

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
OCTOBER 31, 2001

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
1	AB FINANCIAL LLC	Y	CME	9/30/2001	11,692,247	250,000	0	11,442,247	0	0
2	ABN AMRO INCORPORATED	Y	CBOT	9/30/2001	567,032,811	205,540,205	122,145,163	361,492,606	1,629,954,878	39,731,467
3	ABN AMRO SAGE CORPORATION	Y	NFA	9/30/2001	35,866,198	2,458,287	2,257,012	33,407,911	93,507,537	0
4	ADM INVESTOR SERVICES INC	N	CBOT	9/30/2001	64,279,524	9,956,295	10,926,468	53,353,056	263,768,477	7,147,909
5	ADVEST INC	Y	NFA	9/30/2001	48,509,149	12,145,820	0	36,363,329	0	0
6	AEGIS FINANCIAL LLC	N	NFA	9/30/2001	288,944	250,000	49,803	38,944	5,425,927	170,789
7	AG EDWARDS & SONS INC	Y	CBOT	9/30/2001	776,769,655	51,287,854	1,933,136	725,481,801	62,264,253	5
8	AGE COMMODITY CLEARING CORP	N	CBOT	9/30/2001	10,826,548	1,294,629	2,552,400	8,274,148	36,555,291	0
9	AIG CLEARING CORPORATION	N	NYME	9/30/2001	119,384,483	250,000	4,516,728	114,867,755	0	0
10	ALARON TRADING CORPORATION	N	NFA	9/30/2001	2,276,160	1,402,865	584,931	873,295	40,435,482	67,119
11	ALCO COMMODITIES INC	N	NFA	8/31/2001	444,175	250,000	0	194,175	0	0
12	AMERICAN NATIONAL TRADING CORP	N	NFA	8/31/2001	726,275	250,000	218,815	476,275	9,210,447	0
13	AUBREY G LANSTON & CO INC	Y	CME	9/30/2001	34,060,984	11,649,944	1,589,387	22,411,040	44,104,865	17,776,647
14	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	9/30/2001	53,755,559	3,613,872	12,916,161	40,839,398	90,346,812	0
15	BANC ONE CAPITAL MARKETS INC	Y	CBOT	9/30/2001	498,157,126	46,988,786	103,946,052	394,211,074	1,385,344,705	12,994,323
16	BARCLAYS CAPITAL INC	Y	CBOT	9/30/2001	637,704,201	31,873,768	84,354,753	553,349,448	500,767,246	31,790,832
17	BAXTER FINANCIAL SERVICES LTD	N	NFA	9/30/2001	150,036	250,000	0	(99,964)	0	0
18	BEAR STEARNS & CO INC	Y	NFA	9/30/2001	2,040,454,730	58,324,593	0	1,982,130,137	0	0
19	BEAR STEARNS SECURITIES CORP	Y	CME	9/28/2001	2,527,707,559	599,385,516	99,324,837	1,928,322,043	1,832,324,967	126,374,009
20	BENSON-QUINN COMMODITIES INC	N	NFA	9/30/2001	5,002,358	1,065,995	1,924,493	3,077,865	28,084,425	10,573
21	BIELFELDT & COMPANY LLC	N	CBOT	9/30/2001	376,293	250,000	0	126,293	0	0
22	BLAYLOCK AND PARTNERS LP	Y	NFA	9/30/2001	4,200,677	256,942	0	3,943,735	0	0
23	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	9/30/2001	19,392,751	943,215	16,208,212	3,184,539	3,285,190	0
24	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	9/30/2001	55,752,368	8,351,357	20,717,726	35,034,642	297,562,634	9,065,584
25	BNP PARIBAS SECURITIES CORP	Y	NFA	9/30/2001	343,909,683	1,693,505	0	342,216,178	0	0
26	BNY CLEARING SERVICES LLC	Y	NFA	9/30/2001	81,580,915	26,382,793	0	55,198,122	0	0
27	BRINSON ADVISORS	Y	NFA	9/30/2001	105,015,856	250,000	0	104,765,856	0	0
28	BROADSTREET FINANCIAL CORP	N	NFA	9/30/2001	850,382	250,000	6,008	600,382	2,367,517	0
29	C CZARNIKOW SUGAR FUTURES INC	N	NFA	9/30/2001	3,237,656	250,000	198,430	2,987,656	2,845,219	0
30	CANTOR FITZGERALD & CO	Y	CBOT	8/31/2001	37,603,570	1,832,724	1,727,316	35,770,846	3,731,597	0
