
P. L. 106 - 107 Monthly Report to the Grants Executive Board

Reporting Period: 05/22/2004 through 07/30/2004

Report Date: 8/4/2004

Purpose:

To provide a monthly update on the various grants streamlining initiatives undertaken by the P. L. 106-107 inter-agency workgroups.

Prepared by:

Robert Noonan, and Andrea Brandon—P. L. 106 – 107 Government-wide PMO.

Background:

The Federal Financial Assistance Management Improvement Act (P.L. 106 -107) mandated the streamlining and standardization of the federal grant-making process. In response to this mandate an interagency structure was established to analyze current policies and processes and recommend changes consistent with the goals and objectives of P. L. 106 -107. That structure consists of several workgroups, with a coordinating body led by the Department of Health and Human Services (HHS). Subsequent to the commencement of the P. L. 106-107 effort, the E-Grants initiative, one of the 25 initiatives under the E-Gov umbrella of the President's Management Agenda, began. E-Grants is now Grants.gov. Both initiatives operate under a Program Management Office (PMO) structure at HHS, and while separate resources accomplish the work of both initiatives, the PMOs coordinate the work.

Notable Accomplishments

Accomplishments	Date
Mandatory grants application cover data elements submitted to grants.gov.	July 29, 2004
P.L. 106-107 Planning and Oversight Committee (PPOC) second meeting.	July 22, 2004
Fianlized Planning and Oversight Committee (PPOC) draft charter.	July 20, 2004
Government-wide Annual Report along with Agency-specific template sent to the Grants Executive Board.	July 19, 2004
Final Agency-specific template sent to agencies for their use.	July 16, 2004

Accomplishments	Date
Government-wide section along with Agency-specific template sent to OMB for pre-review and comment.	July 7, 2004
Kick-off P.L. 106-107 website development effort.	June 25, 2004
P.L. 106-107 Planning and Oversight Committee (PPOC) held first meeting.	June 24, 2004
R&R package submitted to OMB Office of Information and Regulatory Affairs (OIRA) for clearance as a standard form.	June 23, 2004
Kick-off of OMB Circular A-133, 2005 Compliance Supplement process.	June 15, 2004
OMB published the Final Federal Register Notice, to establish Title 2, Subtitles A and B, in the Code of Federal Regulations (CFR) as a central location for OMB policy guidance on Grants and other financial assistance and non-procurement agreements and agency implemented regulations. In subtitle A, OMB will consolidate government-wide guidance to Federal agencies for grants and agreements in its current form—guidance which is currently in seven separate OMB Circulars and other policy documents, pending the outcome of P.L. 106-107 streamlining. As indicated below, OMB Circular A-110 is the first issuance to be moved to Title 2.	May 11, 2004
OMB published the Final Federal Register Notice, which relocates Circular A-110 to title 2 in the Code of Federal Regulations (2 CFR), subtitle A, chapter II, subchapter B, part 215—Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations.	May 11, 2004
OMB published the Final Federal Register Notice of Revision to the Cost Principles in OMB Circular A-21—Cost Principles for Educational Institutions, A-87—Cost Principles for State, Local and Indian Tribal Governments, and A-122—Cost Principles for Non-Profit Organizations. These changes are intended to further the objectives of Public Law 106–107 by simplifying the cost principles, making the descriptions of similar cost items consistent across the Circulars where possible, and reducing the possibility of misinterpretation. From the 184 comments received on the proposal, OMB made revisions to proposed language for 24 cost items and withdrew proposed language for 6 cost items. Forty-five of the proposed changes are made final as proposed. The final cost principles are effective June 9, 2004.	May10, 2004

Accomplishments	Date
Performance/Progress Report (PPR) workgroup distributed the Performance/Progress Reporting forms, data elements, and instructions to various federal agencies' grants program offices, the Federal Demonstration Partnership (FDP), which is a joint Federal-university initiative involving more than 100 universities and non-profit research organizations, and the Council on Governmental Relations (COGR), an organization representing universities, for review and comment. Comments are due on May 28, 2004.	May 3, 2004
The Federal Audit Clearinghouse opened for Federal agency use a new Image Management System (IMS) to provide agencies with electronic images of the audit reporting packages submitted to meet OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations) requirements under the Single Audit Act of 1984, P.L. 98-502, and the Single Audit Act Revisions of 1996, P.L. 104-156. The IMS is a secure, web-based query system for authorized Federal agency users to query and download images of the Circular A-133 audit reporting packages.	April, 2004
OMB published the Final Federal Register Notice of revision to the 2004 OMB Circular A-133 Compliance Supplement.	April 29, 2004
Interagency P.L. 106-107 Annual Report to Congress team established.	April 21, 2004
Interagency P.L. 106-107 2004 Report to Congress plan and schedule developed.	March 19, 2004
P.L. 106-107 grants streamlining initiative reviewed by GAO.	March 19, 2004
Research and Related (R&R) application submitted to OMB for final clearance.	March 18, 2004
Research and Related (R&R) application dataset submitted to the Grants.gov.	March 4, 2004
P.L. 106-107 Government-wide Program Management Office established within HHS.	March 1, 2004

Notable P. L. 106 - 107 Events and Activities

03/22/2004 through 07/23/2004

Date	Events and Activities
7/29/2004	Mandatory grants application cover data elements submitted to grants.gov.
7/22/2004	P.L. 106-107 Planning and Oversight Committee (PPOC) second meeting held.
7/21/2004	Meeting of the Performance/Progress Report (PPR) workgroup to review the first draft of the revised PPR form and instructions.
7/20/2004	Finalized Planning and Oversight Committee (PPOC) draft charter.
7/19/2004	Government-wide Annual Report along with Agency-specific template sent to the Grants Executive Board. Comments due on 7-23-04.
7/19/2004	Held P.L. 106-107 website design meeting.
7/16/2004	Final Agency-specific template sent to agencies for use.
7/15/2004	Performance/Progress Report (PPR) form revised in first draft.
7/14/2004	Meeting of the Reports workgroup to discuss the status of each subgroup's activities and to plan tasks.
7/7/2004	Government-wide section along with Agency-specific template sent to OMB for pre-review and comment.
7/7/2004	Government-wide section along with Agency-specific template sent to the Grants.gov PMO for Grants Executive Board for review and comment.
6/25/2004	Held P.L. 106-107 website functional requirements meeting.
6/24/2004	P.L. 106-107 Planning and Oversight Committee (PPOC) held first meeting.
6/24/2004	Meeting of the Mandatory Grants workgroup to continue analyzing requirements for a Mandatory grant application/plan cover page.
6/23/2004	R&R package submitted to OMB Office of Information and Regulatory Affairs (OIRA) for clearance as a standard form.
6/21/2004	Agency comments on Performance/Progress Report (PPR) received, consolidated, and analyzed.
6/16/2004	Meeting of the Reports workgroup to discuss the status of each subgroup's activities.

Date	Events and Activities
6/15/2004	Kick-off of the OMB Circular A-133, 2005 Compliance Supplement process.
6/14/2004	Meeting of the Performance/Progress Report (PPR) workgroup to test the final draft PPR package instructions, with usage examples.
5/20/2004	Held P.L. 106-107 PMO planning meeting.
5/19/2004	Meeting of the Reports workgroup to discuss the status of each subgroup's activities, and to refine the Real Property Report (RPR) form and instructions.
5/17/2004	Held the 2004 Annual Congressional Report kick-off meeting with the P.L. 106-107 workgroup chairs.
5/17/2004	Held a Report to Congress team meeting to discuss the schedule, roles and responsibilities, and assign tasks.
5/14/2004	Meeting of the Pre-award workgroup to further review and discuss the format for OMB guidance to agencies and standard award language.
5/13/2004	P.L. 106-107 PMO representative participated in the IAEGC quarterly teleconference meeting.
5/4/2004	Meeting of the Mandatory Grants workgroup to continue analyzing requirements for a Mandatory grant application/plan cover page.
5/3/2004	PPR workgroup distributed the Performance/Progress Report package to various federal agencies' grants program offices, the Federal Demonstration Partnership (FDP), and the Council on Governmental Relations (COGR) for review and comment.
4/27/2004	Meeting of the Performance/Progress Report (PPR) workgroup to vet the final draft PPR package (form, data elements, instructions, and usage samples) in preparation for an informal review by Federal grants program offices and by selected recipient constituency organizations.
4/21/2004	Meeting of the Reports work group to discuss the status of each subgroup's activities, and plans for data analysis and form development.
4/19/2004	P.L. 106-107 PMO defined and documented data analysis process.
4/15/2004	Meeting of Performance Progress Report workgroup to develop report instructions.
4/6/2004	Meeting of the Mandatory Grants workgroup to analyze requirements for a Mandatory grant application/plan cover page.
4/1/2004	Held 2004 annual Congressional report planning meeting.
3/31/2004	Research and Related (R&R) application presented at the monthly Stakeholders meeting.

Date	Events and Activities
3/24/2004	Meeting of the Performance Progress Report (PPR) workgroup to revise form and data elements.
3/18/2004	Research and Related (R&R) application submitted to OMB for final clearance.
3/4/2004	Research and Related (R&R) application submitted to Grants.gov.

