

National Endowment for the Arts
FY 2002 PERFORMANCE REPORT
February 2003

As required by the Government Performance and Results Act of 1993, the National Endowment for the Arts is pleased to submit its Annual Performance Report for Fiscal Year 2002. The report is based on and covers performance goals and indicators contained in the Performance Plan for FY 2002. The table that follows compares the Arts Endowment's revised final Fiscal Year 2002 performance projections as of January 17, 2003 with the projections presented with the Agency's FY 2004 Initial Performance Plan.

General Observations. As discussed in our Performance Reports for other years, one must take several significant issues into account when assessing Agency performance for FY 2002:

- **First, since applications for FY 2002 funds had not been fully acted upon by the time the Performance Plan for the year was initially submitted, we used available FY 2000 and FY 2001 data as a baseline for making projections, as well as data from the FY 2002 applications processed by March 2002.** As previously noted in other reports, actual performance data from each fiscal year is not available until final reports on funded projects have been received, related information entered into our database, and those data assessed. As of January 17, 2003 – 3 ½ months after the end of the fiscal year – just **18 percent of final reports for FY 2002 grants had been received.** Based on our experience, it is likely that we will have exceeded a 90 percent return rate, the level we currently estimate to be sufficient to make final assessments of a year's performance, by the time we submit our FY 2006 Performance Plan (in February 2005).
- **Second, because of the timing of this report's preparation, actual performance data is limited.** Because we now update GPRR-related data during the revised project/budget process (an opportunity for applicants to revise their projects and budgets in response to their tentatively recommended award amounts), these Fiscal Year 2002 projections *reflect estimates from application forms, revised project/budget forms, and actual performance data from the few final reports submitted by grantees by January 17, 2003.*
- **Third, eligible applicants to the Arts Endowment have full discretion in choosing the funding area from which to seek support, and in determining the nature of their projects.** The Arts Endowment issues application guidelines that organizations use to submit requests for support. The guidelines identify areas of funding (e.g., Access to the Arts) that directly relate to each of our goals. The guidelines also broadly address the types of eligible projects (e.g., creation of artwork, arts instruction, residencies). Based on historical data, we estimate numbers of applications and types of projects or activities to be funded; however, it is not until the applications are received and reviewed that a clearer picture emerges. From year to year, applicant interests may change from one area to another or from one type of project to another, thus adding to the challenge of making reliable estimates. This year, in fact, we chose to delay estimating activity *levels* until the submission of this report to allow for more realistic projections. To a large extent then, adjustments in our projections reflect this external factor.

Challenge America Funds. In the table that follows, there are three areas (Access, Creativity, and Community Arts Development) in which there appear to be notable changes since we submitted our FY 2003 Performance Plan. In fact, these apparent changes reflect our decision to shift funds among goals in order to capture data consistent with our budget presentation and tables (e.g., moving funds from Community Arts Development and Access to Creativity), rather than a change in focus.