31	CARGILL INVESTOR SERVICES INC	Y	CBOT	9/30/2001	68,827,082	34,676,600	49,390,840	19,436,242	923,979,065	105,934,417
32	CARR FUTURES INC	Y	CME	9/30/2001	186,792,135	99,914,356	108,914,400	77,877,735	2,284,633,570	1,223,983,898
33	CDC SECURITIES	Y	NFA	9/30/2001	26,079,778	250,000	0	25,829,778	0	0
34	CIBC WORLD MARKETS CORP	Y	NYME	9/30/2001	1,112,372,567	28,514,708	17,264,159	1,083,857,859	5,182,524	0
35	COMMERZ FUTURES LLC	Y	CME	9/30/2001	9,466,619	2,710,009	5,102,275	4,364,344	87,170,036	5,985,993
36	COMMODITY CONSULTANTS INTERNATIONAL INC	N	NFA	9/30/2001	1,122,767	250,000	0	872,767	0	0
37	COMMODITY RESOURCE CORPORATION	N	NFA	9/30/2001	446,538	250,000	18,028	196,538	2,190,721	0
38	COUNTRY HEDGING INC	N	NFA	8/31/2001	2,826,632	696,993	1,400,856	1,425,776	18,643,333	4,622
39	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	9/30/2001	11,476,197	3,208,429	6,598,735	4,877,462	120,820,201	0
40	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	9/30/2001	2,497,217,351	150,205,830	35,586,347	2,347,011,521	527,881,446	221,727,864
41	CROSSLAND LLC	N	CBOT	9/28/2001	3,510,272	270,785	277,989	3,232,283	6,796,954	0
42	CUNNINGHAM COMMODITIES INC	N	CBOT	9/30/2001	1,601,465	250,000	72,223	1,351,465	2,828,946	44,492
43	DAIWA SECURITIES AMERICA INC	Y	CME	9/30/2001	206,134,589	13,993,295	3,772,018	192,141,294	85,858,490	1,749,686

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44	DAVID A NOYES & CO	Y	NFA	9/30/2001	988,229	250,000	0	738,229	0	0
45	DEUTSCHE BANC ALEX BROWN INC	Y	NFA	9/30/2001	1,246,025,749	143,765,602	54,560,000	1,102,260,147	488,633,446	189,186,012
46	DEUTSCHE BANK FUTURES INC	N	CME	9/30/2001	143,867,026	16,153,061	125,391,360	18,475,666	481,210,381	4,463,599
47	DIRECT TRADING GROUP LLC	N	NFA	9/30/2001	402,785	250,000	0	152,785	0	0
48	DKB FINANCIAL FUTURES CORP	N	CME	9/30/2001	9,007,954	250,000	505,560	8,502,394	0	0
49	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	9/30/2001	1,220,712,000	126,382,460	0	1,094,329,540	0	0
50	DORMAN TRADING LLC	N	CME	9/30/2001	3,399,609	630,480	90,146	2,769,129	15,760,248	11,652
51	DUNAVANT COMMODITY CORP	N	NYC	9/30/2001	12,150,391	1,170,681	301,971	10,979,710	29,267,028	0
52	E FUTURES . COM LLC	N	NFA	9/30/2001	1,253,442	525,977	521,922	727,465	18,287,466	1,505
53	EAGLE MARKET MAKERS INC	N	CBOT	9/30/2001	1,555,490	250,000	19,831	1,305,490	1,336,021	106,686
54	EM COMBS & SON	N	CBOT	9/30/2001	1,895,404	250,000	18,418	1,645,404	1,390,603	0
55	ENRON TRADING SERVICES INC	N	NYME	9/30/2001	14,982,771	322,296	637,273	14,345,498	8,057,405	0
56	FAHNESTOCK & CO INC	Y	NFA	9/30/2001	173,241,942	6,905,434	0	166,336,508	658,026	0
57	FARR FINANCIAL INC	N	NFA	9/30/2001	454,451	250,000	0	204,451	0	0
58	FC STONE LLC	N	CME	9/30/2001	19,173,625	6,919,978	6,670,955	12,253,647	189,520,476	183,390
59	FCT GROUP LLC	N	CME	9/30/2001	4,400,854	250,000	410,690	3,990,164	7,586,541	0
60	FIMAT USA INC	Y	CME	9/30/2001	130,652,105	36,727,903	85,416,429	45,235,676	1,387,199,251	47,843,126
61	FIRST OPTIONS OF CHICAGO INC	Y	CME	9/28/2001	289,410,675	9,799,372	26,865,861	262,544,814	340,191,543	2,598,270
