SURVEY OF COLLEGE GRADUATES FOLLOW-UP RESULTS FROM THE UNCERTAIN SCIENTIST AND ENGINEER STATUS STUDY

Genny Burns

I. Executive Summary

The reinterview of the 1993 National Survey of College Graduates (NSCG) found that 4.6 percent of the respondents changed from scientist and engineer (S&E) status to non-S&E status, and vice-versa. People counted as non-S&E might really be S&E. This situation could have caused undercoverage in the 1995 NSCG.¹

Working with NSF, we identified job and education categories likely to be at risk of S&E misclassification. There were 6,362 non-S&E cases in these categories. We considered including them in the sample to reduce the potential undercoverage. However, we decided the survey resources would be better spent on activities such as trying to complete interviews with persons classified as S&E in the 1993 survey. Instead we flagged the 78 cases who changed status in the reinterview for the follow-up sample.

The follow-up study was designed to ask special questions about the education or occupation status of respondents who switched S&E status by changing education or occupation during reinterview, and were not selected as part of the regular 1995 sample. (Attachment A categorizes the occupation and education codes by science and engineering and non-science and engineering).

Lessard and Bushery estimated that 6.6% of the non-S&E respondents in the 1993 NSCG would change their status to S&E if reinterviewed. We followed up 20 cases who switched in the reinterview, and only six of them switched in the follow-up.

Lessard and Bushery estimated that 4.7% of the S&E respondents in the 1993 NSCG would change to non-S&E if reinterviewed. We followed up six cases who switched in the reinterview, and four switched in the follow-up.

There were originally 78 cases identified as switchers in the 1993 reinterview. Some follow-up cases were lost as a result of noninterviews and other problems described below.

We looked at the responses for switchers to determine if there were any patterns in reporting of responses which would suggest difficulties with the questionnaire wording. From the small number of completed follow-up interviews, we were unable to detect any similarities in responses that would indicate common problems with reporting.

¹ "The 1993 NSCG Reinterview: Investigation of Cases with Uncertain Non-Science/ Engineering Status," James M. Lessard and John M. Bushery, QAEB/DSMD, December 19, 1994.

II. Overview

A. General Description of the Study

The Uncertain Scientist and Engineer Status Study was one of five subsamples of the 1993 National Survey of College Graduates followed up during the 1995 NSCG interviewing. The purpose was to collect information to guide research to improve the questionnaire for the next decade's NSCG.

The 1993 NSCG reinterview found that 78 persons, 4.6% of the reinterview respondents, changed from S&E to non-S&E or from non-S&E to S&E. This is evidence that in the full sample there were cases that were incorrectly classified and were erroneously included in (false positives) or excluded from (false negatives) the 1995 survey.

We have different concerns about the two groups. The false positives were included in the S&E universe, although not necessarily working as S&E during the reference week of 1995. We didn't ask about their occupation or education during the 1993 reference week.

False negatives were excluded from the 1995 survey, which targets scientists and engineers, causing undercoverage.

Based on 40 reinterviewed cases, Lessard and Bushery estimated that 6.6% of the non-S&E respondents would change their status to S&E if reinterviewed. Our plan was to follow those 40 cases in 1995 to get some idea of the undercoverage in the 1995 survey.

Thirty-eight cases switched out of S&E in the 1993 reinterview. Lessard and Bushery estimated that 4.7% of the 1993 S&E respondents would change their status if asked again. Twelve of the 38 were selected for the regular 1995 NSCG. We planned to interview the remaining 26 in the follow-up study, however, the reinterviews for twelve of them were not sufficiently complete. That left us with only 14 cases in the follow-up. It would be possible to combine this data with the 12 selected from the regular sample, but we have no plans to do so at this time.

B. Description of Follow-up Sample

We determined S&E status from job or education codes in the 1993 NSCG questionnaire. If any of the three job code questions (A1, A15, or A35) or six education codes questions (major or minor for up to three degrees in D6) contained one of the S&E job or education codes in Attachment A, we classified the respondent as S&E. If none of the items contained an S&E code, we classified the respondent as non-S&E.

