

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
JANUARY 31, 2002

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
1	AB FINANCIAL LLC	Y	CME	12/31/2001	8,317,061	250,000	0	8,067,061	48,750	0
2	ABN AMRO INCORPORATED	Y	CBOT	12/31/2001	655,233,900	128,911,420	82,896,771	526,322,480	1,455,331,498	22,807,576
3	ABN AMRO SAGE CORPORATION	Y	NFA	12/31/2001	38,446,402	3,324,651	0	35,121,751	109,569,197	0
4	ADM INVESTOR SERVICES INC	N	CBOT	12/31/2001	67,793,613	9,420,833	8,787,489	58,372,780	249,118,598	4,875,774
5	ADVEST INC.	Y	NFA	12/31/2001	48,022,305	10,667,166	0	37,355,139	0	0
6	AEGIS FINANCIAL LLC	N	NFA	12/31/2001	400,712	254,864	164,240	145,848	5,758,498	685,775
7	AG EDWARDS & SONS INC	Y	CBOT	12/31/2001	679,615,152	49,259,797	1,972,840	630,355,355	53,844,213	0
8	AGE COMMODITY CLEARING CORP	N	CBOT	12/31/2001	10,835,241	1,316,941	2,611,751	8,223,490	35,655,740	0
9	AIG CLEARING CORPORATION	N	NYME	12/31/2001	119,930,785	250,000	5,311,436	114,619,349	0	0
10	ALARON TRADING CORPORATION	N	NFA	12/31/2001	2,291,331	1,383,860	578,387	907,471	38,703,957	5,792
11	ALCO COMMODITIES INC.	N	NFA	11/30/2001	469,429	250,000	0	219,429	0	0
12	AMERICAN NATIONAL TRADING CORP.	N	NFA	11/30/2001	1,536,854	250,000	197,636	1,286,854	8,969,758	0
13	AUBREY G LANSTON & CO INC	Y	CME	12/31/2001	38,843,237	5,799,154	1,875,496	33,044,083	46,875,591	17,630,723
14	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	12/31/2001	60,514,542	3,853,079	15,378,914	45,135,628	96,326,978	0
15	BANC ONE CAPITAL MARKETS INC.	Y	CBOT	12/31/2001	485,851,355	57,432,033	103,747,148	382,104,207	1,627,533,865	16,121,250
16	BARCLAYS CAPITAL INC	Y	CBOT	12/31/2001	298,171,115	45,881,944	85,615,717	212,555,398	1,176,427,296	18,839,188
17	BAXTER FINANCIAL SERVICES LTD.	N	NFA	12/31/2001	-12,461	250,000	0	-262,461	0	0
18	BEAR, STEARNS & CO. INC.	Y	NFA	12/31/2001	1,440,007,955	53,949,811	0	1,386,058,144	0	0
19	BEAR STEARNS SECURITIES CORP	Y	CME	12/31/2001	2,609,995,282	688,804,442	90,735,188	1,921,190,840	1,496,801,110	98,455,665
20	BENSON-QUINN COMMODITIES INC	N	NFA	12/31/2001	4,022,783	926,629	1,153,910	3,096,154	23,890,267	13,057
21	BIELFELDT & COMPANY LLC	N	CBOT	12/31/2001	412,520	250,000	0	162,520	0	0
22	BLAYLOCK & PARTNERS LP	Y	NFA	12/31/2001	11,410,235	337,623	0	11,072,612	0	0
23	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	12/31/2001	28,919,901	489,232	14,322,346	14,597,555	3,143,496	0
24	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	12/31/2001	56,585,441	11,018,840	11,973,845	44,611,596	303,962,500	1,848,244
25	BNP PARIBAS SECURITIES CORP	Y	CBOT	12/31/2001	331,164,954	656,804	0	330,508,150	0	0
