# **U.S. Consumer Product Safety Commission Annual Report to Congress - 2002**

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## Introduction

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency created to protect the public from unreasonable risks of injuries and deaths associated with some 15,000 types of consumer products.

CPSC works to reduce the risk of injuries and deaths from consumer products by:

- developing voluntary standards with industry
- issuing and enforcing mandatory standards; banning hazardous consumer products if no feasible standard would adequately protect the public
- obtaining the recall of products or arranging for their repair
- conducting research on potential product hazards
- informing consumers through the media, state and local governments, private organizations, and by responding to consumer inquiries.

The 2002 Annual Report to Congress gives brief highlights of the commission's accomplishments for fiscal year 2002. The highlights are followed by a set of appendices that present more detailed information on the year's activities of the agency to keep Americans safe.

# **CPSC Highlights**

#### **Compliance Activities**

In fiscal year 2002, CPSC conducted 393 recalls involving about 50 million consumer product units that either violated mandatory safety standards or presented a significant risk of injury to the public. These recalls included: 4 million promotional plush bears posing a choking hazard to children, 2.4 million juice extractors with a lid and basket that may break apart posing a risk of injury to nearby consumers, and 1.8 million microwave ovens that could overheat and catch fire.

#### **Import Surveillance**

Working together, the commission and the U.S. Customs Service refused admission into the U.S., or detained for reconditioning or destruction, more than 200 shipments of imported consumer products that did not meet U.S. safety standards. As a result, about 3.5 million dangerous product units never reached store shelves. Many of these dangerous products are fireworks and non-child-resistant lighters. Working with the U.S. Customs Service since 1988, CPSC has seized or detained more than 450 million hazardous fireworks at the docks, and since 1995, has seized or detained more than 30 million hazardous lighters at the docks.

#### **Age Determination Guidelines**

In 2002, the commission staff completed, "Age Determination Guidelines: Relating Children's Ages to Toy Characteristics and Play Behavior." The new guidelines were developed based on an extensive literature review and on research on toy purchasing decisions by adults and observations of children interacting with certain toys. In finalizing the guidelines, CPSC's staff addressed comments from the toy industry and consumer groups. The commission released the new guidelines to the public in early 2003.

# **Ground-Fault Circuit Interrupters (GFCIs)**

GFCIs are important safety devices that prevent electrocutions. In 2002, the CPSC staff worked with Underwriters Laboratories (UL) to develop requirements that enhance the reliability and utility of these important products

### **Baby Walkers**

Old-style mobile baby walkers have been associated with a larger number of stair fall injuries. In 1997, the ASTM voluntary standard for baby walkers was revised to included provisions that address falls down stairs. In 2002, a CPSC study found that there is a high rate of conformance by the baby walker industry with the new standard, and that it was effective in addressing stair falls. The CPSC staff believes that this high conformance rate has contributed to a substantial reduction in injuries. Since 1995, baby walker-related injuries to children younger than 15 months old have dropped by almost 70 percent. The CPSC staff expects the number of injuries to continue to drop as new, safer baby walkers replace old-style mobile walkers in U.S. households. Based on this, the commission terminated its rulemaking proceeding that began in 1994.

#### **Smoke Alarm Research**

In 2001 and 2002, CPSC sponsored smoke alarm research in partnership with the U.S. Fire Administration (USFA), the Department of Housing and Urban Development (HUD), the Centers for Disease Control and Prevention (CDC), Underwriters Laboratories, Inc. (UL) and the National Institute of Standards and Technology (NIST). In 2002, NIST completed full-scale tests in two homes with fires involving upholstered furniture, mattresses and cooking oil as fuel sources. Tests of alarm sensor responses to nuisance sources were also completed. The data obtained from these tests will be used to evaluate how effectively current and emerging smoke alarm technologies respond to the most common serious residential fires and resist the most common causes of nuisance alarms. In 2003, CPSC staff will develop recommendations to improve smoke alarm performance standards and provide guidance to consumers with the goal of reducing fire deaths and injuries.

### **Bicycle Helmets**

In 2002, the CPSC staff completed a bicycle-related head injury study. The study found that helmets are effective in preventing children's bicycle-related head injuries treated in hospital emergency rooms and in reducing the severity of those head injuries that do occur. In addition, the study noted that deaths to children from bicycle-related head injuries have decreased since 1991.