There were three groups of Uncertain S&E Status cases. They are respondents selected for reinterview in 1993 who, in the reinterview changed from:

- S&E to non-S&E and were selected for the regular 1995 sample;
- S&E to non-S&E and were not selected for the regular 1995 sample;
- non-S&E to S&E.

The first group was part of the regular sample and were interviewed by mail, CATI, and PV, if necessary. The other two groups were selected for the follow-up sample and were not part of the regular sample.

C. Interview Procedures

The two groups that were not part of the regular sample were interviewed at telephone centers throughout the country. There was no mail or CAPI. Attachment B shows the questionnaire used for the telephone interviews.

III. Results of the Follow-up Study

We compiled a list of 78 cases that had changed S&E status during the 1993 NSCG reinterview as the frame for this study. Out of these 78 cases, 12 cases had been selected for the regular 1995 sample and were not included in the follow-up. For 12 additional cases, although we made a determination that they switched out for the reinterview report, we didn't get a response to the particular occupation or education question that we wanted to ask about in the follow-up sample. Therefore, they weren't included in the follow-up interviews.

The remaining 54 should have been in the follow-up. We attempted interviews with only 27 of the 40 who switched into S&E in the 1993 reinterview, and 10 the 14 who switched out. The other 17 cases were dropped by mistake. (See A Limitation of the Study.)

For one of the 37 cases in this study, the follow-up study information did not match up with the data from the original interview and reinterview. Further investigation showed that the person interviewed for the follow-up was the sample person for the original interview but not for the reinterview. Since we could not use the information from the reinterview to determine whether the person from the original interview was a switcher, we dropped this case from the study.

Tables 1 and 2 below include only the cases which meet the following criteria:

- The case was on the list sent to DSD to be included in the follow-up study.
- A completed questionnaire was returned.

Table 1 below shows the interview status of the 36 cases in the study by occupation and education and by whether they switched in or out of the S&E status during the 1993 reinterview. The 8 incomplete cases are noninterviews where the sample persons could not be located.

Status	Total	%	Switched In	%	Switched Out	%
Total cases	36	100.0	26	100.0	10	100.0
Completed	28	77.8	20	76.9	8	80.0
Occupation	18	64.3	13	65.0	5	62.5
Education	10	35.7	7	35.0	3	37.5
Incomplete	8	22.2	6	23.1	2	20.0
Occupation	3	37.5	2	33.3	1	50.0
Education	5	62.5	4	66.7	1	50.0

Table 1. S&E Follow-up Study Interview Status by Occupation and Education

Table 2 shows the 28 completed interview cases by occupation and education and by switcher status. The S&E classification was determined by the "best code" which is the occupation or education code assigned by NSF based on the follow-up study information.

Results	Total	%	Switched In	%	Switched Out	%
Total Interviews	28	100.0	20	100.0	8	100.0
Occupation, Total	18	64.3	13	65.0	5	62.5
S&E	5	27.8	4	30.8	1	20.0
Not S&E	9	50.0	7	53.8	2	40.0
Not enough info	4	22.2	2	15.4	2	40.0
Education, Total	10	35.7	7	35.0	3	37.5
S&E	3	30.0	2	28.6	1	33.3
Not S&E	7	70.0	5	71.4	2	67.7
Not enough info	0	0.0	0	0.0	0	0.0

Table 2. S&E Follow-up Study Interview Results by Occupation and Educationby "Best Code" Status and by Switcher Status

This table shows that only 6 out of 20 (30.0%) persons who switched in to the S&E category in the reinterview also switched in the follow-up study. This isn't very strong evidence, but it

suggests that possibly the 6.6% estimated by Lessard and Bushery is an overestimate of the number who would have switched in if we had included them in the 1995 NSCG.

