FORM **SDR-1** (4-18-97)

OMB No.: 3145-0020

Approval Expires: 04/30/99



# 1997 Survey of Doctorate Recipients

This information is solicited under the authority of the National Science Foundation Act of 1950, as amended. All information you provide will be treated as confidential and used only for research or statistical purposes by the survey sponsors, their contractors, and collaborating researchers for the purpose of analyzing data and preparing scientific reports and articles. Any information publicly released (such as statistical summaries) will be in a form that does not personally identify you. Your response is voluntary and failure to provide some or all of the requested information will not in any way adversely affect you. Actual time to complete the questionnaire may vary depending on your circumstances. On the average, it will take about 25 minutes to complete the questionnaire. If you have any comments on the time required for this survey, please send them to Herman Fleming, Division of Contracts, Policy and Oversight, National Science Foundation, 4201 Wilson Boulevard, Arlington, VA 22230. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 3145-0020.

Conducted by:
National Opinion Research Center
Chicago, IL

Conducted for: the National Science Foundation Arlington, VA

# **INSTRUCTIONS**

Thank you for taking the time to complete this questionnaire. Directions for filling it out are provided with each question. Because not all questions will apply to everyone, you may be asked to skip certain questions.

- In order to get comparable data, we will be asking you to refer to the week of April 15, 1997 (e.g., April 13-April 19, 1997) when answering most questions
- Follow all "SKIP" instructions AFTER marking a box. If no "SKIP" instruction is provided, you should continue to the NEXT question
- · Either a pen or pencil may be used
- When answering questions that require marking a box, please use an "X"
- If you need to change an answer, please make sure that your old answer is either completely erased or clearly crossed out

Thanks again for your help, we really appreciate it.

		1	
	PART A - Employment Status During the Reference Week of April 13-19, 1997	A4.	Prior to the week of April 15, 1997, when did you last work for pay (or profit)?
A1.	Were you working for pay (or profit) during the week of April 15, 1997? This includes a postdoctoral appointment, being self-employed or temporarily absent from a job (e.g., illness, vacation or parental leave), even if unpaid.  1 ☐ Yes ⇒ SKIP to A7, page 2  2 ☐ No		Month  MORKED  MORKED  MONTH  19
A2.	(IF NO) Did you look for work during the four weeks preceding April 15, 1997 (that is, anytime between March 19 and April 15, 1997)?  1 ☐ Yes 2 ☐ No	A5.	What kind of work were you doing on this last jobthat is, what was your occupation? Please be as specific as possible, including any area of specialization  EXAMPLE: College professor - Electrical engineering
A3.	What were your reasons for not working during the week of April 15?		
	Mark (X) all that apply  Year Retired  1 □ Retired	A6.	Using the JOB CODES LIST (pages 20-21), choose the code that BEST describes the work you were doing on this last job.  CODE → SKIP to A53, page 9  NOTE - Job codes range from 010 to 500

A7.	(IF WORKED DURING WEEK OF APRIL 15TH) Counting all jobs held during the week of April 15, 1997, did you USUALLY work	The next several questions ask about your principal employer during the week of April 15, 1997.				
	A total of 35 or more hours  per week → <i>SKIP to A10</i> Fewer than 35 hours per week	A11.	Who was your principal employer during the week of April 15, 1997?			
A8.	(IF FEWER THAN 35 HOURS) During the week of April 15, did you want to work a full-time work week of 35 or more hours?		IF MORE THAN ONE JOB: Record employer for whom you worked the most hours that week  IF EMPLOYER HAD MORE THAN ONE LOCATION: Record location where you usually worked  Employer Name			
	1  Yes 2  No		City/Town  State/Foreign Country			
A9.	What were your reasons for working a part-time work week (i.e., less than 35 hours) during the week of April 15?		ZIP Code			
	Year Retired  1 □ Retired → 19 □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	A12.	Thinking about your employer's main business (i.e., what your employer makes or does), under which of these categories does your employer's main business BEST fit?  IF PRINCIPAL EMPLOYER HAS MORE THAN ONE TYPE OF BUSINESS: Please answer for the type of business primarily performed at the location where you work  Mark (X) ONLY one  1			
A10.	(IF 35 OR MORE HOURS) Although you were working during the week of April 15, had you previously RETIRED from any position?  Examples of retirement include mandatory retirement, early retirement, or voluntary		All other services (e.g., social, legal, business)  Manufacturing  Public administration/government  Research - Specify			
	retirement  Year Retired  1  Yes  No		Transportation services, utilities or communications  Wholesale or retail trade  Other			

A13.	Counting all locations where this employer operates, how many people work for your principal employer? Your best estimate is fine.	A16.	Was your principal employer an educational institution?
	inic.		-₁ ☐ Yes
	Mark (X) ONLY one		2
	₁ ☐Under 10 employees		
	₂ □10 - 24 employees		
	₃	<b>\</b>	
	₄	A17.	(IF EDUCATIONAL INSTITUTION) Was this
	₅		educational institution a
	<sub>6</sub>		Mark (X) ONLY one
	<sub>7</sub> □5,000 + employees		Preschool, elementary, or middle school or system SKIP to A20, page 4
			Secondary school or system
A14.	Did your principal employer come into being as a new business within the past 5 years?		Two-year college, community college, technical institute
	ı □Yes		Four-year college or university, other than a medical school
	<sub>2</sub> $\square$ No		<ul> <li>Medical school (including university- affiliated hospital or medical center)</li> </ul>
			6 ☐ University-affiliated research institute
			<sub>7</sub> ☐ Something else - Specify
A15.	Was your principal employer during the week of April 15		
	IF EMPLOYER WAS A SCHOOL: Mark (X) the type of organizational charter (e.g., mark "state government" for state schools; most private schools are "private not-for-profit")		
	Mark (X) ONLY one  1 □ A PRIVATE FOR-PROFIT company, business	A18.	What was your faculty rank?
	or individual, working for wages, salary or commissions		Mark (X) ONLY one
	2  A PRIVATE NOT-FOR-PROFIT, tax-exempt, or charitable organization		Not applicable at this institution  Not applicable for my position
	3 ☐ SELF-EMPLOYED in own NOT INCORPORATED business, professional practice, or farm		₃ ☐ Professor
	4 □ SELF-EMPLOYED in own INCORPORATED business, professional practice, or farm  □ 1 and COVERNMENT (a.g., attended to the content)  □ 1 and COVERNMENT (a.g., attended to the content)		4 Associate Professor
	<ul> <li>5 ☐ Local GOVERNMENT (e.g., city, county)</li> <li>6 ☐ State GOVERNMENT</li> </ul>		5 Assistant Professor
	7 □ U.S. military service, active duty or		6 ☐ Instructor
	Commissioned Corps (e.g., USPHS, NOAA)		<sub>7</sub> Lecturer
	B ☐ U.S. GOVERNMENT (e.g., civilian employee)		8 Adjunct Faculty
	9 ☐ Other - Specify		
			<sub>9</sub> ☐ Other - Specify ✓

