

## Financial Subsidiary Certification (Subsequent Notice)

Date

Licensing Manager, District  
Comptroller of the Currency  
Address  
City, State, ZIP Code

Re: Notice of Financial Subsidiary Certification for (insert name of bank), Bank Charter  
Number

Dear Licensing Manager:

(Insert name and location of bank), hereby files its "Financial Subsidiary Certification"  
pursuant to 12 CFR 5.39(b)(1)(1).

In accordance with these provisions, (insert name and address of bank) certifies that (insert  
name of bank) and each of its depository institution affiliates (insert or provide list of names  
and addresses of depository institution affiliates) is well capitalized and well managed, as  
defined by 12 CFR 5.39(d)(11) and (12), respectively.

I certify that the information contained in this filing has been examined carefully by me and  
is true, correct, and complete, and is current as of the date of this submission. I  
acknowledge that any misrepresentation or omission of a material fact may subject me to  
legal sanctions provided by 18 USC 1001.

If you have any questions, contact (name, address, city, state, ZIP Code) at (telephone  
number).

Sincerely,

—Signature—

Name and Title