Furthermore, of the cases who switched out of S&E in the reinterview, most (4 of the 6 where we were able to make a determination) also switched out in the follow-up. We may have saved some money if we were sure they were not S&E, but they are not a coverage problem in the 1995 NSCG.

IV. A Limitation of the Study

During the course of preparing the questionnaires to be sent to the field, a new list of cases was created. Only 36 of the 54 cases were sent for the field work along with an additional 22 possible switcher cases. Since we were uncertain about the eligibility of these 22 cases we decided to include only the 36 cases that were returned to us from the field and were from the original selection of cases.

Based on the information from the 1993 reinterview, the 22 new cases appear to be switchers, but it is possible that they were excluded for some reason. We have not been able to allocate the staff time to complete our investigation into the validity of the additional 22 cases. If they are valid switchers, that would mean the estimates of the number of potential switchers in the 1993 NSCG should have been higher than reported by Lessard and Bushery.

Attachment A

Science & Engineering Occupation Codes

- 021 Agricultural and food scientists
- 022 Biochemists and biophysicists
- 023 Biological scientists (e.g., botanists, ecologists, zoologists)
- 024 Forestry, conservation scientists
- 025 Medical scientists (excluding practitioners)
- 027 Other biological/life scientists
- 052 Computer system analysts
- 053 Computer scientists, except system analysts
- 054 Information systems scientists or analysts
- 055 Other computer, information science occupations
- 082 Aeronautical, aerospace, astronautical
- 083 Agricultural
- 084 Bioengineering and biomedical
- 085 Chemical
- 086 Civil, including architectural and sanitary
- 087 Computer engineer hardware
- 088 Computer engineer software
- 089 Electrical, electronic
- 090 Environmental
- 091 Industrial
- 092 Marine engineer or naval architect
- 093 Materials or metallurgical
- 094 Mechanical
- 095 Mining or geological
- 096 Nuclear
- 097 Petroleum
- 098 Sales
- 099 Other engineers
- 172 Mathematicians
- 173 Operations research analysts, modeling
- 174 Statisticians
- 176 Other mathematical scientists
- 191 Astronomers
- 192 Atmospheric and space scientists
- 193 Chemists, except biochemists
- 194 Geologists, including earth scientists
- 195 Oceanographers
- 196 Physicists
- 198 Other physical scientists
- 231 Anthropologists
- 232 Economists
- 233 Historians, science and technology
- 235 Political scientists
- 236 Psychologists, including clinical
- 237 Sociologists
- 238 Other social scientist
- 271 Agriculture
- 273 Biological Sciences

- 275 Chemistry
- 276 Computer Science
- 277 Earth, Environmental, and Marine Science
- 278 Economics
- 280 Engineering
- 286 Mathematical Sciences
- 287 Medical Science
- 289 Physics
- 290 Political Science
- 291 Psychology
- 293 Sociology
- 297 Other natural sciences, postsecondary teachers
- 298 Other social sciences, postsecondary teachers