A19.	What was your tenure status?	A21.	Did you answer "yes" to any of the categories above?
	Mark (X) ONLY one		above:
	Not applicable: no tenure system at this institution		$_{2}$ Yes $_{2}$ No ⇒ <b>SKIP</b> to <b>A24</b> , page 5
	Not applicable: no tenure system for my position		
	3  Tenured		
	$_4$ $\square$ On tenure track but not tenured	<b>\</b>	
	<sub>5</sub> Not on tenure track	A22.	(IF YES) What were your reasons for having an alternative or temporary work arrangement during the week of April 15?
	he next several questions ask about some Iternative or temporary working relationships		For this study, being self-employed is considered an alternative working relationship
	nat people <i>may</i> have with their employers.		Mark (X) Yes or No for each  YES NO  ↓  ↓
			1. Schedule flexibility
A20.	Did any of the following apply to your		2. Only type of work you could find 1 \( \square 2 \)
AZU.	relationship with your principal employer during the week of April 15, 1997?		<ul> <li>3. Gain experience that may lead to a permanent job</li></ul>
	Mark (X) Yes or No for each YES NO		5. Family-related reasons (e.g., children,
	1. Self-employed working as an   ↓ ↓		spouse's job moved)
	independent contractor, independent consultant, free lance worker		<b>6.</b> In school or some type of training program
	or otherwise self-employed 1 $\square$ 2 $\square$		7. Enjoy being your own boss 1 \( \sigma \) 2
	2. Your principal employer contracted		8. Employer changed your status
	out your services to other organizations (not including temporary help or		to temporary
	employment agencies) 1 \( \sigma \) 2 \( \sigma \)		J. Cure reason openly
	3. Working through a temporary		
	help or employment agency 1 \(\sime\) 2 \(\sime\)		12
	<b>4.</b> Working on an "as needed", "seasonal" or short term basis ₁ □ ₂ □		
	<b>5.</b> Job sharing	A23.	Which factors in A22 represent your two main reasons for holding alternative or temporary
	<b>6.</b> Working from home for 50 percent or more of your work time		employment or being self-employed? Enter the number of the appropriate reason from A22 above
	7. Something else - Specify		1 First reason
	1 🗆 2 🗆		2 Second reason (Enter "0" if no second reason)

relatio be  Mark (2  1	could have any type of working nship you wanted, would your first choice (X) ONLY one a permanent job (either full-time or part-time), that is a job with no et end date (Seing self-employed) Some other type of working elationship - Specify	A27.	Using the JOB CODES LIST (pages 20-21), choose the code that BEST describes the work you were doing on your principal job during the week of April 15.  CODE
		A28.	Did you record job code "141" (manager, executive, or administrator) in A27?
of Apri	rning your principal job during the week il 15, were any of the following benefits ble to you, even if you chose not to take		Yes  No $\Rightarrow$ SKIP to A30, page 6
<ol> <li>Head part</li> <li>A p</li> </ol>	X) Yes or No for each  alth insurance that was at least tially paid by your employer? 1 2 2 2 2 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3		
<b>3.</b> A p	which your employer contributed? 1 $\square$ 2 $\square$ rofit-sharing plan? 1 $\square$ 2 $\square$ d vacation, sick or personal days? . 1 $\square$ 2 $\square$	A29.	(IF YES) Did your duties on this job require the technical expertise of a bachelor's degree or higher in
	set of questions asks about your work rincipal job during the week of April 15,		<ul> <li>Mark (X) Yes or No for each</li> <li>YES NO</li> <li>↓ ↓</li> <li>1. Engineering, computer science, math, or the natural sciences</li></ul>
princip 19971 Please area of	kind of work were you doing on your pal job held during the week of April 15, that is, what was your occupation?  The be as specific as possible, including any for specialization  The College professor - Electrical engineering	,	<ul> <li>2. The social sciences</li></ul>