26	BNY CLEARING SERVICES LLC	Y	NFA	12/31/2001	75,961,083	17,940,928	0	58,020,155	0	0
27	BRINSON ADVISORS	Y	NFA	12/31/2001	88,150,468	250,000	0	87,900,468	0	0
28	BROADSTREET FINANCIAL CORP.	N	NFA	12/31/2001	404,649	250,000	2,644	154,649	1,051,914	0
29	C CZARNIKOW SUGAR FUTURES INC.	N	NFA	12/31/2001	2,818,861	250,000	0	2,568,861	2,036,548	0
30	CANTOR FITZGERALD & CO	Y	CBOT	12/31/2001	49,022,884	2,088,140	52,382	46,934,744	1,849,735	0
31	CARGILL INVESTOR SERVICES INC	Y	CBOT	12/31/2001	83,147,204	34,768,220	49,456,151	33,691,053	924,975,672	97,249,356
32	CARR FUTURES INC	Y	CME	12/31/2001	199,941,563	92,845,827	88,214,069	107,095,736	2,025,262,163	970,321,389
33	CDC SECURITIES	Y	NFA	12/31/2001	27,570,055	250,000	0	27,320,055	0	0
34	CIBC WORLD MARKETS CORP	Y	NYME	12/31/2001	963,551,742	22,560,279	14,134,544	940,991,463	4,749,369	0
35	CLIFDEN FUTURES LLC	N	NFA	12/31/2001	386,105	250,000	0	136,105	0	0
36	COMMERZ FUTURES LLC	Y	CME	12/31/2001	9,419,503	2,321,519	4,168,960	5,250,543	70,038,649	8,206,816
37	COMMODITY CONSULTANTS INTERNATIONAL INC	N	NFA	12/31/2001	2,752,701	250,000	0	2,502,701	0	0
38	COUNTRY HEDGING INC	N	NFA	11/30/2001	3,732,162	600,409	1,113,518	3,131,753	16,344,722	76,624
39	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	12/31/2001	12,202,908	2,463,201	4,957,373	7,245,535	78,291,224	0
40	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	12/31/2001	2,384,586,604	115,004,398	35,402,406	2,269,582,206	692,310,459	178,045,790
41	CROSSLAND LLC	N	CBOT	12/31/2001	3,384,342	250,000	86,394	3,134,342	6,056,690	0
42	CUNNINGHAM COMMODITIES INC	N	CBOT	12/31/2001	1,642,487	250,000	46,709	1,392,487	1,899,790	43,470
43	DAIWA SECURITIES AMERICA INC	Y	CME	12/31/2001	199,460,349	13,200,831	5,977,281	186,259,518	92,425,628	1,194,123
44	DEUTSCHE BANC ALEX BROWN INC.	Y	NFA	12/31/2001	1,343,839,012	88,915,856	52,000,000	1,254,923,156	618,392,132	0
45	DEUTSCHE BANK FUTURES INC	N	CME	12/31/2001	151,333,023	17,674,081	123,407,960	27,925,063	490,458,742	4,703,396

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46	DIRECT TRADING GROUP LLC	N	NFA	12/31/2001	525,693	250,000	3,171	275,693	281,461	0
47	DKB FINANCIAL FUTURES CORP	N	CME	12/31/2001	8,923,873	250,000	1,449,591	7,474,282	0	0
48	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	12/31/2001	1,182,160,000	120,176,000	0	1,061,984,000	0	0
49	DORMAN TRADING LLC	N	CME	12/31/2001	3,773,938	667,736	109,804	3,106,202	16,807,765	0
50	DUNAVANT COMMODITY CORP.	N	NYC	12/31/2001	12,072,161	1,078,134	633,731	10,994,027	26,953,358	0
51	EAGLE MARKET MAKERS INC	N	CBOT	12/31/2001	1,741,155	250,000	9,440	1,491,155	1,639,228	26,074
