

I. ORGANIZATIONAL INFORMATION

A. BASIC INFORMATION

Financial Institution Type	[REDACTED]
Organizational Structure	[REDACTED]
Street Address	[REDACTED]
City	[REDACTED]
State	[REDACTED]
Zip	[REDACTED]
Website Address (if available)	[REDACTED]
Telephone Number	[REDACTED]
Facsimile Number	[REDACTED]
1 Name of Person Responsible for Completing the Survey and, if Applicable, Transaction Level Data Submission	[REDACTED]
2 Telephone Number of Person Responsible (including Extension if applicable)	[REDACTED]
3 E-mail Address of Person Responsible	[REDACTED]
4 Date of Organization's Fiscal Year End	[REDACTED]
5 Year of Organization's Incorporation	[REDACTED]
6 Year Organization Began Financing Activities	[REDACTED]

B. ORGANIZATIONAL STRUCTURE / AFFILIATION

Ownership and Control by Minorities and Women

7 Is the Organization Minority Owned or Controlled?	[REDACTED]
8 Is the Organization Women Owned or Controlled?	[REDACTED]
9 Charter Number (Credit Union) or FDIC Certification Number (Bank or Thrift)	[REDACTED]
10 Is the Organization a "Faith-Based" Organization?	[REDACTED]
11 Did the Organization Finance or Provide Financial Services to any Religious Institution(s) or Faith-Based Organization(s) During the Current Reporting Period?	[REDACTED]

C. POPULATIONS AND GEOGRAPHY FINANCED DURING THE REPORTING PERIOD

12 Which of the Following Racial Populations Did the Organization Finance During the Reporting Period?	
* American Indian	[REDACTED]
* Alaska Native	[REDACTED]
* Asian	[REDACTED]
* Black or African American	[REDACTED]
* Native Hawaiian	[REDACTED]
* Other Pacific Islander	[REDACTED]
* White	[REDACTED]
* Other	[REDACTED]
13 Which of the Following Hispanic Origin Populations Did the Organization Finance During the Reporting Period?	
* Hispanic or Latino	[REDACTED]
* Not Hispanic or Latino	[REDACTED]

CDFI Survey

I. ORGANIZATIONAL INFORMATION

C. POPULATIONS AND GEOGRAPHY FINANCED DURING THE REPORTING PERIOD

14 Which of the Following Geographic Areas Did the Organization Provide Financing in During Reporting Period?

- * Appalachia
- * Colonias
- * Hot Zones
- * Lower Mississippi Delta
- * Native American Areas
- * Rural Areas
- * Major Urban Area
- * Minor Urban Area

15 Are 50% or More of the Customers or End Users American Indian, Alaska Native, or Native Hawaiian or Located in Native American Areas?

16 Native American Community Activities

Please Check Here to Confirm That the Table Below is Complete

D. STAFF and CONSULTANTS : # OF FULL-TIME EQUIVALENTS (FTEs)

	Total Staff and Consultant Activity Breakout	Staff and Consultants
17	FTEs Dedicated to Lending/Investing	
18	FTEs Dedicated to Development Services	
19	FTEs Dedicated to Financial Services Other than Lending/Investing	
20	FTEs Dedicated to Administration and Other Activities	
21	Total FTEs	

22 Consultant/Contractor FTEs

II. FINANCIAL POSITION

A. LENDING/INVESTING POOL

23 Investment Capital Table

Please Check Here to Confirm That the Table Below is Complete

24 Investment Capital Summary Table

25 Off Balance Sheet Resources Committed to the Organization for Lending/Investing \$

B. FINANCING COMMITMENTS THE ORGANIZATION HAS MADE TO ITS BORROWERS/INVESTEES

26 Financing Commitments to Borrowers/Investees at Reporting Period End. \$

C. SUMMARY BALANCE SHEET INFORMATION AS OF THE REPORTING PERIOD END

27 Cash and Cash Equivalents Available for Operating Expenses	\$
28 Current Assets	\$
29 Loss Reserves	
a. Loan Loss Reserve -- Accrual	\$
b. Loan Loss Reserve -- Cash	\$
c. Depository Loss Reserves	\$
30 Total Assets	\$
31 Current Liabilities	\$
32 Total Liabilities	\$
33 Shareholders Equity, Net Assets, or Net Worth	\$