A30.	Was this job a "postdoc?"  A "postdoc" is a temporary position awarded in academe, industry, or government primarily for gaining additional education and training in research  Yes	A34.	As of the week of April 15, were you licensed or certified in your occupation? Do NOT include academic degrees (e.g., BA, MA, PhD)  1 Yes 2 No
¥	2 No → SKIP to A33	A35.	Thinking about the relationship between your work and your education, to what extent was your work on your principal job held during the week of April 15 related to your (first U.S.) doctoral degree? Was it
A31.	What were your reasons for taking this postdoc?		Mark (X) ONLY one  1 ☐ Closely related  SKIP to A38, page 7
	Mark (X) Yes or No for each  YES NO  ↓  ↓		Somewhat related Not related Not related
	<ol> <li>Additional training in PhD field 1  2</li> <li>Training in an area outside of</li> </ol>		
	<ul> <li>PhD field</li></ul>	A36.	(IF NOT RELATED) Did these factors influence your decision to work in an area OUTSIDE THE FIELD OF YOUR (FIRST U.S.) DOCTORAL DEGREE?
	<ul><li>5. Postdoc generally expected for career in this field</li></ul>		Mark (X) Yes or No for each  YES NO
	6. Some other reason - Specify		1. Pay, promotion opportunities 1 \( \sigma \) 2
	1 🗆 2 🗆		2. Working conditions (e.g., hours, equipment, working environment) 1
			<b>3.</b> Job location
			<b>4.</b> Change in career or professional interests
A32.	What were your two MOST important reasons for taking this postdoc? Enter number of appropriate reason from A31		<b>5.</b> Family-related reasons (e.g., children, spouse's job moved)
	1 MOST important reason		<b>6.</b> Job in highest degree field not available
	2. SECOND MOST important reason (Enter "0" if no second reason)		7. Other reason - Specify
A33.	During what month and year did you start this job, (that is, your principal job held during the week of April 15, 1997)?	A37.	Which TWO factors in A36 represent your MOST important reasons for working in an area outside the field of your (first U.S.) doctoral degree?  Enter number of appropriate reason from A36 above
	Month Year		1 MOST important reason
	JOB STARTED 19		2 SECOND MOST important reason (Enter "0" if no second most)

A38.	The next question is about your work activities on your principal job. Which of the following work activities occupied 10 percent or more of your time during a TYPICAL work week on this job?	A40.	Thinking back to when you completed your highest degree, would you say your work during a TYPICAL week on this principal job is
	Mark (X) Yes or No for each YES NO		Mark (X) ONLY one  1 ☐ Very similar to what you expected
	1. Accounting, finance, contracts 1 2		to be doing
	2. Applied research - study directed toward gaining scientific knowledge to meet a recognized need 1  2  2		Somewhat similar to what you expected to be doing
	3. Basic research - study directed toward gaining scientific knowledge primarily for its own sake		Not very similar to what you expected to be doing
	<b>4.</b> Computer applications, programming, systems development		
	<b>5.</b> Development - using knowledge gained from research for the production of materials, devices 1  2		
	<b>6.</b> Design of equipment, processes, structures, models	A41.	Did you supervise the work of others as part
	7. Employee relations - including recruiting, personnel development, training		of your principal job held during the week of April 15?  MARK "YES": If you assigned duties to workers AND
	8. Managing and supervising 1 \( \sigma \) 2		recommended or initiated personnel actions such as hiring, firing or promoting
	<b>9.</b> Production, operations, maintenance (e.g., truck driving, machine tooling, auto/machine repairing)		TEACHERS: Do NOT count students
	<b>10.</b> Professional services (e.g., health care, counseling, financial services, legal services)		Yes  No $\Rightarrow$ SKIP to A43, page 8
	<b>11.</b> Sales, purchasing, marketing, customer service, public relations ₁ □ ₂ □		
	<b>12.</b> Quality or productivity management $ . 1 \square                                $		
	<b>13.</b> Teaching		
	14. Other - Specify	\	
	1 \[ \] 2 \[ \]	A42.	(IF YES) How many people did you typically
A39.	On which TWO activities in A38, did you work the MOST hours during a typical week on this		IF NONE: Enter "0" Number Supervised
	job? Enter number of appropriate activity from A38 above		1. Supervise DIRECTLY?
			<b>2.</b> Supervise through subordinate supervisors?
	1. Activity MOST hours		
	Activity SECOND MOST hours (Enter "0" if no second most)		

A43.	Before deductions, what was your basic ANNUAL salary on this job as of the week of April 15, 1997? (Do NOT include bonuses, overtime, or	A47.	(IF YES) Which Federal agencies or departments were supporting your work?
	additional compensation for summertime		Mark (X) all that apply
	teaching or research)		$_{1}$ $\square$ Agency for International Development (AID)
	IF NOT SALARIED: Please estimate your earned income, excluding business expenses		<sub>2</sub> Agriculture Department
			<sub>3</sub> Commerce Department
	\$00  Basic Annual Salary/Earned Income		4 ☐ Defense Department (DOD)
	·		<ul> <li>Department of Education (include NCES, OERI, FIPSE, FIRST)</li> </ul>
			<sub>6</sub> ☐ Energy Department (DOE)
			<sub>7</sub> Environmental Protection Agency (EPA)
A44.	During a typical week on this job, how many hours did you usually work?		<ul> <li>Health and Human Services Department (Excluding NIH)</li> </ul>
			<sub>9</sub> Interior Department
	NUMBER OF HOURS PER WEEK		no □ National Aeronautics and Space Administration (NASA)
			<sub>11</sub> National Institutes of Health (NIH)
			<sub>12</sub> National Science Foundation (NSF)
A45.	Including paid vacation and paid sick leave,		<sub>13</sub> Transportation Department (DOT)
	upon how many weeks per year was your salary based?		14 Other - Specify
	NUMBER OF WEEKS PER YEAR ————		DON'T KNOW SOURCE AGENCY
A46.	During the week of April 15, 1997, was any of your work on this job supported by CONTRACTS OR GRANTS from the U.S. government?	A48.	How would you rate your overall satisfaction with your principal job during the week of April 15th?
	FEDERAL EMPLOYEES: Please answer "No"		Mark (X) ONLY one
	Mark (X) ONLY one		Very satisfied
	1  Yes ⇒ <b>GO to A47</b>		Somewhat satisfied  Somewhat dissatisfied
	2 No-		Somewhat dissatisfied     Very dissatisfied
	→ SKIP to A48		vory dissentation