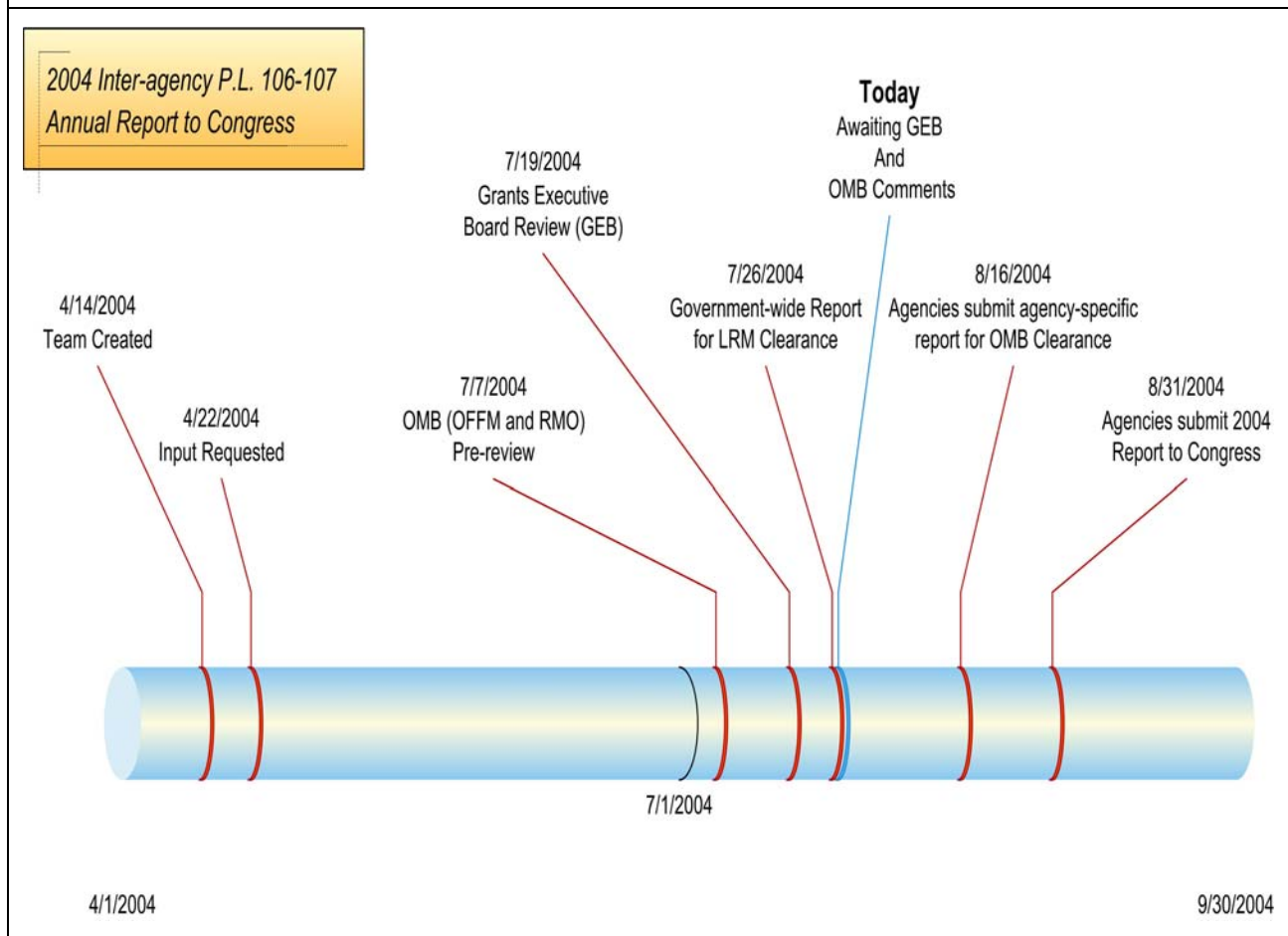
P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Inter-agency P.L. 106-107 Annual Report to Congress team —see attachment -1			
Chairpersons: Andrea Brandon Bob Noonan Elizabeth Phillips		Agencies: HHS HHS OMB	
Goal: To provide an annual report that describes performance in meeting the P.L. 106-107 goals and objectives for the reporting period of May 2003 through May 2004.			
Product	Executive Board Review	Status	Final Deliverable(s) for Board Review
2004 Inter-agency P.L. 106-107 Annual Report to Congress	7-7-2004 (Estimated)	7-19-04 Government-wide Annual Report sent to the Grants Executive Board. Comments due on 7-23-04.	<ol style="list-style-type: none"> 1. Government-wide section. 2. Agency-specific template. 3. Guidance to the agencies.
		7-16-2004 Final Agency-specific template sent to agencies for their use.	
		7-7-2004 Government-wide section along with Agency-specific template sent to OMB for pre-review and comment.	
		7-7-2004 Government-wide section along with Agency-specific template sent to the Grants.gov PMO for Grants Executive Board for review and comment.	
		5-24-2004 Received input from the Mandatory workgroup.	
		5-7-04 Received input from Grants.gov.	
		4-22-04 Requested a report of accomplishments and future plans from the P.L. 106-107 workgroup chairs and Grants.gov PMO.	
		4-14-04	

P. L. 106 - 107 Workgroups and Products—FY04 through FY07

		<p>Established Inter-agency P.L. 106-107 Annual Report to Congress team.</p> <hr/>	
--	--	--	--

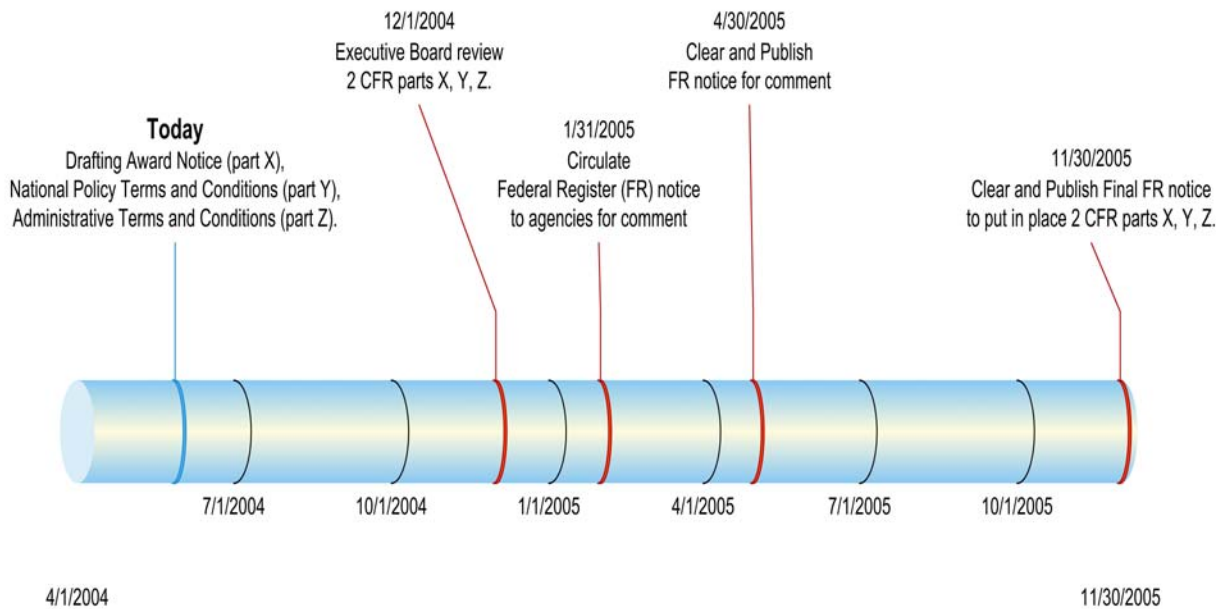
2004 Inter-agency P.L. 106-107 Annual Report to Congress Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award			
Chairpersons: Mark Herbst Elizabeth Phillips		Agencies: DoD OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal grants.			
Product	Executive Board Review	Status	Final Handoff
<i>Award Documents</i>	12-1-2004 (Estimated)	5-14-04 Currently developing a standardized format for OMB guidance to agencies along with standard award language.	OMB/OFFM

