

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
APRIL 30, 2002

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	(c)	(d)	(e)	(f)
							Capital		4d(2)	Pt. 30 Required
1	AB FINANCIAL LLC	Y	NFA	03/31/02	11,584,786	250,000	0	11,334,786	0	0
2	ABN AMRO INCORPORATED	Y	CBOT	03/31/02	890,032,376	110,524,036	82,567,691	779,508,340	1,547,505,186	57,479,309
3	ABN AMRO SAGE CORPORATION	Y	NFA	03/31/02	48,202,559	3,948,906	3,948,906	44,253,653	117,543,237	0
4	ADM INVESTOR SERVICES INC	N	CBOT	03/31/02	69,567,173	11,132,651	11,133,372	58,433,801	297,103,206	7,580,414
5	ADVEST INC	Y	NFA	03/31/02	39,941,072	1,000,000	0	38,941,072	0	0
6	AEGIS FINANCIAL LLC	N	NFA	03/31/02	251,861	250,000	154,577	1,861	5,907,729	332,369
7	AG EDWARDS & SONS INC	Y	CBOT	03/31/02	590,707,443	50,013,487	2,036,422	540,693,956	60,615,931	596
8	AGE COMMODITY CLEARING CORP	N	CBOT	03/31/02	10,934,339	1,426,048	2,688,896	8,245,443	40,186,320	0
9	AIG CLEARING CORPORATION	N	NYME	03/31/02	120,066,545	250,000	5,498,524	114,568,021	0	0
10	ALARON TRADING CORPORATION	N	CME	03/31/02	3,937,249	1,493,897	698,063	2,443,352	42,097,597	1,887
11	ALCO COMMODITIES INC	N	NFA	03/31/02	457,164	250,000	0	201,164	0	0
12	AMERICAN NATIONAL TRADING CORP	N	NFA	03/31/02	767,392	290,527	265,438	476,865	10,182,628	0
13	AUBREY G LANSTON & CO INC	Y	NFA	03/31/02	34,434,654	7,154,993	3,026,750	27,279,661	45,892,276	24,051,701
14	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	03/31/02	61,818,090	8,387,282	16,311,052	45,507,038	209,682,046	0
15	BANC ONE CAPITAL MARKETS	Y	CBOT	03/31/02	469,141,850	71,319,963	131,293,151	337,848,699	1,956,581,252	7,705,470
16	BARCLAYS CAPITAL INC	Y	CBOT	03/29/02	306,560,645	50,377,865	102,292,197	204,268,448	1,309,949,608	29,398,678
17	BEAR STEARNS & CO INC	Y	NFA	03/28/02	1,850,240,059	40,222,825	0	1,810,017,234	0	0
18	BEAR STEARNS SECURITIES CORP	Y	CME	03/28/02	2,353,613,194	661,673,108	85,453,094	1,691,940,086	1,648,995,422	105,884,365
19	BENSON-QUINN COMMODITIES INC	N	NFA	03/31/02	3,908,086	792,376	1,169,228	2,738,858	21,315,070	27,061
20	BIELFELDT & COMPANY LLC	N	CBOT	03/31/02	467,654	250,000	0	217,654	0	0
21	BLAYLOCK AND PARTNERS LP	Y	NFA	03/31/02	7,159,918	250,000	0	6,909,918	0	0
22	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	03/31/02	35,770,201	526,149	14,787,228	20,982,973	3,204,192	0
23	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	03/31/02	47,044,472	10,696,219	16,911,350	30,133,122	308,549,939	6,327,120
24	BNP PARIBAS SECURITIES CORP	Y	CBOT	03/31/02	365,564,469	576,780	0	364,987,690	0	0
25	BNY CLEARING SERVICES LLC	Y	NFA	03/31/02	88,923,278	16,503,849	0	72,419,429	0	0
26	BOSTON CABOT LLC	Y	NFA	02/28/02	260,535	250,000	0	10,535	0	0
27	C CZARNIKOW SUGAR FUTURES INC	N	NFA	03/31/02	1,432,727	250,000	82,728	1,182,727	636,947	0
28	CANTOR FITZGERALD & CO	Y	CBOT	03/31/02	81,271,036	2,191,379	23,259	79,079,657	428,952	0
29	CAPITAL MARKET SERVICES LLC	N	NFA	03/31/02	487,301	250,000	0	237,301	0	0
