

Table 1

DOCUMENT SUBMISSION RATE OF EACH CIRCUIT AND DISTRICT¹
Fiscal Year 2002

CIRCUIT District	Number of Cases	Judgment of Conviction Order				Presentence Report						Report on the Sentencing Hearing			
		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
TOTAL	64,366	63,694	99.0	672	1.0	60,474	94.0	1,365	2.1	2,527	3.9	57,416	89.2	6,950	10.8
D.C. CIRCUIT	420	415	98.8	5	1.2	413	98.3	5	1.2	2	0.5	416	99.0	4	1.0
District of Columbia	420	415	98.8	5	1.2	413	98.3	5	1.2	2	0.5	416	99.0	4	1.0
FIRST CIRCUIT	2,019	2,011	99.6	8	0.4	1,937	95.9	63	3.1	19	0.9	1,701	84.2	318	15.8
Maine	218	216	99.1	2	0.9	218	100.0	0	0.0	0	0.0	173	79.4	45	20.6
Massachusetts	619	619	100.0	0	0.0	616	99.5	3	0.5	0	0.0	613	99.0	6	1.0
New Hampshire	130	130	100.0	0	0.0	130	100.0	0	0.0	0	0.0	130	100.0	0	0.0
Puerto Rico	902	896	99.3	6	0.7	825	91.5	58	6.4	19	2.1	659	73.1	243	26.9
Rhode Island	150	150	100.0	0	0.0	148	98.7	2	1.3	0	0.0	126	84.0	24	16.0
SECOND CIRCUIT	4,685	4,667	99.6	18	0.4	4,589	98.0	79	1.7	17	0.4	3,932	83.9	753	16.1
Connecticut	332	329	99.1	3	0.9	330	99.4	2	0.6	0	0.0	328	98.8	4	1.2
New York															
Eastern	1,723	1,715	99.5	8	0.5	1,685	97.8	35	2.0	3	0.2	1,323	76.8	400	23.2
Northern	394	393	99.7	1	0.3	357	90.6	26	6.6	11	2.8	348	88.3	46	11.7
Southern	1,608	1,603	99.7	5	0.3	1,597	99.3	9	0.6	2	0.1	1,314	81.7	294	18.3
Western	451	450	99.8	1	0.2	443	98.2	7	1.6	1	0.2	444	98.4	7	1.6
Vermont	177	177	100.0	0	0.0	177	100.0	0	0.0	0	0.0	175	98.9	2	1.1
THIRD CIRCUIT	2,766	2,755	99.6	11	0.4	2,623	94.8	124	4.5	19	0.7	2,632	95.2	134	4.8
Delaware	85	84	98.8	1	1.2	85	100.0	0	0.0	0	0.0	49	57.6	36	42.4
New Jersey	835	834	99.9	1	0.1	730	87.4	105	12.6	0	0.0	812	97.2	23	2.8
Pennsylvania															
Eastern	976	971	99.5	5	0.5	957	98.1	8	0.8	11	1.1	967	99.1	9	0.9
Middle	441	440	99.8	1	0.2	438	99.3	3	0.7	0	0.0	404	91.6	37	8.4
Western	342	340	99.4	2	0.6	329	96.2	7	2.0	6	1.8	316	92.4	26	7.6
Virgin Islands	87	86	98.9	1	1.1	84	96.6	1	1.1	2	2.3	84	96.6	3	3.4
FOURTH CIRCUIT	5,741	5,664	98.7	77	1.3	5,314	92.6	75	1.3	352	6.1	4,740	82.6	1,001	17.4
Maryland	525	518	98.7	7	1.3	525	100.0	0	0.0	0	0.0	507	96.6	18	3.4
North Carolina															
Eastern	604	596	98.7	8	1.3	529	87.6	9	1.5	66	10.9	563	93.2	41	6.8
Middle	446	445	99.8	1	0.2	442	99.1	3	0.7	1	0.2	401	89.9	45	10.1
Western	594	571	96.1	23	3.9	579	97.5	15	2.5	0	0.0	493	83.0	101	17.0
South Carolina	1,022	1,004	98.2	18	1.8	1,012	99.0	10	1.0	0	0.0	961	94.0	61	6.0
Virginia															
Eastern	1,618	1,611	99.6	7	0.4	1,318	81.5	15	0.9	285	17.6	1,007	62.2	611	37.8
Western	401	401	100.0	0	0.0	397	99.0	4	1.0	0	0.0	365	91.0	36	9.0
West Virginia															
Northern	269	265	98.5	4	1.5	266	98.9	3	1.1	0	0.0	259	96.3	10	3.7
Southern	262	253	96.6	9	3.4	246	93.9	16	6.1	0	0.0	184	70.2	78	29.8

Table 1 (cont.)

