

## Numbers of EEO Complaints Processed by SEC

	2000	2001	2002	2003	2004
<b>I. Formal Complaints Pending During Period</b>					
<b>A. Total Formal Complaints Pending</b>	70	50	47	48	44
1. Complaints Filed in a prior period	60	29	32	31	17
2. Complaints Filed during period	10	21	15	17	27
<b>II. Number of Individuals who filed EEO Complaints ("N A" Means data not is available for period)</b>					
A. Individuals who filed at least one complaint during period	10	20	13	13	21
B. Individuals who filed two or more complaints during period	0	1	0	1	4
<b>III. Administrative EEO Complaints pending at end of period in:</b>					
A. Investigation of Complaint	16	15	17	7	11
B. Administrative Hearing at EEOC	11	21	14	8	6
C. Final Agency Decision to be Issued	2	0	0	2	1
D. Appeals Pending at EEOC	N A	N A	N A	N A	2

## EEO Bases Alleged in EEO Complaints Filed

	Fiscal Years				
	2000	2001	2002	2003	2004
<b>Civil Rights Act (Title VII) Bases</b>					
Race - American Indian, Alaskan Native	0	0	0	0	0
Race - Asian, Pacific Islander	0	3	2	3	1
Race - Black	3	7	9	6	5
Race - White	4	3	7	1	4
Color	0	0	1	2	1
Religion	1	2	2	1	2
Sex - Female	10	14	5	16	3
Sex - Male	1	1	5	1	5
National Origin - Hispanic	0	7	4	1	4
National Origin - Other (Non-Hispanic)	0	0	0	0	3
<b>Equal Pay Act - All Complaints</b>	0	1	0	1	0
Filed by Females	0	1	0	1	0
Filed by Males	0	0	0	0	0
<b>Age Discrimination in Employment Act</b>					
Age (40 or more)	10	27	11	11	13
<b>Rehabilitation Act - All Complaints</b>	4	29	6	11	4
Mental Disability Complaints	0	3	1	1	0
Physical Disability Complaints	4	26	5	10	4
<b>EEO Reprisal; All Acts</b>	14	22	24	22	7

## Issues Raised in EEO Complaints Filed

Issues	Fiscal Years				
	2000	2001	2002	2003	2004
Appointment or Hire	4	8	4	4	6
Assignment of Duties	6	7	3	4	6
Awards	0	5	2	5	4
Conversion to Full-Time	0	0	0	6	0
<b>Disciplinary Actions (Total)</b>	<b>1</b>	<b>11</b>	<b>5</b>	<b>8</b>	<b>1</b>
a. Demotion	0	6	0	0	0
b. Reprimand	0	5	2	7	0
c. Suspension	0	0	2	0	0
d. Removal	0	0	1	1	1
e. Other Discipline	1	0	0	0	0
Duty Hours	1	0	0	0	0
Evaluation / Appraisal	4	13	18	14	6
Examination / Test	0	0	0	0	0
Harassment - Non-Sexual	8	17	12	2	0
Harassment - Sexual	1	0	0	0	2
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	1	8	1	5	7
Promotion or Non-selection	13	19	9	5	3
Reassignment Denied	0	7	0	4	4
Reassignment Directed	1	6	0	0	1
Reasonable Accommodation	0	3	1	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms & Conditions of Employment	0	4	13	12	7
Time & Attendance	1	5	8	7	4
Training	6	0	0	0	0
Other	0	3	0	0	0

### Number EEO Complaints Processed of Pending and Average Days Pending

Processing Categories	Prior Fiscal Years									
	2000		2001		2002		2003		2004	
	Number	Average Days	Number	Average Days	Number	Average Days	Number	Average Days	Number	Average Days
<b>1 a. Investigations - Total:</b>	23	629	24	392	22	239	23	206	19	121
A. Completed during period	7	528	9	739	7	364	16	267	8	169
B. Open at end of period	16	674	15	184	15	200	7	66	11	85
<b>1 b. Those Investigations Exceeding Time Limit:</b>	20	N A	7	N A	6	N A	10	348	1	182
A. Completed during period	6	586	7	867	6	399	10	348	1	182
B. Open at end of period	14	N A	N A	N A	N A	N A	0	N A	0	NA
<b>2. Final Agency Action Pending - Total:</b>	13	1231	21	1332	14	903	0	0	7	1232
A. No Hearing Requested (pending decision by SEC)	11	1372	0	N A	0	N A	0	0	1	1
B. Hearing Requested (pending at EEOC)	2	455	21	1332	14	903	0	0	6	1437
<b>3. Appeals Pending at EEOC</b> Data not available for FY 99-02	N A	N A	N A	N A	N A	N A	1	111	2	499

