

**SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
APRIL 30, 2001**

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Secured
		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
1	AB FINANCIAL LLC	Y	CME	03/31/2001	3,220,096	825,692	702,767	2,394,404	20,642,300	0
2	ABN AMRO INCORPORATED	Y	CBOT	03/31/2001	269,042,456	44,559,988	91,056,112	177,986,344	1,322,712,417	51,147,886
3	ABN AMRO SAGE CORPORATION	Y	NFA	03/31/2001	46,946,927	1,997,473	1,997,473	44,949,454	38,716,867	0
4	ADM INVESTOR SERVICES INC	N	CBOT	03/31/2001	65,576,730	7,726,983	11,034,654	54,542,076	199,907,063	7,182,311
5	ADVEST INC	Y	NFA	03/31/2001	60,332,543	11,085,894	0	49,246,649	0	0
6	AEGIS FINANCIAL LLC	N	NFA	03/31/2001	1,078,997	250,000	908,178	170,819	21,548,401	315
7	AG EDWARDS & SONS INC	Y	CBOT	03/31/2001	738,530,991	57,997,718	2,255,263	680,533,273	51,868,146	0
8	AGE COMMODITY CLEARING CORP	N	CBOT	03/31/2001	10,643,221	1,589,346	4,197,666	6,445,555	61,629,763	0
9	AIG CLEARING CORPORATION	N	NYME	03/31/2001	119,261,943	250,000	3,650,040	115,611,903	0	0
10	ALARON TRADING CORPORATION	N	NFA	03/31/2001	2,273,883	1,231,161	460,529	1,042,722	33,003,348	11,546
11	ALCO COMMODITIES INC.	N	NFA	02/28/2001	447,903	250,000	0	197,903	0	0
12	AMERICAN NATIONAL TRADING CORP	N	NFA	02/28/2001	500,072	250,000	152,138	250,072	5,961,429	0
13	ATWOOD COMMODITIES LLC	N	NFA	02/28/2001	2,390,000	434,736	664,593	1,725,407	11,561,543	0
14	AUBREY G LANSTON & CO INC	Y	CME	03/31/2001	35,952,084	7,039,458	996,067	28,912,626	30,519,928	12,646,519
15	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	03/30/2001	62,603,275	3,146,236	13,379,447	49,223,828	78,655,905	0
16	BANC ONE BROKERAGE INTERNATIONAL CORP	Y	CBOT	03/31/2001	129,691,919	33,202,966	87,058,161	42,633,758	1,005,949,250	6,846,627
17	BARCLAYS CAPITAL INC	Y	CBOT	03/31/2001	189,821,665	23,530,060	59,273,901	130,547,764	605,720,323	41,187,115
18	BAXTER FINANCIAL SERVICES LTD	N	NFA	03/31/2001	334,729	250,000	0	84,729	0	0
19	BEAR STEARNS & CO INC	Y	NFA	03/30/2001	1,904,379,949	38,492,294	0	1,865,887,655	0	0
20	BEAR STEARNS SECURITIES CORP	Y	CME	03/30/2001	2,643,984,999	520,503,368	96,954,920	2,123,481,631	1,732,734,119	97,477,994
21	BENSON-QUINN COMMODITIES INC	N	NFA	03/31/2001	4,859,126	745,977	968,521	3,890,605	19,552,494	33,067
22	BIELFELDT & COMPANY LLC	N	CBOT	03/31/2001	394,838	250,000	0	144,838	0	0
23	BLAYLOCK AND PARTNERS LP	Y	NFA	03/31/2001	4,343,784	250,000	0	4,093,784	0	0
24	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	03/31/2001	62,203,372	1,177,054	19,714,546	42,488,826	3,601,300	0
25	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	03/31/2001	53,161,401	8,475,171	16,455,714	36,705,687	302,796,045	5,442,612
26	BNP PARIBAS SECURITIES CORP	Y	NFA	03/31/2001	246,933,589	1,001,377	0	245,932,212	0	0