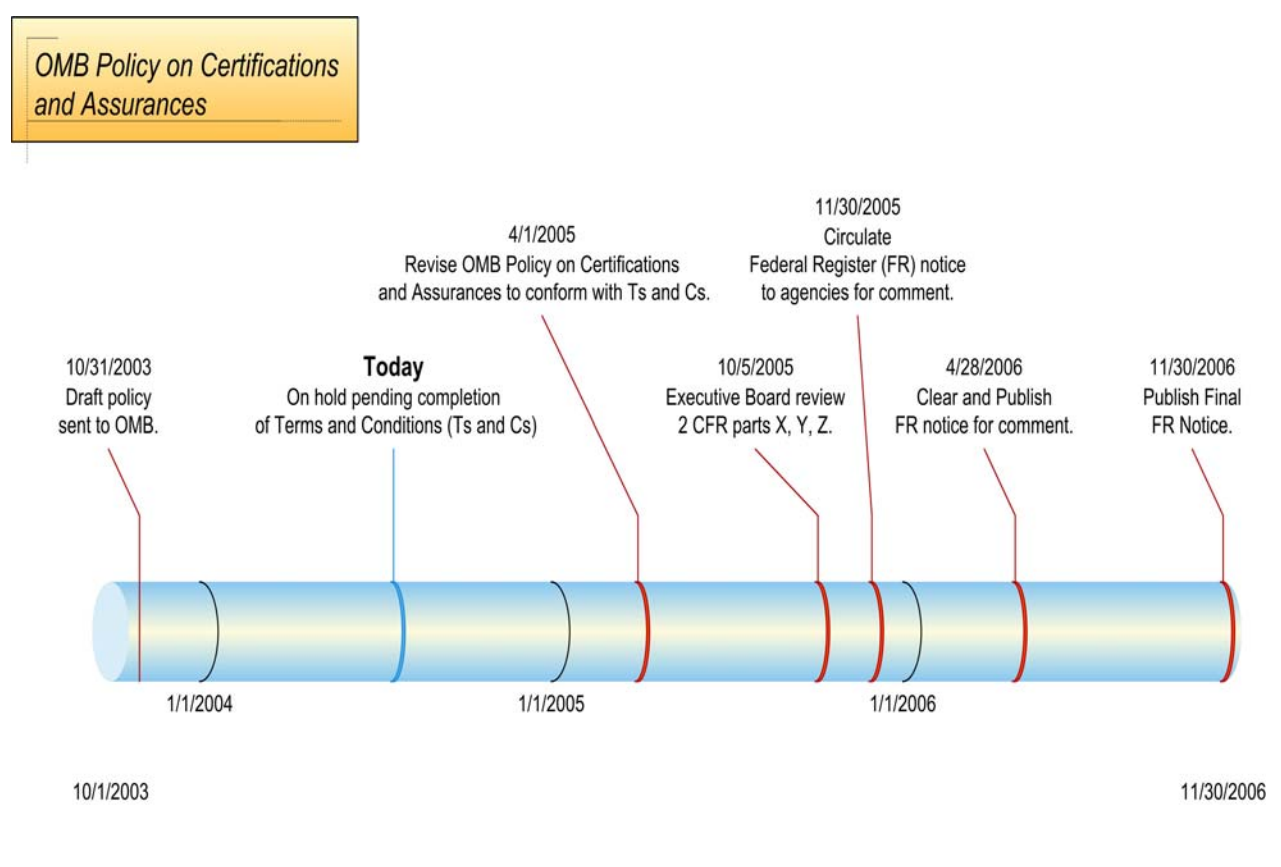
Pre-award—Award Documents Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award			
Chairpersons: Mark Herbst Elizabeth Phillips		Agencies: DoD OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal grants.			
Product	Grants Executive Board Review	Status	Final Handoff
<i>OMB Policy on Certifications and Assurances</i>	10-5-2005 (Estimated)	7-21-04 On hold pending the completion of Terms and Conditions. <hr/> <hr/> 10-20-03 Draft policy sent to OMB.	OMB

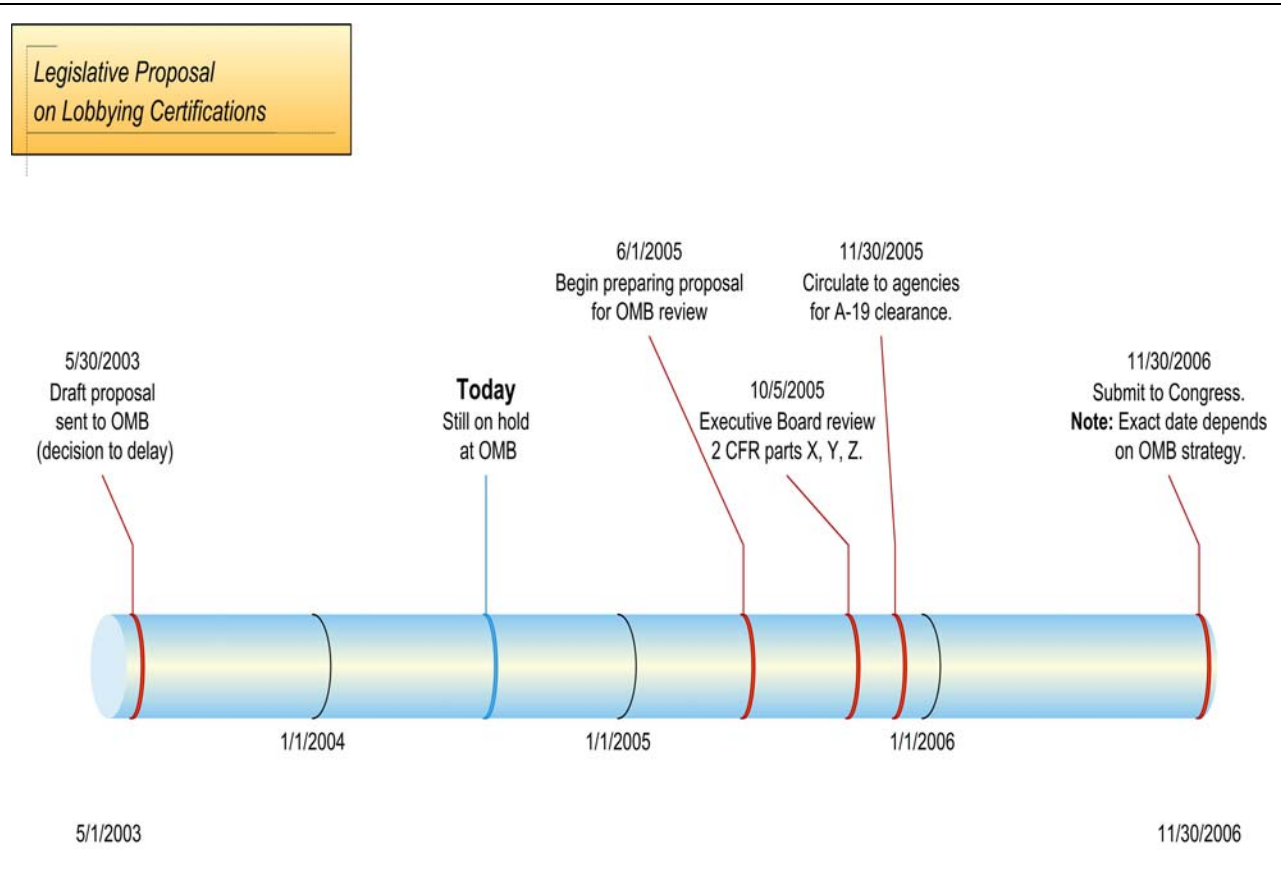
Pre-award—OMB Policy on Certifications and Assurances Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award			
Chairpersons: Mark Herbst Elizabeth Phillips		Agencies: DoD OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal grants.			
Product	Grants Executive Board Review	Status	Final Handoff
<i>Legislative Proposal on Lobbying Certifications</i>	10-5-2005 (Estimated)	7-21-04 Proposal still on hold. <hr/> <hr/> 5-20-03 Draft proposal sent to OMB. Meeting held with OMB received guidance to delay the proposal.	OMB/Congress

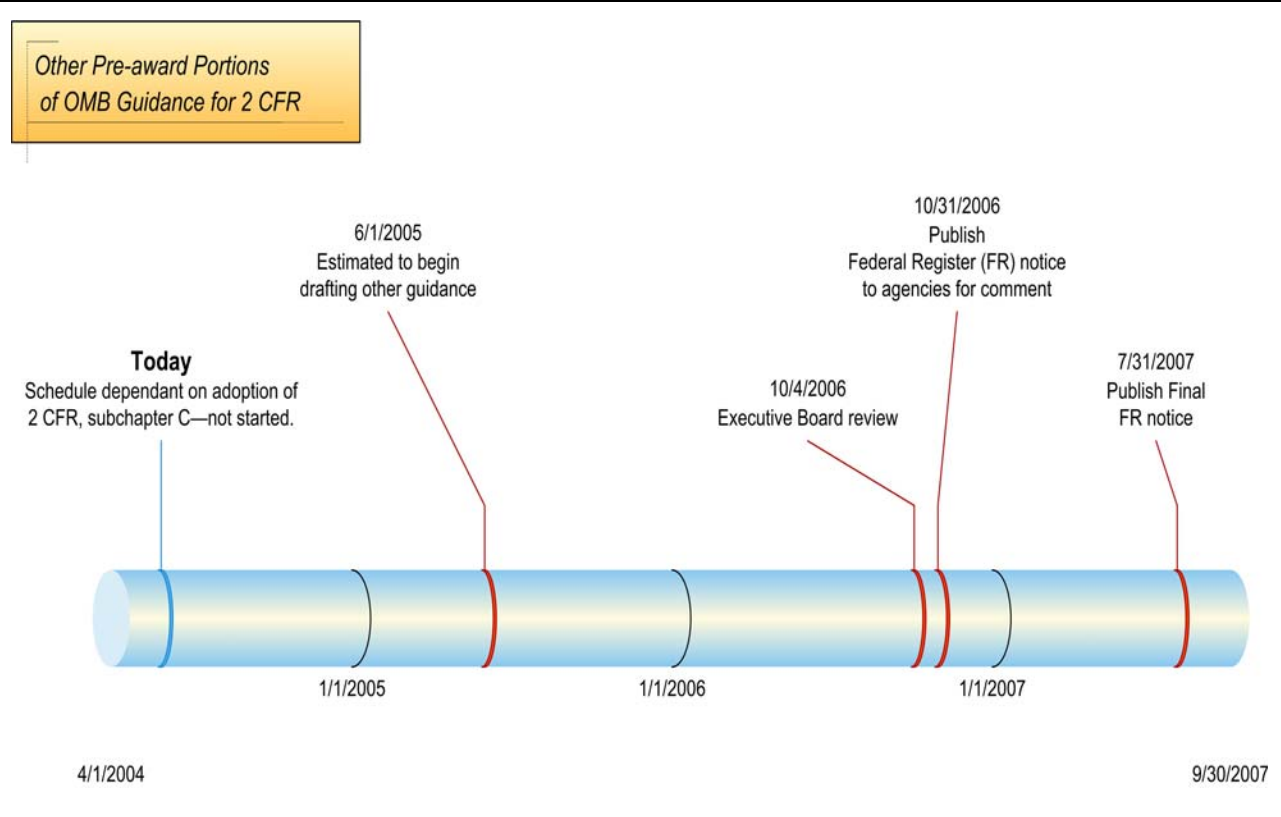
Pre-award—Legislative Proposal on Lobbying Certifications Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award			
Chairpersons: Mark Herbst Elizabeth Phillips		Agencies: DoD OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal grants.			
Product	Grants Executive Board Review	Status	Final Handoff
<i>Other Pre-award Portions of OMB Guidance for 2 CFR</i>	10-4-2006 (Estimated)	5-20-04 Schedule dependant on adoption of 2 CFR, subchapter C—Not started.	OMB/OFFM

