

**Table 1**

**DOCUMENT SUBMISSION RATE OF EACH CIRCUIT AND DISTRICT<sup>1</sup>**  
**Fiscal Year 2001**

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>TOTAL</b>	<b>59,897</b>	<b>59,171</b>	<b>98.8</b>	<b>726</b>	<b>1.2</b>	<b>55,739</b>	<b>93.1</b>	<b>2,213</b>	<b>3.7</b>	<b>1,945</b>	<b>3.2</b>	<b>55,048</b>	<b>91.9</b>	<b>4,849</b>	<b>8.1</b>
<b>D.C. CIRCUIT</b>	<b>276</b>	<b>273</b>	<b>98.9</b>	<b>3</b>	<b>1.1</b>	<b>276</b>	<b>100.0</b>	<b>0</b>	<b>0.0</b>	<b>0</b>	<b>0.0</b>	<b>272</b>	<b>98.6</b>	<b>4</b>	<b>1.4</b>
District of Columbia	276	273	98.9	3	1.1	276	100.0	0	0.0	0	0.0	272	98.6	4	1.4
<b>FIRST CIRCUIT</b>	<b>1,648</b>	<b>1,643</b>	<b>99.7</b>	<b>5</b>	<b>0.3</b>	<b>1,580</b>	<b>95.9</b>	<b>50</b>	<b>3.0</b>	<b>18</b>	<b>1.1</b>	<b>1,472</b>	<b>89.3</b>	<b>176</b>	<b>10.7</b>
Maine	199	197	99.0	2	1.0	192	96.5	7	3.5	0	0.0	195	98.0	4	2.0
Massachusetts	514	512	99.6	2	0.4	506	98.4	7	1.4	1	0.2	497	96.7	17	3.3
New Hampshire	137	137	100.0	0	0.0	135	98.5	1	0.7	1	0.7	137	100.0	0	0.0
Puerto Rico	696	695	99.9	1	0.1	645	92.7	35	5.0	16	2.3	546	78.4	150	21.6
Rhode Island	102	102	100.0	0	0.0	102	100.0	0	0.0	0	0.0	97	95.1	5	4.9
<b>SECOND CIRCUIT</b>	<b>4,174</b>	<b>4,121</b>	<b>98.7</b>	<b>53</b>	<b>1.3</b>	<b>4,010</b>	<b>96.1</b>	<b>151</b>	<b>3.6</b>	<b>13</b>	<b>0.3</b>	<b>3,913</b>	<b>93.7</b>	<b>261</b>	<b>6.3</b>
Connecticut	288	283	98.3	5	1.7	281	97.6	7	2.4	0	0.0	281	97.6	7	2.4
New York															
Eastern	1,353	1,335	98.7	18	1.3	1,333	98.5	20	1.5	0	0.0	1,210	89.4	143	10.6
Northern	530	517	97.5	13	2.5	406	76.6	112	21.1	12	2.3	494	93.2	36	6.8
Southern	1,428	1,414	99.0	14	1.0	1,421	99.5	7	0.5	0	0.0	1,361	95.3	67	4.7
Western	464	461	99.4	3	0.6	458	98.7	5	1.1	1	0.2	456	98.3	8	1.7
Vermont	111	111	100.0	0	0.0	111	100.0	0	0.0	0	0.0	111	100.0	0	0.0
<b>THIRD CIRCUIT</b>	<b>2,645</b>	<b>2,628</b>	<b>99.4</b>	<b>17</b>	<b>0.6</b>	<b>2,515</b>	<b>95.1</b>	<b>124</b>	<b>4.7</b>	<b>6</b>	<b>0.2</b>	<b>2,562</b>	<b>96.9</b>	<b>83</b>	<b>3.1</b>
Delaware	83	82	98.8	1	1.2	83	100.0	0	0.0	0	0.0	81	97.6	2	2.4
New Jersey	859	852	99.2	7	0.8	767	89.3	91	10.6	1	0.1	839	97.7	20	2.3
Pennsylvania															
Eastern	924	922	99.8	2	0.2	901	97.5	18	1.9	5	0.5	912	98.7	12	1.3
Middle	391	388	99.2	3	0.8	387	99.0	4	1.0	0	0.0	369	94.4	22	5.6
Western	294	293	99.7	1	0.3	285	96.9	9	3.1	0	0.0	271	92.2	23	7.8
Virgin Islands	94	91	96.8	3	3.2	92	97.9	2	2.1	0	0.0	90	95.7	4	4.3
<b>FOURTH CIRCUIT</b>	<b>5,505</b>	<b>5,362</b>	<b>97.4</b>	<b>143</b>	<b>2.6</b>	<b>5,107</b>	<b>92.8</b>	<b>149</b>	<b>2.7</b>	<b>249</b>	<b>4.5</b>	<b>4,552</b>	<b>82.7</b>	<b>953</b>	<b>17.3</b>
Maryland	610	610	100.0	0	0.0	609	99.8	1	0.2	0	0.0	579	94.9	31	5.1
North Carolina															
Eastern	534	522	97.8	12	2.2	464	86.9	36	6.7	34	6.4	498	93.3	36	6.7
Middle	456	450	98.7	6	1.3	445	97.6	11	2.4	0	0.0	437	95.8	19	4.2
Western	551	479	86.9	72	13.1	507	92.0	40	7.3	4	0.7	476	86.4	75	13.6
South Carolina	805	769	95.5	36	4.5	795	98.8	10	1.2	0	0.0	736	91.4	69	8.6
Virginia															
Eastern	1,608	1,604	99.8	4	0.2	1,355	84.3	43	2.7	210	13.1	931	57.9	677	42.1
Western	394	391	99.2	3	0.8	390	99.0	3	0.8	1	0.3	375	95.2	19	4.8
West Virginia															
Northern	185	185	100.0	0	0.0	182	98.4	3	1.6	0	0.0	184	99.5	1	0.5
Southern	362	352	97.2	10	2.8	360	99.4	2	0.6	0	0.0	336	92.8	26	7.2