27	BNY CLEARING SERVICES LLC	Y	NFA	03/31/2001	138,085,057	16,414,428	0	121,670,629	0	0
28	BROADSTREET FINANCIAL CORP	N	NFA	03/31/2001	959,538	250,000	2,655	709,538	3,191,376	0
29	C CZARNIKOW SUGAR FUTURES INC	N	NFA	03/31/2001	3,115,467	250,000	164,282	2,865,467	376,663	0
30	CAISSE DES DEPOTS SECURITIES INC	Y	NFA	03/31/2001	25,534,310	250,000	0	25,284,310	0	0
31	CANTOR FITZGERALD & CO	Y	CBOT	03/31/2001	80,242,287	1,996,695	1,953,613	78,245,592	6,234,757	0
32	CARGILL INVESTOR SERVICES INC	Y	CBOT	03/31/2001	53,038,045	42,830,439	44,565,870	8,472,175	1,122,523,225	113,019,370
33	CARR FUTURES INC	Y	CME	03/31/2001	235,129,253	74,106,562	115,351,322	119,777,931	1,869,500,690	864,076,971
34	CHASE FUTURES & OPTIONS INC	Y	CBOT	03/31/2001	36,849,813	3,372,803	12,065,517	24,784,296	61,249,830	23,070,257
35	CIBC WORLD MARKETS CORP	Y	NYME	03/31/2001	1,097,024,782	25,014,155	16,219,703	1,072,010,627	4,974,678	0

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36	COMMERZ FUTURES LLC	Y	CME	03/31/2001	9,601,029	3,115,759	4,796,484	4,804,545	88,805,532	5,127,385
37	COMMODITY RESOURCE CORPORATION	N	NFA	03/31/2001	622,249	250,000	12,555	372,249	1,945,635	0
38	COUNTRY HEDGING INC	N	NFA	03/31/2001	3,204,911	464,426	1,089,204	2,115,707	13,323,745	0
39	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	03/31/2001	11,576,284	2,458,402	5,706,647	9,117,882	76,905,332	0
40	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	03/31/2001	2,132,311,761	66,755,547	30,042,289	2,065,556,214	515,413,200	79,482,112
41	CROSSLAND LLC	N	CBOT	03/30/2001	4,197,313	258,927	38,028	3,938,386	6,501,589	0
42	CUNNINGHAM COMMODITIES INC	N	CBOT	03/30/2001	1,765,510	250,000	85,397	1,515,510	3,117,767	11,330
43	DAIN RAUSCHER INC	Y	NFA	03/31/2001	142,300,539	25,213,575	0	117,086,964	0	0
44	DAIWA SECURITIES AMERICA INC	Y	CME	02/28/2001	217,401,954	3,992,015	2,816,615	213,409,939	83,194,482	479,241
45	DAVID A NOYES & CO	Y	NFA	03/31/2001	1,272,743	250,000	0	1,022,743	0	0
46	DEAN WITTER REYNOLDS INC	Y	CBOT	03/31/2001	1,310,981,720	148,166,576	2,013,381	1,162,815,144	521,109,100	839,162,934
47	DEUTSCHE BANC ALEX BROWN INC	Y	NFA	03/31/2001	1,287,422,523	85,062,191	57,289,480	1,202,360,332	563,654,602	173,897,468
48	DEUTSCHE BANK FUTURES INC	N	CME	03/31/2001	123,788,043	18,932,381	108,955,480	104,855,662	490,247,811	5,306,371
49	DKB FINANCIAL FUTURES CORP	N	CME	03/31/2001	8,779,266	250,000	303,275	8,475,991	0	0
50	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	03/31/2001	1,716,366,000	141,937,000	0	1,574,429,000	0	0
51	DORMAN TRADING LLC	N	CME	03/31/2001	3,276,464	630,151	108,146	2,646,313	15,767,956	11,034
52	DUNAVANT COMMODITY CORP	N	NYC	03/31/2001	12,624,619	922,587	413,186	11,702,032	23,064,682	0
53	EAGLE MARKET MAKERS INC	N	CBOT	03/31/2001	1,864,018	250,000	32,489	1,614,018	1,609,836	0