52	E FUTURES . COM LLC	N	NFA	12/31/2001	1,192,217	564,328	444,288	627,888	18,987,745	0
53	EM COMBS & SON	N	CBOT	12/31/2001	1,709,873	250,000	13,439	1,459,873	1,124,799	0
54	ENRON TRADING SERVICES INC.	N	NYME	12/31/2001	17,832,011	250,000	0	17,582,011	0	0
55	FAHNESTOCK & CO. INC.	Y	NFA	12/31/2001	167,842,987	10,186,586	0	157,656,401	834,640	0
56	FARR FINANCIAL, INC.	N	NFA	12/31/2001	437,646	250,000	0	187,646	0	0
57	FC STONE LLC	N	CME	12/31/2001	18,968,493	6,230,212	5,769,872	12,738,281	187,142,818	226,055
58	FCT GROUP LLC	N	CME	12/31/2001	5,651,463	250,000	397,156	5,254,307	15,928,425	0
59	FIMAT USA INC	Y	CME	12/31/2001	130,717,707	50,251,131	90,382,390	40,335,317	1,605,750,879	110,081,390
60	FIRST OPTIONS OF CHICAGO INC	Y	CME	12/28/2001	194,151,516	7,111,561	18,402,348	175,749,168	304,832,031	4,747,157
61	FIRST UNION SECURITIES INC	Y	CME	12/31/2001	304,213,961	1,000,000	0	303,213,961	0	0
62	FOREX CAPITAL MARKETS LLC	N	NFA	12/31/2001	2,423,510	250,000	0	2,173,510	0	0
63	FORTIS CLEARING CHICAGO LLC	N	CBOT	12/31/2001	6,369,099	674,552	152,113	5,694,547	25,547,553	430,444
64	FOX INC	N	NFA	12/31/2001	484,932	250,000	0	234,932	0	0
65	FRIEDBERG MERCANTILE GROUP INC.	Y	NFA	12/31/2001	1,476,253	250,000	4,808	1,226,253	935,112	0
66	FRONTIER FUTURES INC	N	NFA	12/31/2001	979,277	270,543	218,825	708,734	7,662,451	0
67	FUJI FUTURES INC	Y	CBOT	12/31/2001	15,679,519	6,325,910	8,182,610	7,496,909	158,200,366	1,741,073
68	FUJI SECURITIES INC	Y	CBOT	12/31/2001	191,253,506	272,291	0	190,981,215	0	0
69	FUTURES TECH LLC	N	NFA	12/31/2001	407,988	250,000	0	157,988	0	0
70	GAIN CAPITAL	N	NFA	12/31/2001	1,005,727	250,000	0	755,727	0	0
71	GELBER GROUP LLC	N	CBOT	12/31/2001	4,505,882	1,073,480	88,273	3,432,402	27,202,315	289,189
72	GILDER, GAGNON, HOWE & CO. LLC	Y	NFA	12/31/2001	15,258,636	250,000	0	15,008,636	0	0
73	GLOBAL FUTURES & FOREX LTD	N	NFA	12/31/2001	577,250	250,000	0	327,250	0	0
74	GNI INCORPORATED	N	CBOT	12/31/2001	12,577,948	6,525,774	9,611,428	2,966,520	142,731,408	21,082,352
75	GOLDENBERG HEHMEYER & CO	Y	CBOT	12/31/2001	9,354,195	1,218,733	811,426	8,135,462	38,539,720	1,685,363
76	GOLDMAN SACHS & CO	Y	CBOT	12/28/2001	4,055,462,644	754,381,194	355,150,909	3,301,081,450	7,331,826,550	2,927,743,594
77	GREENWICH CAPITAL MARKETS INC	Y	CBOT	12/31/2001	636,224,000	51,091,000	21,672,480	585,133,000	227,863,000	5,000
78	GRUNTAL & CO. LLC	Y	NFA	12/31/2001	19,269,868	1,500,000	21,225	17,769,868	1,799,604	0
79	GSA CLEARING LP	N	CBOT	12/28/2001	309,818,121	123,252,044	245,505,026	64,313,095	3,206,488,582	0
80	HAGERTY GRAIN CO INC	N	CBOT	12/31/2001	1,507,852	250,000	89,100	1,257,852	6,274,943	0