**Table 1 (cont.)**

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>FIFTH CIRCUIT</b>	<b>11,564</b>	<b>11,455</b>	<b>99.1</b>	<b>109</b>	<b>0.9</b>	<b>10,703</b>	<b>92.6</b>	<b>199</b>	<b>1.7</b>	<b>662</b>	<b>5.7</b>	<b>11,220</b>	<b>97.0</b>	<b>344</b>	<b>3.0</b>
Louisiana															
Eastern	464	443	95.5	21	4.5	443	95.5	21	4.5	0	0.0	460	99.1	4	0.9
Middle	162	162	100.0	0	0.0	160	98.8	2	1.2	0	0.0	154	95.1	8	4.9
Western	283	275	97.2	8	2.8	280	98.9	3	1.1	0	0.0	261	92.2	22	7.8
Mississippi															
Northern	147	146	99.3	1	0.7	146	99.3	1	0.7	0	0.0	143	97.3	4	2.7
Southern	428	421	98.4	7	1.6	420	98.1	6	1.4	2	0.5	414	96.7	14	3.3
Texas															
Eastern	573	572	99.8	1	0.2	563	98.3	10	1.7	0	0.0	519	90.6	54	9.4
Northern	1,167	1,160	99.4	7	0.6	1,128	96.7	15	1.3	24	2.1	1,150	98.5	17	1.5
Southern	3,631	3,607	99.3	24	0.7	3,466	95.5	40	1.1	125	3.4	3,552	97.8	79	2.2
Western	4,709	4,669	99.2	40	0.8	4,097	87.0	101	2.1	511	10.9	4,567	97.0	142	3.0
<b>SIXTH CIRCUIT</b>	<b>4,363</b>	<b>4,344</b>	<b>99.6</b>	<b>19</b>	<b>0.4</b>	<b>4,238</b>	<b>97.1</b>	<b>65</b>	<b>1.5</b>	<b>60</b>	<b>1.4</b>	<b>4,201</b>	<b>96.3</b>	<b>162</b>	<b>3.7</b>
Kentucky															
Eastern	581	579	99.7	2	0.3	564	97.1	7	1.2	10	1.7	560	96.4	21	3.6
Western	340	337	99.1	3	0.9	305	89.7	6	1.8	29	8.5	292	85.9	48	14.1
Michigan															
Eastern	730	729	99.9	1	0.1	718	98.4	11	1.5	1	0.1	701	96.0	29	4.0
Western	355	353	99.4	2	0.6	343	96.6	6	1.7	6	1.7	348	98.0	7	2.0
Ohio															
Northern	837	837	100.0	0	0.0	829	99.0	5	0.6	3	0.4	818	97.7	19	2.3
Southern	371	365	98.4	6	1.6	365	98.4	5	1.3	1	0.3	360	97.0	11	3.0
Tennessee															
Eastern	522	519	99.4	3	0.6	510	97.7	11	2.1	1	0.2	518	99.2	4	0.8