62	FIRST UNION SECURITIES INC	Y	CME	9/30/2001	194,102,422	4,407,304	0	189,695,118	0	0
63	FOREX CAPITAL MARKETS LLC	N	NFA	9/30/2001	2,902,841	250,000	0	2,652,841	0	0
64	FORTIS CLEARING CHICAGO LLC	N	CBOT	9/30/2001	6,187,020	918,489	552,678	5,268,531	28,659,734	341,071
65	FOX INC	N	NFA	9/30/2001	966,157	250,000	0	716,157	0	0
66	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	9/30/2001	1,354,638	250,000	1,398	1,104,638	1,002,026	0
67	FRONTIER FUTURES INC	N	NFA	9/30/2001	650,772	290,135	241,257	360,637	8,664,193	0
68	FUJI FUTURES INC	Y	CBOT	9/30/2001	14,195,847	3,420,189	6,937,742	7,258,105	85,270,386	2,882,041
69	FUJI SECURITIES INC	Y	CBOT	8/31/2001	177,196,712	1,105,716	0	176,090,996	0	0
70	FUTURES TECH LLC	N	NFA	9/30/2001	414,380	250,000	0	164,380	0	0
71	FUTURESINET INC	N	NFA	9/30/2001	92,945	250,000	0	(157,055)	0	0
72	GELBER GROUP LLC	N	CBOT	9/30/2001	6,952,103	802,478	128,059	6,149,625	22,169,048	176,690
73	GILDER GAGNON HOWE AND CO LLC	Y	NFA	9/30/1930	18,310,945	250,000	0	18,060,945	0	0
74	GLOBAL FUTURES & FOREX LTD	N	NFA	9/30/2001	1,470,498	250,000	0	1,220,498	0	0
75	GNI INCORPORATED	N	CBOT	9/30/2001	12,042,718	5,586,204	6,650,692	5,392,026	117,367,577	23,377,460
76	GOLDENBERG HEHMEYER & CO	Y	CBOT	9/30/2001	7,677,726	1,063,046	891,171	6,614,680	32,868,215	2,145,186
77	GOLDMAN SACHS & CO	Y	CBOT	9/28/2001	3,924,161,028	765,114,800	411,002,283	3,159,046,228	7,945,653,885	3,355,801,521
78	GREENWICH CAPITAL MARKETS INC	Y	CBOT	9/30/2001	605,521,000	139,765,000	20,306,960	465,756,000	197,334,000	3,331,000
79	GRUNTAL & CO LLC	Y	NFA	9/28/2001	24,561,655	1,500,000	0	23,061,655	2,175,169	0
80	GSA CLEARING LP	N	CBOT	9/28/2001	316,282,377	152,793,065	286,993,149	29,289,228	3,884,341,276	0
81	HAGERTY GRAIN CO INC	N	CBOT	9/30/2001	1,544,187	252,602	119,805	1,291,585	6,604,290	0
82	HORNBLOWER FISCHER & CO	Y	NFA	9/30/2001	1,665,393	410,794	274,788	1,254,599	10,323,542	0
83	HSBC SECURITIES USA INC	Y	CME	9/30/2001	359,850,809	163,419,615	19,428,745	196,431,194	252,981,676	38,315,549
84	IMPACT INTERNATIONAL TRADING GROUP INC	N	NFA	9/30/2001	421,059	250,000	0	171,059		
85	INTEGRATED BROKERAGE SERVICES INC	N	NFA	9/30/2001	724,819	250,000	0	474,819	0	0
86	INTERACTIVE BROKERS LLC	Y	NYME	9/30/2001	55,452,546	1,659,868	934,006	53,792,678	33,640,155	7,856,552

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						(c)			(e)	(f)
87	IOWA GRAIN CO	N	CBOT	9/30/2001	7,409,411	3,779,923	3,528,345	3,629,488	107,012,062	6,869
88	JP MORGAN FUTURES INC	N	NYME	9/30/2001	372,461,846	138,906,454	235,883,217	136,578,629	3,640,741,274	594,775,308
89	JULIUS BAER SECURITIES INC	Y	NFA	9/30/2001	11,707,845	2,825,392	0	8,882,453	0	0
90	KOKUSAI AMERICA INCORPORATED	Y	NFA	9/30/2001	15,985,776	250,000	0	15,735,776	0	0
91	KOTTKE ASSOCIATES LLC	N	CBOT	9/30/2001	6,149,298	297,559	170,857	5,851,739	14,291,128	(7,888)
92	LADENBURG THALMANN & CO INC	Y	NFA	9/30/2001	5,666,643	1,000,000	0	4,666,643	0	0
93	LAKES TRADING GROUP INC	N	CBOT	9/30/2001	1,379,553	250,000	218,421	1,129,553	3,607,871	0
94	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	9/30/2001	2,585,762	250,000	61,772	2,335,762	4,437,370	0
