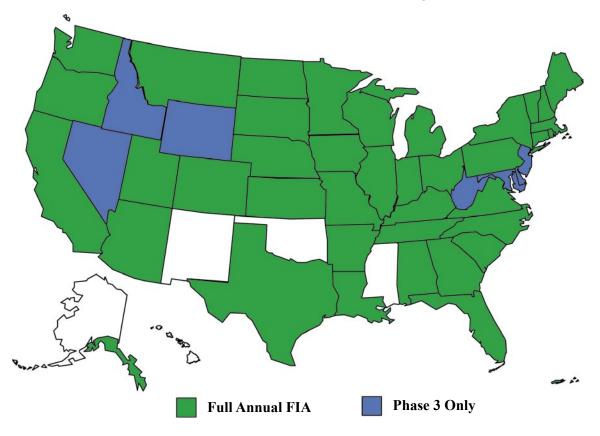


Figure 8. Planned FIA implementation status, FY 2003.

Table 2. Comparison of planned versus actual accomplishments, 2002.

In the FY 2001 business report, we said that in FY 2002 we would—	In FY 2002, we—
Continue annual inventories in all current States and initiate an annual inventory system in Colorado, New Hampshire, New York, and Washington.	Implemented and continued annual FIA in all States listed, expanding coverage from 65 percent to 76 percent of the lower 48 States.
Continue traditional, periodic inventories to establish a baseline in advance of implementing an annual inventory in coastal Alaska, Idaho, North Carolina, West Virginia, and Wyoming.	Completed traditional, periodic inventories in coastal Alaska, Idaho, West Virginia, and Wyoming, and continued periodic inventory work in North Carolina. North Carolina will be the last State to have a periodic inventory.
Continue developing and documenting nationally consistent compilation, analysis, and database management procedures, including initiating work on Version 2.0 of the national core field guide.	Initiated work on the national core field guide (Version 2) as planned; continued development of the National Information Management System, with a target release date of early 2003.
Complete development and testing of vegetative diversity measures to prepare for full implementation in FY 2003.	Continued development and testing of vegetative diversity measures, but deferred full implementation to FY 2004 due to incomplete data handling procedures.
Continue collaborative stewardship of the FIA program by holding user group meetings in all regions of the country and at the national level, holding regional management team meetings in all regions of the country, and holding one scientific symposium on FIA.	Four of five regional FIA units plus the National program office held user group meetings; all five units held at least one management team meeting; sponsored one FIA science symposium in conjunction with the Midwest Mensurationists annual meeting.
Continue to make our data more accessible and usable by adding analytical tools and program documentation to online FIA databases and web pages.	Continued to develop and refine web-based tools for analyzing FIA data. Loaded the first panels of annual inventory data onto the national FIA web site.
Continue to conduct applied research into ways of using technology to increase program efficiency, develop new products to meet customers' needs, and collaborate with the U.S. Geological Survey and other agencies through the Multi Resource Landscape Characteristics (MRLC) consortium.	Invested \$1,919,419 of program staff budget (3.4 percent) and 22.5 full-time employees (FTEs) of our staff (5.6 percent) in techniques research; funded approximately 26 grants for techniques development. Continued participation in the MRLC consortium.
Implement FIA on all national forest lands in States where FIA is conducting fieldwork.	FIA is being implemented on all national forest lands in States where FIA is implemented. NFS coverage nationwide in 2002 is 54 percent, up from 41 percent in 2001.

inventory is implemented in every region of the country and will include coverage of more than 71 percent of the Nation (including interior Alaska) under a cooperative program involving full Federal-State partnerships in program management and delivery.

- ☐ Continue developing and documenting nationally consistent compilation, analysis, and database management procedures, including release of Version 2.0 of the national core field guide, release of Version 1 of the National Information Management System (NIMS), and initiation of work on Version 2 of NIMS that will include Phase 3 plot data and regionally collected data.
- ☐ Complete development and testing of vegetative diversity measures to prepare for full implementation in FY 2004.
- ☐ Continue collaborative stewardship of the FIA program by holding user group meetings in all regions of the country and at the national level, holding regional management team meetings in all regions of the country, and holding one scientific symposium on FIA.
- ☐ Continue to make our data more accessible and usable by adding analytical tools and program documentation to online FIA databases and Web pages.
- ☐ Continue to conduct applied research into ways of using technology to increase program efficiency, develop new products to meet customers' needs, and collaborate with partners to reduce program costs and increase the scope of products offered. Develop a prototype set of core map products based on FIA data.
- ☐ Complete the initial loading of FIA core data into national forest information management systems in order to make FIA data widely available to NFS customers and initiate the development of loader programs to load FIA regional data.