30	CARGILL INVESTOR SERVICES INC	Y	CBOT	03/31/02	79,554,454	37,169,871	57,204,008	22,350,446	1,000,291,125	88,666,628
31	CARR FUTURES INC	Y	CME	03/31/02	207,596,554	96,765,948	132,751,045	74,845,509	2,209,992,650	1,148,114,088
32	CDC SECURITIES	Y	NFA	03/31/02	76,952,697	250,000	0	76,702,697	0	0
33	CIBC WORLD MARKETS CORP	Y	NYME	03/31/02	976,611,884	20,630,156	10,786,662	955,981,728	4,985,207	0
34	CLIFDEN FUTURES LLC	N	NFA	03/31/02	522,752	250,000	0	272,752	0	0
35	COMMERZ FUTURES LLC	Y	CME	03/31/02	8,819,915	2,540,642	4,057,625	4,762,290	92,080,659	6,103,119
36	COUNTRY HEDGING INC	N	NFA	03/31/02	2,875,279	583,534	1,047,873	1,827,406	15,808,782	20,552
37	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	03/31/02	12,055,825	3,568,276	7,051,241	5,004,584	113,358,084	0
38	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	03/31/02	2,832,527,999	114,206,629	68,843,092	2,718,321,370	1,148,321,800	158,364,672
39	CROSSLAND LLC	N	CBOT	03/29/02	3,508,376	313,977	186,069	3,194,399	7,873,794	0
40	CUNNINGHAM COMMODITIES INC	N	CBOT	03/31/02	1,857,011	250,000	49,108	1,607,011	1,712,872	180,849
41	DAIWA SECURITIES AMERICA INC	Y	CME	02/28/02	246,102,014	4,140,351	3,969,313	241,961,663	98,198,188	812,054
42	DEUTSCHE BANK FUTURES INC	N	CME	03/31/02	143,739,415	18,713,250	128,117,480	15,621,935	481,009,527	9,726,423
43	DEUTSCHE BANK SECURITIES INC	Y	CBOT	03/31/02	929,542,494	99,195,853	51,899,000	830,346,641	624,793,206	152,348,971
44	DIRECT TRADING GROUP LLC	N	NFA	03/31/02	442,459	250,000	11,482	192,459	889,947	0
45	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	03/31/02	975,316,000	125,609,720	0	849,706,280	0	0
46	DORMAN TRADING LLC	N	CME	03/31/02	3,784,663	724,480	244,816	3,060,183	18,146,215	0
47	DUNAVANT COMMODITY CORP	N	NYCE	03/31/02	12,534,961	1,003,704	494,960	11,531,257	27,656,137	0
48	E FUTURES. COM LLC	N	NFA	03/31/02	1,179,734	577,654	503,881	602,080	23,015,984	137
49	EAGLE MARKET MAKERS INC	N	CBOT	03/31/02	1,727,942	250,000	22,849	1,477,942	1,567,365	26,999
50	EM COMBS & SON	N	CBOT	03/31/02	1,746,325	250,000	21,068	1,496,325	1,206,442	0
51	ENRON TRADING SERVICES INC	N	NFA	03/31/02	17,639,921	250,000	0	17,389,921	0	0

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52	FAHNESTOCK & CO INC	Y	NFA	03/31/02	167,782,420	10,504,442	98,879	157,277,978	0	0
53	FARR FINANCIAL INC	N	NFA	03/31/02	629,263	361,704	128,548	267,559	9,262,351	56,550
54	FC STONE LLC	N	CME	03/31/02	18,130,078	7,582,300	7,128,105	10,547,778	233,867,953	574,178
55	FCT GROUP LLC	N	CME	03/31/02	3,535,676	250,000	391,528	3,144,148	7,729,655	0
56	FIMAT USA INC	Y	CME	03/31/02	119,574,157	43,374,713	94,676,638	24,897,519	1,457,928,336	109,161,268
57	FIRST OPTIONS OF CHICAGO INC	Y	CME	03/29/02	187,115,073	3,642,686	19,962,486	167,152,587	250,222,736	6,532,514
58	FIRST UNION SECURITIES INC	Y	CME	03/31/02	274,043,474	1,000,000	0	273,043,474	0	0