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GOAL	FUNDING CATEGORY	INDICATOR	FY 2002 Final Projections Reported with FY 2004 Initial Performance Plan			FY 2002 Revised Final Projections with FY 2004 Performance Plan (Based on performance as of 1-17-03; 18% of Final Reports Received)			Difference			
			# of Awards	\$		# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity	
1. ACCESS: Broaden public access to the excellence and diversity of the arts.	Competitive and Leadership	Number of awards and funds 1\	383	\$ 9,832		292	\$ 8,573		(91)	\$ (1,259)	0	
		<i>Concerts/readings/performances</i>			8,127			7,026			(1,101)	
		<i>School and other residencies</i>			1,722			1,450			(272)	
		<i>Exhibitions</i>			959			1,075			116	
	Challenge America	Number of awards and funds	60	\$ 3,932		79	\$ 4,122		19	\$ 190	0	
		<i>Concerts/readings/performances</i>			2,825			3,070			245	
		<i>School and other residencies</i>			1,214			1,542			328	
		<i>Community organization partners</i>			2,785			3,144			359	
	2. CREATIVITY: Foster opportunities for the creation and presentation of artistically excellent work.	Competitive and Leadership	Number of awards and funds	845	\$ 18,585		792	\$ 20,269		(53)	\$ 1,684	0
			<i>Artworks created</i>			6,641			6,524			(117)
<i>Concerts/readings/performances</i>					14,327			14,648			321	
<i>Exhibitions</i>					3,151			3,040			(111)	
<i>Fellowships to writers</i>					45			45			0	
<i>School and other residencies</i>					2,912			2,620			(292)	
Challenge America			Number of awards and funds	222	\$ 8,556		215	\$ 8,594		(7)	\$ 38	0
		<i>Concerts/readings/performances</i>			8,391			9,944			1,553	
	<i>School and other residencies</i>			5,134			7,472			2,338		
3. ARTS LEARNING: Strengthen the role of the arts in our Nation's educational system and encourage lifelong learning in the arts.	Competitive and Leadership	Number of awards and funds 2\	222	\$ 8,556		215	\$ 8,594		(7)	\$ 38	0	
		<i>Concerts/readings/performances</i>			8,391			9,944			1,553	
		<i>School and other residencies</i>			5,134			7,472			2,338	
	Challenge America	Number of awards and funds	249	\$ 2,515		249	\$ 2,515		0	\$ -	0	
	4. HERITAGE/PRESERVATION: Preserve our Nation's cultural heritage for the 21st century.	Competitive and Leadership	Number of awards and funds	286	\$ 4,599		220	\$ 4,581		(66)	\$ (18)	0
			<i>American Jazz Masters</i>			3			3			0
			<i>Apprenticeships</i>			743			431			(312)
			<i>Concerts/readings/performances</i>			1,750			1,673			(77)
			<i>Conserve, repair, restore and</i>			33,604			11,079			(22,525)
<i>Identify/document</i>					6,226			6,222			(4)	
<i>National Heritage Fellowships</i>					13			13			0	
<i>School and other residencies</i>					140			132			(8)	

1\ To ensure consistency with budget, some Access & CAD Leadership funds are included in Creativity.

2\ Includes \$2,082,000 of NEA grantmaking funds obligated under the Partnership Agreements for Arts Education activities.

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			# of Awards	\$		# of Awards	\$	Extent of Activity	# of Awards	\$	Extent of Activity
5. ORGANIZATIONAL CAPACITY: Strengthen the organizational and financial capabilities of America's arts organizations.	Competitive and Leadership	Number of awards and funds	66	\$ 4,233		66	\$ 4,234		0	\$ 1	0
6. COMMUNITY ARTS DEVELOPMENT: Help address the concerns of America's communities through the arts.	Competitive and Leadership	Number of awards and funds 1\	2	\$ 525		0	\$ -		(2)	\$ (525)	0
		<i>Mayors Art Inst for City Design</i>			49			-			(49)
	Challenge America	Number of awards and funds	179	\$ 2,865		167	\$ 2,865		(12)	\$ -	0
		<i>Concerts/readings/performances</i>			3,329			2,034			(1,295)
		<i>Community organization partners</i>			2,992			2,052			(940)
7. PARTNERSHIPS: Strengthen the Endowment's partnerships with the public and private sectors.	Competitive and Leadership	Number of awards (Competitive and Leadership only) and funds	40	\$ 1,666		23	\$ 1,626		(17)	\$ (40)	0
		<i>Collaborate w/Federal partners</i>			20			20			0
		<i>Projects in different countries</i>			30			30			0
	Partnership (with State and Regional Arts Agencies)	Funding amount	64	\$ 31,984		65	\$ 32,081		1	\$ 97	
	Partnership Challenge America (with SAAs and RAOs)	Funding amount	Included in above figure	\$ 6,760		Included in above figure	\$ 6,760		\$ -		
TOTALS	Competitive and Leadership		1,844	\$ 47,996		1,608	\$ 47,877		(236)	\$ (119)	\$ -
	Challenge America		488	\$ 9,312		495	\$ 9,502		7	\$ 190	\$ -
	(Subtotal)		2,332	\$ 57,308		2,103	\$ 57,379		(229)	\$ 71	\$ -
	Partnership-Basic			\$ 31,984			\$ 32,081			\$ 97	\$ -
	Partnership-Challenge America			\$ 6,760			\$ 6,760			\$ -	\$ -
	(Subtotal Partnership)		64	\$ 38,744		65	\$ 38,841		1	\$ 97	\$ -
	Grand Total Grantmaking Funds		2,396	\$ 96,052		2,168	\$ 96,220		(228)	\$ 168	\$ -

3/ Moved to Access goal.