Non-Science & Engineering Occupation Codes

- 010 Artists, Broadcasters, Editors, Entertainers, Public Relations Specialists, Writers
- 026 Technologists/technicians in the biological/life
- 031 Accounting clerks, bookkeepers
- 032 Secretaries, receptionists, typists
- 033 Other administrative (e.g., record clerks, telephone operators)
- 040 Clergy and Other Religious Workers
- 051 Computer programmers (business, scientific, process control)
- 070 Counselors, Educational and Vocational
- 081 Architects
- 100 Electrical, electronic, industrial, mechanical
- 101 Drafting occupations, including computer drafting
- 102 Surveying and mapping
- 103 Other engineering technologists and technicians
- 104 Surveyors
- 110 Farmers, Foresters and Fishermen
- 111 Diagnosing/Treating Practitioners (e.g., dentists, optometrists, physicians, psychiatrists, podiatrists, surgeons, veterinarians)
- 112 Registered nurses, pharmacists, dieticians, therapists, physician assistants
- 113 Health Technologists and Technicians (e.g., dental hygienists, health record technologists/technicians, licensed practical nurses, medical or laboratory technicians, radiologic technologists/technicians)
- 120 Lawyers, Judges
- 130 Librarians, Archivists, Curators
- 141 Top and mid-level managers, executives, administrators (people who manage other managers)
- 151 Accountants auditors, and other financial specialists
- 152 Personnel, training, and labor relations specialists
- 153 Other management related occupations
- 171 Actuaries
- 175 Technologists/technicians in the mathematical sciences
- 197 Technologists/technicians in the physical sciences
- 200 Insurance, securities, real estate, and business services
- 201 Sales Occupations Commodities Except Retail (e.g., industrial machinery/equipment/supplies, medical and dental equipment/supplies)
- 202 Sales Occupations Retail (e.g, furnishings, clothing, motor vehicles, cosmetics)
- 221 Food Preparation and Service (e.g., cooks, waitresses, bartenders)
- 222 Protective services (e.g., fire fighters, police, guards)
- 223 Other services occupations, except health
- Historians, except science and technology
- 240 Social Workers
- 251 Pre-kindergarten and kindergarten
- 252 Elementary
- 253 Secondary computer, math or sciences
- 254 Secondary social sciences
- 255 Secondary other subjects
- 256 Special education primary and secondary
- 257 Other precollegiate Teachers
- 272 Art, Drama, and Music
- 274 Business Commerce and Marketing
- Education

- 281 English
- 282 Foreign Language
- 283 History
- Home Economics
- 285 Law
- 288 Physical Education
- 292 Social Work
- 294 Theology
- 295 Trade and Industrial
- 296 Other health specialities
- 299 Other postsecondary
- 401 Construction trades, miners and well drillers
- 402 Mechanics and repairers
- 403 Precision/production occupations (e.g., metal workers, woodworker, butchers, bakers, printing occupations, tailors, shoemakers, photographic process)
- 404 Operators and related occupations (e.g., machine set-up, machine operators and tenders, fabricators, assemblers)
- 405 Transportation/material moving occupations
- 500 Other occupations not listed

Science & Engineering Education Codes

- Agriculture, economics 601 Animal sciences 605 Food sciences/technology 606 607 Plant sciences 608 Other agricultural sciences Area/Ethnic Studies 620 Biochemistry and biophysics 631 632 Biology, general 633 Botany 634 Cell and molecular biology 635 Ecology Genetics, animal and plant 636 637 Microbiology Nutritional sciences 638 639 Pharmacology, human and animal Physiology, human and animal 640 Zoology, general 641 642 Other biological sciences Computer/information sciences, general 671 673 Computer science 674 Computer systems analysis Other computer and information sciences 677 Environmental science studies 680 681 Forestry sciences (except management) Other conservation/renewable natural resources 682 721 Aerospace, aeronautical, astronautical 722 Agricultural 723 Architectural 724 Bioengineering and biomedical 725 Chemical Civil 726 727 Computer/systems Electrical, electronics, communications 728 729 Engineering sciences, mechanics, physics 730 Environmental 731 General 732 Geophysical 733 Industrial 734 Materials, including ceramics and textiles 735 Mechanical 736 Metallurgical 737 Mining and minerals 738 Naval architecture and marine 739 Nuclear 740 Petroleum 741 Other engineering 771 Linguistics 830 Library science
- 841 Applied

- 842 Mathematics, general
- 843 Operations research
- 844 Statistics
- 845 Other mathematics
- 861 Philosophy of science
- 871 Astronomy and astrophysics
- 872 Atmospheric sciences and meteorology
- 873 Chemistry
- 874 Earth sciences
- 875 Geology
- 876 Geological sciences
- 877 Oceanography
- 878 Physics
- 879 Other physical sciences
- 891 Clinical
- 892 Counseling
- 893 Experimental
- 894 General
- 895 Industrial/Organizational
- 896 Social
- 897 Other psychology
- 921 Anthropology and archeology
- 923 Economics
- 924 Geography
- 925 History of science
- 928 Political science and government
- 929 Sociology
- 930 Other social sciences