59	FOREX CAPITAL MARKETS LLC	N	NFA	03/31/02	5,234,039	250,000	0	4,984,039	0	0
60	FORTIS CLEARING CHICAGO LLC	N	CBOT	03/31/02	6,728,905	845,574	486,817	5,883,331	28,373,966	567,947
61	FOX INC	N	NFA	03/31/02	619,719	250,000	0	369,719	0	0
62	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	03/31/02	1,615,555	250,000	4,211	1,365,555	955,768	14,483
63	FRONTIER FUTURES INC	N	NFA	03/31/02	914,982	299,125	232,359	615,857	8,919,227	0
64	FUJI FUTURES INC	Y	CBOT	03/31/02	15,711,855	7,684,482	11,867,519	3,844,336	192,228,983	443,931
65	FUTURES TECH LLC	N	NFA	03/31/02	407,640	250,000	0	157,640	0	0
66	GAIN CAPITAL	Y	NFA	03/31/02	948,797	250,000	0	698,797	0	0
67	GELBER GROUP LLC	N	CBOT	03/31/02	5,261,047	798,511	189,989	4,462,536	21,617,552	264,740
68	GILDER GAGNON HOWE AND CO LLC	Y	NFA	03/31/02	18,530,085	250,000	0	18,280,085	0	0
69	GLOBAL FUTURES & FOREX LTD	N	NFA	03/31/02	1,473,871	250,000	0	1,223,871	0	0
70	GNI INCORPORATED	N	CBOT	03/31/02	13,190,489	5,846,412	6,931,673	6,258,816	133,448,446	14,159,914
71	GOLDENBERG HEHMEYER & CO	Y	CBOT	03/31/02	9,741,971	1,177,029	747,082	8,564,942	32,423,478	2,521,507
72	GOLDMAN SACHS & CO	Y	CBOT	03/29/02	3,733,473,361	708,343,285	356,722,912	3,025,130,076	7,034,807,398	2,600,930,870
73	GREENWICH CAPITAL MARKETS INC	Y	CBOT	03/31/02	809,999,000	23,169,000	29,457,440	780,541,560	239,106,000	68,558,000
74	GRUNTAL & CO LLC	Y	NFA	03/28/02	13,106,529	1,000,000	49,078	12,106,529	2,259,248	0
75	HAGERTY GRAIN CO INC	N	CBOT	03/31/02	1,523,711	276,107	89,505	1,247,604	6,969,679	0
76	HORNBLOWER FISCHER & CO	Y	NFA	03/31/02	1,731,449	533,413	441,124	1,198,036	13,374,773	0
77	HSBC SECURITIES USA INC	Y	CME	03/31/02	207,789,144	72,115,423	26,460,874	135,673,721	280,764,926	27,127,915
78	INTEGRATED BROKERAGE SERVICES INC	N	NFA	03/31/02	673,892	250,000	0	423,892	0	0
79	INTERACTIVE BROKERS LLC	Y	NFA	03/31/02	67,992,969	2,198,258	320,358	65,794,711	41,918,460	13,037,983
80	IOWA GRAIN CO	N	CBOT	03/31/02	7,901,145	3,174,804	2,707,814	4,726,341	84,898,510	5,710
81	JP MORGAN FUTURES INC	N	NYME	03/31/02	451,977,252	147,060,102	232,597,611	219,379,641	3,679,676,314	452,260,797
82	JULIUS BAER SECURITIES INC	Y	NFA	03/31/02	12,626,344	250,000	0	12,376,344	0	0
83	KOKUSAI AMERICA INCORPORATED	Y	NFA	03/31/02	20,236,213	250,000	0	19,986,213	0	0
84	KOTTKE ASSOCIATES LLC	N	CBOT	03/31/02	5,408,273	437,081	103,090	4,971,192	14,361,992	8,516
85	LADENBURG THALMANN & CO INC	Y	NFA	03/31/02	4,295,994	1,000,000	0	3,295,994	0	0
86	LAKES TRADING GROUP INC	N	CBOT	03/31/02	1,223,424	250,000	188,996	973,424	3,371,745	0
87	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	03/31/02	2,508,264	250,000	87,644	2,258,264	5,330,990	0
88	LBS LIMITED PARTNERSHIP	N	CBOT	03/31/02	349,282	250,000	0	99,282	0	0
89	LEGG MASON WOOD WALKER INC	Y	NFA	03/31/02	307,412,890	20,829,994	0	286,582,896	0	0
90	LEHMAN BROTHERS INC	Y	CBOT	03/31/02	1,834,769,000	204,907,700	101,832,520	1,745,895,300	1,483,679,000	16,134,000