A49.	During the week of April 15, 1997, were you working for pay (or profit) at a second job (or business), including part-time, evening,		he next few questions ask about your work for ay (or profit) in 1996.
	or weekend work?  1 ☐ Yes 2 ☐ No ⇒ SKIP to A53	A53.	Turning to 1996, including paid vacation and paid sick leave, how many weeks did you work in 1996?  • MARK (X) THIS BOX IF NONE AND SKIP TO A56
*			NUMBER OF WEEKS WORKED
A50.	(IF YES) What kind of work were you doing at your second job during the week of April15 that is, what was your occupation? Please be as specific as possible, including any area of specialization  IF YOU HAD MORE THAN TWO JOBS THAT WEEK: Answer for the job where you worked the second most hours	A54.	During the weeks you worked in 1996, how many hours a week did you usually work?  NUMBER OF HOURS WORKED
A51.	Using the JOB CODES LIST (pages 20-21) choose the code that BEST describes the work you were doing on your second job during the week of April 15.  CODE	A55.	Counting all jobs held in 1996, what was your TOTAL EARNED income for 1996, BEFORE deductions? Include all wages, salaries, bonuses, overtime, commissions, consulting fees, net income from businesses, summertime teaching or research, postdoctoral appointment, or other work associated with scholarships  TOTAL 1996 EARNED INCOME \$00  MARK (X) THIS BOX IF YOU HAD NO EARNED INCOME IN 1996
A52.	To what extent was your work on this second job related to your (first U.S.) doctoral degree? Was it  Mark (X) ONLY one  1  Closely related 2  Somewhat related 3  Not related	A56.	What was your total HOUSEHOLD income before deductions for 1996? In addition to any income listed in A55, please include income from such sources as dividends, interest, social security, pensions, and income earned from your spouse.  TOTAL 1996 HOUSEHOLD INCOME \$

The next few questions will help us better understand employment changes over time.

B1. Were you working for pay (or profit) during BOTH of these time periods--the week of April 15, 1995 AND the week of April 15, 1997?

IF YOU WERE A STUDENT: Do NOT count financial aid awards with no work requirement

₁ ☐ Yes

<sub>2</sub> No ⇒ SKIP to C1

B2. (IF YES) During these two time periods--the week of April 15, 1995, and the week of April 15, 1997--were you working for . . .

Mark (X) ONLY one

- 1 ☐ Same employer AND same job ⇒ **SKIP to C1**
- $_{2}$   $\square$  Same employer BUT different job
- $_3$   $\square$  Different employer BUT same job
- 14 Different employer AND different job

B3. (IF DIFFERENT) Why did you change your employer or your job?

Mark (X) Yes or No for each

YES NO

- 1. Pay, promotion opportunities . . . . . . 1 \( \subseteq 2 \)
- **2.** Working conditions (e.g., hours, equipment, working environment) . . . ₁ □ ₂ □

- or grant or contract ended) . . . . . .  $_1$   $_2$   $_2$ 8. Retired . . . . . . . . .  $_1$   $_2$   $_2$

9. Other reason - Specify

1 📙 2 🗀

C1. How concerned are you that you might lose your job in the next 12 months?

Mark (X) ONLY one

- <sub>2</sub> Somewhat concerned
- 3 Not very concerned

C2. How concerned are you that someone in your household, other than you, might lose their job in the next 12 months?

0 ☐ ← MARK (X) THIS BOX IF NO OTHER WORKING ADULT IN HOUSEHOLD AND GO TO C3

Mark (X) ONLY one

- ₁ ☐ Very concerned
- <sub>2</sub> Somewhat concerned
- 3 Not very concerned

C3. Have you ever been offered a buy-out or what is often called "early retirement"--that is, a cash settlement to induce employees to voluntarily give up a job?

Mark (X) ONLY one

- $_{1}$   $\square$  Yes, and accepted the offer
- <sub>2</sub> Yes, but did not accept the offer
- <sub>3</sub> No

C4.	hav em	nce completing your (first) bachelor's degree, we you ever lost or left a job because your ployer closed, moved or underwent structuring, downsizing or major layoffs?	C7.	From the time you actively <u>began</u> your search, about how many months did it take to find a new job? Answer for most recent occurrence
		RK "YES": If a partnership or self-employed siness closed for economic reasons		○ □ ← MARK (X) THIS BOX IF YOU HAVE NOT FOUND ANOTHER JOB AND SKIP TO C9
	_1	Yes No → <i>SKIP to C9</i>		NUMBER OF MONTHS —————  (Enter "0" if less  than one month)
C5.	foll	LOST OR LEFT JOB) For which of the lowing reasons did you lose or leave that job jobs)?	C8.	Compared to the job you had, did your new job offer you significantly more, about the same, or significantly less in terms of:
	-	rk (X) Yes or No for each YES NO		About Significantly the Significantly <u>More</u> <u>Same</u> <u>Less</u>
	1.	Your self-operated business ended		a. Salary 1
	2.	Your company or the facility or agency where you worked closed down		<ul> <li>b. Level of responsibility . 1</li></ul>
	3.			knowledge or skills 1
	4.	The work or services of your company or the facility or agency where you worked was reorganized or restructured	C9.	If you had the chance to do it over again, knowing what you do now, how likely is it that you would choose the same field of study for your highest degree?
	5.	Your company or the facility or agency where you worked was taken over by another organization 1 $\square$ 2 $\square$		<ul><li>1 ☐ Very likely</li><li>2 ☐ Somewhat likely</li></ul>
		Your company or the facility or agency where you worked had insufficient business, revenue or work		₃  Not at all likely
	7.	Some other reason - Specify1 \2 \	C10.	During the past year, did you attend any professional society or association meetings or professional conferences? <i>Include regional, national, or international meetings</i>
				1 Yes
C6.	mo	what year did you lose or leave that jobif ore than one, please answer for the most sent occurrence.  Year	C11.	To how many national or international professional societies or associations do you currently belong?
		19		Number OR 0 NONE