**Pre-award—Other Pre-award Portions of OMB Guidance for 2 CFR
Estimated Timeline**

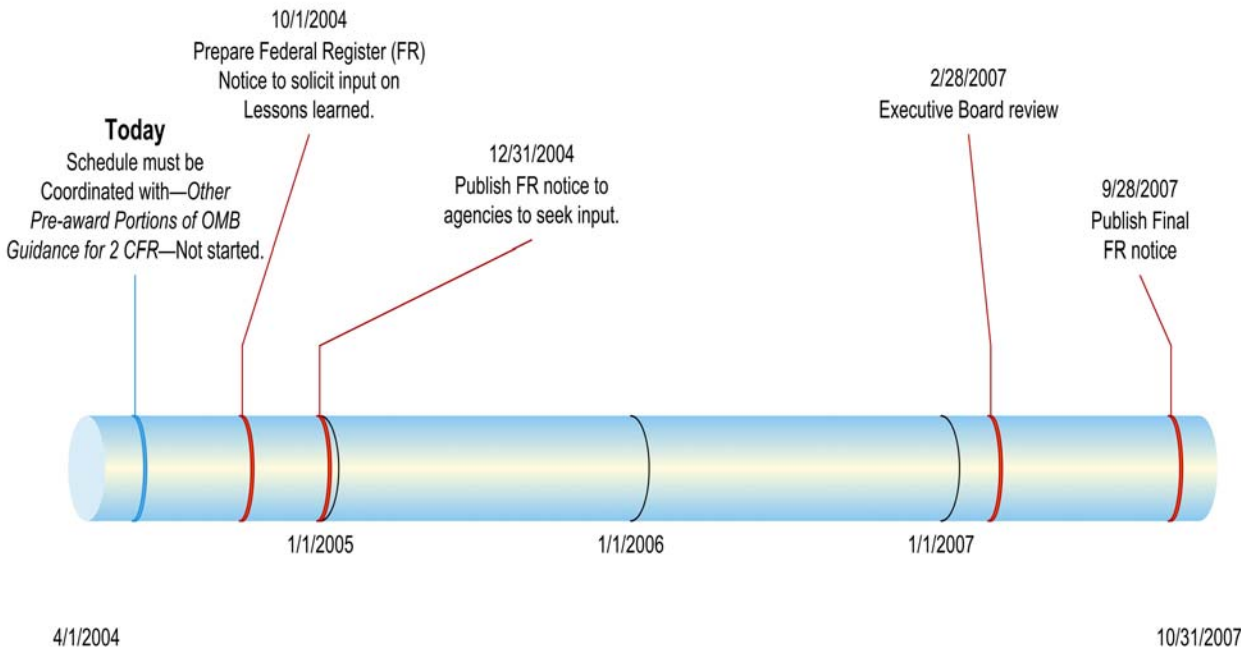


P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award			
Chairpersons: Mark Herbst Elizabeth Phillips		Agencies: DoD OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal grants.			
Product	Grants Executive Board Review	Status	Final Handoff
<i>Announcement Format Phase 2 (Revised Funding Opportunity Announcement standard template)</i>	2-28-2007 (Estimated)	6-23-03 Phase 1 published in Federal Register. <hr/> 5-21-04 Schedule must be coordinated with— <i>Other Pre-award Portions of OMB Guidance for 2 CFR</i> —Not started.	OMB

Pre-award—Announcement Format Phase 2 Estimated Timeline

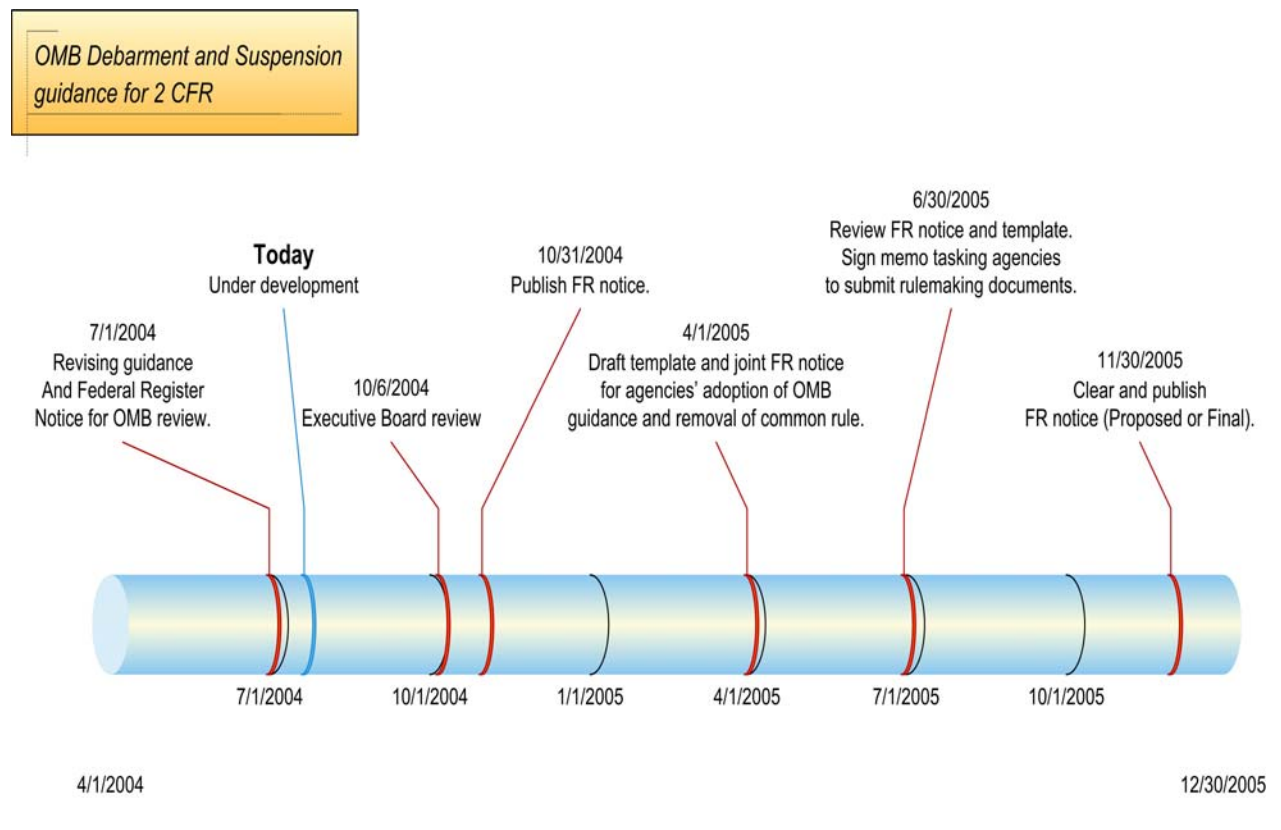
Announcement Format Phase 2



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup:	Pre-award Subgroup: Inter-agency Suspension and Debarment Committee (ISDC)		
Chairpersons:	Mark Herbst Bob Muenier Elizabeth Phillips	Agencies:	DoD EPA OMB
Goal:	To update, streamline, and simplify the government-wide common rule on non-procurement debarment and suspension.		
Product	Executive Board Review	Status	Final Handoff
<i>OMB Debarment and Suspension guidance for 2 CFR</i>	10-6-2004 (Estimated)	5-20-04 Currently being drafted.	OMB/OFFM