### **Upholstered Furniture**

CPSC staff forwarded to the commission a briefing package of technical information, including a draft, small-open-flame performance standard and supporting data. In view of the volume and complexity of the technical information, the staff held a public meeting in June 2002 to obtain comments and recommendations from interested parties. The staff continued to work with the Environmental Protection Agency to develop a possible Significant New Use Rule for flame-retardant upholstery treatments that might be used to meet a standard. The staff also continued to work with the California Bureau of Home Furnishings as they revise that state's existing upholstered furniture flammability standard.

# Recall Round-Up

Recall Round-Up, held on April 17, 2002, proved once again to be CPSC's most successful single-event federal-state-local partnership program. The Recall Round-Up program is a nationwide effort to publicize previously recalled consumer products and urge people to remove the products from their homes. This year's Recall Round-Up focused on household products, many of which have been involved in the deaths of children. The products included window blind cords, playpens, cedar chests, old cribs, old freezers and drawstrings on children's clothing. CPSC enlisted the help of fire marshals, fire departments, state and local officials, and national and state health and safety organizations.

#### **Poison Prevention**

CPSC issued a final rule in 2002 to require child-resistant packaging of liquid products that contain low-viscosity hydrocarbons such as baby oil, carburetor cleaner and water repellent. Direct aspiration into the lung, or aspiration during vomiting, of small amounts of low-viscosity hydrocarbon solvents can result in chemical pneumonia, lung damage and death. CPSC also participated in the 41st observance of National Poison Prevention Week.

#### **Civil Penalties**

CPSC obtained civil penalty settlements totaling more than \$4 million from 11 separate firms to settle allegations that companies knowingly failed to report potential hazards; failed to report lawsuit settlements and judgments, or knowingly imported or sold products that violated mandatory safety standards. The 11 firms included General Electric Co., which paid \$1 million to settle allegations that it failed to report defects in certain dishwashers; Honeywell Consumer Products Inc., which paid \$800,000 to resolve allegations that it failed to report defects in three electrical products; and Briggs & Stratton Corp., which paid \$400,000 to resolve allegations that it failed to report defects in its fun kart engines. In addition, a federal district court assessed a penalty of \$300,000 against Mirama Enterprises Inc., finding that it violated the CPSC reporting requirement. It was the first federal court imposition of a penalty for a reporting violation.

#### **Media Activities**

CPSC's Office of Information and Public Affairs (OIPA) issued 255 press releases about recalls, safety standards and product hazards. In addition, OIPA produced 15 video news releases for the use of television news media on topics such as fireworks, recall round-up, toy recalls, household product recalls, CO poisoning, Poison Prevention Week, placing babies on adult beds, drowning hazards and portable camping heaters. CPSC's new Spanish spokesperson greatly broadened consumer information outreach to the Hispanic community. She appeared numerous times on the national Spanish networks Univision and Telemundo to discuss fireworks, baby safety and other topics.

### **Consumer Support**

CPSC's web site, www.cpsc.gov, received nearly 7.9 million visits, up from 6.3 million visits from the previous year, and more than 5,400 consumers reported hazardous products through the interactive form. The CPSC Hotline, (800) 638-2772, received about 142,000 calls in fiscal year 2002. Of these, more than 5,300 reported complaints of unsafe products. The number of e-mail contacts to the Hotline increased to more than 15,400 in fiscal year 2002, up from 12,200 from the previous year. CPSC distributed nearly 2 million copies of publications. The National Injury Information Clearinghouse maintains and disseminates reports of accident investigations, product-related incidents, death certificates and relevant newspaper articles. The Clearinghouse responded to more than 3,200 requests for data from the American public. In addition, the Clearinghouse forwarded about 12,000 incident reports for confirmation and 10,000 copies of incidents and investigations to manufacturers whose products were named in the reports. In 2002, the agency's

National Electronic Injury Surveillance System (NEISS) continued to provide the foundation for

much of the commission's work, including special studies of injuries associated with various consumer products. NEISS is a statistically selected national sample of hospital emergency rooms through which CPSC collects information on product-related injuries.