95	LBS LIMITED PARTNERSHIP	N	CBOT	9/30/2001	431,342	250,000	0	181,342	0	0
96	LEGG MASON WOOD WALKER INC	Y	NFA	9/30/2001	266,377,487	21,622,079	0	244,755,408	0	0
97	LEHMAN BROTHERS INC	Y	CBOT	9/30/2001	1,735,001,000	338,101,000	95,759,840	1,396,900,000	1,193,721,000	12,586,000
98	LINN GROUP (THE)	N	NFA	9/30/2001	462,694	250,000	0	212,694	0	0
99	LINSCO/PRIVATE LEDGER CORP	Y	NFA	9/30/2001	71,880,104	4,176,124	0	67,703,980	0	0
100	LOEB PARTNERS CORPORATION	Y	NFA	9/30/2001	15,642,755	250,000	0	15,392,755	0	0
101	MACQUARIE FUTURES INC	N	CBOT	9/30/2001	881,109	250,000	39,952	631,109	1,904,693	0
102	MAN FINANCIAL INC	Y	CME	9/30/2001	145,580,138	67,427,152	82,973,489	62,606,649	1,946,798,114	265,232,308
103	MAN INTERNATIONAL INC	N	NYME	9/30/2001	40,937,817	851,200	901,440	40,036,377	49,406,629	386,303
104	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	9/28/2001	2,164,562	250,000	0	1,914,562	0	0
105	MAXCOR FINANCIAL INC	Y	NFA	9/30/2001	12,542,214	250,000	0	12,292,214	0	0
106	MBF CLEARING CORP	N	NYME	9/30/2001	6,090,439	2,098,697	539,498	3,991,742	52,776,611	207,766
107	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	9/30/2001	8,709,228	3,920,308	800,624	4,788,920	98,079,698	0
108	MERRILL LYNCH FUTURES INC	N	CBOT	9/28/2001	505,222,000	190,853,640	183,987,680	314,368,360	4,657,392,000	373,447,000
109	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	9/28/2001	2,870,416,980	381,130,763	0	2,489,286,217	0	0
110	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	9/30/2001	1,352,601,712	14,534,542	43,239,445	1,309,362,267	460,911,357	0
111	MID-CO COMMODITIES INC	N	NFA	8/31/2001	3,061,728	561,750	0	2,499,978	7,893,193	0
112	MIDLAND EURO INC	N	NFA	9/30/2001	1,372,567	250,000	0	1,122,567	0	0
113	mitsui & CO INVESTMENT PRODUCTS CORP	N	NFA	9/28/2001	2,347,557	250,000	5,675	2,097,557	2,711,261	0
114	MORGAN KEEGAN & COMPANY INC	Y	NFA	9/30/2001	156,065,267	13,451,480	0	142,613,787	0	0
115	MORGAN STANLEY & CO INCORPORATED	Y	CME	9/30/2001	6,498,563,971	809,731,347	35,942,306	5,688,832,624	2,819,092,379	723,837,517
116	MORGAN STANLEY DW INC	Y	CBOT	9/30/2001	1,285,254,983	132,740,684	1,892,069	1,152,514,299	499,753,745	839,704,808
117	NATIONAL COMMODITIES CORPORATION INC	N	NFA	9/28/2001	1,102,834	594,000	0	508,834	6,702,773	0
118	NEUBERGER BERMAN LLC	Y	NFA	9/30/2001	220,861,617	18,545,046	185,423	202,316,571	9,616,260	0
119	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	9/30/2001	13,006,459	250,000	0	12,756,459		
120	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	9/30/2001	748,252,999	18,141,341	768,505	730,111,658	20,543,934	0
121	OCONNOR & COMPANY LLC	Y	CBOT	9/30/2001	30,251,124	1,289,315	1,338,372	28,912,752	33,408,857	0
122	PACKERS TRADING CO INC	N	NFA	9/30/2001	1,374,425	250,000	141,972	1,124,425	5,296,256	0
123	PATTERSON TRADING GROUP	N	CBOT	9/30/2001	955,094	250,000	0	705,094	0	0
124	PAX CLEARING CORPORATION	Y	CME	9/30/2001	41,665,468	2,155,792	1,752,436	39,509,676	54,651,402	0
125	PENSON FINANCIAL FUTURES INC	Y	NFA	9/30/2001	400,000	250,000	0	150,000	0	0