C12.	During the past year, did you attend any V training activities? Do NOT include college								r-related
	Do NOT include professional meetings unles meeting/conference	s you a	ttended	a sp	ecial training sessio	on cond	ducted a	at the	Э
	_ ₁ □ Yes								
	2								
$\downarrow$									
C13.	(IF YES) During the past year, in which seminars, or other work-related training follow-up questions.								
					<u>A</u>		<u>B</u>		<u>C</u>
	Types of Work-Related Training				Record Total		you or any		Number of
	For Any Training Marked "Yes": Answer A-C				Number of Days		of		Training
					in Training		raining rself?		Days You Paid For
		<b>V</b> O	YES <b>↓</b>			NO <b>↓</b>	YES <b>↓</b>		
	1. Management or supervisor training	2	1 🗌	$\rightarrow$		2	1 🗌	$\rightarrow$	
	2. Training in your occupational field	2	1 🗌	<b>→</b>		2	1	$\rightarrow$	
	<b>3.</b> General professional training (e.g., public speaking, business writing)	2	1 🗌	$\rightarrow$		2	1 🗌	$\rightarrow$	
	4. Other work-related training - Specify								
		2	1 🗌	$\rightarrow$		2	1	$\rightarrow$	
C4.4	For which of the following reasons did yo	44	al tualini						
C14.	For which of the following reasons did yo	u allen	u traiiii	iiy a	ctivities during th	e pasi	-	_	
	Mark (X) Yes or No for each						<b>↓</b>	3	NO <b>↓</b>
	1. To facilitate a change in your occupational	l field .					•	]	2
	2. To gain FURTHER skills or knowledge in	your oc	cupatio	nal fi	eld		1 🗆	]	2
	3. For licensure/certification						1 🗆	]	2 🗌
	4. To increase opportunities for promotion/ac	dvancer	ment/hig	her:	salary		1 🗆	]	2 🗌
	5. To learn skills or knowledge needed for a	recently	/ acquir	ed po	osition		1 🗆	]	2 🗌
	<b>6.</b> Required or expected by employer						1 🗆	]	2 🗌
	7. Other - Specify								
	<b>×</b>						_ 1 _	]	2
								•	
C15.	What was your most important reason for	attend	ling trai	nina	activities?				
• .	Enter number of appropriate reason from C1-				,				
	MOST IMPORTANT REASON FROM C14								
	MOOT IN ONTAIN REAGON I NOW 014								

	PART D - Background Information	D4.	Between April 1995 and April 1997, did you complete a degree or certificate?
D1.	Between April 1995 and April 1997, did you take any college or university courses or enroll in a college or university for other reasons, such as completing a Master's or PhD?  1 □ Yes 2 □ No ⇒ SKIP to E1, page 14	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Yes  No → SKIP to D7, page 14
<b>D2.</b>	(IF YES) In which college or university department were you primarily taking classes or	D4a.	(IF YES) What degree or certificate did you receive? From D3 enter the number of appropriate TYPE OF DEGREE/CERTIFICATE received
	doing research, etc. (e.g., English, chemistry)?  DEPARTMENT		CERTIFICATE FROM D3
D3.	During that time, toward what degree or certificate, if any, were you (or are you) working?  □ ← MARK (X) THIS BOX IF NO SPECIFIC DEGREE OR CERTIFICATE AND SKIP TO D7, PAGE 14	D5.	In what month and year was this degree or certificate awarded?  IF YOU COMPLETED MORE THAN ONE: Enter the date for the highest degree or certificate awarded
	IF MORE THAN ONE APPLIES: Mark the highest level  Mark (X) ONLY one  Bachelor's degree  Post baccalaureate certificate  Master's degree (including MBA)  Post master's certificate		Month Year  19
	Doctorate (e.g., Ph.D., D.S.C, D.Sc., Ed.D.)  Other professional degree (e.g., JD, LLB, ThD, MD, DDS) - Specify  Other - Specify  Other - Specify	D6.	From which academic institution did you receive this degree or certificate?  School name
	——————————————————————————————————————		City/Town  State/Foreign Country

D7.	What was your primary field of study during that	PART E - Recent Doctorate Recipients
	PRIMARY FIELD OF STUDY	E1. Did you receive your (first U.S.) doctoral degree any time between June 1990 and June 1996?
D8.	For which of the following reasons were you taking classes or enrolled between April 1995 and April 1997?	The next questions are about the initial career experiences of recent doctorate recipients. The degree we are referring to is the first U.S. doctorate.
	Mark (X) Yes or No for each  1. To gain further education before beginning a career	E2. Thinking back to when you <i>began</i> your doctoral program, what kind of work did you want to do after completing your doctorate?
	<ol> <li>To prepare for graduate school 1</li></ol>	Mark (X) Yes or No for each       YES NO         ↓ ↓         1. Teaching       1 □ 2 □         2. Research       1 □ 2 □
	<ul> <li>knowledge in your academic or occupational field</li></ul>	<ul> <li>3. Management/administration</li></ul>
	promotion, advancement, or higher salary	1 2
	<ul> <li>8. For leisure/personal interest</li></ul>	E3. When you <i>began</i> your doctoral program, in what type of employment setting did you MOST want to work upon completing your doctorate?  Mark (X) ONLY one  College or university
D9.	Were ANY of your school-related costs for taking college or university courses during this time paid for by an employer?  1  Yes 2  No	Business or industry  Government  Nonprofit organization  Self-employed  Elementary or secondary school  Other - Specify

