

**National Endowment for the Arts**  
**FY 1999 PERFORMANCE REPORT (FINAL)**  
**February 2003**

As required by the Government Performance and Results Act of 1993, the National Endowment for the Arts is pleased to submit its (final) Annual Performance Report for Fiscal Year 1999. The report is based on and covers performance goals and indicators contained in the Performance Plan for FY 1999. The table that follows this narrative compares the Arts Endowment's actual FY 1999 performance as of July 31, 2002, with the revised final projected levels of performance as presented with the Agency's FY 2001 Performance Plan.

**General Observations.** As discussed in each of the previous FY 1999 Performance Reports, one must take three significant issues into account when assessing FY 1999 performance:

- **First, since applications for FY 1999 funds had not been fully acted upon by the time the Performance Plan for that year was submitted, we used available FY 1998 data as a baseline for making projections.** The FY 1998 data was quite limited and had not been collected with the needs of the FY 1999 Performance Plan in mind. Thus, using this as a basis for projecting subsequent year accomplishments was problematic. At that time, we also acknowledged that actual FY 1999 data would not be available until final reports on funded projects had been received, related information entered into our database, and those data assessed. As of July 31, 2002, **93 percent of final reports for FY 1999 grants had been received.** We had anticipated a minimum of 21 to 24 months would need to pass after the close of FY 1999 before sufficient information would be received to fully assess that year's accomplishments. July 31, 2002 marked 34 months since the close of FY 1999; it took that long to exceed a 90 percent return, the level we determined would be sufficient to make final assessments of FY 1999 performance – the first year we reported such information.
- **Second, since submission of the initial FY 1999 projections, we have improved our data collection and assessment performance.** When this effort began, our capacity to collect and report on data was limited. Further, the information requested was limited and, as experience has shown, was not as clearly defined as originally thought. Since then, we have modified our data collection forms to better define requested information, modified our automated Grants Management System to ensure that the data could be captured, and significantly expanded the ability to extract and report on that data. The activity information included in this report *represents actual information reported by grantees in their final reports.*
- **Third, eligible applicants to the Arts Endowment have full discretion in choosing the funding area from which to seek support, and in determining the nature of their projects.** The Arts Endowment issues application guidelines that organizations use to submit requests for support. The guidelines identify areas of funding (e.g., Access to the Arts) that directly relate to each of our goals. The guidelines also broadly address the types of eligible projects (e.g., presentations, arts instruction, residencies). Based on historical data, we estimate numbers of applications and types of projects or activities to be funded; however, it is not until the applications are received and reviewed that a clearer picture emerges. From year to year, applicant interests may change from one area to another or from one type of project to another, thus adding to the challenge of making reliable estimates. To a large extent then, adjustments in our projections reflect this external factor.

**Specific Observations.** As noted above, initial FY 1999 performance estimates were extrapolated based on available FY 1998 data. Overall, there are four areas in which there were notable changes in accomplishments from the revised final FY 1999 projections (see tables with final figures below). These concern achievements under four of the goals then in effect – Access to the Arts, Creativity, Arts Education, and Heritage and Preservation. We believe that these differences are indicative of three primary occurrences:

- actual increases in level of activity from that originally projected;
- differences between Agency and grantee understanding of activity types used in application and final report forms; and
- choices by the applicants as to which area of support to apply to or the type of project to seek funding for – which differed from Agency projections.

We do note that since our last Performance Report, submitted in conjunction with our FY 2003 budget request, the projections have held. The tables below present three sets of data for this final FY 1999 Performance Report: revised final projections as presented with the FY 2001 Performance Plan; then-current performance as reported with the FY 2003 Plan; and the final figures now reported with the FY 2004 Plan.