#### **Administrative Litigation**

CPSC filed two administrative lawsuits seeking public notification and remedial action to protect the public from substantial risks of injury. One lawsuit involved air rifles, and the second one involved dry fire sprinklers.

# **APPENDIX A: Deaths, Injuries and Costs of Injuries from Consumer Products**

# Deaths, Estimates of Injuries and Estimates of Costs of Injuries From Consumer Products

The commission, in fulfilling its mission to protect the public against unreasonable risk of injuries associated with consumer products, collects, reviews and analyzes data on deaths and estimated injuries associated with such products. Appendix A presents three tables which contain summary data by totals and by age groups for deaths, estimated injuries and estimated costs of injuries associated with products under the jurisdiction of the commission. These products are aggregated into 15 product groupings.

While the deaths and injuries in these tables represent product involvement, that involvement does not necessarily indicate causality.

Table 1: Deaths Associated with the Use of Certain Consumer Products, October 1, 1999-September 30, 2000. These data are taken from death certificates the commission purchases from the states.

Table 2: Estimates of Hospital Emergency Room Treated Injuries Associated with the Use of Certain Consumer Products, October 1, 2001 - September 30, 2002. This is based on data collected from a statistically selected group of hospitals as part of the commission's National Electronic Injury Surveillance System (NEISS). Comparisons of Table 2 with previous Annual Report tables of injuries associated with the use of these consumer products must be undertaken cautiously. The NEISS hospital sample was most recently updated January 1, 1997 to take into account changes that have occurred in the NEISS sampling frame of emergency departments over time (e.g., including hospital emergency departments opened after the initial sampling frame was constructed). In addition, over time, there have been modifications to the definition of in-scope injuries.

Table 3: Estimates of the Costs of Hospital Emergency Room Treated Injuries Associated with the Use of Certain Consumer Products, October 1, 2001 - September 30, 2002. This is derived by applying the commission's Revised Injury Cost Model to the injury estimates of Table 2. Estimates are not generally comparable to estimates in previous Annual Report tables because of differences in data and methodology.

For products where commission remedial action has been considered, additional data have been collected and analyzed to develop more detailed estimates. Based on interviews with victims or witnesses, the commission identifies causes of accidents, including the interaction among the person, the product and the environment. Using all the available data, the commission staff periodically prepares hazard analysis reports for selected products. To learn whether an analysis for any particular product is available, or to receive general injury data reports or more detailed data than are included in this appendix, please write to:

National Injury Information Clearinghouse U.S. Consumer Product Safety Commission Washington, DC 20207-0001

Table 1

# Deaths Associated With the Use of Certain Consumer Products October 1, 1999 - September 30, 2000

Source: CPSC Death Certificate Project

Note: Product association is defined as any involvement of the product with these deaths and does not necessarily imply causality.

Death certificates are made available to CPSC through contracts with each state. The time required for collection and processing varies by state, but the majority of death certificates are received within two years of the date of death.

AGE GROUP<sup>2</sup>

|     | Product Group <sup>1</sup>             | Total | Under 5 | 5-24 | 25-64 | 65 And<br>Over |
|-----|--|-------|---------|------|-------|----------------|
| 1.  | Child Nursery Equipment and Supplies   | 42    | 39      | 2    | 0     | 1              |
| 2.  | Toys                                   | 23    | 18      | 3    | 2     | 0              |
| 3.  | Sports and Recreational Activities and |       |         |      |       |                |
|     | Equipment                              | 1,916 | 217     | 676  | 822   | 200            |
| 4.  | Home Communication, Entertainment      |       |         |      |       |                |
|     | And Hobby Equipment                    | 15    | 2       | 6    | 6     | 1              |
| 5.  | Personal Use Items                     | 349   | 32      | 26   | 86    | 205            |
| 6.  | Packaging and Containers for Household |       |         |      |       |                |
|     | Products                               | 106   | 24      | 26   | 38    | 17             |
| 7.  | Yard and Garden Equipment              | 74    | 1       | 6    | 38    | 29             |
| 8.  | Home Workshop Apparatus, Tools and     |       |         |      |       |                |
|     | Attachments                            | 103   | 3       | 31   | 53    | 16             |
| 9.  | Home and Family Maintenance            |       |         |      |       |                |
|     | Products                               | 93    | 15      | 28   | 34    | 16             |
| 10. | General Household Appliances           | 68    | 2       | 6    | 26    | 34             |
| 11. | Space Heating, Cooling and             |       |         |      |       |                |
|     | Ventilating Appliances                 | 113   | 7       | 13   | 68    | 25             |
| 12. | Housewares                             | 26    | 2       | 3    | 12    | 9              |
| 13. | Home Furnishings and Fixtures          | 992   | 267     | 74   | 261   | 390            |
| 14. | Home Structures and Construction       |       |         |      |       |                |
|     | Materials                              | 603   | 31      | 35   | 159   | 378            |
| 15. | Miscellaneous                          | 116   | 4       | 28   | 63    | 21             |