91	LINN GROUP (THE)	N	NFA	03/31/02	421,317	250,000	0	171,317	0	0
92	LINSCO/PRIVATE LEDGER CORP	Y	NFA	03/31/02	84,630,970	4,186,509	0	80,444,461	0	0
93	LOEB PARTNERS CORPORATION	Y	NFA	03/31/02	15,429,013	250,000	0	15,179,013	0	0
94	MACQUARIE FUTURES INC	N	CBOT	03/31/02	1,011,398	250,000	83,856	761,398	3,651,822	0
95	MAN FINANCIAL INC	Y	CME	03/31/02	163,996,003	73,547,813	92,293,524	71,702,479	2,095,457,106	290,845,764
96	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	03/31/02	63,730	250,000	0	-186,270	0	0
97	MAXCOR FINANCIAL INC	Y	NFA	03/31/02	12,271,914	250,000	0	12,021,914	0	0
98	MBF CLEARING CORP	N	NYME	03/31/02	5,889,799	2,286,691	577,609	3,603,108	57,289,527	217,332
99	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	03/31/02	8,079,120	3,431,366	829,734	4,647,754	86,425,389	0
100	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	03/29/02	2,784,309,926	373,437,540	1,657,519,077	1,126,790,849	4,296,105,239	302,493,130
101	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	03/29/02	1,008,201,265	21,763,004	45,185,905	963,015,360	523,824,107	0
102	MID-CO COMMODITIES INC	N	NFA	03/31/02	3,358,134	363,402	502,527	2,855,607	9,970,979	0

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		(a)	(b)							
103	MIDLAND EURO INC	N	NFA	03/31/02	213,731	250,000	0	-36,269	0	0
104	MITSUI & CO INVESTMENT PRODUITS CORP	Y	NFA	03/29/02	2,879,163	250,000	18,336	2,629,163	1,241,010	0
105	MIZUHO SECURITIES USA INC	Y	CME	03/31/02	175,585,306	250,000	0	175,335,306	0	0
106	MORGAN KEEGAN & COMPANY INC	Y	NFA	03/31/02	140,177,732	11,369,124	0	128,808,608	0	0
107	MONEY GARDEN CORPORATION	Y	NFA	03/31/02	2,412,907	250,000	0	2,162,907	0	0
108	MORGAN STANLEY & CO INCORPORATED	Y	CME	03/31/02	5,409,748,261	702,628,242	163,885,087	4,707,120,019	2,599,779,849	799,381,483
109	MORGAN STANLEY DW INC	Y	CBOT	03/31/02	1,444,093,290	123,954,302	1,933,590	1,500,741,948	471,644,665	796,907,557
110	NATIONAL COMMODITIES CORPORATION INC	N	NFA	03/29/02	1,369,525	870,000	10,060	499,525	11,558,514	0
111	NEUBERGER BERMAN LLC	Y	NFA	03/31/02	216,131,948	20,999,592	186,681	195,132,356	7,254,560	0
112	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	03/31/02	9,582,489	250,000	0	9,332,489	0	0
113	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	03/31/02	636,025,681	1,981,201	615,247	634,044,480	11,810,586	0
114	NOYES DAVID A	Y	NFA	03/31/02	1,150,457	250,000	0	900,457	0	0
115	OCONNOR & COMPANY LLC	Y	CBOT	03/31/02	35,432,266	1,538,427	1,346,520	33,893,839	42,000,695	0
116	PACKERS TRADING CO INC	N	NFA	03/31/02	1,654,567	250,000	241,372	1,404,567	5,903,027	0
117	PATTERSON CAPITAL MARKETS LTD	N	CBOT	03/31/02	418,580	250,000	0	168,580	0	0
118	PAX CLEARING CORPORATION	Y	CME	03/31/02	43,989,639	2,178,958	2,102,139	41,810,681	54,607,604	0
119	PENSON FINANCIAL FUTURES INC	N	NFA	03/31/02	424,793	250,000	0	174,793	75,938	0
120	PEREGRINE FINANCIAL GROUP INC	N	NFA	03/31/02	7,319,037	2,963,389	1,422,128	4,355,648	77,480,091	372,886
