Background Investigation Checklist

(Proposed) Bank Name — (Proposed) Bank Location CAIS # Charter #

Standard Background Checks

The following standard background checks were performed and (+) results represent "positive" or complimentary information; (-) results represent "negative" or derogatory information; (None) represents no information, that is, "no comment" received from:

Source	<u>Response</u>	<u>Results</u>	Analyst's
	(Y) (N) (N/A)	(+) (-) (None)	Initials/Date
DEA FBI Fingerprints IRS Tax Check U.S. Custom Service EARS FinCEN LEXIS-NEXIS FDIC FRB OTS NCUA State Banking Agency			

Adverse Information received from FBI or other Agency

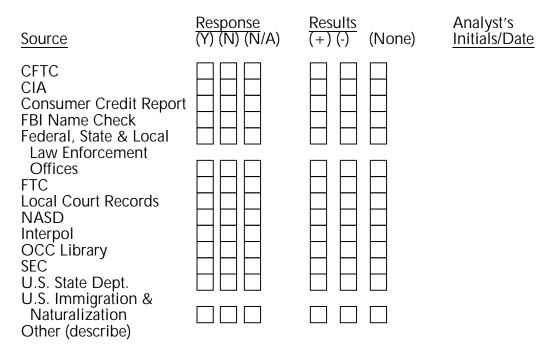
If adverse information is received from the FBI, allow the filer to respond to the information and refer the filer to 28 CFR 16.34 and 50.12.

Filer Notified Response Received

/e	S	Nc	NA	١

Optional Background Checks

Were any additional discretionary background checks performed?



Identify persons involved directly or indirectly with failure to file notices required by the Bank Secrecy Act:

In addition to the Interagency Biographical and Financial Report, did the organizers submit the following on each executive officer:

Executive	Reference	Employment	S
Officer	Checks	Remarks	<u> </u>

Summary of Qualifications Salary Amount