Table 2

Estimates Of Hospital Emergency Room Treated Injuries
Associated With the Use of Certain Consumer Products
October 1, 2001 - September 30, 2002 \*

Source: National Electronic Injury Surveillance System (NEISS)<sup>3</sup>

Note: NEISS data indicate that a product was associated with an injury but not necessarily that the product caused the injury.

|      |                            |           |             | AGE GRO   | <b>OUP<sup>2</sup></b> |                |
|------|----------------------------|-----------|-------------|-----------|------------------------|----------------|
| Prod | luct Group <sup>1</sup>    | Total     | Under 5     | 5-24      | 25-64                  | 65 And<br>Over |
| 1.   | Child Nursery              |           |             |           |                        |                |
|      | Equipment and Supplies     | 87,893    | 68,933      | 7,946     | 9,726                  | 1,288          |
| 2.   | Toys                       | 145,471   | 66,148      | 54,651    | 22,104                 | 2,567          |
| 3.   | Sports and Recreational    |           |             |           |                        |                |
|      | Activities and Equipment   | 4,390,933 | 182,335     | 3,024,091 | 1,106,905              | 77,603         |
| 4.   | Home Communication,        |           |             |           |                        |                |
|      | Entertainment and          |           |             |           |                        |                |
|      | Hobby Equipment            | 157,964   | 26,434      | 48,531    | 64,554                 | 18,445         |
| 5.   | Personal Use Items         | 806,605   | 154,120     | 211,498   | 257,307                | 183,679        |
| 6.   | Packaging and              |           |             |           |                        |                |
|      | Containers for             |           |             |           |                        |                |
|      | Household Products         | 385,944   | 41,518      | 112,710   | 198,621                | 33,095         |
| 7.   | Yard and Garden            |           |             |           |                        |                |
|      | Equipment                  | 259,571   | 10,471      | 49,307    | 156,759                | 43,034         |
| 8.   | Home Workshop              |           |             |           |                        |                |
|      | Apparatus, Tools           |           |             |           |                        |                |
|      | and Attachments            | 360,307   | 9,557       | 78,917    | 235,497                | 36,336         |
| 9.   | Home and Family            |           |             |           |                        |                |
|      | Maintenance Products       | 159,176   | 29,390      | 39,876    | 76,170                 | 13,741         |
| 10.  | General Household          | 4.50.504  | • • • • • • | 20.262    | <b>-</b> 6.000         | 40.000         |
|      | Appliances                 | 153,794   | 28,810      | 30,263    | 76,393                 | 18,329         |
| 11.  | Space Heating, Cooling     | 404 =00   | 24.004      | 2.7.420   | <b>7</b> 6400          | 44.440         |
|      | and Ventilating Appliances |           | 31,981      | 35,439    | 56,199                 | 11,118         |
| 12.  | Housewares                 | 803,022   | 44,678      | 263,960   | 441,958                | 52,427         |
| 13.  | Home Furnishings and       |           |             |           |                        |                |
|      | Fixtures                   | 2,334,118 | 530,877     | 493,449   | 828,394                | 481,398        |
| 14.  | Home Structures and        | 2.560.05: | 107.065     | 1 000 00- | 1 444 00 5             | 662.020        |
| 1.5  | Construction Materials     | 3,569,054 | 437,263     | 1,022,925 | 1,444,926              | 663,939        |
| 15.  | Miscellaneous              | 462,430   | 59,351      | 160,436   | 201,447                | 41,196         |

<sup>\*</sup>Comparisons with previous Annual Report tables must be done with caution since the NEISS sample was updated on January 1, 1990, and again on January 1, 1997.