81	HORNBLOWER FISCHER & CO.	Y	NFA	12/31/2001	1,994,073	417,375	253,035	1,576,698	10,493,222	0
82	HSBC SECURITIES USA INC	Y	CME	12/31/2001	181,229,499	39,729,064	20,788,831	141,500,435	244,737,021	26,111,707
83	IMPACT INTERNATIONALTRADING GROUP INC.	N	NFA	12/31/2001	422,286	250,000	0	172,286	0	0
84	INTEGRATED BROKERAGE SERVICES INC	N	NFA	12/31/2001	685,854	250,000	0	435,854	0	0
85	INTERACTIVE BROKERS LLC	Y	NYME	12/31/2001	55,663,919	1,849,766	169,328	53,814,153	36,774,175	9,469,982
86	IOWA GRAIN CO	N	CBOT	12/31/2001	7,794,458	2,988,418	2,616,780	4,806,040	77,935,951	6,524
87	JP MORGAN FUTURES INC	N	NYME	12/31/2001	419,931,492	142,068,728	235,201,682	184,729,810	3,605,703,651	573,793,271
88	JULIUS BAER SECURITIES INC.	Y	NFA	12/31/2001	7,853,337	3,071,794	0	4,781,543	0	0
89	KOKUSAI AMERICA INCORPORATED	Y	NFA	12/31/01	21,531,187	250,000	0	21,281,187	0	0
90	KOTTKE ASSOCIATES LLC	N	CBOT	12/31/2001	6,327,594	429,303	82,721	5,898,291	15,067,626	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000	(c)	(d)	(e)	(f)
91	LADENBURG THALMANN & CO. INC.	Y	NFA	12/31/2001	2,862,940	1,000,000	0	1,862,940	0	0
92	LAKES TRADING GROUP INC	N	CBOT	12/31/2001	1,376,904	250,000	209,776	1,126,904	3,592,700	0
93	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	12/31/2001	2,622,839	250,000	35,789	2,372,839	4,868,125	0
94	LBS LIMITED PARTNERSHIP	N	CBOT	12/31/2001	414,290	250,000	0	164,290	0	0
95	LEGG MASON WOOD WALKER INC.	Y	NFA	12/31/2001	290,391,414	20,037,016	0	270,354,398	0	0
96	LEHMAN BROTHERS INC	Y	CBOT	12/31/2001	1,902,150,000	273,724,000	106,394,240	1,628,426,000	1,481,087,000	8,424,000
97	LINN GROUP (THE)	N	NFA	12/31/2001	402,127	250,000	0	152,127	0	0
98	LINSCO/PRIVATE LEDGER CORP	Y	NFA	12/31/2001	77,646,392	3,906,058	0	73,740,334	0	0
99	LOEB PARTNERS CORPORATION	Y	NFA	12/31/2001	13,146,987	250,000	0	12,896,987	0	0
100	MACQUARIE FUTURES INC	N	CBOT	12/31/2001	944,452	250,000	121,143	694,452	2,793,476	0
101	MAN FINANCIAL INC	Y	CME	12/31/2001	153,360,747	68,561,211	76,985,842	76,374,905	1,969,302,599	283,070,051
102	MAN INTERNATIONAL INC	N	NYME	12/31/2001	25,146,834	691,095	556,374	24,455,739	36,328,708	201,955
103	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	12/31/2001	2,760,825	250,000	0	2,510,825	0	0
104	MAXCOR FINANCIAL INC.	Y	NFA	12/31/2001	14,076,509	250,000	0	13,826,509	0	0
105	MBF CLEARING CORP	N	NYME	12/31/2001	5,915,318	1,887,219	421,085	4,028,098	46,845,311	565,840
106	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	12/31/2001	7,708,245	4,186,862	624,206	3,521,383	105,608,461	0
107	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	12/31/2001	2,460,140,200	349,867,222	172,155,588	2,110,272,978	4,559,928,039	245,167,401