⊏4.	your career? Did you think it would help you	⊏0.	wnen did you be	egin wor	king on th	at job? wa	IS It
	Mark (X) ONLY one		₁ ☐ Prior to w		on your		
	Begin your first career		doctorate			→ SKI	P to E13,
	2 Further a career you had already started		₂  While you on your d			ļ,	page 16
						_	
	Change careers		—₃		youi		
	4 (Help) in ways not related to your career						
E5.	At the time you completed your doctorate, among those with your training and experience, would you say the	\ 	1				
	a. Job market for postdocs was	E9.	To what extent for a career pa				searcn
	1 Excellent			Mark	(X) ONLY	one for ea	ch item
	<sub>2</sub> Good					Not	
	<sub>3</sub> Fair			Α		Much	Not
	₄ ☐ Very poor			Great Deal	Some- what	or Not At All	Appli- cable
	5 Don't know or not applicable		<b>–</b> "	Deai	wiiat	ALAII	Cable
		1.	Family responsibilities	1 🗌	2	3 🔲	4 🔲
	b. Job market for positions other than postdocs		•	' Ш	2 🗀	з Ш	4 🗀
	was	2.	Spouse's career or				
	□ F		employment	1 🗌	2	3	4
	Excellent	2	Debt burden				
	2 Good		from under-				
	₃ ☐ Fair		graduate or				
	4 Very poor		graduate degrees	1 🔲	2	з 🗌	4 🔲
	5 Don't know or not applicable		-	1 🗀	2 🗀	3 🗀	4 🗀
		4.	Desire to not				
<b>-</b> C	Datuman completion was and attended and the small		relocate or move to place of job	1 🗌	2	з 🗌	4 🗌
E6.	Between completing your doctorate and the week of April 15, have you held or accepted what you						
	consider to be a "career path" job?		Suitable job not available	1 🗌	2	3	4 🗌
	A "career path" job is a job that will help you in your future career plans or a job in the field in which you want to make your career	6.	Other - Specify	1 🗌	2	3	4
	1  Yes, held a career path job ⇒ <b>SKIP to E8</b>						
	$_2$ $\square$ Yes, accepted but not begun $\Rightarrow$ <b>SKIP to E9</b>						
	$_{-3}$ $\square$ No, neither held nor accepted						
<b>∀</b> E7.	Since completing your doctorate and the week of April 15, have you sought a "career path" job?						
	1  Yes ⇒ <b>SKIP to E9</b>						
	2						
	<u> </u>						

E10.	Which of the following resources did seeking or finding your first career pareceiving your doctorate?			E12.	How many months ela you completed your d you accepted your fire	octorate	and the	time
	If you have not yet obtained a career pa indicate the sources used in your job se		lease		IF YOUR CAREER PATH JO WERE COMPLETING OR W RECEIVING YOUR DOCTOR	/ITHIN ON	E MONTH C	F
	Mark (X) Yes or No for each	YES <b>J</b>	NO <b>J</b>		NUMBER OF MONTHS		\ C!	/ID to E44
	1. Faculty or advisors	1 🗌	2		NUMBER OF MONTHS			KIP to E14
	2. Professional recruiters such as "head hunters"	1 🗌	2					
	3. College or department placement office	1 🗌	2	E13.	How did completing y the following aspects			
	<b>4.</b> Professional meetings	1	2				() ONLY o	ne for
	<b>5.</b> Electronic postings	1 🗌	2			$\epsilon$	each item	Not
	6. Newspapers	1 🗌	2			A Great	Some-	Much or Not
	7. Professional journals	1	2			Deal	what	At All
	8. Informal channels through colleagues or friends	1 🗌	2		alary level	_	2	3 🗌
	9. Direct contacts you initiated				evel of responsibility	_	2 📙	3 🗌
	with company (e.g., sent unsolicited vita)	1 🔲	2		ob security	1 📙	2	3 🗌
	10. Other - Specify				egree of interesting r rewarding work	1 🗌	2	3
		1 🗌	2		egree of technically			
					emanding work	1 📙	2 📙	3 📙
					lanagement activities xpected	1 🗌	2	3 🗌
				<b>7.</b> C	Other - Specify			
E11.	Which TWO resources in E10 were m responsible for finding your first care path job? Enter number of appropriate resource from E10 above	er			<u> </u>	1 🗌	2	3 🗔
	0 ☐ ← MARK (X) THIS BOX IF YOU HAVE NO ACCEPTED A CAREER PATH JOB SIN YOUR DOCTORATE AND SKIP TO E18	CE RECE	EIVING	E14.	Were you still holding during the week of A			path job
	<ol> <li>MOST important resource.</li> <li>SECOND MOST important resource.</li> <li>(Enter "0" if no second</li> </ol>	rtant res			Yes → <b>SKIP</b> to E  No, changed jobs  No, not employed the week of April	d during	<b>—</b>	60 to E15, page 17

E13.	work and your education, to what extent was your work on your first career path job related to your doctoral degree field?	adequate was your doctoral program or training in each of the following areas?
	Mark (X) ONLY one	Mark (X) ONLY one for each
	Closely related ———	Some-
	Somewhat related SKIP to E18	Very what Not Not Ade- Ade- Ade- Appli- quate quate cable
	₃  Not related	1. General problem solving skills 1
		2. Subject matter knowledge 1
_ \		3. Oral communication
E16.	(IF NOT RELATED) Did any of these factors influence your decision to work in an area	skills
	outside your doctoral degree field?	<b>4.</b> Teaching skills 1
	Mark (X) Yes or No for each YES NO $\downarrow$ $\downarrow$	<b>5.</b> Collaboration and team work skills 1
	<b>1.</b> Pay or promotion opportunities $_1$ $\square$ $_2$ $\square$	<b>6.</b> Quantitative skills . 1 □ 2 □ 3 □ 4 □
	2. Working conditions (e.g., hours,	7. Writing skills 1
	equipment, working environment) . 1 $\square$ 2 $\square$	8. Computer skills 1
	<b>3.</b> Job location	9. Research integrity/ ethics
	<b>4.</b> Change in career or professional interests	10. Establishing contacts with colleagues in field
	<b>5.</b> Family-related reasons (e.g., children, spouse's job moved)	11. Management or administrative skills
	<ul> <li>6. Job in doctoral field not available . 1</li></ul>	E19. In which TWO areas in E18 would you have liked to have had more training or emphasis in your doctoral program?
E17.	Which TWO factors in E16 represent your MOST important reasons for working in an area outside your doctoral degree field? Enter number of appropriate factor from E16 above  1 MOST important reason	<ol> <li>FIRST area</li> <li>SECOND area (Enter "0" if no second area)</li> <li>Overall, how satisfied are you with the doctoral program you completed?</li> <li>Mark (X) ONLY one</li> <li>Very satisfied</li> </ol>
	2. SECOND MOST important reason (Enter "0" if no second reason)	Somewhat satisfied  Somewhat dissatisfied  Very dissatisfied