Middle	251	250	99.6	1	0.4	243	96.8	3	1.2	5	2.0	244	97.2	7	2.8
Western	376	375	99.7	1	0.3	361	96.0	11	2.9	4	1.1	360	95.7	16	4.3
<b>SEVENTH CIRCUIT</b>	<b>2,457</b>	<b>2,442</b>	<b>99.4</b>	<b>15</b>	<b>0.6</b>	<b>2,399</b>	<b>97.6</b>	<b>48</b>	<b>2.0</b>	<b>10</b>	<b>0.4</b>	<b>2,387</b>	<b>97.2</b>	<b>70</b>	<b>2.8</b>
Illinois															
Central	302	302	100.0	0	0.0	291	96.4	10	3.3	1	0.3	290	96.0	12	4.0
Northern	810	806	99.5	4	0.5	788	97.3	16	2.0	6	0.7	781	96.4	29	3.6
Southern	362	359	99.2	3	0.8	349	96.4	10	2.8	3	0.8	354	97.8	8	2.2
Indiana															
Northern	318	317	99.7	1	0.3	312	98.1	6	1.9	0	0.0	307	96.5	11	3.5
Southern	227	226	99.6	1	0.4	225	99.1	2	0.9	0	0.0	226	99.6	1	0.4
Wisconsin															
Eastern	291	285	97.9	6	2.1	288	99.0	3	1.0	0	0.0	283	97.3	8	2.7
Western	147	147	100.0	0	0.0	146	99.3	1	0.7	0	0.0	146	99.3	1	0.7
<b>EIGHTH CIRCUIT</b>	<b>3,570</b>	<b>3,545</b>	<b>99.3</b>	<b>25</b>	<b>0.7</b>	<b>3,501</b>	<b>98.1</b>	<b>58</b>	<b>1.6</b>	<b>11</b>	<b>0.3</b>	<b>3,491</b>	<b>97.8</b>	<b>79</b>	<b>2.2</b>
Arkansas															
Eastern	241	240	99.6	1	0.4	235	97.5	5	2.1	1	0.4	238	98.8	3	1.2
Western	145	145	100.0	0	0.0	145	100.0	0	0.0	0	0.0	144	99.3	1	0.7
Iowa															
Northern	334	333	99.7	1	0.3	322	96.4	12	3.6	0	0.0	323	96.7	11	3.3
Southern	314	312	99.4	2	0.6	308	98.1	3	1.0	3	1.0	301	95.9	13	4.1
Minnesota	389	385	99.0	4	1.0	379	97.4	10	2.6	0	0.0	385	99.0	4	1.0
Missouri															
Eastern	560	553	98.8	7	1.3	557	99.5	3	0.5	0	0.0	544	97.1	16	2.9
Western	665	664	99.8	1	0.2	662	99.5	2	0.3	1	0.2	658	98.9	7	1.1
Nebraska	480	474	98.8	6	1.3	467	97.3	13	2.7	0	0.0	468	97.5	12	2.5
North Dakota	150	150	100.0	0	0.0	136	90.7	9	6.0	5	3.3	148	98.7	2	1.3
South Dakota	292	289	99.0	3	1.0	290	99.3	1	0.3	1	0.3	282	96.6	10	3.4