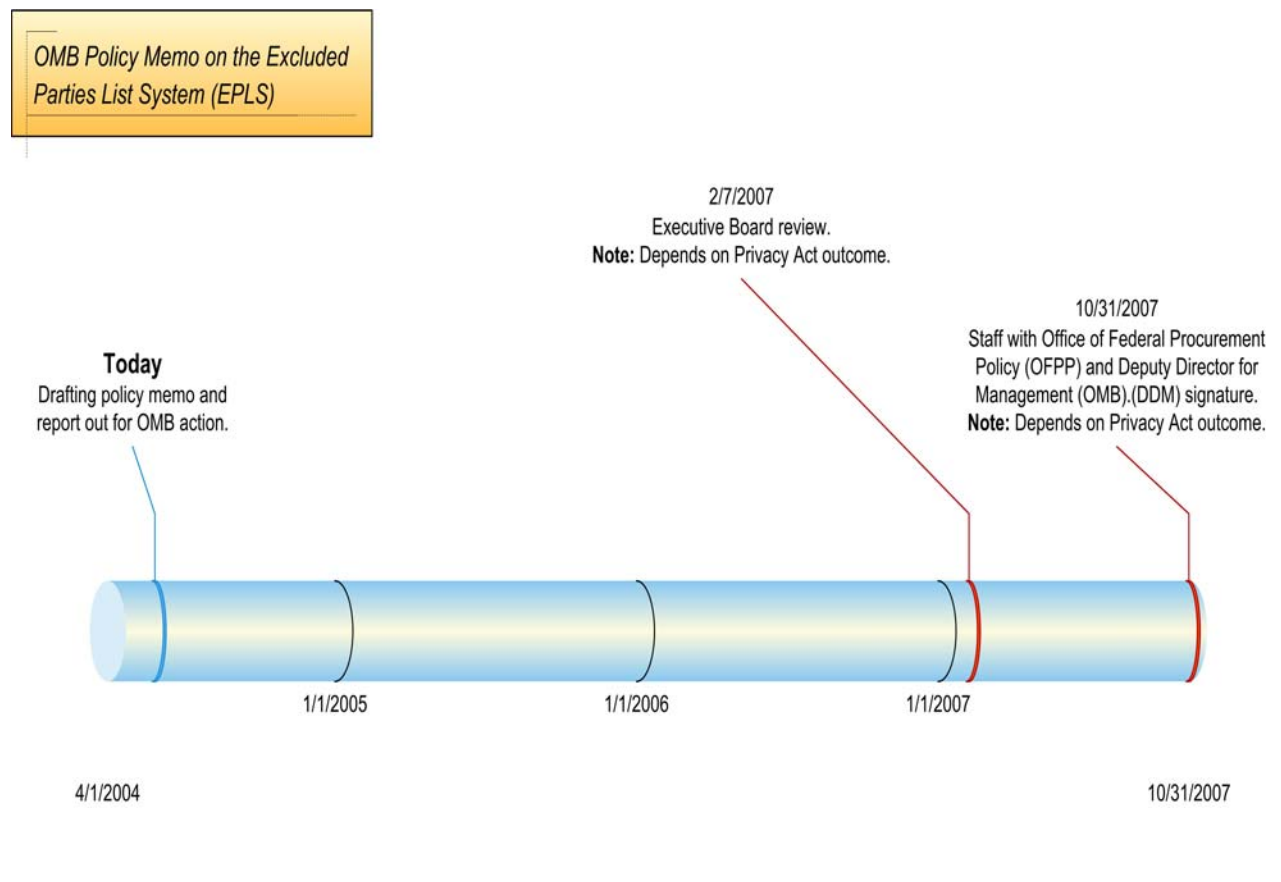
Pre-award (ISDC)—OMB Debarment and Suspension guidance for 2 CFR Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Pre-award Subgroup: Inter-agency Suspension and Debarment Committee (ISDC)			
Chairpersons: Mark Herbst Bob Muenier Elizabeth Phillips		Agencies: DoD EPA OMB	
Goal: To update, streamline, and simplify the government-wide common rule on non-procurement debarment and suspension.			
Product	Executive Board Review	Status	Final Handoff
<i>OMB Policy Memo on the Excluded Parties List System (EPLS)</i>	2-7-2007 (Estimated—depends on the outcome of the Privacy Act).	5-20-04 Currently drafting policy memo and report out for OMB action.	OMB/OFFM

Pre-award (ISDC)—OMB Policy Memo on Excluded Parties List System (EPLS) Estimated Timeline

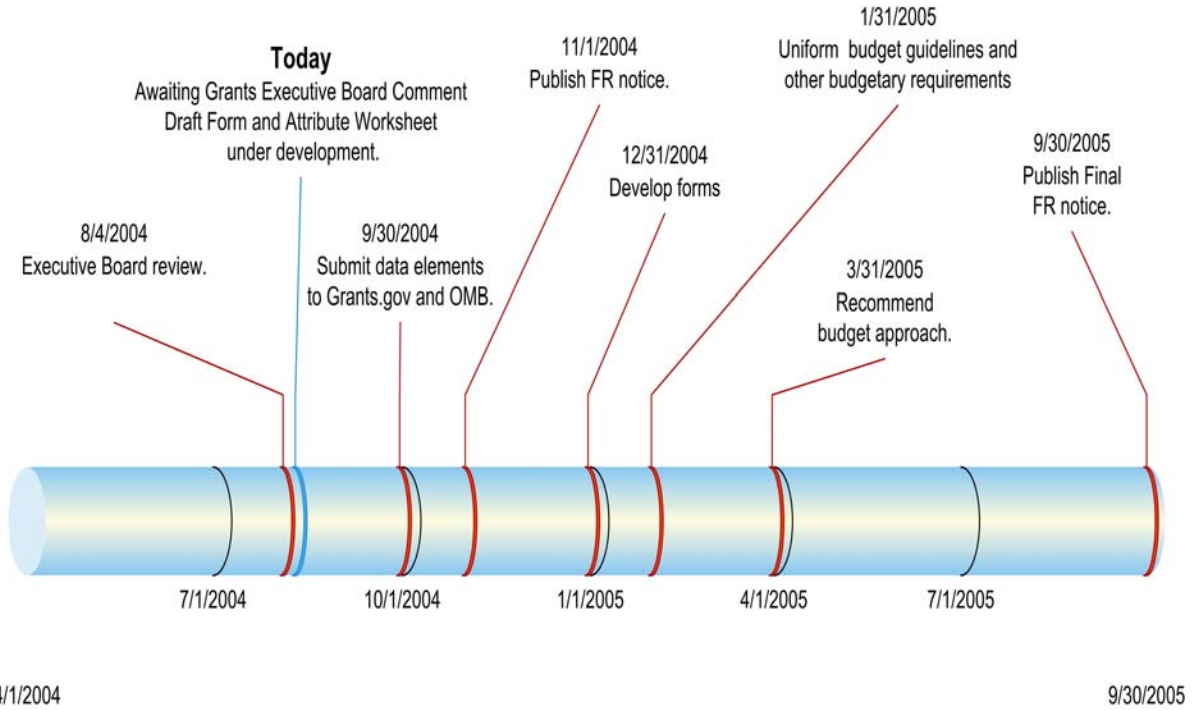


P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Mandatory Grants			
Chairpersons: Christopher Lipsey Tyson Whitney Elizabeth Phillips		Agencies: USDA USDA OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal Mandatory grants.			
Product	Executive Board Review	Status	Final Handoff
<i>Mandatory Grants Application/Plan Cover Page(s)</i>	8-4-2004 (Estimated)	<p>7-29-04 Mandatory grants application cover data elements submitted to <u>grants.gov</u>.</p> <hr/> <p>6-24-04 Finalized data elements for cover. Data attribute worksheet and draft from in development.</p> <hr/> <p>5-04-04 Mapping and analyzing data elements with regard to the SF424 (Application Cover).</p>	Grants.gov/ OMB

Mandatory—Mandatory Grants Application/Plan Cover Page(s) Estimated Timeline

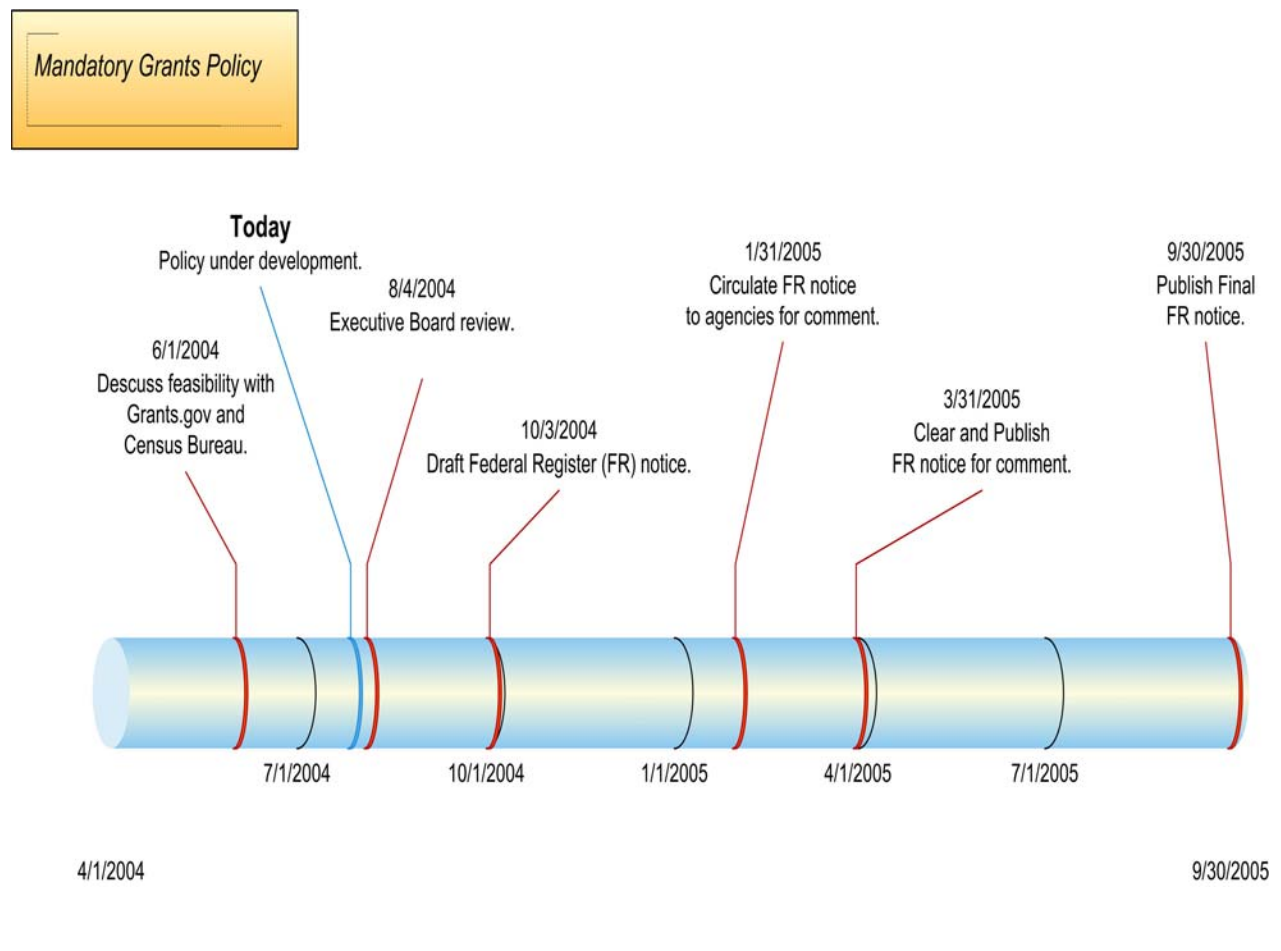
Mandatory Grants
Application/Plan Cover Page(s)