	PART F - Demographic Information	F5.	(IF YES) How many of these children living with you as part of your family were
			IF NO CHILDREN IN A CATEGORY: Enter "0"
F1.	As of the week of April 15 were you		Number of Children
	Mark (X) ONLY one  1		1. Under age 2          2. Aged 2-5          3. Aged 6-11
<b>Y</b>	5 Never Married ———		<b>4.</b> Aged 12-17
<b>F2.</b>	(IF MARRIED) During the week of April 15, was your spouse working for pay (or profit) at a full-time or part-time job?  —₁ ☐ Yes, full-time —₂ ☐ Yes, part-time  ₃ ☐ No → SKIP to F4	F6.	During the week of April 15, 1997, were you living in the United States or one of its territories, or were you living in another country?  1 United States or one of its territories 2 Another country
*		F7.	As of the week of April 15, 1997 were you a
F3.	<ul> <li>(IF YES) Did your spouse's duties on this job require the technical expertise of a bachelor's degree or higher in</li> <li>Mark (X) Yes or No for each  1. Engineering, computer science, math or the natural sciences,</li></ul>		Mark (X) ONLY one  U.S. Citizen   1 □ Native Born 2 □ Naturalized  SKIP to F9   Non-U.S. Citizen  3 □ With a Permanent U.S. Resident Visa 4 □ With a Temporary U.S. Resident Visa  5 □ Living outside the United States
F4.	During the week of April 15, did you have any children living with you as part of your family?	F8.	(IF NON-U.S. CITIZEN) Of which country are you a citizen?  COUNTRY
	Only count children who lived with you at least 50 percent of the time  1 □ Yes ⇒ GO to F5  2 □ No ⇒ SKIP to F6	F9.	What is your birthdate?  Month Day Year  19

			MARK (X) ONE FOR EACH					
	SEEING words or letters in ordinary newsp	None Varint	Slight <b>↓</b>	Moderate <b>↓</b>	Severe <b>↓</b>	Unable to Do <b>↓</b>		
	(with glasses/contact lenses if you usually wear them)	1 🔲	2	з 🗌	4	5		
	2. HEARING what is normally said in converse with another person (with hearing aid, if you	u	_	_	_	_		
	usually wear one)	1 📙	2	3	4	5		
	<b>3.</b> WALKING without human or mechanical assistance or using stairs	1	2	з 🔲	4 🔲	5 🗌		
,	<ol> <li>LIFTING or carrying something as heavy a 10 pounds, such as a bag of groceries</li> </ol>	ıs	2 🗌	з 🗆	4	5 🗌		
	0 ☐ ←MARK (X) THIS BOX IF YOU ANSWERED "N	ONE" TO ALL ACT	IVITIES IN F	10 AND SKIP TO	) F13			
2.	What is the earliest age at which you FIR					of these areas		
	AGE OR 0 □ SINCE BIRTH	4						
3.	In case we need to clarify some of the information you have provided, please list a phone number (and an e-mail address if applicable) where you can be reached.							
	Area Code Number	7	E-mail Addr	ess				
	Daytime	<u> </u>	-					
	Evening	┛						
1.	Since we are interested in how education and employment change over time, we may be recontacting yo 1999. To help us contact you, please provide the name, address, and telephone number of two people ware likely to know where you can be reached. DO NOT INCLUDE SOMEONE WHO LIVES IN YOUR HOUSEHOLD.  As with all the information provided in this questionnaire, complete confidentiality will be provided. These people only be contacted if we have trouble contacting you in 1999.							
				MI Las	st Name			
	First Name MI Last Name	Firs	t Name	IVII Las	ot ivallie			
	First Name MI Last Name  Number and Street		t Name nber and Stre		t warne			
		Nur			State	Zip Code		
	Number and Street	Nur ————————————————————————————————————	nber and Stre	eet		Zip Code		

The next question is designed to help us better understand the career paths of individuals with different physical abilities.

# JOB CODES LIST

This list is ordered ALPHABETICALLY. The titles in bold type are broad job categories. To make sure you have found the BEST code, please review ALL broad categories before making your choice. If you cannot find the code that BEST describes your job, use the "OTHER" code under the most appropriate broad category in bold print. If none of the codes fit your job, use Code 500.

# 010 Artists, Broadcasters, Editors, Entertainers, Public Relations Specialists, Writers

### **Biological/Life Scientists**

- 021 Agricultural and food scientists
- 022 Biochemists and biophysicists
- 023 Biological scientists (e.g., botanists, ecologists, zoologists)
- 024 Forestry and conservation scientists
- 025 Medical scientists (excluding practitioners)
- 026 Technologists & technicians in the biological/life sciences
- 027 OTHER biological/life scientists

### Clerical/Administrative Support

- 031 Accounting clerks, bookkeepers
- 032 Secretaries, receptionists, typists
- 033 OTHER administrative (e.g., record clerks, telephone operators)

### 040 Clergy & Other Religious Workers

### Computer Occupations (Also see 173)

- \*\*\* Computer engineers (See 087, 088 under Engineering)
- 051 Computer programmers (business, scientific, process control)
- 052 Computer system analysts
- 053 Computer scientists, except system analysts
- 054 Information systems scientists or analysts
- 055 OTHER computer, information science occupations
- \*\*\* Consultants (Select the code that comes closest to your usual area of consulting)
- 070 Counselors, Educational & Vocational (Also see 236)