**Table 1 (cont.)**

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
<b>NINTH CIRCUIT</b>	<b>14,000</b>	<b>13,733</b>	<b>98.1</b>	<b>267</b>	<b>1.9</b>	<b>12,034</b>	<b>86.0</b>	<b>1,132</b>	<b>8.1</b>	<b>834</b>	<b>6.0</b>	<b>11,965</b>	<b>85.5</b>	<b>2,035</b>	<b>14.5</b>
Alaska	195	191	97.9	4	2.1	191	97.9	0	0.0	4	2.1	187	95.9	8	4.1
Arizona	3,127	3,104	99.3	23	0.7	3,057	97.8	54	1.7	16	0.5	3,029	96.9	98	3.1
California															
Central	1,641	1,600	97.5	41	2.5	1,585	96.6	52	3.2	4	0.2	480	29.3	1,161	70.7
Eastern	852	851	99.9	1	0.1	797	93.5	52	6.1	3	0.4	834	97.9	18	2.1
Northern	832	828	99.5	4	0.5	821	98.7	11	1.3	0	0.0	789	94.8	43	5.2
Southern	4,235	4,072	96.2	163	3.8	2,768	65.4	703	16.6	764	18.0	3,837	90.6	398	9.4
Guam	83	83	100.0	0	0.0	80	96.4	3	3.6	0	0.0	72	86.7	11	13.3
Hawaii	358	357	99.7	1	0.3	333	93.0	4	1.1	21	5.9	341	95.3	17	4.7
Idaho	115	113	98.3	2	1.7	113	98.3	1	0.9	1	0.9	112	97.4	3	2.6
Montana	320	313	97.8	7	2.2	307	95.9	6	1.9	7	2.2	303	94.7	17	5.3
Nevada	526	526	100.0	0	0.0	515	97.9	8	1.5	3	0.6	522	99.2	4	0.8
Northern Mariana Islands	16	16	100.0	0	0.0	12	75.0	4	25.0	0	0.0	16	100.0	0	0.0
Oregon	668	668	100.0	0	0.0	565	84.6	97	14.5	6	0.9	578	86.5	90	13.5
Washington															
Eastern	326	314	96.3	12	3.7	317	97.2	8	2.5	1	0.3	309	94.8	17	5.2
Western	706	697	98.7	9	1.3	573	81.2	129	18.3	4	0.6	556	78.8	150	21.2
<b>TENTH CIRCUIT</b>	<b>3,417</b>	<b>3,394</b>	<b>99.3</b>	<b>23</b>	<b>0.7</b>	<b>3,326</b>	<b>97.3</b>	<b>75</b>	<b>2.2</b>	<b>16</b>	<b>0.5</b>	<b>3,020</b>	<b>88.4</b>	<b>397</b>	<b>11.6</b>
Colorado	495	495	100.0	0	0.0	487	98.4	5	1.0	3	0.6	481	97.2	14	2.8
Kansas	469	467	99.6	2	0.4	456	97.2	11	2.3	2	0.4	446	95.1	23	4.9
New Mexico	1,357	1,341	98.8	16	1.2	1,337	98.5	16	1.2	4	0.3	1,309	96.5	48	3.5
Oklahoma															
Eastern	96	96	100.0	0	0.0	92	95.8	2	2.1	2	2.1	94	97.9	2	2.1
Northern	122	120	98.4	2	1.6	122	100.0	0	0.0	0	0.0	121	99.2	1	0.8
Western	212	211	99.5	1	0.5	211	99.5	1	0.5	0	0.0	200	94.3	12	5.7
Utah	517	516	99.8	1	0.2	477	92.3	35	6.8	5	1.0	225	43.5	292	56.5
Wyoming	149	148	99.3	1	0.7	144	96.6	5	3.4	0	0.0	144	96.6	5	3.4
<b>ELEVENTH CIRCUIT</b>	<b>6,278</b>	<b>6,231</b>	<b>99.3</b>	<b>47</b>	<b>0.7</b>	<b>6,050</b>	<b>96.4</b>	<b>162</b>	<b>2.6</b>	<b>66</b>	<b>1.1</b>	<b>5,993</b>	<b>95.5</b>	<b>285</b>	<b>4.5</b>
Alabama															
Middle	171	168	98.2	3	1.8	164	95.9	7	4.1	0	0.0	155	90.6	16	9.4
Northern	376	370	98.4	6	1.6	371	98.7	2	0.5	3	0.8	354	94.1	22	5.9
Southern	289	288	99.7	1	0.3	287	99.3	2	0.7	0	0.0	285	98.6	4	1.4
Florida															
Middle	1,297	1,297	100.0	0	0.0	1,274	98.2	16	1.2	7	0.5	1,271	98.0	26	2.0
Northern	376	368	97.9	8	2.1	368	97.9	8	2.1	0	0.0	362	96.3	14	3.7
Southern	2,318	2,306	99.5	12	0.5	2,214	95.5	75	3.2	29	1.3	2,173	93.7	145	6.3
Georgia															
Middle	368	368	100.0	0	0.0	359	97.6	8	2.2	1	0.3	345	93.8	23	6.3
Northern	750	733	97.7	17	2.3	733	97.7	17	2.3	0	0.0	724	96.5	26	3.5
Southern	333	333	100.0	0	0.0	280	84.1	27	8.1	26	7.8	324	97.3	9	2.7

<sup>1</sup>Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2001 Datafile, USSCFY01.