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Mandatory Grants			
Chairpersons: Christopher Lipsey Tyson Whitney Elizabeth Phillips		Agencies: USDA USDA OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal Mandatory grants.			
Product	Executive Board Review	Status	Final Handoff
<i>Mandatory Grants Policy</i>	8-4-2004	5-4-04 Currently under development.	OMB

Mandatory—Mandatory Grants Policy Estimated Timeline

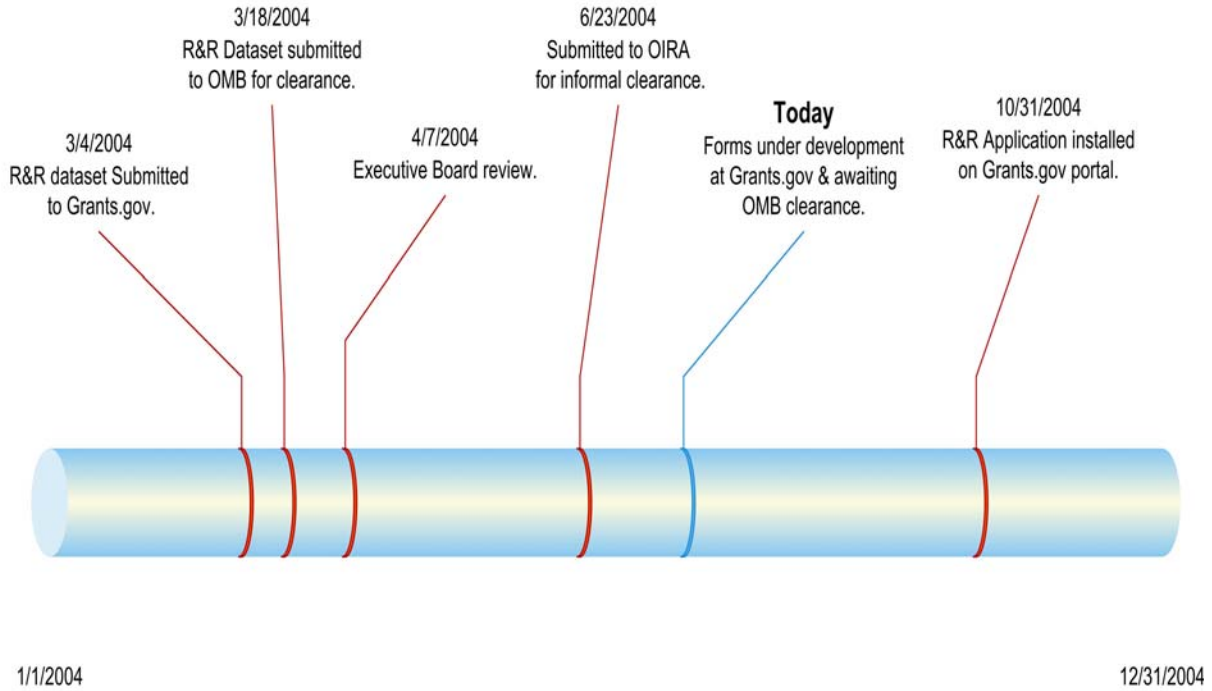


P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Research and Related Grants			
Chairpersons: Jean Feldman Elizabeth Phillips		Agencies: NSF OMB	
Goal: To reduce unnecessary burdens on applicants for, and recipients of, Federal Research and Related grants.			
Product	Executive Board Review	Status	Final Handoff
<i>Research & Related (R&R) Grants Application</i>	4-7-2004	<p>6/23/04 R&R package submitted informally to OMB Office of Information and Regulatory Affairs (OIRA) for clearance as a standard form.</p> <hr/> <p>4-7-04 OMB is making the determination if the dataset will be cleared as government-wide or agency-specific.</p> <hr/> <p>3-31-04 R&R dataset presented at the monthly Stakeholders meeting.</p> <p>=====</p> <p>3-18-04 Research and Related (R&R) application submitted to OMB for final clearance.</p> <hr/> <p>3-4-04 R&R dataset submitted to the Grants.gov.</p>	OMB/Grants.gov

Research & Related—Research & Related (R&R) Grants Application Estimated Timeline

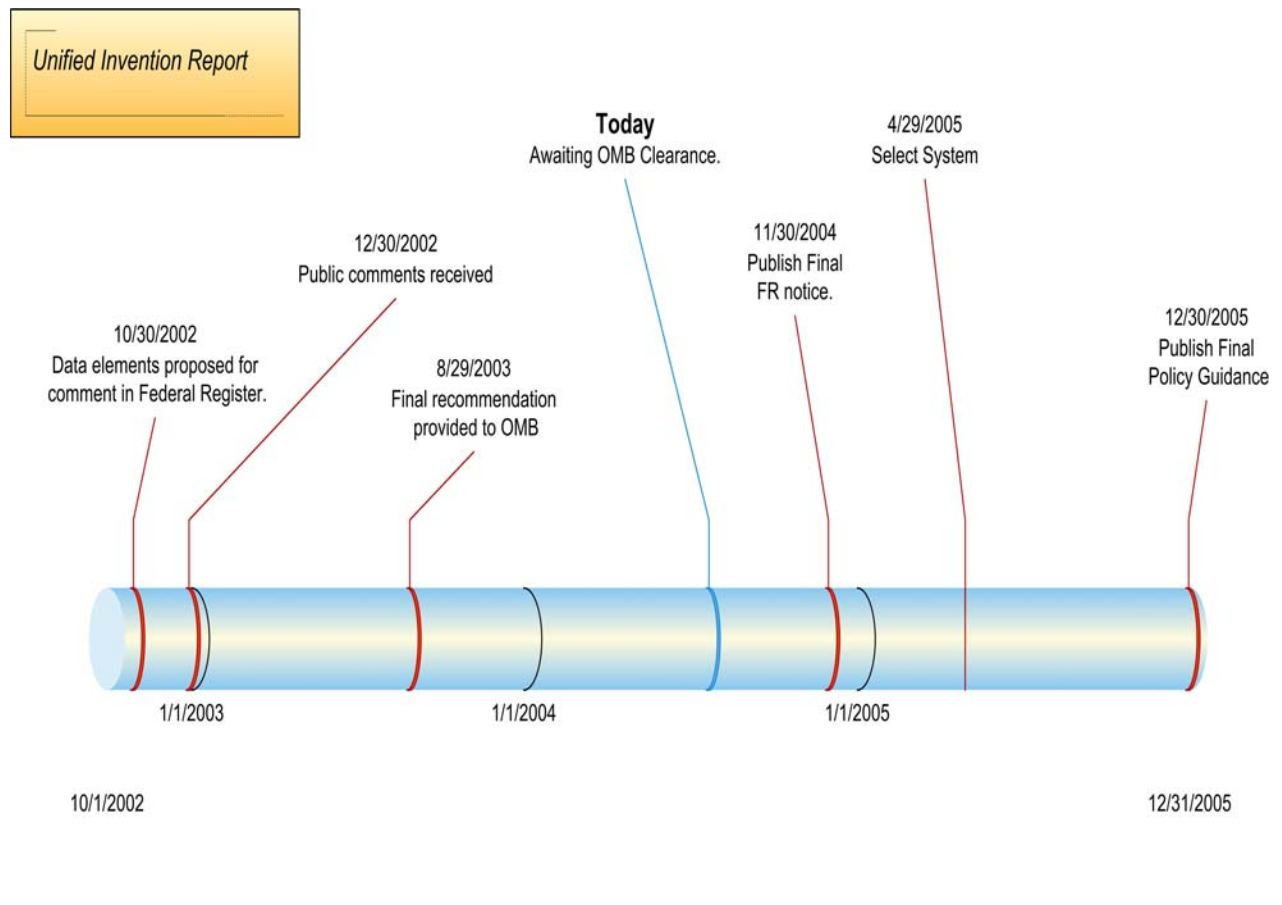
Research and Related (R&R)
Grants Application