121	PIONEER CAPITAL CORP	Y	NFA	03/31/02	2,061,461	250,000	0	1,811,461	0	0
122	PIONEER FUTURES INC	N	NYME	03/31/02	6,294,190	2,467,688	1,281,660	3,826,502	68,428,161	225,248
123	PREFERRED TRADE INC	Y	NFA	03/31/02	9,893,749	804,539	0	9,089,210	0	0
124	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	03/29/02	790,787,000	89,594,700	70,625,200	701,192,300	1,452,078,000	120,684,000
125	QTECH DERIVATIVES INC	N	NFA	03/31/02	488,185	250,000	0	238,185	0	0
126	RAND FINANCIAL SERVICES INC	N	CME	03/31/02	11,759,353	5,721,099	8,345,356	3,413,997	135,964,000	15,354,300
127	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	03/28/02	299,831,167	23,723,426	0	276,107,741	0	0
128	RB&H FINANCIAL SERVICES LP	N	CME	03/31/02	3,525,723	1,869,926	1,074,595	1,655,797	50,337,195	0
129	RBC DAIN RAUSCHER INC	Y	NFA	03/31/02	215,816,658	31,765,901	0	184,050,757	0	0
130	RBC DOMINION SECURITIES CORPORATION	Y	CME	03/31/02	136,785,324	1,000,000	4,849,547	131,935,777	0	0
131	REFCO LLC	N	CME	03/31/02	145,429,284	90,413,192	77,461,434	55,016,092	2,459,249,417	13,134,881
132	RJ OBRIEN ASSOCIATES INC	N	CME	03/29/02	24,098,511	11,591,703	10,190,177	12,506,808	307,329,773	5,059,682
133	ROBBINS FUTURES INC	N	NFA	03/31/02	494,041	270,133	94,158	223,908	6,828,655	0
134	ROBERTSON STEPHENS INC	Y	CME	03/28/02	420,118,043	4,850,363	0	415,267,680	0	0
135	ROSENTHAL COLLINS GROUP LLC	N	CME	03/31/02	34,231,128	7,797,264	4,415,591	26,433,864	210,349,631	397,771
136	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	03/31/02	9,659,372	644,641	0	9,014,731	0	0
137	ROTHSCHILD INC	Y	NYME	03/31/02	14,057,245	250,000	0	13,807,245	0	0
138	SALOMON SMITH BARNEY INC	Y	CBOT	03/31/02	3,325,400,897	449,129,030	154,253,743	2,876,271,867	4,379,510,311	54,444,234
139	SANFORD C BERNSTEIN & CO LLC	Y	NFA	03/31/02	216,523,775	9,354,096	0	207,169,679	0	0
140	SAVANT USA LIMITED	N	NFA	03/31/02	466,360	250,000	0	216,360	0	0
141	SENTINEL MANAGEMENT GROUP INC	N	NFA	03/31/02	851,339	250,000	0	601,339	289,296,457	0
142	SG COWEN SECURITIES CORPORATION	Y	NFA	03/31/02	772,065,546	3,747,336	0	768,318,210	0	0
143	SHATKIN ARBOR KARLOV & CO	N	CBOT	03/31/02	6,034,713	289,597	93,908	5,745,116	7,628,235	134,695
144	SHAY GRAIN CLEARING COMPANY	N	KCBT	03/31/02	726,938	250,000	2,292	476,938	542,703	0
145	SHEPARD INTERNATIONAL INC	N	NFA	03/31/02	2,505,965	250,000	0	2,255,965	0	0
146	SHERWOOD FUTURES GROUP LLC	N	NFA	03/31/02	1,096,938	250,000	0	846,938	2,605,113	0
147	SIEGEL TRADING CO INC THE	N	NFA	03/31/02	608,827	250,000	0	358,827	2,395,514	0
148	SMW TRADING COMPANY INC	N	CME	03/29/02	6,508,638	448,713	359,053	6,059,925	11,217,825	0
149	STAFF USA INC	N	NFA	03/31/02	338,164	250,000	0	88,164	0	0
150	SPEAR LEEDS & KELLOG	Y	NYME	03/29/02	972,359,107	41,759,297	10,829,120	930,599,810	346,609,508	2,694,101
151	STEPHENS INC	Y	NFA	03/31/02	72,285,078	9,507,262	0	62,777,816	0	0