<b>Goal 1: Access to the Arts</b>	Concerts/Readings/ Performances	Residencies	Exhibitions
Revised Final Projections	1,872	44	na
Performance-from FY 03 Plan	9,200	3,200	90
Final Figures	9,270	3,429	110

<b>Goal 2: Creativity</b>	Artworks Created	Concerts/Readings/ Performances	Exhibitions	Residencies
Revised Final Projections	351	4,266	115	199
Performance-from FY 03 Plan	7,700	12,400	1,500	2,200
Final Figures	8,100	12,890	1,698	2,450

<b>Goal 3: Arts Education</b>	Concerts/Readings/Performances	Residencies
Revised Final Projections	1,161	631
Performance-from FY 03 Plan	12,300	5,900
Final Figures	13,086	6,096

<b>Goal 4: Heritage and Preservation</b>	Apprenticeships	Concerts/ Readings/ Performances	Conserve/ Repair/Restore and Identify/ Document	Residencies	National Heritage Fellows
Revised Final Projections	8	218	3,321	61	13
Performance-from FY 03 Plan	200	2,100	20,100	310	13
Final Figures	200	2,209	21,928	314	13

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			# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
<b>1. ACCESS: Broaden public access to the excellence and diversity of the arts.</b>	Competitive and Leadership	Number of awards and funds	144	\$ 5,803		144	\$ 5,803		0	\$ -	
		<i>Concerts/readings/performances</i>			1,872		9,270				7,398
		<i>School and other residencies</i>			44		3,429				3,385
		<i>Exhibitions</i>					110				110
<b>2. CREATIVITY: Foster opportunities for the creation and presentation of artistically excellent work.</b>	Competitive and Leadership	Number of awards and funds	787	\$ 18,835		787	\$ 18,835		0	\$ -	
		<i>Artworks created</i>			351		8,100				7,749
		<i>Concerts/readings/performances</i>			4,266		12,890				8,624
		<i>Exhibitions</i>			115		1,698				1,583
		<i>School and other residencies</i>			199		2,450				2251
		<i>Fellowships to writers</i>			40		40				0

Shaded areas include predominant activity, not exclusive activity.  
Dollars rounded to nearest thousand.

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<b>3. ARTS EDUCATION: Strengthen the role of the arts in our Nation's educational system and encourage lifelong learning in the arts.</b>	Competitive and Leadership	Number of awards and funds	311	\$ 9,487		311	\$ 9,487		0	\$ -	
		<i>School and other residencies</i>			631			6,096			5,465
		<i>Concerts/performances/readings</i>			1,161			13,086			11,925
<b>4. PRESERVATION: Preserve our Nation's cultural heritage for the 21st century.</b>	Competitive and Leadership	Number of awards and funds	201	\$ 4,988		201	\$ 4,988		0	\$ -	
		<i>American Jazz Masters</i>			3			3			0
		<i>Apprenticeships</i>			8			200			192
		<i>Concerts/readings/performances</i>			218			2,209			1,991
		<i>Conserve, repair, restore and Identify/document</i>			3,268			21,928			18,660
		<i>National Heritage Fellowships</i>			53						(53)
		<i>School and other residencies</i>			13			13			0
					61			314			253

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			# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
<b>5. ORGANIZATIONAL STABILITY: Strengthen the organizational and financial capabilities of America's arts organizations.</b>	Competitive and Leadership	Number of awards and funds	129	\$ 6,422		129	\$ 6,422		0	\$ -	
<b>6. COMMUNITY ARTS DEVELOPMENT: Help address the concerns of America's communities through the arts.</b>	Competitive and Leadership	Number of awards and funds	86	\$ 1,769		86	\$ 1,769		0	\$ -	
		<i>Mayors at Inst for City Design</i>			60			41			(19)
		<i>Artworks (projects) created along the Millennium Legacy Trails</i>			52						(52)
		<i>ArtsREACH</i>			84			84			0
<b>7. PARTNERSHIPS: Strengthen the Endowment's partnerships with the public and private sectors.</b>	Competitive and Leadership	Number of awards and funds	20	\$ 477		20	\$ 477		0	\$ -	
		<i>Collaborate w/Federal partners</i>			15						(15)
		<i>Projects in different countries</i>			30						(30)
<b>Totals</b>	Competitive and Leadership		<b>1678</b>	<b>\$ 47,781</b>		<b>1678</b>	<b>\$ 47,781</b>		<b>0</b>	<b>\$ -</b>	

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