Long-Term Strategic Direction

The Government Performance and Results Act (GPRA) of 1993 directs Federal entities to develop long-term goals and performance measures to monitor progress toward those goals. Although intended to apply at the agency level, the GPRA framework provides an excellent tool for guiding progress at the project level as well. The tabulation on page 18 shows the key goals, performance measures, benchmarks, and targets for the FIA program for 2000-2002. In future business reports, we will repeat this table to show how we are progressing toward our goals. In FY 2002, we are adding a new performance measure: percent of national forest land for which FIA data are loaded into the National Resource Information System (NRIS). NRIS is the national application for making resource data available to national forest managers.

Conclusions

We continue to operate in a new era of partnership and collaboration in which Federal and State agencies and other colleagues work side by side to plan, manage, implement, and continuously improve the FIA program. We are gathering and disseminating information on a wider array of ecological attributes, while continuing to serve our traditional customers who require timely information on forest resources. We are increasing the timeliness of our surveys and of our reporting to provide a continuously updated, publicly accessible information base that includes meaningful reports and analyses, as well as elemental data for others to use. We are exploring and using modern technology to expand the scope of our products and to deliver them more efficiently. And we are openly reporting on our progress, our accomplishments, our successes, and our challenges.

In summary, we are committed to working collaboratively with our partners to deliver the best program possible with the resources that we

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Goal	Performance Measure	2000 Level	2001 Level	2002 Level	Target Level
INPUTS					
Maintain sufficient funding to support the base Federal FIA program	Percent of necessary Federal funding received	67	81	79	100
OUTPUTS					
Include 100 percent of U.S. forestlands in the FIA sample population	Percent forest included in the target FIA sample population	95	100	100	100
Keep fieldwork current	Percent of base Phase 2 sample locations visited/year: East West	9.0 3.0	14.6 6.8	15.5 6.1	15 10
Make data accessible to national forest customers	Percent of national forest land for which FIA data are loaded into NRIS	N/A	0	2	100
OUTCOMES					
Keep analysis current	Average number of years between State analytical reports	8	8	7	5
Keep online database current	Average age (years) of most recent update of FIA data available online	7	6	7	1
Customer satisfaction	Percent of customers rating service as "satisfactory" or better	*	84	89	100
	Partner financial contributions expressed as percent of total Federal FIA budget	19	16	16	25

have at our discretion. We hope this report gives you a window into the business practices of the FIA program, and we encourage you to help us improve the program with your feedback.

Glossary of Terms Used in Appendixes

- Base Federal FIA program. A level of FIA program delivery that includes sampling 10 percent of base grid Phase 2 plots per year in the Western United States, 15 percent of base grid plots per year in the Eastern United States, and 20 percent of Phase 3 plots nationwide, with data compiled and made available annually and complete State analyses done every 5 years.
- Base grid plots sampled. The base grid consists of one sample location per approximately 6,000 acres (Phase 2) and one location per approximately 96,000 acres (Phase 3). Some partners chose to intensify beyond the base grid.
- Core reports. A class of publications that summarizes forest status and trends for a complete administrative unit, such as a whole State or a national forest. Examples include survey unit reports, State statistical or analytical reports, or national forest reports.
- **Direct expenses.** All expenses directly attributable to the FIA unit incurred as a part of doing FIA business. Excludes indirect business costs (such as rent, telephones, and administrative overhead outside the FIA unit staff), which are included below in *Effective indirect expenses*. Includes work done for other units as a normal part of FIA business. Includes the following items:
 - **Salary.** Includes direct salary costs plus benefits charged to the FIA unit. Broken into the following categories:
 - **Administration.** Program manager, project leader, and clerical staff.
 - **Phase 1 production.** Aerial photo interpreters, satellite image analysts engaged in Phase 1 stratification.
 - **Field support.** Field crew supervisors who spend less than 50 percent of their time measuring plots; others involved in supporting and coordinating field crews.
 - **Field crews.** All staff spending at least 50 percent of their time measuring regular plots.
 - **QA crews.** All staff spending at least 50 percent of their time doing quality assurance fieldwork.