126	PEREGRINE FINANCIAL GROUP INC	N	NFA	9/30/2001	7,035,880	2,916,545	1,243,330	4,119,335	76,587,605	146,149
127	PIONEER CAPITAL CORP	Y	NFA	9/30/2001	1,305,064	250,000	0	1,055,064	0	0
128	PIONEER FUTURES INC	N	NYME	9/30/2001	5,815,251	2,460,330	1,080,239	3,354,921	68,615,313	1,046,920
129	PROFESSIONAL MARKET BROKERAGE INC	N	NFA	9/30/2001	3,323,989	2,176,141	667,248	1,147,848	56,481,612	266,666

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130	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	9/28/2001	836,734,000	108,651,000	72,419,000	728,083,000	1,741,075,000	148,169,000
131	RAND FINANCIAL SERVICES INC	N	CME	9/30/2001	11,407,266	6,403,966	9,761,398	1,645,868	150,842,700	16,066,900
132	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	9/28/2001	279,129,118	23,077,674	0	256,051,444	0	0
133	RB&H FINANCIAL SERVICES LP	N	CME	9/30/2001	3,339,311	1,819,853	1,326,016	1,519,458	49,275,392	1,885
134	RBC DAIN RAUSCHER INC	Y	NFA	9/30/2001	92,855,454	21,732,793	0	71,122,661	0	0
135	RBC DOMINION SECURITIES CORPORATION	Y	CME	9/30/2001	107,150,134	2,781,136	12,724,103	94,426,031	0	0
136	REFCO LLC	N	CME	9/30/2001	169,635,284	87,051,429	76,186,989	82,583,855	2,476,020,814	13,238,703
137	RJ OBRIEN ASSOCIATES INC	N	CME	9/28/2001	17,638,176	10,022,430	12,041,596	5,596,580	266,800,047	4,506,742
138	ROBBINS FUTURES INC	N	NFA	9/30/2001	442,485	250,000	74,131	192,485	6,150,165	0
139	ROBERTSON STEPHENS INC	Y	NFA	9/30/2001	611,566,483	7,879,078	0	603,687,405	0	0
140	ROBINSON-HUMPHREY COMPANY LLC THE	Y	NFA	9/30/2001	25,519,918	250,000	25,269,918	25,269,918	0	0
141	ROSENTHAL COLLINS GROUP LLC	N	CME	9/30/2001	35,468,085	5,962,430	4,914,809	29,505,655	158,643,946	746,740
142	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	9/30/2001	7,881,878	761,200	0	7,120,678	0	0
143	ROTHSCHILD INC	Y	NYME	9/30/2001	9,578,523	250,000	0	9,328,523	0	0
144	SALOMON SMITH BARNEY INC	Y	CBOT	9/30/2001	4,175,190,816	573,434,737	165,714,509	3,601,756,079	4,737,929,891	55,304,435
145	SANFORD C BERNSTEIN & CO LLC	Y	NFA	9/30/2001	286,482,155	6,191,615	0	280,290,540	0	0
146	SAVANT USA LIMITED	N	NFA	9/30/2001	433,223	250,000	0	183,223	0	0
147	SCOTIA INTERNATIONAL INC	Y	NFA	9/30/2001	4,891,038	250,000	0	4,641,038	0	0
148	SENTINEL MANAGEMENT GROUP INC	N	NFA	9/30/2001	542,170	250,000	0	292,170	401,933,008	0
149	SG COWEN SECURITIES CORPORATION	Y	NFA	9/30/2001	661,375,204	17,410,047	0	643,965,157	0	0
150	SHATKIN ARBOR KARLOV & CO	N	CBOT	9/30/2001	6,686,589	384,686	137,860	6,301,903	10,152,455	44,443
151	SHAY GRAIN CLEARING COMPANY	N	KCBT	9/28/2001	761,759	250,000	3,344	511,759	763,255	0
152	SHEPARD INTERNATIONAL INC	N	NFA	9/30/2001	2,086,235	250,000	0	1,836,235	0	0
153	SHERWOOD FUTURES GROUP LLC	N	NFA	9/30/2001	449,613	250,000	0	199,613	1,433,013	0
154	SIEGEL TRADING CO INC THE	N	NFA	9/30/2001	746,389	250,000	3,409	496,389	1,257,918	0
155	SMW TRADING COMPANY INC	N	CME	9/30/2001	7,990,730	350,303	493,980	7,496,750	8,757,568	0
156	SPEAR LEEDS & KELLOG	Y	NYME	9/28/2001	775,809,404	47,845,438	13,340,272	727,963,966	426,069,150	1,187,777