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Post-award Subgroup: Invention Report			
Chairpersons: Trudy Wood Elizabeth Phillips		Agencies: DOE OMB	
Goal: To streamline and simplify Federal grant reporting requirements and procedures and associated business processes to reduce unnecessary burden on recipients and to improve the timeliness, completeness, and quality of the information collected.			
Product	Executive Board Review	Status	Final Handoff
<i>Unified Invention Report</i>	Grants Management Committee Approval received July, 2003	8-20-2003 Final recommendation provided to OMB (Data Elements only). Implementation Policy Guidance to be developed. <hr/> 12-30-2003 Public comment received. <hr/> 10-30-02 Data elements posted in Federal Register for public comment.	OMB

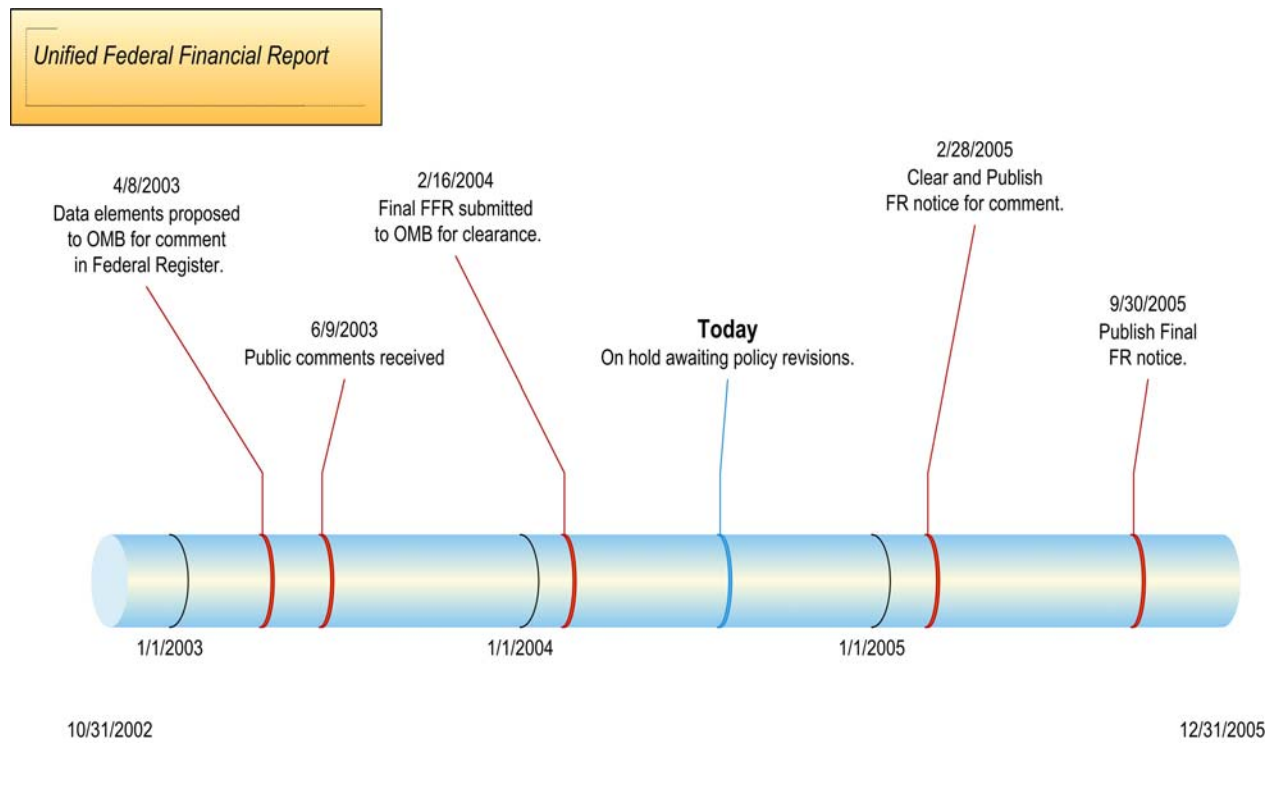
Post-awards (Reports)—*Unified Invention Report* Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Post-award Subgroup: Federal Financial Report (FFR)			
Chairpersons: Joanne Suttington Elizabeth Phillips		Agencies: DOJ OMB	
Goal: To streamline and simplify Federal grant reporting requirements and procedures and associated business processes to reduce unnecessary burden on recipients and to improve the timeliness, completeness, and quality of the information collected.			
Product	Executive Board Review	Status	Final Handoff
<i>Unified Federal Financial Report (Combines SF-269 and SF-272 Data and Forms)</i>	8-4-2004 (Estimated)	7-21-04 On hold awaiting related policy revisions. =====	OMB
		2-16-04 Final FFR submitted to OMB.	
		6-9-03 Many comments received and used to complete final FFR. Policy to implement in development. (current SF-269 and SF 272 extended for 18 months ending 1/31/2005).	
		4-8-03 Data elements submitted proposed for comment to Federal Register.	

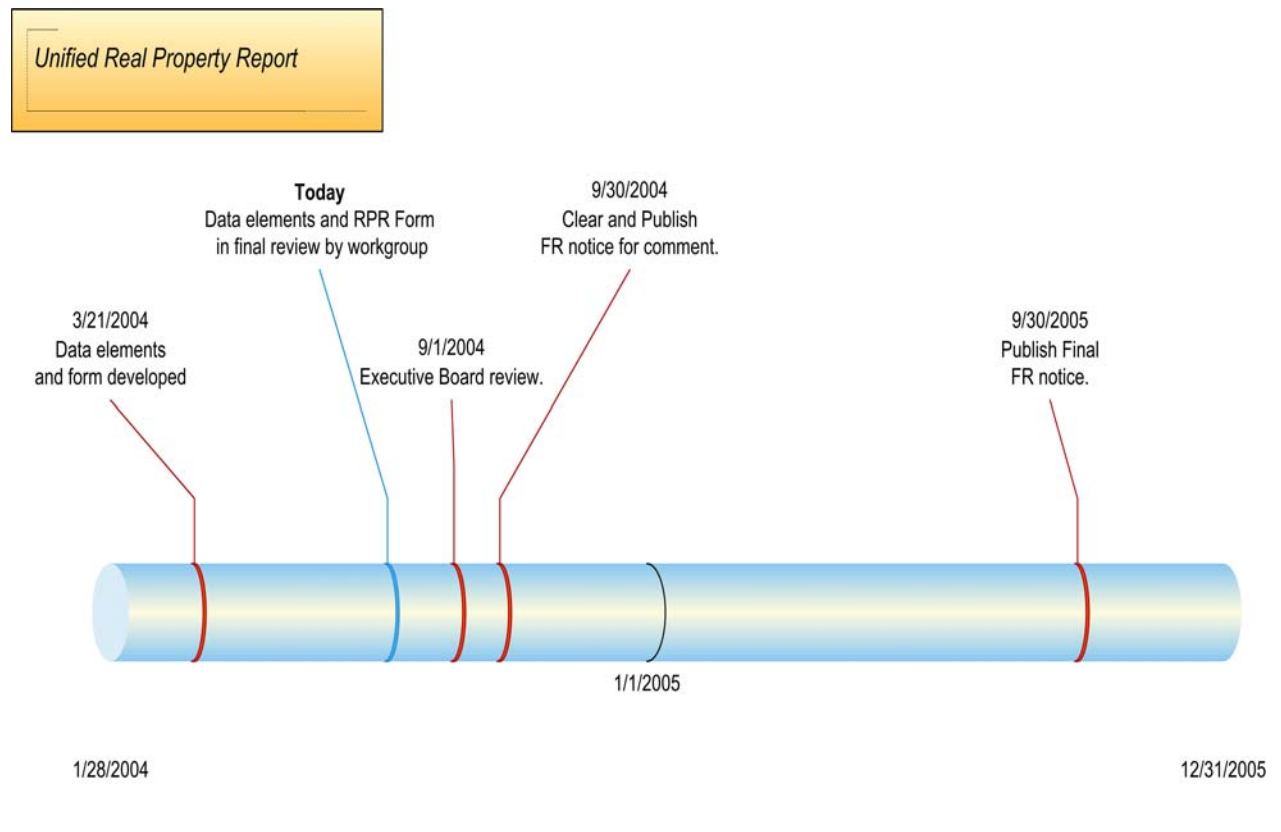
Post-awards (Reports)—*Unified Federal Financial Report* Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Post-award		Subgroup: Real Property Report (RPR)	
Chairpersons: Eric Gauff Elizabeth Phillips		Agencies: HUD OMB	
Goal: To streamline and simplify Federal grant reporting requirements and procedures and associated business processes to reduce unnecessary burden on recipients and to improve the timeliness, completeness, and quality of the information collected.			
Product	Executive Board Review	Status	Final Handoff
<i>Unified Real Property Report</i>	8/4/2004 (Estimated)	7-21-04 Data elements and RPR Form in final review by workgroup. <hr/> 4-21-04 Instructions under development. <hr/> 3-21-04 Form under final team review.	OMB