54	E FUTURES. COM LLC	N	NFA	03/31/2001	937,649	364,269	391,764	545,885	17,096,854	644
55	EM COMBS & SON	N	CBOT	03/31/2001	1,896,938	250,000	14,742	1,646,938	1,099,459	0
56	ENRON TRADING SERVICES INC	N	NYME	03/31/2001	28,512,817	463,389	1,308,198	27,204,619	11,744,506	0
57	FAHNESTOCK & CO INC	Y	NFA	03/31/2001	171,048,725	7,911,728	113,386	163,136,997	585,277	0
58	FC STONE LLC	N	CME	03/31/2001	16,756,719	6,499,527	5,814,443	10,257,192	186,142,110	72,253
59	FCT GROUP LLC	N	CME	03/31/2001	4,298,455	250,000	193,494	4,048,455	5,847,726	0
60	FIMAT USA INC	Y	CME	03/31/2001	106,882,481	38,590,829	68,792,695	38,089,786	1,143,477,821	44,986,711
61	FIRST OPTIONS OF CHICAGO INC	Y	CME	03/30/2001	288,132,278	8,431,722	21,310,794	266,821,484	308,506,335	5,761,221
62	FIRST UNION SECURITIES INC	Y	CME	03/31/2001	230,849,066	3,683,282	0	227,165,784	0	0
63	FORTIS CLEARING CHICAGO LLC	N	CBOT	03/31/2001	11,623,803	935,386	938,642	10,685,161	28,177,236	404,684
64	FOX INC	N	NFA	03/31/2001	920,989	264,000	0	656,989	0	0
65	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	03/31/2001	2,474,888	250,000	73,733	2,224,888	2,663,247	0
66	FRONTIER FUTURES INC	N	NFA	03/31/2001	918,896	261,319	325,155	593,741	8,214,058	0
67	FUJI FUTURES INC	N	CBOT	03/31/2001	14,180,314	2,884,494	6,465,789	7,714,525	84,962,395	35,535
68	FUJI SECURITIES INC	Y	NFA	03/31/2001	177,399,587	250,000	0	177,149,587	0	0
69	FUTURES TECH LLC	N	NFA	03/31/2001	411,446	250,000	0	161,446	0	0
70	FUTURES-I-NET INC	N	NFA	03/31/2001	280,813	250,000	0	30,813	0	0

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71	GELBER GROUP LLC	N	CBOT	03/31/2001	3,838,845	788,513	146,646	3,050,332	19,828,532	216,425
72	GELDERMANN INC	N	NYME	03/31/2001	25,311,537	1,649,582	1,187,530	23,661,955	59,825,451	284,669
73	GILDER GAGNON HOWE AND CO LLC	Y	NFA	03/31/2001	24,589,795	250,000	0	24,339,795	0	0
74	GLOBAL FUTURES AND FOREX LTD	N	NFA	03/31/2001	873,529	250,000	0	623,529	0	0
75	GNI INCORPORATED	N	CBOT	03/31/2001	10,583,952	5,631,942	7,998,536	2,585,416	112,111,150	30,340,002
76	GOLDENBERG HEHMEYER & CO	Y	CBOT	03/31/2001	6,001,535	1,059,121	910,166	4,942,414	32,073,708	1,637,826
77	GOLDMAN SACHS & CO	Y	CBOT	03/30/2001	4,046,062,409	682,067,286	366,049,837	3,363,995,123	6,620,833,596	2,815,645,185
78	GREENWICH CAPITAL MARKETS INC	Y	CBOT	03/31/2001	575,732,000	5,018,000	12,484,240	563,247,760	98,815,000	0
79	GRUNTAL & CO LLC	Y	NFA	03/31/2001	37,003,423	1,500,000	95,522	35,503,423	2,497,978	0
80	GSA CLEARING LP	N	CBOT	03/30/2001	318,942,568	133,069,012	248,131,545	70,811,023	3,363,415,098	0
81	HAGERTY GRAIN CO INC	N	CBOT	03/31/2001	1,426,873	250,000	24,660	1,176,873	4,379,691	0
82	HORNBLOWER FISCHER & CO	Y	NFA	03/31/2001	2,074,807	317,475	249,268	1,757,332	8,008,682	0