Direct expenses (cont.)

Salary (cont.)

- **Information management.** Programmers, data compilers, computer system support staff.
- **Analysts.** Staff who analyze data and write publications.
- **Techniques research.** Mainly research staff who conduct FIA-related research on methods and techniques.
- *Travel.* Broken into the following categories:
 - **Office travel.** Travel costs for all staff except field crews and QA crews.
 - **Field/QA travel.** Travel costs for field crews and QA crews.
- **Equipment.** Costs for durable goods used for FIA. Includes the following:
 - **Imagery.** Aerial photos, satellite imagery data files.
 - **Vehicles.** All vehicle costs, including such items as operating costs, depreciation, and leases.
 - **Field equipment.** Measurement tools and equipment, such as data recorders carried by field crews.
 - **Computer/telecommunications.** Computer hardware, software, communications costs.
 - **Other.** Anything that does not fit into one of the above equipment categories.
- *Grants and Agreements*. Cost of cooperative grants and agreements that directly support the FIA mission.
- **Publications.** Costs for laying out, editing, printing, and distributing publications.
- *Miscellaneous.* Anything that does not fit into one of the above categories.
- Effective indirect expenses. Effective indirect expenses include items such as research station management salaries, telephones, utilities, copying, and other items that the FIA unit does not pay for directly but which station leaders deem to be a fair and reasonable assessment for the basic services needed to support FIA along with other station activities. Each station has its own means for determining these assessments. Rather than reporting the different rates, we simply calculate the *Effective Indirect Expenses* item by subtraction:
 - Effective Indirect Expenses = (Total Available Funds) (Total Direct Expenses + End of Year Balance)

- **Effective indirect rate.** Effective Indirect Expenses divided by Total Available Funds. This is not necessarily the same as the standard station overhead rate; instead, this rate reflects the total indirect cost as a fraction of the total funds available to FIA.
- **FHP.** Forest Health Protection is an account created by Congress within the State and Private Forestry portion of the USDA Forest Service budget to protect the health of the Nation's forests. FHP provides some financial support to the FIA program.
- **FRIA.** Forest Resource Inventory and Assessment is an account created by Congress within the State and Private Forestry portion of the USDA Forest Service budget to provide funds to support FIA.
- **FY 2002 EOY balance.** Funds reported in the FY 2002 business report as unspent at the end of the 2002 fiscal year and presumably available for use in FY 2003.
- Management meetings held. Number of national or regional management team meetings held by each FIA unit. A management team for each FIA region consists of partners who are sharing in the funding and implementation of the FIA program. This group typically consists of representatives from the FIA unit, NFS regional offices, S&PF offices, and State forestry agencies.
- **NGO.** Nongovernmental organizations are a class of customers with whom FIA staff are asked to consult. Includes environmental organizations, professional societies, and other generally not-forprofit organizations.
- **NIPF.** Nonindustrial private forest land owners are private individuals or organizations that own forest land for purposes other than industrial operations.
- **Percent of full funding.** Total available funds divided by the funding needed to fully implement the base Federal program.
- Percent of region covered by annual FIA. Sum of forested acres in States currently implementing annual FIA divided by the total number of forested acres in each FIA region. A measure of the degree to which the FIA region has moved from periodic to annual inventory.