II. FINANCIAL POSITION

D. SUMMARY INCOME AND EXPENSE STATEMENT INFORMATION

34 Contributed Operating Revenue Table

Please Check Here to Confirm That the Table Below is Complete



EARNED REVENUE

35 Interest Income Earned on Portfolio	\$	<input type="text"/>
36 Fee Income Earned from Lending Portfolio and Retail Financial Services	\$	<input type="text"/>
37 Interest Earned on Cash & Marketable Securities	\$	<input type="text"/>
38 Contract, Training, and Consulting Income	\$	<input type="text"/>
39 Other Earned Revenue	\$	<input type="text"/>
40 Total Earned Revenue	\$	<input type="text"/>
41 Total Operating Revenue (before gains/losses)	\$	<input type="text"/>

GAINS AND LOSSES (Realized/Unrealized)

42 Gains/Losses on Community Development Equity Investments	\$	<input type="text"/>
43 Gains/Losses - Other	\$	<input type="text"/>
44 Total Gains/Losses (Realized and Unrealized)	\$	<input type="text"/>
45 Total Operating Revenue (after gains/losses)	\$	<input type="text"/>
46 Total Non-Operating Revenue	\$	<input type="text"/>
47 Total Revenue	\$	<input type="text"/>

EXPENSES (Operating Expenses)

48 Interest Expense	\$	<input type="text"/>
49 Bad Debt Expense	\$	<input type="text"/>
50 Salaries and Benefits for Staff	\$	<input type="text"/>
51 Professional Fees	\$	<input type="text"/>
52 Other Operating Expenses	\$	<input type="text"/>
53 Total Pre-Tax Operating Expenses	\$	<input type="text"/>
54 Total Non-Operating Expenses	\$	<input type="text"/>
55 Total Expenses	\$	<input type="text"/>
56 Dividends Paid Out (For-Profit CDFIs and Credit Unions only)	\$	<input type="text"/>
57 Estimated Value of Additional Expenses	\$	<input type="text"/>

PRIOR YEAR REVENUE AND EXPENSES

58 Total Revenue in the Fiscal Year Prior to the Current Reporting Period	\$	<input type="text"/>
59 Total Revenue in the Fiscal Year Two Years Prior to the Current Reporting Period	\$	<input type="text"/>
60 Total Expenses in the Fiscal Year Prior to the Current Reporting Period	\$	<input type="text"/>
61 Total Expenses in the Fiscal Year Two Years Prior to the Current Reporting Period	\$	<input type="text"/>

CDFI Survey

III. FINANCING

A. FINANCING QUESTIONS FOR ORGANIZATIONS THAT ARE NOT SUBMITTING A TRANSACTION LEVEL REPORT

62 Will the Organization Submit a Transaction-Level Report for the Current Reporting Period?

63 Loans/Investments Closed Table

Please Check Here to Confirm That the Table Below is Complete

	Amount (\$)	Number
64 Amount of Loans/Investments Closed in Approved Target Market		

65 Portfolio Outstanding Table

Please Check Here to Confirm That the Table Below is Complete

	Amount (\$)	Number
66 90 Days or More Past Due		
67 Net Amount Charged Off (\$)		

B. LOAN PURCHASES AND SALES

68 Loan Purchase Table

Please Check Here to Confirm That the Table Below is Complete

Total Amount and Number from the Loan Purchase Table

\$

69 **Sector Breakouts for All Loans Purchased During the Reporting Period**

Sector Breakouts	Amount (\$)	Number
Business Loans		
Commercial Real Estate Loans		
Mortgage Loans		
Other/Unknown Loans		
Totals		