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		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
FIFTH CIRCUIT	12,667	12,580	99.3	87	0.7	11,742	92.7	128	1.0	797	6.3	12,102	95.5	565	4.5
Louisiana															
Eastern	408	367	90.0	41	10.0	362	88.7	46	11.3	0	0.0	406	99.5	2	0.5
Middle	114	114	100.0	0	0.0	113	99.1	1	0.9	0	0.0	109	95.6	5	4.4
Western	360	359	99.7	1	0.3	360	100.0	0	0.0	0	0.0	282	78.3	78	21.7
Mississippi															
Northern	165	165	100.0	0	0.0	165	100.0	0	0.0	0	0.0	164	99.4	1	0.6
Southern	364	359	98.6	5	1.4	358	98.4	5	1.4	1	0.3	351	96.4	13	3.6
Texas															
Eastern	629	629	100.0	0	0.0	619	98.4	10	1.6	0	0.0	558	88.7	71	11.3
Northern	1,039	1,038	99.9	1	0.1	996	95.9	6	0.6	37	3.6	927	89.2	112	10.8
Southern	4,595	4,582	99.7	13	0.3	4,405	95.9	31	0.7	159	3.5	4,542	98.8	53	1.2
Western	4,993	4,967	99.5	26	0.5	4,364	87.4	29	0.6	600	12.0	4,763	95.4	230	4.6
SIXTH CIRCUIT	4,749	4,703	99.0	46	1.0	4,602	96.9	71	1.5	76	1.6	4,338	91.3	411	8.7
Kentucky															
Eastern	484	470	97.1	14	2.9	457	94.4	16	3.3	11	2.3	365	75.4	119	24.6
Western	437	437	100.0	0	0.0	377	86.3	9	2.1	51	11.7	390	89.2	47	10.8
Michigan															
Eastern	770	770	100.0	0	0.0	760	98.7	9	1.2	1	0.1	709	92.1	61	7.9
Western	390	386	99.0	4	1.0	379	97.2	6	1.5	5	1.3	372	95.4	18	4.6
Ohio															
Northern	869	865	99.5	4	0.5	861	99.1	7	0.8	1	0.1	787	90.6	82	9.4
Southern	393	383	97.5	10	2.5	388	98.7	5	1.3	0	0.0	352	89.6	41	10.4
Tennessee															
Eastern	623	619	99.4	4	0.6	616	98.9	7	1.1	0	0.0	608	97.6	15	2.4
Middle	323	318	98.5	5	1.5	313	96.9	4	1.2	6	1.9	310	96.0	13	4.0
Western	460	455	98.9	5	1.1	451	98.0	8	1.7	1	0.2	445	96.7	15	3.3
SEVENTH CIRCUIT	2,813	2,796	99.4	17	0.6	2,752	97.8	46	1.6	15	0.5	2,632	93.6	181	6.4
Illinois															
Central	348	342	98.3	6	1.7	338	97.1	7	2.0	3	0.9	325	93.4	23	6.6
Northern	1,118	1,109	99.2	9	0.8	1,085	97.0	25	2.2	8	0.7	1,056	94.5	62	5.5
Southern	294	294	100.0	0	0.0	289	98.3	2	0.7	3	1.0	286	97.3	8	2.7
Indiana															
Northern	405	405	100.0	0	0.0	404	99.8	1	0.2	0	0.0	326	80.5	79	19.5
Southern	240	239	99.6	1	0.4	234	97.5	5	2.1	1	0.4	236	98.3	4	1.7
Wisconsin															
Eastern	284	283	99.6	1	0.4	279	98.2	5	1.8	0	0.0	279	98.2	5	1.8
Western	124	124	100.0	0	0.0	123	99.2	1	0.8	0	0.0	124	100.0	0	0.0
EIGHTH CIRCUIT	3,692	3,683	99.8	9	0.2	3,644	98.7	30	0.8	18	0.5	3,544	96.0	148	4.0
Arkansas															
Eastern	247	247	100.0	0	0.0	244	98.8	3	1.2	0	0.0	241	97.6	6	2.4
Western	144	143	99.3	1	0.7	143	99.3	1	0.7	0	0.0	141	97.9	3	2.1
Iowa															
Northern	358	358	100.0	0	0.0	347	96.9	8	2.2	3	0.8	353	98.6	5	1.4
Southern	291	291	100.0	0	0.0	284	97.6	2	0.7	5	1.7	282	96.9	9	3.1
Minnesota	391	390	99.7	1	0.3	391	100.0	0	0.0	0	0.0	374	95.7	17	4.3
Missouri															
Eastern	630	628	99.7	2	0.3	625	99.2	5	0.8	0	0.0	584	92.7	46	7.3
Western	654	653	99.8	1	0.2	649	99.2	4	0.6	1	0.2	631	96.5	23	3.5
Nebraska	528	526	99.6	2	0.4	518	98.1	2	0.4	8	1.5	522	98.9	6	1.1
North Dakota	147	147	100.0	0	0.0	143	97.3	3	2.0	1	0.7	136	92.5	11	7.5
South Dakota	302	300	99.3	2	0.7	300	99.3	2	0.7	0	0.0	280	92.7	22	7.3

Table 1 (cont.)