83	HSBC SECURITIES USA INC	Y	CME	03/31/2001	179,932,375	11,137,132	12,349,836	167,582,539	237,532,804	43,779,041
84	IMPACT INTERNATIONAL TRADING GROUP INC	N	NFA	03/31/2001	409,765	250,000	0	159,765	0	0
85	ING US SEC FUTURES & OPTIONS INC	Y	CBOT	03/31/2001	77,523,424	8,297,174	10,271,540	67,251,884	248,481,519	35,630,328
86	INSTANT FUTURES LLC	N	NFA	01/31/2001	384,106	250,000	0	134,106	0	0
87	INTERACTIVE BROKERS LLC	Y	NYME	03/31/2001	36,641,410	1,210,673	895,628	35,430,737	26,198,060	4,068,771
88	INVERLAT INTERNATIONAL INC	Y	NFA	03/31/2001	3,986,461	250,000	0	3,736,461	0	0
89	IOWA GRAIN CO	N	CBOT	03/31/2001	7,774,865	2,791,631	2,489,759	4,983,234	77,126,690	10,403
90	JP MORGAN FUTURES INC	N	NYME	03/31/2001	388,969,884	136,878,360	213,905,265	175,064,619	3,578,251,126	389,946,287
91	JULIUS BAER SECURITIES INC	Y	NFA	03/31/2001	12,596,974	1,205,157	0	11,391,817	0	0
92	KOKUSAI AMERICA INCORPORATED	Y	NFA	03/31/2001	21,897,098	250,000	0	21,647,098	0	0
93	KOTTKE ASSOCIATES LLC	N	CBOT	03/31/2001	11,118,954	325,386	120,801	10,793,568	13,017,007	0
94	LADENBURG THALMANN & CO INC	Y	NFA	03/31/2001	10,316,611	1,000,000	0	9,316,611	0	0
95	LAKES TRADING GROUP INC	N	CBOT	03/31/2001	1,318,939	250,000	181,708	1,068,939	5,207,464	0
96	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	03/31/2001	2,585,040	250,000	51,281	2,335,040	5,202,557	0
97	LBS LIMITED PARTNERSHIP	N	CBOT	03/31/2001	419,344	250,000	0	169,344	0	0
98	LEGG MASON WOOD WALKER INC	Y	NFA	03/31/2001	290,088,506	21,990,113	0	268,098,393	0	0
99	LEHMAN BROTHERS INC	Y	CBOT	03/31/2001	1,793,496,000	131,559,000	104,404,840	1,661,937,000	1,185,169,000	7,149,000
100	LIND-WALDOCK & COMPANY LLC	N	CME	03/31/2001	54,811,822	20,127,735	14,853,487	34,684,087	523,652,952	6,271,680
101	LINN GROUP THE	N	NFA	03/31/2001	454,347	250,000	0	204,347	0	0
102	LINSKO/PRIVATE LEDGER CORP	Y	NFA	03/31/2001	64,349,682	4,411,614	0	59,938,068	0	0
103	LOEB PARTNERS CORPORATION	Y	NFA	03/31/2001	8,534,277	250,000	0	8,284,277	0	0
104	MACQUARIE FUTURES INC	N	CBOT	03/31/2001	916,545	250,000	28,830	666,545	407,931	0
105	MAN FINANCIAL INC	Y	CME	03/31/2001	207,769,461	82,194,221	82,773,892	124,995,569	2,058,386,252	224,642,876

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106	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	03/31/2001	790,156	250,000	0	540,156	0	0
107	MASCOT CAPITAL INVESTMENT LTD	N	NFA	09/30/2000	536,581	250,000	0	286,581	0	0
108	MAXCOR FINANCIAL INC	Y	NFA	03/31/2001	12,309,071	250,000	0	12,059,071	0	0
109	MBF CLEARING CORP	N	NYME	03/31/2001	6,034,298	2,079,965	691,172	3,954,334	53,051,438	128,244
110	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	03/31/2001	8,894,720	4,404,964	1,288,904	4,489,756	110,751,614	0
111	MERRILL LYNCH FUTURES INC	N	CBOT	03/30/2001	388,761,000	201,362,720	236,240,360	152,520,640	4,898,698,000	353,522,000