- **Percent of total plots sampled.** Total number of base grid plots sampled divided by the total number of plots in the base grid.
- **Phase 1.** Stratification of the land base into forested and nonforested classes using remotely sensed imagery (aerial photographs or satellite imagery). Done to increase the efficiency of fieldwork and estimation.
- **Phase 2.** A set of sample locations, approximately one for every 6,000 acres of land, measured for basic mensurational forest attributes.
- **Phase 3.** A subset of Phase 2 sample locations, approximately one for every 96,000 acres of land, measured for a more extended suite of ecosystem attributes, including tree crown condition, lichen community diversity, soil data, and down woody debris.
- **Publications.** Number of publications per unit, by type of publication, as reported in official agency attainment reports. Publications are one of the major outputs of the FIA program. Types of publications include the following:
 - *Core Reports.* A report pertaining to reporting inventory results for a complete geographic entity. Includes:
 - **National Forest Reports.** A complete analysis for a single national forest.
 - **State Resource Reports.** A complete statistical or analytical summary of the forested resources within a single State.
 - **State Timber Product Output (TPO) Reports.** A complete analysis of TPO data for a single State.
 - **Regional Reports.** A report for a group of States or other contiguous unit larger than a single State, such as a regional assessment.
 - National Report. A report for the entire Nation, such as the Resource Planning Act (RPA) report.
 - **Peer-reviewed Journal Articles.** An article appearing in a refereed or peer-reviewed journal.
 - **Proceeding Papers.** An article appearing in the proceedings from a meeting or symposium.
 - Other Station Publications. A manuscript published by the USDA Forest Service, e.g., a General Technical Report (GTR).

Publications (cont.)

- Other. Publications that do not fit into any of the above categories, such as abstracts, books, or other Government publications.
- **Significant consultations.** Cases in which an FIA staff person spent at least 1 hour in discussion, analysis, or research to address a specific question or need raised by an external FIA program customer.
- Total available funds. Total funds available for delivering the FIA program, including funds appropriated by Congress for the FIA program, other funds made available by USDA Forest Service partners, and previous year carryover funds. This is a measure of Federal funding for the base Federal program.
- User group meetings held. Number of user group meetings sponsored or attended by each FIA unit. A user group meeting is an open meeting in which a complete, regional cross-section of FIA partners and customers are invited to attend. User group meetings differ from the usual smaller meetings with one or two partners that all FIA units call as a normal course of business.

Points of Contact

For information about the status and trends of America's forests, please contact the appropriate office below:

Northeast

Program Manager, FIA USDA Forest Service Northeastern Research Station 11 Campus Boulevard, Suite 200 Newtown Square, PA 19073 (610) 557–4075

South (includes Puerto Rico and the U.S. Virgin Islands)

Program Manager, FIA USDA Forest Service Southern Research Station 200 Weaver Boulevard Asheville, NC 28802 (828) 257–4309

Pacific Northwest (includes Alaska, Hawaii, and the Pacific Islands)

Program Manager, FIA USDA Forest Service Pacific Northwest Research Station 620 SW Main St., Suite 400 Portland, OR 97205 (503) 808-2066

National Office

Forest Inventory National Program Leader USDA Forest Service 1NW 1601 North Kent Street, Suite 400 Arlington, VA 22209 (703) 605–4177

All of the regional Internet home pages, as well as a wealth of statistical and other information, are available through the national FIA home page located at **fia.fs.fed.us**.

North Central

Program Manager, FIA USDA Forest Service North Central Research Station 1992 Folwell Avenue St. Paul, MN 55108 (651) 649–5139

Interior West

Program Manager, FIA USDA Forest Service Rocky Mountain Research Station 507 25th Street Ogden, UT 84401 (801) 625–5388

Statistical Techniques

Project Leader, FIA USDA Forest Service Rocky Mountain Research Station 2150 Centre Avenue, Bldg. A, Suite 350 Fort Collins, CO 80526-1891 (970) 295–5973

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Appendixes

Appendix 1. Performance measures for the Fiscal Year 2002 FIA program.