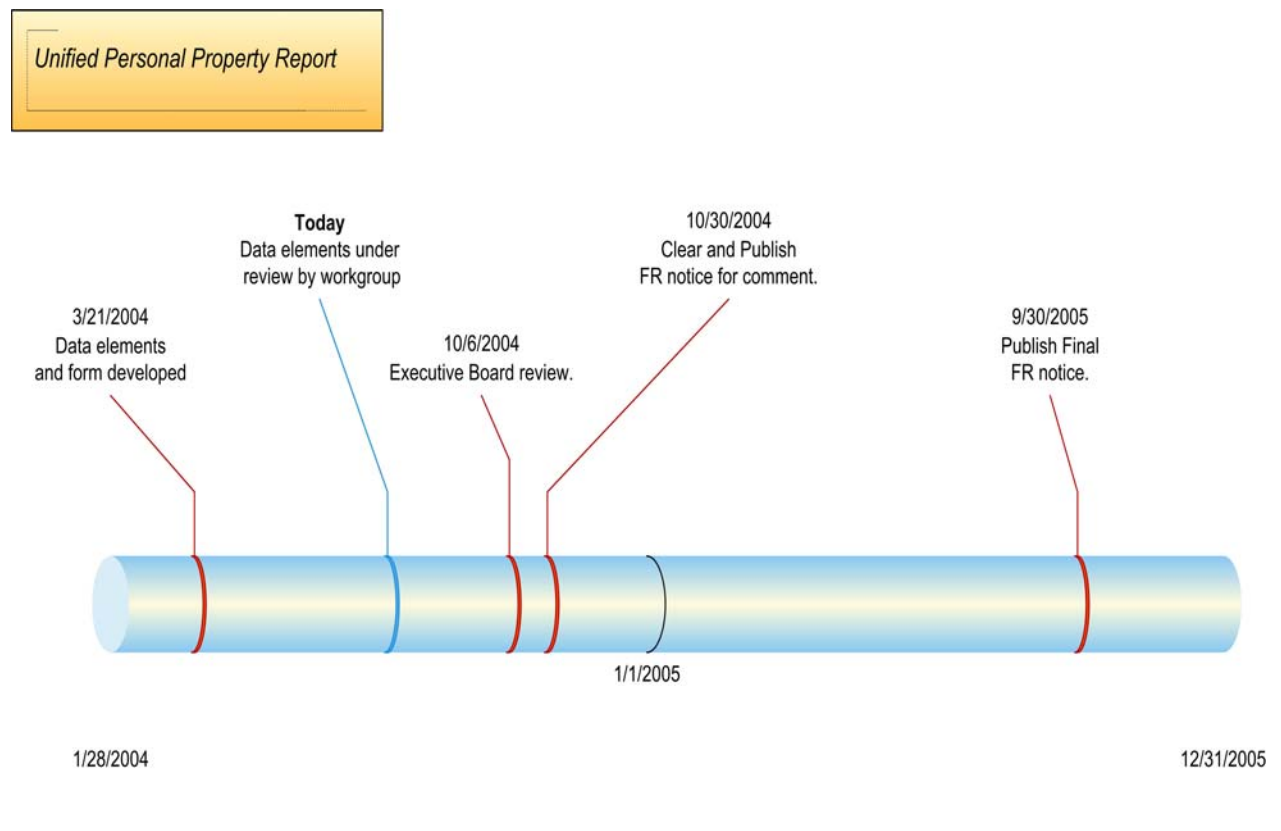
Post-awards (Reports)—*Unified Real Property Report* Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Post-award		Subgroup: Personal Property Report (TPR)	
Chairpersons: Michael Kelly Elizabeth Phillips		Agencies: ONR OMB	
Goal: To streamline and simplify Federal grant reporting requirements and procedures and associated business processes to reduce unnecessary burden on recipients and to improve the timeliness, completeness, and quality of the information collected.			
Product	Executive Board Review	Status	Final Handoff
<i>Unified Personal Property Report</i>	10-6-2004 (Estimated)	7/21/04 Data elements under review by workgroup. <hr/> 4-21-04 Form and data elements for future inclusion development.	OMB

Post-awards (Reports)—*Unified Personal Property Report* Estimated Timeline

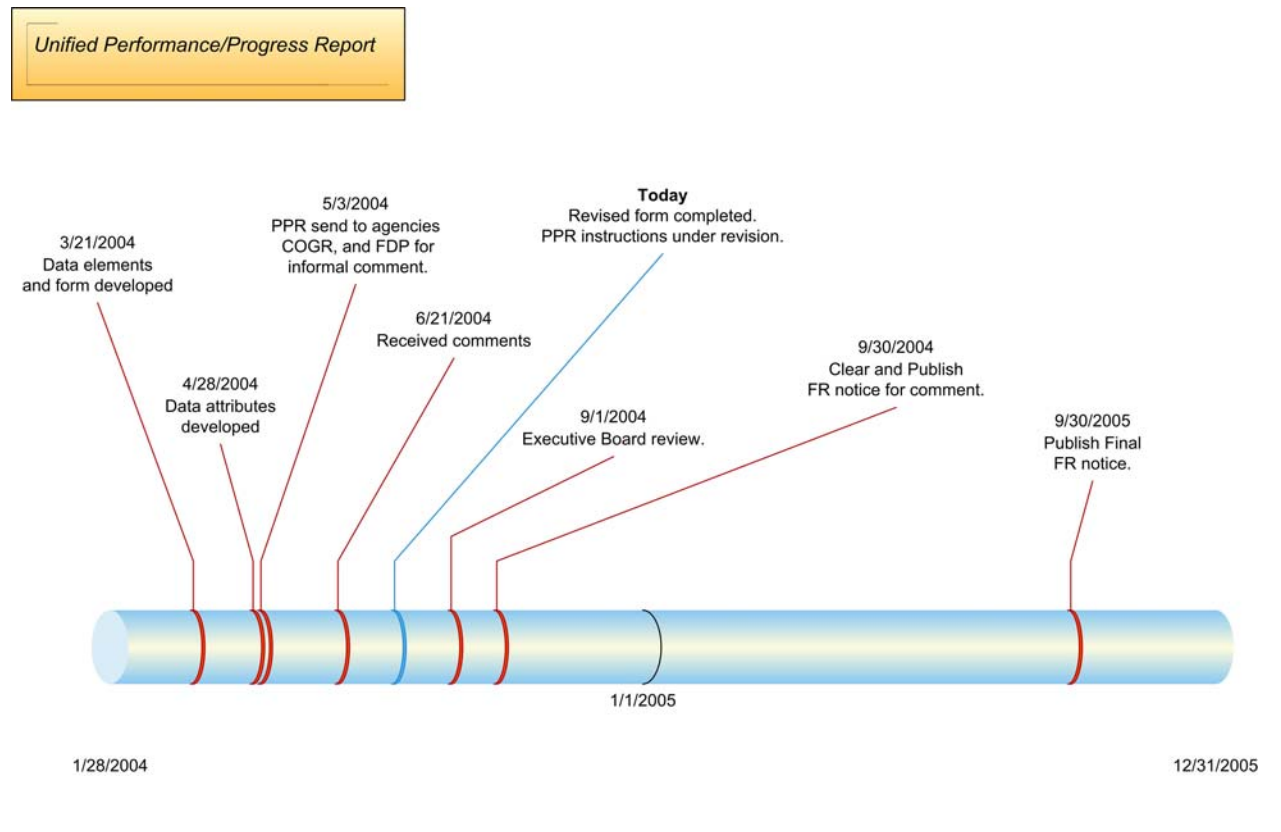


P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Post-award Subgroup: Performance/Progress Report (PPR)			
Chairpersons: Sandra Manning Elizabeth Phillips		Agencies: CDC OMB	
Goal: To streamline and simplify Federal grant reporting requirements and procedures and associated business processes to reduce unnecessary burden on recipients and to improve the timeliness, completeness, and quality of the information collected.			
Product	Executive Board Review	Status	Final Handoff
<i>Unified Performance Progress Report</i>	9-1-2004 (Estimated)	<hr/> 7/21/04 PPR Instruction being revised. PPR form and data elements completed. <hr/> 6-21-04 Agency comments received, consolidated, and analyzed. <hr/> 5-03-04 PPR package sent to agency Program Offices, FDP, and COGR for informal comment. Comments are due on 5-28-04. <hr/> 4-28-04 Data analysis worksheet developed— defining data element attributes and business rules. <hr/> 3-25-04 Form and instructions developed and data elements. <hr/>	OMB

P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Post-awards (Reports)—*Unified Performance/Progress Report* Estimated Timeline



P. L. 106 - 107 Workgroups and Products—FY04 through FY07

Workgroup: Audit Subgroup: Compliance			
Chairpersons: Tawana Webb Gil Tran		Agencies: OMB OMB	
Goal: To ensure that audits provide useful and reliable information to Federal agencies, pass-through entities, and that recipient audits are in compliance with Federal audit requirements.			
Product	Executive Board Review	Status	Final Handoff
<i>Revision to 2005 OMB Circular A-133 Compliance Supplement</i>		6-16-2004 Kick-off of the 2005 Circular A-133 Compliance Supplement revision process.	OMB
<i>Revision to 2004 OMB Circular A-133 Compliance Supplement</i>	Final Notice Published.	4-29-04 Final Federal Register notice published.	
		3-25-04 Final draft complete and sent to OMB for review.	