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		Received		Not Received		Received		Not Received		Waived		Received		Not Received	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%
NINTH CIRCUIT	13,934	13,715	98.4	219	1.6	12,548	90.1	400	2.9	986	7.1	11,497	82.5	2,437	17.5
Alaska	165	164	99.4	1	0.6	164	99.4	1	0.6	0	0.0	162	98.2	3	1.8
Arizona	3,476	3,462	99.6	14	0.4	3,463	99.6	5	0.1	8	0.2	3,381	97.3	95	2.7
California															
Central	1,760	1,704	96.8	56	3.2	1,671	94.9	59	3.4	30	1.7	683	38.8	1,077	61.2
Eastern	773	768	99.4	5	0.6	755	97.7	10	1.3	8	1.0	731	94.6	42	5.4
Northern	731	717	98.1	14	1.9	715	97.8	15	2.1	1	0.1	638	87.3	93	12.7
Southern	3,902	3,802	97.4	100	2.6	2,864	73.4	144	3.7	894	22.9	3,117	79.9	785	20.1
Guam	139	139	100.0	0	0.0	129	92.8	10	7.2	0	0.0	126	90.6	13	9.4
Hawaii	375	375	100.0	0	0.0	361	96.3	6	1.6	8	2.1	357	95.2	18	4.8
Idaho	201	200	99.5	1	0.5	201	100.0	0	0.0	0	0.0	199	99.0	2	1.0
Montana	348	345	99.1	3	0.9	346	99.4	1	0.3	1	0.3	345	99.1	3	0.9
Nevada	606	604	99.7	2	0.3	581	95.9	12	2.0	13	2.1	535	88.3	71	11.7
Northern Mariana Islands	6	6	100.0	0	0.0	6	100.0	0	0.0	0	0.0	5	83.3	1	16.7
Oregon	549	548	99.8	1	0.2	528	96.2	1	0.2	20	3.6	476	86.7	73	13.3
Washington															
Eastern	305	291	95.4	14	4.6	293	96.1	9	3.0	3	1.0	275	90.2	30	9.8
Western	598	590	98.7	8	1.3	471	78.8	127	21.2	0	0.0	467	78.1	131	21.9
TENTH CIRCUIT	4,122	4,097	99.4	25	0.6	3,978	96.5	37	0.9	107	2.6	3,824	92.8	298	7.2
Colorado	573	572	99.8	1	0.2	568	99.1	5	0.9	0	0.0	551	96.2	22	3.8
Kansas	515	514	99.8	1	0.2	504	97.9	6	1.2	5	1.0	498	96.7	17	3.3
New Mexico	1,814	1,803	99.4	11	0.6	1,798	99.1	16	0.9	0	0.0	1,715	94.5	99	5.5
Oklahoma															
Eastern	83	81	97.6	2	2.4	79	95.2	4	4.8	0	0.0	82	98.8	1	1.2
Northern	153	153	100.0	0	0.0	152	99.3	1	0.7	0	0.0	147	96.1	6	3.9
Western	179	171	95.5	8	4.5	179	100.0	0	0.0	0	0.0	151	84.4	28	15.6
Utah	671	669	99.7	2	0.3	565	84.2	4	0.6	102	15.2	557	83.0	114	17.0
Wyoming	134	134	100.0	0	0.0	133	99.3	1	0.7	0	0.0	123	91.8	11	8.2
ELEVENTH CIRCUIT	6,758	6,608	97.8	150	2.2	6,332	93.7	307	4.5	119	1.8	6,058	89.6	700	10.4
Alabama															
Middle	158	156	98.7	2	1.3	156	98.7	1	0.6	1	0.6	149	94.3	9	5.7
Northern	512	512	100.0	0	0.0	506	98.8	0	0.0	6	1.2	499	97.5	13	2.5
Southern	311	311	100.0	0	0.0	308	99.0	3	1.0	0	0.0	300	96.5	11	3.5
Florida															
Middle	1,460	1,459	99.9	1	0.1	1,332	91.2	127	8.7	1	0.1	1,354	92.7	106	7.3
Northern	335	332	99.1	3	0.9	328	97.9	7	2.1	0	0.0	319	95.2	16	4.8
Southern	2,553	2,413	94.5	140	5.5	2,319	90.8	147	5.8	87	3.4	2,090	81.9	463	18.1
Georgia															
Middle	353	352	99.7	1	0.3	348	98.6	4	1.1	1	0.3	305	86.4	48	13.6
Northern	786	783	99.6	3	0.4	777	98.9	5	0.6	4	0.5	777	98.9	9	1.1
Southern	290	290	100.0	0	0.0	258	89.0	13	4.5	19	6.6	265	91.4	25	8.6

¹Descriptions of variables used in this table are provided in Appendix A.

This table has been updated since the publication of the 2002 Sourcebook. Cases that are marked as "Sealed" when they are received at the Commission are now reported in the "Received" column for each document status.

SOURCE: U.S. Sentencing Commission, 2002 Datafile, USSCFY02.