	Pacific Northwest	Interior West	Southern	North Central	North East	Fort Collins	National Office	Total											
Total Available Funds in FY 2002	\$12,262,914	\$9,519,414	\$15,559,774	\$6,873,357	\$8,160,855	\$429,216	\$3,114,300	\$55,919,830											
Total Appropriated Funds, FY 2002	\$10,614,000	\$7,713,000	\$14,332,000	\$6,310,000	\$7,010,000	\$439,000	\$4,105,000	\$50,523,000											
Percent of Full Funding	62%	73%	90%	95%	78%	98%	101%	79%											
Contributions from Partners																			
Supporting the 20% FIA Program	\$198,342	\$292,440	\$1,603,115	\$1,079,752	\$428,368	\$33,000	\$2,250	\$3,637,267											
Value-added Contributions	\$1,377,937	\$177,911	\$0	\$3,201,438	\$261,400	\$0	\$0	\$5,018,686											
Base Grid Plots Sampled:																			
Phase 2, Forested	1,807	1,651	6,070	3,224	2,075			14,827											
Phase 2, Nonforested	2,259	2,192	3,929	13,021	1,172			22,573											
Total Phase 2 Plots	4,066	3,843	9,999	16,245	3,247			37,400											
Phase 3, Forested	209	247	405	207	213			1,281											
Phase 3, Nonforested	210	534	241	793	108			1,886											
Total Phase 3 Plots	419	781	646	1,000	321			3,167											
TOTAL BASE PLOTS	4,485	4,624	10,645	17,245	3,568			40,567											
Number of Quality Assurance Plots																			
Phase 2 (Forest + Nonforest)	192	367	581	187	422			1,749											
Phase 3 (Forest + Nonforest)	20	60	40	10	10			140											
TOTAL QA PLOTS	212	427	621	197	432			1,889											
Percent of Total Plots Sampled(1)																			
Phase 2 (10% West, 15% East)	10	4	11	21	13			12											
Phase 3 (20% overall)	17	14	12	21	20			16											
Percent Region Covered by Annual FIA(1)	85	43	88	100	71			76											
Publications																			
National Forest Reports	0	2	0	0	0	0	0	2											
State Resource Reports	1	1	7	6	14	0	0	29											
State TPO Reports	1	2	10	5	0	0	0	18											
Regional Reports	0	0	1	1	1	0	0	3											
National Reports	0	0	0	0	0	0	0	0	0				0	0	0	0	0	3	3
SUBTOTAL - CORE REPORTS	2	5	18	12	15	0	3	55											
Peer-Reviewed Journal Articles	8	1	0	7	4	7	1	28											
Proceedings Articles	7	1	17	15	4	4	0	48											
Other Station Publications	7	0	2	3	2	0	0	14											
Other Publications	4	0	5	3	2	1	7	22											
TOTAL - ALL REPORTS	28	7	42	40	27	12	11	167											
# Publications per Federal FTE	0.36	0.07	0.47	0.61	0.40	3.67	4.89	0.42											
Consulting Activities																			
Number of Significant Consultations Total Hours of Significant Consultations	88 453	47 535	527 655	159 636	167 314	24 1,005	14 77	1,026 3,675											
User Group Meetings Held	2	1	1	1	0	0	1	6											

⁽¹⁾ Excludes interior Alaska, Hawaii, and Pacific Island territories which will be handled through special projects

Appendix 2. Financial statement for the Fiscal Year 2002 FIA program.