Non-Science & Engineering Education Codes

- 602 Other agricultural business & production
- 610 Architecture/Environmental design (for architectural engineering)
- 651 Accounting
- 652 Actuarial science
- 653 Business administration and management
- 654 Business, general
- 655 Business/managerial economics
- 656 Business marketing/marketing management
- 657 Financial management
- 658 Marketing research
- 659 Other business management/administrative services
- 661 Communications, general
- 662 Journalism
- 663 Other Communications
- 672 Computer programming
- 675Data processing technology
- 676 Information services and systems
- 682 Other conservation/renewable natural resources
- 690 Criminal Justice/Protective Services
- 701 Administration
- 702 Computer teacher education
- 703 Counselor education/guidance services
- 704 Educational psychology
- 705 Elementary teacher education
- 706 Mathematics teacher education
- 707 Physical education/coaching
- 708 Pre-elementary teacher education
- 709 Science teacher education
- 710 Secondary teacher education
- 711 Special education
- 712 Social science teacher education
- 713 Other education
- 751 Electrical and electronic technologies
- 752 Industrial production technologies
- 753 Mechanical engineering-related technologies
- 754 Other engineering-related technologies
- 760 English Language and Literature/Letters
- 772 Other foreign languages and literature
- 781 Audiology and speech pathology
- 782 Health services administration
- 783 Health/medical assistants
- 784 Health/medical technologies
- 785 Medical preparatory programs (e.g., pre-dentistry, pre-medical, pre-veterinary)
- 786 Medicine (e.g., dentistry, optometry, osteopathic, podiatry, veterinary)
- 787 Nursing (4 years or longer program)
- 788 Pharmacy
- 789 Physical therapy and other rehabilitation/therapeutic services
- 790 Public health (including environmental health and epidemiology)
- 791 Other health/medical sciences

- 800 Home Economics
- 810 Law/Pre-Law/Legal Studies
- 820 Liberal Arts/General Studies
- 843 Operations research
- 850 Parks, Recreation, Leisure, and Fitness Studies
- 862 Other philosophy, religion, theology
- 901 Public Administration
- 902 Public policy studies
- 903 Other public affairs
- 910 Social Work
- 922 Criminology
- 926 History, other
- 927 International relations
- 941 Dramatic arts
- 942 Fine arts, all fields
- 943 Music, all fields
- 944 Other visual and performing arts
- 995 Other fields (Not Listed)

Attachment B

CASEID: _____ INTERVIEWER CODE: _____

National Survey of College Graduates Special Follow-up Operation Uncertain Scientist and Engineer Occupation Status

Hello, my name is () from the U.S. Bureau of the Census. We are doing a special follow-up study to a survey in which you participated a few years ago.

<u>NOTE TO INTERVIEWER</u>: Verify that you have the right sample person using the information contained in Section I of the 1995 NSCG Locating Sheet for this sample person. If you do, continue with the interview. If not, thank the person for their time, end the interview, and then notify your supervisor.

Our study is a follow-up to the 1993 National Survey of College Graduates sponsored by the National Science Foundation and the Census Bureau. It involves certain occupations including [FILL occupation categories #1 from 1993 provided on the Locating Sheet].

1a			
1 <i>h</i>			

The Census Bureau is conducting this voluntary survey to help the National Science Foundation update information related to the Nation's college educated persons. We expect the average interview to take about 5 minutes, but actual time may vary. All information is confidential as required by Title 13, United States Code. We will use it only for statistical summaries. There are no penalties for not answering questions in this survey, authorized by the National Science Foundation Act of 1950, as amended.

Can you take a few minutes to answer these questions?