112	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	03/30/2001	3,867,762,370	416,415,334	0	3,451,347,036	0	0
113	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	03/30/2001	1,193,416,717	28,155,196	91,592,881	1,101,823,836	774,381,277	0
114	MID-CO COMMODITIES INC	N	NFA	02/28/2001	3,482,744	296,703	497,686	2,985,058	7,417,573	0
115	MIDLAND EURO INC	N	NFA	03/31/2001	642,834	250,000	0	392,834	0	486,592
116	MILLENIUM PERFORMANCE LLC	N	CBOT	03/31/2001	443,310	250,000	0	193,310	0	0
117	MITCHELL HUTCHINS ASSET MANAGEMENT INC	Y	NFA	03/31/2001	113,607,140	250,000	0	113,357,140	0	0
118	mitsui & co investment products corp	N	NFA	03/31/2001	2,727,683	250,000	7,811	2,477,683	1,887,081	0
119	MORGAN KEEGAN & CO INC	Y	NFA	03/31/2001	132,113,653	10,873,444	0	121,240,209	0	0
120	MORGAN STANLEY & CO INCORPORATED	Y	CME	03/31/2001	4,446,606,846	592,134,444	43,031,189	3,854,472,402	2,690,458,474	492,986,557
121	MULTINVESTMENTS INC	Y	NFA	03/31/2001	1,695,177	250,000	0	1,445,177	0	0
122	NATIONAL COMMODITIES CORP INC	N	NFA	03/31/2001	831,535	456,000	4,633	375,535	4,789,260	0
123	NEUBERGER BERMAN LLC	Y	NFA	03/31/2001	169,035,048	22,393,127	261,080	146,641,921	7,369,213	0
124	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	03/31/2001	14,181,529	250,000	0	13,931,529	0	0
125	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	03/31/2001	590,102,360	5,026,690	2,859,672	585,075,670	13,381,092	336,901
126	OCONNOR & COMPANY LLC	Y	CBOT	03/31/2001	26,923,629	1,544,053	921,823	25,379,576	63,857,423	0
127	PACKERS TRADING CO INC	N	NFA	03/31/2001	3,248,276	250,000	123,108	2,998,276	5,172,793	0
128	PATTERSON TRADING GROUP	N	NFA	03/31/2001	910,162	250,000	0	660,162	0	0
129	PAX CLEARING CORPORATION	Y	NFA	03/31/2001	75,914,624	250,000	0	75,664,624	0	0
130	PEREGRINE FINANCIAL GROUP INC	N	NFA	03/31/2001	5,155,787	2,390,359	1,071,644	2,765,428	60,339,986	198,124
131	PIONEER FUTURES INC	N	NYME	03/31/2001	6,085,476	2,332,096	816,402	3,753,380	63,520,816	1,116,233
132	PROFESSIONAL MARKET BROKERAGE INC	N	NFA	03/31/2001	2,793,725	1,736,992	696,590	1,056,733	46,631,082	186,023
133	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	03/30/2001	1,192,308,000	133,574,000	81,211,720	1,058,734,000	2,005,543,000	116,389,000
134	RAND FINANCIAL SERVICES INC	N	CME	03/31/2001	11,470,249	5,487,659	8,466,004	3,004,245	124,017,100	21,627,700
135	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	03/30/2001	296,629,755	25,594,926	0	271,034,829	0	0
136	RB&H FINANCIAL SERVICES LP	N	CME	03/31/2001	4,395,707	2,018,371	1,234,770	2,377,336	54,321,947	0
137	RBC DOMINION SECURITIES CORPORATION	Y	CME	03/30/2001	178,085,197	1,000,000	15,633,569	162,451,628	0	0
138	REFCO INC	N	CME	03/30/2001	124,168,707	70,331,042	57,106,933	53,837,665	2,000,997,160	5,642,676