	Pacific Northwest	Interior West	Southern	North Central	North East	Fort Collins	National Office	Total
. AVAILABLE FUNDS								
Reported 2001 EOY Balance	\$229,600	\$250,000	\$941,774	\$0	\$73,200	(\$9,784)	\$0	\$1,484,790
Adjustments	1,274,314	477,414		23,657	636,655			2,412,040
Available 2001 EOY Balance	\$1,503,914	\$727,414	\$941,774	\$23,657	\$709,855	(\$9,784)	\$0	\$3,896,830
2002 Appropriated Funds								
Research	\$6,830,000	\$4,150,000	\$12,004,000	\$5,389,000 \$5,761,000		\$439,000	\$1,925,000	\$36,498,000
National Forest System	2,787,000	2,595,000	385,000	366,000	67,000	0	0	\$6,200,000
State and Private-FRIA	997,000	968,000	1,743,000	390,000	917,000	0	0	\$5,015,000
State and Private-FHP	0	0	200,000	165,000	265,000	0	2,180,000	\$2,810,000
Chief's Emergency Funds	\$0	\$950,000	\$400,000	\$0	\$150,000	\$0	\$0	\$1,500,000
National Responsibilities	\$174,000	\$0	\$0	\$93,000	\$376,000	\$0	(\$643,000)	\$0
Inter-Unit Exchanges	(\$29,000)	\$129,000	(\$114,000)	\$446,700	(\$85,000)		(\$347,700)	\$0
TOTAL AVAILABLE FUNDS	\$12,262,914	\$9,519,414	\$15,559,774	\$6,873,357	\$8,160,855	\$429,216	\$3,114,300	\$55,919,830
I. DIRECT EXPENSES								
Salary								
Administration	\$253,624	\$429,907	\$467,855	\$231,409	\$320,609	\$50,268	\$251,432	\$2,005,104
Phase 1 Production	44,000	140,097	223,966	198,228	53,878	0	0	\$660,169
Field Support	458,231	491,209	474,879	144,516	585,237	0	0	\$2,154,072
Field Crews	2,758,877	1,646,007	872,870	1,236,834	947,926	0	22,602	\$7,485,116
QA Crews	487,791	549,231	622,700	161,574	416,722	0	0	\$2,238,018
Information Management	738,613	923,558	1,386,360	642,068	533,097	0	0	\$4,223,696
Analysis	833,722	300,663	1,219,826	619,705	465,588	0	0	\$3,439,504
Techniques Research	525,065	142,692	316,514	277,681	431,158	226,309	0	\$1,919,419
Travel								
Office Travel	350,974	144,700	471,723	103,978	174,361	21,132	33,772	\$1,300,640
Field/QA Crew Travel	1,144,432	1,302,143	971,026	241,523	325,844	0	48,621	\$4,033,589
Equipment								
Imagery	33,131	30,721	10,000	530	170,000	0	0	\$244,382
Vehicles	432,449	280,345	141,542	130,540	270,838	0	0	\$1,255,714
Field Equipment	218,496	48,356	103,116	39,469	15,187	0	0	\$424,624
Computer/ Telecommunications	222,619	160,908	216,691	45,767	188,190	1,631	0	\$835,806
Other	66,589	185,710	70,000	78,447	55,000	0	5,199	\$460,945
Grants and Agreements	404,490	893,673	3,214,463	710,433	960,179	0	1,447,926	\$7,631,164
Publications	30,000	9,821	0	4,744	0	1,894	11,100	\$57,559
Miscellaneous	17,639	72,111	0	60,865	0	1,704	13,309	\$165,628
TOTAL DIRECT EXPENSES	\$9,020,742	\$7,751,852	\$10,783,531	\$4,928,311	\$5,913,813	\$302,937	\$1,833,961	\$40,535,147
II. FIRE BORROWING	(\$1,252,525)	(\$97,000)	(\$646,281)	(\$155,000)	(\$100,000)	(\$18,000)	(\$45,510)	(\$2,314,316)
V. EFFECTIVE INDIRECT EXPENSES	(INCLUDES F	UNDS LOST T	O FIRE BORRO	WING)				
Effective Indirect Expenses	\$2,657,506	\$1,747,562	\$3,420,703	\$1,945,046	\$1,918,042	\$126,279	\$1,210,202	\$13,025,340
Effective Indirect Rate	22%	18%	22%	28%	24%	29%	39%	23%

Appendix 3. Federal staffing (full-time equivalents) for the Fiscal Year 2002 FIA program.

	Pacific Northwest	Interior West	Southern	North Central	North East	Fort Collins	National Office	Total
Administration	4.9	8.3	7.4	3.6	3.6	0.6	2.3	30.6
Phase 1 Production Work	3.0	2.3	4.9	5.7	3.5	0.0	0.0	19.3
Field Coordination	6.3	9.1	7.0	2.3	8.0	0.0	0.0	32.7
Field Crew	33.1	43.9	19.6	30.2	29.4	0.0	0.0	156.1
QA Crew	5.1	11.7	11.2	3.2	4.0	0.0	0.0	35.0
Information Management	11.6	13.4	18.4	8.0	8.1	0.0	0.0	59.3
Analysis	8.3	4.4	16.7	9.5	6.4	0.0	0.0	45.3
Techniques Research	5.9	1.9	3.8	3.2	5.0	2.7	0.0	22.5
TOTAL	78.0	94.9	88.8	65.8	67.9	3.3	2.3	400.8

Appendix 4. Partner contributions toward implementing FIA in Fiscal Year 2002.