108	MERRILL LYNCH PROFESSIONAL CLEARING CORP.	Y	NFA	12/31/2001	1,136,450,904	21,719,372	46,094,956	1,090,355,948	591,199,372	0
109	MID-CO COMMODITIES INC	N	NFA	11/30/2001	2,384,942	501,624	501,624	1,883,318	4,112,969	0
110	MIDLAND EURO INC	N	NFA	12/31/2001	1,185,399	250,000	0	935,399	0	0
111	mitsui & CO. INVESTMENT PRODUCTS CORP.	N	NFA	12/31/2001	2,194,442	250,000	5,329	1,944,442	1,567,959	0
112	MONEY GARDEN CORP.	N	NFA	12/31/2001	2,212,409	250,000	0	1,962,409	0	0
113	MORGAN KEEGAN & COMPANY INC.	Y	NFA	12/31/2001	159,857,908	11,157,961	0	148,699,947	0	0
114	MORGAN STANLEY & CO INCORPORATED	Y	CME	12/31/2001	4,854,617,043	721,468,131	171,305,436	4,133,148,912	2,197,725,512	817,870,325
115	MORGAN STANLEY DW INC.	Y	CBOT	12/31/2001	1,544,353,642	123,275,966	1,710,765	1,421,077,676	505,841,671	824,400,512
116	NATIONAL COMMODITIES CORPORATION INC.	N	NFA	12/31/2001	973,092	600,000	6,042	373,092	5,314,976	0
117	NEUBERGER BERMAN LLC	Y	NFA	12/31/2001	236,573,281	26,422,569	243,210	210,150,712	7,724,044	0
118	NIKKO SECURITIES CO. INTERNATIONAL INC.	Y	NFA	12/31/2001	12,249,388	250,000	0	11,999,388	0	0
119	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	12/31/2001	822,986,019	7,133,880	1,728,849	815,852,139	14,381,791	39,152
120	NOYES AND CO DAVID A.	Y	NFA	12/31/2001	861,625	250,000	0	611,625	0	0
121	OCONNOR & COMPANY LLC	Y	CBOT	12/31/2001	28,945,249	1,143,578	1,413,434	27,531,815	29,890,013	0
122	PACKERS TRADING CO INC	N	NFA	12/31/2001	3,546,052	250,000	66,129	3,296,052	4,943,908	0
123	PATTERSON TRADING GROUP	N	CBOT	12/31/2001	415,010	250,000	0	165,010	0	0
124	PAX CLEARING CORPORATION	Y	CME	12/31/2001	33,070,966	2,503,379	1,118,706	30,567,587	62,789,729	0
125	PENSON FINANCIAL FUTURES, INC.	Y	NFA	12/31/2001	418,948	250,000	1,524	168,948	52,123	0
126	PEREGRINE FINANCIAL GROUP INC	N	NFA	12/31/2001	7,229,666	2,884,145	1,113,890	4,345,521	73,041,273	423,529
127	PIONEER CAPITAL CORP.	Y	NFA	12/31/2001	2,052,666	250,000	0	1,802,666	0	0
128	PIONEER FUTURES INC	N	NYME	12/31/2001	6,823,559	2,627,672	1,356,058	4,195,887	73,845,617	288,553
129	PREFERRED TRADE INC.	Y	NFA	12/31/2001	11,085,090	799,969	0	10,285,121	0	0
130	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	12/31/2001	684,574,000	102,725,000	68,204,320	581,849,000	1,413,882,000	53,024,000
131	RAND FINANCIAL SERVICES INC	N	CME	12/31/2001	12,463,337	4,714,799	6,057,920	6,405,417	112,723,700	10,645,300
132	RAYMOND JAMES & ASSOCIATES INC.	Y	NFA	12/28/2001	299,356,250	23,120,076	0	276,236,174	0	0
133	RB&H FINANCIAL SERVICES LP	N	CME	12/31/2001	3,574,975	1,900,136	1,082,645	1,674,839	49,278,407	16,350