139	RJ OBRIEN ASSOCIATES INC	N	CME	03/30/2001	17,862,390	7,900,060	9,785,974	8,076,416	219,795,975	4,967,313
140	ROBBINS FUTURES INC	N	NFA	03/31/2001	490,141	258,221	73,731	231,920	6,537,858	0

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		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Secured
		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
141	ROBERTSON STEPHENS INC	Y	NFA	03/31/2001	556,557,710	6,166,971	0	550,390,739	0	0
142	ROBINSON-HUMPHREY COMPANY LLC THE	Y	NFA	03/31/2001	25,734,058	1,000,000	0	24,734,058	0	0
143	ROSENTHAL COLLINS GROUP LLC	N	CME	03/31/2001	29,917,828	5,828,111	5,038,182	24,089,717	175,329,491	517,489
144	ROSENTHAL GLOBAL SECURITIES L L C	Y	NFA	03/31/2001	5,190,914	475,610	0	4,715,304	0	0
145	ROTHSCHILD INC	Y	NYME	03/31/2001	28,334,189	250,000	0	28,084,189	0	0
146	SALOMON SMITH BARNEY INC	Y	CBOT	03/31/2001	2,569,573,776	437,031,434	156,357,601	2,132,542,342	4,418,097,134	40,390,005
147	SANFORD C BERNSTEIN & CO LLC	Y	NFA	03/31/2001	210,482,704	7,220,600	0	203,262,104	0	0
148	SANWA FUTURES LLC	N	CME	03/31/2001	8,437,613	704,487	1,457,453	6,980,160	11,180,334	6,431,835
149	SAVANT USA LIMITED	N	NFA	03/31/2001	3,913,469	250,000	77,160	3,663,469	2,648,049	0
150	SCHRODER & CO INC	Y	NFA	12/31/2000	162,691,782	8,505,723	0	154,186,059	0	0
151	SECURITIES CORPORATION OF IOWA	Y	NFA	03/31/2001	1,334,512	250,000	0	1,084,512	0	0
152	SENTINEL MANAGEMENT GROUP INC	N	NFA	03/31/2001	386,692	250,000	0	136,692	393,801,656	0
153	SG COWEN SECURITIES CORPORATION	Y	NFA	03/31/2001	752,541,128	2,823,526	0	749,717,602	0	0
154	SHATKIN ARBOR KARLOV & CO	N	CBOT	03/31/2001	6,968,916	367,680	287,902	6,601,236	9,397,633	22,600
155	SHAY GRAIN CLEARING COMPANY	N	KCBT	03/31/2001	728,734	250,000	4,201	478,734	1,166,093	0
156	SHEPARD INTERNATIONAL INC	N	NFA	03/31/2001	2,294,462	250,000	0	2,044,462	0	0
157	SHERWOOD FUTURES GROUP LLC	N	NFA	03/31/2001	510,524	250,000	0	260,524	2,843,472	0
158	SIEGEL TRADING CO INC THE	N	NFA	03/31/2001	839,610	250,000	4,988	589,610	3,725,158	0
159	SMW TRADING COMPANY INC	N	CME	03/31/2001	7,069,185	277,968	183,283	6,791,217	6,950,767	0
160	SPEAR LEEDS & KELLOG	Y	NYME	03/31/2001	1,001,670,879	42,101,945	11,025,127	959,568,934	386,534,356	4,127
161	STEPHENS INC	Y	NFA	03/30/2001	70,252,371	10,867,830	0	59,384,541	0	0
162	STERLING COMMODITIES CORP	N	NYME	03/31/2001	3,925,865	554,802	128,672	3,371,063	14,085,829	57,403
163	SWISS AMERICAN SECURITIES INC	Y	NFA	03/31/2001	60,543,421	5,879,262	0	54,664,159	0	0
164	SWISS FINANCIAL SERVICES INC	N	NFA	03/31/2001	849,160	250,000	2,061	599,160	229,603	0
165	TCA FUTURES LLC	N	NFA	03/31/2001	421,912	250,000	0	171,912	0	0
166	TECH NET TRADING INC	N	NFA	03/31/2001	660,073	250,000	69,527	410,073	3,894,975	0