Unit Partner	Contributions Tow the Base Progra	
Interior West FIA		
Forest Service/Region 1		\$65,000
USDI Bureau of Land Management		4,000
Arizona State Land Department		2,000
Utah Department of Natural Resources		8,000
Colorado Forest Service	\$236,000	63,771
Montana Department of Natural Resources and	Conservation 56,440	35,140
Subtotal, Interior West FIA	\$292,440	\$177,911
North Central FIA		
Mark Twain National Forest		\$101,157
Superior National Forest		126,050
Illinois Division of Forest Resources	\$30,319	
Indiana Department of Natural Resources	45,723	
Iowa Department of Natural Resources	24,849	
Kansas State Forest Service	52,019	
Michigan Division of Forest Management	456,435	1,270,000
Minnesota Division of Forestry	150,338	431,946
Missouri Department of Conservation	131,515	280,035
Nebraska Department of Forestry, Fish, and Wi	Idlife 27,441	
North Dakota Forest Service	8,600	
South Dakota Department of Forestry & Natura		
Wisconsin Department of Natural Resources	91,227	992,250
Resource Planning Act	30,000	
Subtotal, North Central FIA	\$1,079,752	\$3,201,438
Northeast FIA		
Maine Forest Service	\$428,368	\$261,400
Subtotal, Northeast FIA	\$428,368	\$261,400
Pacific Northwest FIA		
Forest Service/Region 6		\$50,000
Forest Service/Region 5		557,000
Forest Service/PNW Research Station	\$10,342	105,000
Forest Service/State and Private Forestry	• •	285,000
Forest Service/Research and Development		80,000
Forest Service/PSW Research Station		39,654
Forest Service/State and Private Forestry/R-6	20,000	•
USDI/Bureau of Land Management	-,	261,283

Unit Partner	Contributions Toward the Base Program	Contributions which Add Value
Pacific Northwest FIA (cont.)		
AK	20,000	
CA	20,000	
OR	20,000	
WA	20,000	
OR	35,000	
American Samoa	20,000	
Guam	33,000	
Subtotal, Pacific Northwest FIA	\$198,342	\$1,377,937
Southern FIA		
Georgia Forestry Commission	\$199,874	
Alabama Forestry Commission	180,923	
Arkansas Forestry Commission	161,971	
Florida Division of Forestry	152,745	
Kentucky Division of Forestry	121,478	
Louisiana Office of Forestry	129,658	
North Carolina Division of Forest Resources	162,971	
South Carolina Forestry Commission	112,252	
Tennessee Department of Agriculture	130,158	
Texas Forest Service	112,252	
Virginia Department of Forestry	138,833	
Subtotal, Southern FIA	\$1,603,115	\$0
Fort Collins FIA		
Minnesota Department of Natural Resources	\$25,000	
Colorado State University	8,000	
Subtotal, Fort Collins	\$33,000	\$0
National Office FIA		
Society of American Foresters	\$2,250	
Subtotal, National Office	\$2,250	\$0
Total, All FIA Units	\$3,637,267	\$5,018,686

Appendix 5. Grants and Agreements entered into by FIA units, Fiscal Year 2002.

Unit	Amount	Recipient	Purpose
Interi	or West FIA		
	\$616,955	Colorado State Forest Service	Implementation of annual FIA
	100,000	Colorado State University	Nonparametric Model-assisted survey estimation methods
	100,000	Utah State University	Spatial products for FIA
	76,718	University of Montana	Timber Product Output data collection and analysis
	\$893,673	Subtotal, Interior West FIA	
North	Central FIA		
	\$7,200	Hiawatha National Forest	Field office rental
	6,000	Mark Twain National Forest	Field office rental
	3,000	Michigan Tech University	Field office rental
	32,484	NC Research Station	Soils analysis
	4,500	Shawnee National Forest	Field office rental
	2,007	Great Lakes Indian Fish and Wildlife Comm.	Development of a birch bark evaluation guide
	13,300	Lumber Jack RC&D	Implementation of annual FIA
	7,200	Iowa Dept. of Natural Resources	Implementation of annual FIA
	17,030	Indiana Dept. of Natural Resources	Implementation of annual FIA
	343,676	Minnesota Division of Forestry	Implementation of annual FIA
	6,500	North Dakota Forest Service	Implementation of annual FIA
	84,000	South Dakota Dept. of Forestry & Nat. Res. Mgt.	Implementation of annual FIA
	17,500	Wisconsin Dept. of Natural Resources	Implementation of annual FIA
	68,883	Kansas State University	Implementation of annual FIA
	7,053	University of Illinois	Implementation of annual FIA
	46,000	University of Michigan	Implementation of annual FIA
	44,100	University of Nebraska	Implementation of annual FIA
	\$710,433	Subtotal, North Central FIA	
North	east FIA		
	\$5,000	Delaware Dept. of Agriculture	Implementation of annual FIA
	713,472	Maine Forest Service	Implementation of annual FIA
	11,920	MA Dept. of Environmental Mgt.	Implementation of annual FIA
	25,500	NH Dept. of Resources & Economic Development	Implementation of annual FIA
	10,640	VT Dept. of Forests, Parks, and Recreation	Implementation of annual FIA
	79,328	The Pennsylvania State University	Implementation of annual FIA
	37,819	University of Vermont	Carbon and net primary productivity research
	76,500	University of Massachusetts	National Ozone Indicator Advisor
	\$960,179	Subtotal, Northeast FIA	