157	STEPHENS INC	Y	NFA	9/28/2001	60,279,886	11,043,205	0	49,236,681	0	0
158	STERLING COMMODITIES CORP	N	NYME	9/30/2001	5,034,037	759,674	183,193	4,274,363	19,676,649	297,733
159	SWISS AMERICAN SECURITIES INC	Y	NFA	9/30/2001	64,087,807	4,857,743	0	59,230,064	0	0
160	TCA FUTURES LLC	N	NFA	9/30/2001	417,328	250,000	0	167,328	0	0
161	TECH NET TRADING INC	N	NFA	9/30/2001	603,960	250,000	80,402	353,960	4,776,179	0
162	TENCO INC	N	CBOT	9/30/2001	24,801,047	2,225,195	1,919,306	22,575,852	59,779,625	0
163	TIMBER HILL LLC	Y	CME	9/30/2001	311,760,480	408,029	208,685	311,352,451	10,230,393	0
164	TOKYO GENERAL USA INC	N	NFA	9/30/2001	394,327	250,000	26,451	144,327	1,140,795	5,861
165	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	9/30/2001	17,843,790	598,291	3,617,574	14,226,216	13,680,583	1,276,702
166	TRADELINK LLC	Y	CBOT	9/30/2001	19,976,465	250,000	417,222	19,559,243	0	0
167	TRANSMARKET GROUP LLC	Y	CBOT	9/30/2001	9,446,831	1,223,859	0	8,222,972	0	0
168	TRILAND USA INC	N	NYME	9/30/2001	19,335,441	250,000	428,554	18,906,887	6,204,403	0
169	TUCKER ANTHONY INCORPORATED	Y	NFA	9/30/2001	48,456,711	1,000,000	0	47,456,711	0	0
170	UBS PAINWEBBER INC	Y	CBOT	9/30/2001	1,262,934,831	232,658,482	11,640,507	1,030,276,349	655,579,614	980,274
171	UBS WARBURG LLC	Y	CBOT	9/30/2001	1,297,936,499	218,485,756	173,284,425	1,079,450,743	2,640,992,198	316,921,682
172	UFJ FUTURES LLC	N	CME	9/30/2001	6,819,224	250,000	1,260,028	5,559,196	0	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
OCTOBER 31, 2001

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
173	UNIVERSAL FINANCIAL HOLDING CORP	N	NFA	9/30/2001	611,694	312,000	123,624	299,694	2,834,735	0
174	US BANCORP PIPER JAFFRAY INC	Y	NFA	9/30/2001	147,231,538	13,415,816	0	133,815,722	0	0
175	US SECURITIES & FUTURES CORP	Y	NFA	9/30/2001	360,117	250,000	0	110,117	0	0
176	VISION LIMITED PARTNERSHIP	N	NFA	9/30/2001	9,340,539	3,764,109	2,369,514	5,576,430	97,952,821	97,031
177	WACHOVIA SECURITIES INC	Y	NFA	9/30/2001	188,247,245	10,994,025	0	177,253,220	4,775	0
178	WALL STREET DERIVATIVES INC	N	NFA	9/30/2001	395,558	250,000	0	145,558	0	0
179	WEISS PECK & GREER LLC	Y	NFA	9/30/2001	103,478,569	8,721,776	0	94,756,793	0	0
180	WHITE COMMERCIAL CORPORATION	N	NFA	7/31/2001	1,531,455	250,000	0	1,281,455	0	0
181	XPRESSTRADE LLC	N	NFA	9/30/2001	948,872	250,000	0	698,872	0	0
	Totals				49,437,529,647				55,838,417,851	8,853,663,173
	(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.		(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.				(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.			
	(b): DSRO: Designated Self-Regulatory Organization.									
	(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign futures accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, and was effective 10/31/00.		(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.				Note: Any errors in this table should be brought to the attention of the CFTC's Division of Trading and Markets at (312) 353-6642 or via e-mail to: fzimmerle@cftc.gov or sgreska@cftc.gov.			