<u>NOTE TO INTERVIEWER</u>: If the sample person requests a contact person, please provide him or her with the following name and address:

Herman Fleming Division of Contract, Policy and Oversight National Science Foundation 4291 Wilson Boulevard Arlington VA 22230 CASEID: _____

INTERVIEWER CODE: _____

Continued - Uncertain Scientist and Engineer Occupation Status

In some cases, two occupations may be similar and we would like to understand the difference. In reviewing our data for this special study, we noticed that your response to the question about the type of job you held in April 1993 with [FILL name of employer]

was listed under two different categories, [FILL occupation categories #2 from 1993].

2*a*._____

2b._____

- 1. Please tell me how the job you held in April 1993 relates to each of these categories? [*READ job categories #2 again*]. Make sure respondent discusses both categories.
- 2. If one category had to be selected, which do you feel is the best one for the job you held then?

Why?

CASEID:

INTERVIEWER CODE: _____

Continued - Uncertain Scientist and Engineer Occupation Status

<u>NOTE TO INTERVIEWER</u>: RESPONDENT SHOULD HAVE GIVEN AT LEAST (A) MOST IMPORTANT ACTIVITIES AND DUTIES, AND (B) MAJOR RESPONSIBILITIES, INCLUDING SUPERVISING. IF NOT:

PROBE 1: What do you feel were your most important activities and duties of that job? Obtain at least TWO activities.

PROBE 2: What were your major responsibilities on that job?

PROBE 3: About how many people did you supervise either directly or indirectly? Obtain separate numbers for "supervised directly" and "supervised indirectly."

These are all the questions I have. Thank you for your time.

INTERVIEWER CODE: _____

National Survey of College Graduates Special Follow-up Operation Uncertain Scientist and Engineer Education Status

Hello, my name is () from the U.S. Bureau of the Census. We are doing a special follow-up study to a survey in which you participated a few years ago.

<u>NOTE TO INTERVIEWER</u>: Verify that you have the right sample person using the information contained in Section I of the 1995 NSCG Locating Sheet for this sample person. If you do, continue with the interview. If not, thank the person for their time, end the interview, and then notify your supervisor.

Our study is a follow-up to the 1993 National Survey of College Graduates. It involves certain people with degrees in [FILL occupation categories #1 from 1993 provided on the Locating Sheet].

1a._____ 1b.____

The Census Bureau is conducting this voluntary survey to help the National Science Foundation update information related to the Nation's college educated persons. We expect the average interview to take about 5 minutes, but actual time may vary. All information is confidential as required by Title 13, United States Code. We will use it only for statistical summaries. There are no penalties for not answering questions in this survey, authorized by the National Science Foundation Act of 1950, as amended.

Can you take a few minutes to answer these questions?

<u>NOTE TO INTERVIEWER</u>: If the sample person requests a contact person, please provide him or her with the following name and address:

Herman Fleming Division of Contract, Policy and Oversight National Science Foundation 4291 Wilson Boulevard Arlington VA 22230 CASEID: _____

INTERVIEWER CODE: _____

Continued - Uncertain Scientist and Engineer Education Status

In some cases, two degree fields may be similar and we would like to understand the difference. In reviewing our data for this special study, we noticed that your response to the question about the degree field for your [FILL type of degree 1]

from [FILL name of academic institution for degree 1]

that you received in _____ [FILL year degree 1 received] was listed under two different categories, [FILL categories #2 from 1993 Locating Sheet].

2a.	
2b.	
1.	Please tell me how your degree field relates to each of these categories? [READ categories above again]. Make sure respondent discusses both categories.
2a.	
2b.	
2.	If one category had to be selected, which do you feel is the best one for the [Fill type of degree 1]
	You received in [FILL year degree 1 received]?

CASEID: _____

INTERVIEWER CODE:

Continued - Uncertain Scientist and Engineer Education Status

These are all the questions I have. Thank you for your time.