167	TENCO INC	N	CBOT	03/31/2001	24,054,817	1,696,152	1,610,653	22,358,665	44,908,915	0
168	TIMBER HILL LLC	Y	CME	03/30/2001	339,138,936	250,000	90,821	338,888,936	1,281,986	0
169	TOKYO GENERAL USA INC	N	NFA	03/31/2001	431,897	250,000	46,952	181,897	970,558	5,716
170	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	03/31/2001	13,764,121	710,292	2,548,250	11,215,871	17,271,336	485,963
171	TONG YANG FUTURES AMERICA INC	N	NFA	03/31/2001	745,489	250,000	0	495,489	0	0
172	TRADELINK LLC	Y	CBOT	03/31/2001	27,575,722	250,000	281,420	27,294,302	0	0
173	TRANSMARKET GROUP LLC	Y	CBOT	03/31/2001	11,241,368	939,474	0	10,301,894	0	0
174	TRILAND USA INC	N	NYME	03/31/2001	19,183,436	269,103	622,299	18,561,137	6,727,573	0
175	TUCKER ANTHONY INC	Y	NFA	03/31/2001	37,770,970	1,000,000	0	36,770,970	0	0

**SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
APRIL 30, 2001**

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
					(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Secured
	(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
176 UBS PAINWEBBER INC	Y	CBOT	03/31/2001	1,362,427,528	282,225,161	11,385,813	1,080,202,367	557,854,173	18,623,725
177 UBS WARBURG FUTURES INC	N	CME	03/31/2001	201,731,936	87,453,760	140,372,132	61,359,804	2,209,126,950	0
178 UBS WARBURG LLC	Y	CBOT	03/31/2001	1,028,238,672	120,685,500	166,642,359	861,596,313	2,561,904,649	380,704,887
179 UNIVERSAL FINANCIAL HOLDING CORP	N	NFA	03/31/2001	477,971	294,000	86,354	183,971	1,957,449	0
180 US BANCORP PIPER JAFFRAY INC	Y	NFA	03/31/2001	226,494,371	14,752,518	0	211,741,853	0	0
181 US SECURITIES & FUTURES CORP	Y	NFA	03/31/2001	382,261	250,000	0	132,261	0	0
182 VISION LIMITED PARTNERSHIP	N	NFA	03/31/2001	8,620,596	3,437,646	1,875,193	5,182,950	89,867,697	29,192
183 WACHOVIA SECURITIES INC	Y	NFA	03/31/2001	184,138,923	11,359,275	0	172,779,648	0	0
184 WALL STREET DERIVATIVES INC	N	NFA	03/31/2001	252,178	250,000	0	2,178	0	0
185 WEISS PECK & GREER LLC	Y	NFA	03/31/2001	104,869,308	11,811,901	0	93,057,407	0	0
186 WHITE COMMERCIAL CORPORATION	N	NFA	01/31/2001	1,510,302	250,000	0	1,260,302	0	0
187 XPRESSTRADE LLC	N	NFA	03/31/2001	545,919	250,000	0	295,919	0	0
Totals				46,610,353,822				55,233,408,399	7,381,364,311
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.		(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.				(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.			
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign futures accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, and was effective 10/31/00.		(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.				Note: Any errors in this table should be brought to the attention of the CFTC's Division of Trading and Markets at (312) 353-6642 or via e-mail to: fzimmerle@cftc.gov or sgreska@cftc.gov.			