134	RBC DAIN RAUSCHER INC.	Y	NFA	12/31/2001	111,155,828	21,821,783	0	89,334,045	0	0

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135	RBC DOMINION SECURITIES CORPORATION	Y	CME	12/31/2001	129,603,908	1,000,000	3,339,971	126,263,937	0	0
136	REFCO LLC	N	CME	12/31/2001	141,032,996	87,099,498	71,794,656	53,933,498	2,367,695,952	8,831,812
137	RJ OBRIEN ASSOCIATES INC	N	CME	12/31/2001	25,000,939	10,023,619	11,822,141	13,178,798	265,303,002	3,145,176
138	ROBBINS FUTURES INC	N	NFA	12/31/2001	437,024	254,244	84,522	182,780	6,399,278	0
139	ROBERTSON STEPHENS INC	Y	CME	12/31/2001	361,524,339	6,796,981	0	354,727,358	0	0
140	ROBINSON-HUMPHREY COMPANY LLC, THE	Y	NFA	12/31/2001	58,800,086	483,000	0	58,317,086	0	0
141	ROSENTHAL COLLINS GROUP LLC	N	CME	12/31/2001	44,465,070	6,697,378	4,079,228	37,767,692	177,538,166	373,589
142	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	12/31/2001	12,923,850	1,097,476	0	11,826,374	0	0
143	ROTHSCHILD INC	Y	NYME	12/31/2001	32,159,023	250,000	0	31,909,023	0	0
144	SALOMON SMITH BARNEY INC	Y	CBOT	12/31/2001	3,474,321,882	459,831,171	161,329,000	3,014,490,711	4,780,797,392	46,663,236
145	SANFORD C. BERNSTEIN & CO. LLC	Y	NFA	12/31/2001	173,783,614	9,676,701	0	164,106,913	0	0
146	SAVANT USA LIMITED	N	NFA	12/31/2001	397,191	250,000	0	147,191	0	0
147	SCOTIA INTERNATIONAL INC.	Y	NFA	12/31/2001	4,670,331	250,000	0	4,420,331	0	0
148	SENTINEL MANAGEMENT GROUP INC	N	NFA	12/31/2001	786,299	250,000	0	536,299	378,560,190	0
149	SG COWEN SECURITIES CORPORATION	Y	NFA	12/31/2001	794,894,319	7,318,872	0	787,575,447	0	0
150	SHATKIN ARBOR KARLOV & CO	N	CBOT	12/31/2001	5,287,003	338,280	180,992	4,948,723	8,534,911	71,576
151	SHAY GRAIN CLEARING COMPANY	N	KCBT	12/31/2001	728,877	250,000	5,333	478,877	892,384	0
152	SHEPARD INTERNATIONAL INC	N	NFA	12/31/2001	2,320,521	250,000	0	2,070,521	0	0
153	SHERWOOD FUTURES GROUP LLC	N	NFA	12/31/2001	639,347	250,000	0	389,347	6,573,336	0
154	SIEGEL TRADING CO INC, THE	N	NFA	12/31/2001	676,881	250,000	0	426,881	2,164,782	0
155	SMW TRADING COMPANY INC	N	CME	12/31/2001	6,117,784	298,947	246,168	5,818,837	7,473,681	0
156	SPEAR LEEDS & KELLOG	Y	NYME	12/28/2001	803,674,785	31,587,029	12,517,842	772,087,756	369,124,038	1,083,209
157	STEPHENS INC	Y	NFA	12/28/2001	63,348,838	10,470,708	0	52,878,130	0	0
158	STERLING COMMODITIES CORP	N	NYME	12/31/2001	5,126,731	675,586	222,827	4,451,145	17,089,629	292,545
159	SWISS AMERICAN SECURITIES INC.	Y	NFA	12/31/2001	63,799,372	3,486,959	0	60,312,413	0	0
160	TCA FUTURES LLC.	N	NFA	12/31/2001	418,561	250,000	0	168,561	0	0
161	TECH NET TRADING INC.	N	NFA	12/31/2001	619,525	250,000	52,104	369,525	3,328,225	0