70 Loans Sold Table

Please Check Here to Confirm That the Table Below is Complete

Total Presale Book Value of Sale from the Loans Sold Table

\$

71 **Sector Breakouts for Loans Sold During the Reporting Period**

Sector Breakouts	Presale Book Value	Presale Book Value of Guaranteed Portion
Business Loans		
Commercial Real Estate Loans		
Mortgage Loans		
Other/Unknown Loans		
Totals		

C. LOAN GUARANTEES

	Amount (\$)	Number
72 Loan Guarantees Closed		
73 Loan Guarantees Outstanding		

CDFI Survey

IV. COMMUNITY DEVELOPMENT IMPACT QUESTIONS FOR ORGANIZATIONS THAT ARE NOT SUBMITTING A TRANSACTION LEVEL REPORT FOR THIS REPORTING PERIOD

		Number
74	Jobs in Portfolio Businesses at Reporting Period Start	
75	Jobs in Portfolio Businesses at Reporting Period End	
76	Projected Number of Housing Units Assisted	
77	Projected Number of Affordable Housing Units Assisted	
78	Number of First-Time Homebuyers	
79	Projected Capacity of Community Facilities Financed	
80	Projected Square Feet of Commercial Real Estate Property Developed	
81	Portfolio Companies That Have Decreased in Value in Past 12 Months	

82 Did the Organization Introduce Any New Products or Services During the Reporting Period?

V. DEVELOPMENT SERVICES

Types of Development Services Provided During the Reporting Period :

Affordable Housing

83 Housing Technical Assistance

84 Homeownership Counseling

Economic Development

85 Business Technical Assistance

86 Real Estate Technical Assistance

Community Development Financial Services (CDFFS)

87 Credit Counseling

88 Financial Education

Other Services

89 Other Services (Not Included Above)

Number of Development Services Clients

CDFI Fund Programmatic Priority

90	Affordable Housing - Development Services	Number of Clients
	Total Clients	
	Hot Zone Clients Served	
	Target Market Clients Served	

91	Economic Development - Development Services	Number of Clients
	Total Clients	
	Hot Zone Clients Served	
	Target Market Clients Served	

92	Financial Services - Development Services	Number of Clients
	Total Clients	
	Hot Zone Clients Served	
	Target Market Clients Served	

CDFI Survey

V. DEVELOPMENT SERVICES

93	Other Services (Not Included Above)	Number of Clients
	Total Clients	
	Hot Zone Clients Served	
	Target Market Clients Served	

94 Number of American Indians, Alaska Natives and Native Hawaiians Served

VI. INDIVIDUAL DEVELOPMENT ACCOUNTS (IDAs)

95 Total Amount of All Open IDAs \$

96 Total Number of Individual Development Accounts Open

97 IDA Withdrawal Purpose Table

Please Check Here to Confirm That the Table Below is Complete



VII. DEPOSITORY CDFI OFFERINGS

A. DEPOSITORY ACCOUNT OFFERINGS - CREDIT UNION ACCOUNT OFFERINGS

		Amount (\$)	Number
98	Regular Share Accounts		
99	Non-Member Share Deposits		
100	Share Draft Accounts		
101	Individual Retirement Accounts (IRAs)		
102	Share Certificate Accounts		

B. DEPOSITORY ACCOUNT OFFERINGS - BANK AND THRIFT ACCOUNT OFFERINGS

		Amount (\$)	Number
103	Savings Accounts		
104	Checking Accounts		
105	Certificates of Deposit (CDs)		

C. DEPOSITORY ACCOUNT OFFERINGS - CREDIT UNIONS, BANKS AND THRIFTS

106	Bank or Thrift Customers OR Credit Union Members	
		Number
107	Number of New Accounts Opened	
108	Accounts Opened to the Unbanked	

D. FINANCIAL SERVICES OFFERINGS

109 ATM Access	
110 Check Cashing for Customers/Members	
111 Direct Deposit	
112 Money Orders	
113 On-line Banking	
114 Youth or School Savings Programs	