Unit	Amount	Recipient	Purpose
acifi	c Northwest Fl	A	
	\$3,160	National Park Service	Ozone monitoring, Mt. Ranier National Par
	152,169	Oregon Dept. of Forestry	Implementation of annual FIA
	66,914	University of Wisconsin	Using climate-related information to improve short-term growth projections
_	182,247	University of Leeds	Biodiversity analysis of Southeast Alaska
	\$404,490	Subtotal, Pacific Northwest FIA	
South	ern FIA		
	\$542,768	Alabama Forestry Commission	Implementation of annual FIA
	331,200	Florida Division of Forestry	Implementation of annual FIA
	362,569	Georgia Forestry Commission	Implementation of annual FIA
	364,432	Kentucky Division of Forestry	Implementation of annual FIA
	488,913	North Carolina Division of Forest Resources	Implementation of annual FIA
	336,755	South Carolina Forestry Commission	Implementation of annual FIA
	167,000	Texas Forest Service	Implementation of annual FIA
	416,650	Virginia Department of Forestry	Implementation of annual FIA
	34,000	University of Georgia	Analysis of stream management zones
	170,176	University of Tennessee	Understory vegetation sampling
	\$3,214,463	Subtotal, Southern FIA	
ort C	Collins FIA		
ort		Outstand Food Outline FIA	
	\$0	Subtotal, Fort Collins FIA	
atio	nal Office FIA		
	\$33,000	Northeastern Area	Damage indicator advisor
	320,000	Southern Research Station (RWU 4803)	National Program Support
	93,000	Rocky Mountain Research Station (RWU 4301)	Soil indicator advisor and lab work (west)
	40,000	International Institute of Tropical Forestry	Implementation of annual forest inventory
	20,000	IUFRO	Global Forest Inventory System
	93,829	Colorado Forest Service	Crown indicator advisor
	25,000	Iowa State University	Digital government proposal
	531,480	University of Nevada at Las Vegas	Information management support
	107,347	University of Nevada at Las Vegas	Quality Assurance support
_	184,270	University of Wisconsin	Lichen indicator advisor support (east)
	\$1,447,926	Subtotal, National Office FIA	
	\$7,631,164	Total Grants and Agreements, All FIA Units	

Appendix 6. Number and hours of significant consultations by FIA staff, by customer group, Fiscal Year 2002.

Customer Group		cific hwest		erior 'est	Southern		North Central		North East		Fort Collins		National Office		Total	
	no.	hrs.	no.	hrs.	no.	hrs.	no.	hrs.	no.	hrs.	no.	hrs.	no.	hrs.	no.	hrs.
Academic	16	72	7	85	120	171	18	72	44	116	2	200	0	0	207	716
Government	37	228	34	395	137	151	94	376	61	90	5	660	9	61	377	1,961
Industry	12	74	2	34	170	250	18	72	22	40	1	30	0	0	225	500
NGO*	1	2	0	0	43	41	26	104	12	15	0	0	5	16	87	178
NIPF**	4	30	1	8	45	29	0	0	6	5	1	15	0	0	57	87
Media	9	14	0	0	12	13	1	4	11	19	0	0	0	0	33	50
Other	9	33	3	13	0	0	2	8	11	29	15	100	0	0	40	183
TOTAL	88	453	47	535	527	655	159	636	167	314	24	1,005	14	77	1,026	3,675

NGO = Nongovernmental Organizations
 NIPF = Nonindustrial Private Forest Landowners

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