162	TENCO INC	N	CBOT	12/31/2001	25,474,644	1,602,898	1,194,800	23,871,746	43,874,410	0
163	TIMBER HILL LLC	Y	CME	12/31/2001	259,437,222	250,000	173,480	259,187,222	6,125,396	0
164	TOKYO GENERAL USA INC.	N	NFA	12/31/2001	569,333	250,000	41,060	319,333	1,250,467	0
165	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	12/31/2001	19,582,183	568,109	5,898,158	13,684,025	13,567,760	634,962
166	TRADELINK LLC	Y	CBOT	12/31/2001	10,877,131	250,000	166,831	10,627,131	0	0
167	TRANSMARKET GROUP LLC	Y	CBOT	12/31/2001	8,022,361	1,071,325	0	6,951,036	0	0
168	TRILAND USA INC	N	NYME	12/31/2001	19,284,222	615,590	503,601	18,668,632	15,389,759	0
169	TUCKER ANTHONY INCORPORATED	Y	NFA	12/31/2001	47,781,854	1,000,000	0	46,781,854	0	0
170	UBS PAINWEBBER INC.	Y	CBOT	12/31/2001	1,292,837,713	223,782,338	11,001,113	1,069,055,375	611,873,309	8,041,553
171	UBS WARBURG LLC	Y	CBOT	12/31/2001	1,075,895,446	131,602,832	153,074,842	922,820,604	2,564,497,323	267,081,242
172	UFJ FUTURES LLC	N	CME	12/31/2001	11,799,304	250,000	1,281,703	10,517,601	0	0
173	UNIVERSAL FINANCIAL HOLDING CORP.	N	NFA	12/31/2001	599,716	315,000	127,152	284,716	3,158,729	0
174	US BANCORP PIPER JAFFRAY INC.	Y	NFA	12/31/2001	135,078,894	13,731,497	0	121,347,397	0	0
175	US SECURITIES & FUTURES CORP.	Y	NFA	12/31/2001	265,968	250,000	0	15,968	0	0
176	VISION LIMITED PARTNERSHIP	N	NFA	12/31/2001	7,461,960	4,209,527	2,554,009	3,252,433	108,001,995	0
177	WACHOVIA SECURITIES INC.	Y	NFA	12/31/2001	118,838,086	9,777,998	0	109,060,088	0	0
178	WALL STREET DERIVATIVES INC.	N	NFA	12/31/2001	381,815	250,000	0	131,815	0	0
179	WEISS PECK & GREER LLC	Y	NFA	12/31/2001	95,695,251	5,887,794	0	89,807,457	0	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
JANUARY 31, 2002

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
180	WHITE COMMERCIAL CORPORATION	N	NFA	10/31/2001	1,559,472	250,000	0	1,309,472	0	0
181	XPRESSTRADE LLC	N	NFA	12/31/2001	1,062,270	250,000	0	812,270	0	0
	Total				44,924,814,603				54,222,054,745	7,698,919,051
	(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.		(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.				(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.			
	(b): DSRO: Designated Self-Regulatory Organization.									
	(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign futures accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, and was effective 10/31/00.		(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.				Note: Any errors in this table should be brought to the attention of the CFTC's Division of Trading and Markets at (312) 596-0700 or via e-mail to: fzimmerle@cftc.gov or sgreska@cftc.gov.			