### **Engineers, Architects, Surveyors**

- 081 Architects
- \*\* Engineers (Also see 100-103)
  - 082 Aeronautical, aerospace, astronautical engineer
  - 083 Agricultural engineer
  - 084 Bioengineering & biomedical engineer
  - 085 Chemical engineer
  - 086 Civil, including architectural & sanitary engineer

- \*\*\* Engineers (continued)
  - 087 Computer engineer hardware
  - 088 Computer engineer software
  - 089 Electrical, electronic engineer
  - 090 Environmental engineer
  - 091 Industrial engineer
  - 092 Marine engineer or naval architect engineer
  - 093 Materials or metallurgical engineer
  - 094 Mechanical engineer
  - 095 Mining or geological engineer
  - 096 Nuclear engineer
  - 097 Petroleum engineer
  - 098 Sales engineer
  - 099 Other engineer
- \*\*\* Engineering Technologists and Technicians
  - 100 Electrical, electronic, industrial, mechanical
  - 101 Drafting occupations, including computer drafting
  - 102 Surveying and mapping
  - 103 OTHER engineering technologists and technicians
- 104 Surveyors

### 110 Farmers, Foresters & Fishermen

### **Health Occupations**

- 111 Diagnosing/Treating Practitioners (e.g., dentists, optometrists, physicians, psychiatrists, podiatrists, surgeons, veterinarians)
- 112 Registered nurses, pharmacists, dieticians, therapists, physician assistants
- 236 Psychologists, including clinical
- 113 Health Technologists & Technicians
  - (e.g., dental hygienists, health record technologist/technicians, licensed practical nurses, medical or laboratory technicians, radiologic technologists/technicians)
- 114 OTHER health occupations
- 120 Lawyers, Judges
- 130 Librarians, Archivists, Curators

### Managers, Executives, Administrators

(Also see 151-153)

- 141 Top and mid-level managers, executives, administrators (people who manage other managers)
- \*\*\* All other managers, including the self-employed Select the code that comes closest to the field you manage

# **JOB CODES LIST - Continued**

### Management-Related Occupations (Also see 141)

- 151 Accountants, auditors, and other financial specialists
- 152 Personnel, training, and labor relations specialists
- 153 OTHER management related occupations

#### **Mathematical Scientists**

- 171 Actuaries
- 172 Mathematicians
- 173 Operations research analysts, modeling
- 174 Statisticians
- 175 Technologists and technicians in the mathematical sciences
- 176 OTHER mathematical scientists

### **Physical Scientists**

- 191 Astronomers
- 192 Atmospheric and space scientists
- 193 Chemists, except biochemists
- 194 Geologists, including earth scientists
- 195 Oceanographers
- 196 Physicists
- 197 Technologists and technicians in the physical sciences
- 198 OTHER physical scientists

#### \*\*\* Research Associates/Assistants

(Select the code that comes closest to your field)

### Sales and Marketing

- 200 Insurance, securities, real estate, & business services
- 201 Sales Occupations Commodities Except Retail (e.g., industrial machinery/equipment/supplies, medical and dental equip/supplies)
- 202 Sales Occupations Retail
  - (e.g., furnishings, clothing, motor vehicles, cosmetics)
- 203 OTHER marketing and sales occupations

## Service Occupations, Except Health (Also see 111-114)

- 221 Food Preparation and Service (e.g., cooks, waitresses, bartenders)
- 222 Protective services (e.g., fire fighters, police, guards)
- 223 OTHER service occupations, except health

### **Social Scientists**

- 231 Anthropologists
- 232 Economists
- 233 Historians, science and technology
- 234 Historians, except science and technology
- 235 Political scientists
- 236 Psychologists, including clinical (Also see 070)
- 237 Sociologists
- 238 OTHER social scientist
- 240 Social Workers

### Teachers/Professors

- 251 Pre-Kindergarten and kindergarten
- 252 Elementary
- 253 Secondary computer, math, or sciences
- 254 Secondary social sciences
- 255 Secondary other subjects
- 256 Special education primary and secondary
- 257 OTHER precollegiate area
- \*\*\* Postsecondary
  - 271 Agriculture
  - 272 Art, Drama, and Music
  - 273 Biological Sciences
  - 274 Business Commerce and Marketing
  - 275 Chemistry
  - 276 Computer Science
  - 277 Earth, Environmental, and Marine Science
  - 278 Economics
  - 279 Education
  - 280 Engineering
  - 281 English
  - 282 Foreign Language
  - 283 History
  - 284 Home Economics
  - 285 Law
  - 286 Mathematical Sciences
  - 287 Medical Science
  - 288 Physical Education
  - 289 Physics
  - 290 Political Science
  - 291 Psychology
  - 292 Social Work
  - 293 Sociology
  - 294 Theology
  - 295 Trade and Industrial
  - 296 OTHER health specialties
  - 297 OTHER natural sciences
  - 298 OTHER social sciences
  - 299 OTHER Postsecondary

#### **Other Professions**

- 401 Construction trades, miners & well drillers
- 402 Mechanics and repairers
- 403 Precision/production occupations
  - (e.g., metal workers, woodworkers, butchers, bakers, printing occupations, tailors, shoemakers, photographic process)
- 404 Operators and related occupations
  - (e.g., machine set-up, machine operators and tenders, fabricators, assemblers)
- 405 Transportation/material moving occupations

# 500 OTHER OCCUPATIONS (Not Listed)

-	
F16.	Is the name and address information on the label the best one for us to use for any future mailings?
	ı ☐ Yes
	Yes  2 No → Please make name and address changes as needed below. Please print clearly.
	Title First Name Middle Initial Last Name
_	Number and Street/Apt. No.  City/Town  State  ZIP Code Plus 4
Co	ountry (If Outside U.S.)
L	
	THANK YOU FOR COMPLETING THE QUESTIONNAIRE Please return the completed form in the envelope provided. If you lose the envelope and want another, call 1-800-327-7508. Our address is:
	Survey of Doctorate Recipients National Opinion Research Center at the University of Chicago 1525 East 55th Street Chicago, IL 60615