VII. DEPOSITORY CDFI OFFERINGS

D. FINANCIAL SERVICES OFFERINGS

Targeted Depository Financial Service Offerings

- 115 Accept Matricula Consular, ITIN, or Other Form of Alternative Identification for Opening an Account
- 116 Alternate To Pay Day Loan
- 117 Bill Payment
- 118 Electronic Transfer Accounts
- 119 First Accounts
- 120 Health and/or Life Insurance
- 121 Non-Customer/Non-Member Check Cashing
- 122 Payroll Card or Other Stored Value Card
- 123 Remittance Programs
- 124 Other Targeted Services
- Explain Other (If the Organization Provides Other Targeted Services, briefly explain these services.)

VIII. DATA COLLECTION AND TRACKING SYSTEMS

- 125 Loan Portfolio Software
- Other
- 126 Borrower Characteristics Software
- Other
- 127 Community Development Impact Software
- Other

IX. CREDIT REPORTING AGENCIES USED

- 128 Credit Scores
- 129 Reporting Agency
- * Equifax
- * Experian
- * TransUnion

X. SURVEY FEEDBACK

- 130 How Many Hours Did it Take to Complete the Survey?
- 131 Comments

XI. SUMMARY RATIOS

- 132 Average Net Revenue Ratio
- 133 Net Asset Ratio
- 134 Operating Liquidity Ratio
- 135 Current Ratio
- 136 Self-Sufficiency Ratio

CDFI Survey

Certification Statement – CIIS Data Submission

Prior to the Fund accepting the data submitted by an organization, the user must certify, on behalf of the organization, to the following:

- 1 The person(s) entering the data and making these certifications has been authorized, by the organization for which the data is input, to enter the data and make the certifications.
- 2 The institution-level and transaction-level data to be submitted to the CDFI Fund through CIIS is true, accurate, and complete, and accurately represents the activities and/or performance of the organization for which data is input.
- 3 If the organization is a certified CDFI, the organization continues to meet the eligibility requirements for certification as a CDFI.
- 4 If the organization is a certified CDE, the organization continues to meet the eligibility requirements for certification as a CDE. The term "Organization" shall include the Allocatee and all of its subsidiary Allocatees, if applicable.
- 5 If the organization received a 2003 Native American Technical Assistance (NATA) or Native American CDFI Development (NACD) award, then 50 percent or more of the Awardee's or the Awardee's Partner's activities primarily serve Native American, Alaska Native and/or Native Hawaiian communities.
- 6 If the organization has received an award from the CDFI Fund, none of the proceeds of said award has been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law.
- 7 As applicable, the organization maintains its existence as an Insured Credit Union, an Insured Depository Institution, or a Depository Institution Holding Company, as defined by the Appropriate Federal Banking Agency.



Check Here if you Agree with the Above Statement

16. Native American Community Activities

Name of Native American Community

23 . Investment Capital Table

Source of Capital	Type of Capital	Amount	Interest Rate	Remaining Term (in months)
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24 . Investment Capital Summary Table

Type of Capital	Amount	Percentage of Total Capital	Weighted Average Interest Rate of Debt	Weighted Average Term (months) for Debt
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34. Contributed Operating Revenue Table

Type of Donor	Amount
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63 . Loans/Investments Closed Table

Transaction Type	Purpose	Total Project Cost	\$ Closed	# Closed
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65 . Portfolio Outstanding Table

Transaction Type	Purpose	\$ Outstanding	# Outstanding
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68 . Loan Purchase Table

Purchase #	Purchase Date	Total \$ Paid	Total # Loans	Presale Book Value
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70 . Loans Sold Table

Buyer Organization Name	Total # Sold	Presale Book Value of Sale	Sale Proceeds
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97 . IDA Withdrawal Purpose Table

Purpose	Number	Amount
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CDFI Survey Notes

Question No.

User

Date

Note