152	STERLING COMMODITIES CORP	N	NYME	03/31/02	5,271,158	754,718	254,099	4,516,440	19,043,221	543,512
153	SWISS AMERICAN SECURITIES INC	Y	NFA	03/31/02	68,455,907	4,498,666	0	63,957,241	0	0

SELECTED FCM FINANCIAL DATA
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		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	(c)	(d)	(e)	(f)
154	TCA FUTURES LLC	N	NFA	03/31/02	418,241	250,000	0	168,241	0	0
155	TECH NET TRADING INC	Y	NFA	03/31/02	447,336	250,000	31,852	197,336	6,634,500	0
156	TENCO INC	N	CBOT	03/31/02	25,914,247	1,403,019	1,640,074	24,274,173	43,714,254	0
157	TIMBER HILL LLC	Y	CME	03/31/02	292,528,947	380,457	320,358	292,148,490	9,547,303	0
158	TOKYO GENERAL USA INC	N	NFA	03/31/02	445,731	250,000	5,046	195,731	1,499,810	8,283
159	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	03/31/02	17,623,751	431,603	5,168,767	12,454,984	9,948,125	841,949
160	TRADELINK LLC	Y	CBOT	03/31/02	14,502,364	250,000	423,139	14,079,225	0	0
161	TRANSMARKET GROUP LLC	Y	CBOT	03/31/02	7,752,405	884,877	0	6,867,528	0	0
162	TRILAND USA INC	N	NYME	03/31/02	19,189,422	527,792	608,063	18,581,359	13,194,801	0
163	UBS PAINWEBBER INC	Y	CBOT	03/31/02	1,459,061,416	241,151,510	17,882,240	1,217,909,906	612,130,367	13,300,486
164	UBS WARBURG LLC	Y	CBOT	03/31/02	1,161,951,459	116,539,843	164,542,186	997,409,273	2,531,682,941	381,813,124
165	UFJ FUTURES LLC	N	CME	03/31/02	13,118,498	250,000	1,789,259	11,329,239	0	0
166	UNIVERSAL FINANCIAL HOLDING CORP	N	NFA	03/31/02	588,891	250,000	145,903	338,891	3,608,576	0
167	US BANCORP PIPER JAFFRAY INC	Y	NFA	03/31/02	260,840,470	12,284,632	0	248,555,838	0	0
168	US SECURITIES & FUTURES CORP	Y	NFA	03/31/02	390,750	250,000	0	140,750	0	0
169	VISION LIMITED PARTNERSHIP	N	NFA	03/31/02	10,659,185	5,480,374	4,301,283	5,178,811	142,057,700	8,991
170	WACHOVIA SECURITIES INC	Y	NFA	03/31/02	128,039,374	9,262,818	0	118,776,556	0	0
171	WALL STREET DERIVATIVES INC	N	NFA	03/31/02	400,485	250,000	0	150,485	0	0
172	WEISS PECK & GREER LLC	Y	NFA	03/29/02	82,699,055	8,911,620	0	73,787,435	0	0
173	WHITE COMMERCIAL CORPORATION	N	NFA	03/31/02	1,523,643	250,000	0	1,273,643	0	0
174	XPRESSTRADE LLC	N	NFA	03/31/02	1,486,438	250,000	0	1,236,438	0	0
	Total				45,660,057,887				52,244,295,812	7,914,377,694

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Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000)	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.				(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.		(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.			
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign futures accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, and was effective 10/31/00.				(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.		Note: Any errors in this table should be brought to the attention of the CFTC's Division of Trading and Markets at (312) 596-0581 or via e-mail to: fzimmerle@cftc.gov or sgreska@cftc.gov .			