Table 3

# **Estimates of the Cost of Emergency Room Treated Consumer Product Injuries**

October 1, 2001 - September 30, 2002 (in millions of dollars)

Source: CPSC Revised Injury Cost Model<sup>4</sup> as Applied to NEISS Injury Data for the Period. Estimates are not comparable to estimates in previous Annual Reports because of changes in data and methodology.

### AGE GROUP<sup>2</sup>

| Product group <sup>1</sup>               | Total            | Under 5 | 5-24   | 25-64  | 65 and over |
|--|------------------|---------|--------|--------|-------------|
| <b>.</b>                                 |                  |         |        |        |             |
| <ol> <li>Child Nursery Equipr</li> </ol> |                  |         |        |        |             |
| Supplies                                 | 2,757            | 2,431   | 126    | 147    | 53          |
| 2. Toys                                  | 2,481            | 1,101   | 962    | 372    | 47          |
| 3. Sports and Recreation                 |                  |         |        |        |             |
| Activities and Equipm                    | nent 102,504     | 4,775   | 63,939 | 31,496 | 2,294       |
| 4. Home Communicatio                     | n,               |         |        |        |             |
| Entertainment and Ho                     | obby             |         |        |        |             |
| Equipment                                | 2,986            | 582     | 681    | 1,219  | 503         |
| 5. Personal Use Items                    | 18,434           | 3,020   | 3,170  | 5,828  | 6,415       |
| 6. Packaging and Contain                 | iners for        |         |        |        |             |
| Household Products                       | 6,163            | 619     | 1,429  | 3,399  | 715         |
| 7. Yard and Garden Equ                   | ipment 6,175     | 284     | 951    | 3,746  | 1,195       |
| 8. Home Workshop App                     | paratus,         |         |        |        |             |
| Tools and Attachmen                      | ts 7,784         | 220     | 1,335  | 5,422  | 807         |
| 9. Home and Family Ma                    | nintenance       |         |        |        |             |
| Products                                 | 2,951            | 497     | 514    | 1,531  | 409         |
| 10. General Household A                  | appliances 3,438 | 731     | 554    | 1,614  | 539         |
| 11. Space Heating, Coolin                | ng and           |         |        |        |             |
| Ventilating Appliance                    | es 3,343         | 675     | 711    | 1,505  | 451         |
| 12. Housewares                           | 10,921           | 797     | 3,164  | 6,203  | 758         |
| 13. Home Furnishings an                  | d                |         |        |        |             |
| Fixtures                                 | 58,766           | 11,716  | 8,156  | 20,865 | 18,029      |
| 14. Home Structures and                  |                  |         |        |        |             |
| Construction Materia                     | ls 96,381        | 11,545  | 18,398 | 37,867 | 28,571      |
| 15. Miscellaneous                        | 13,966           | 2,053   | 3,763  | 6,939  | 1,211       |

Product groups and specific products are included in each group. These products are defined in the National Electronic Injury Surveillance System (NEISS) Coding Manual (2002), Directorate for Epidemiology, U.S. Consumer Product Safety Commission.

#### 1. CHILD NURSERY EQUIPMENT AND SUPPLIES

Baby carriages, walkers and strollers Cribs, playpens and baby gates High chairs and youth chairs Miscellaneous

#### 2. TOYS

Children's sports and hobby equipment Electric trains, cars and accessories Projectile or flying toys Toy chests and boxes

Tricycles (children's)

Wagons and other ride-on toys

Miscellaneous

#### 3. SPORTS AND RECREATIONAL ACTIVITIES AND EQUIPMENT

Amusement rides

Archery

ATVs, mopeds, minibikes, etc.

Barbecue grills, stoves and fuel

Baseball/softball

Basketball

BB guns, BB's and pellets

Beach, picnic and camping equipment

Bicycles and accessories

Billiards or pool

Bowling

Boxing

Cheerleading

Curling

Dancing

**Darts** 

Exercise equipment

Fencing

Fishing

Football

Golf

Gymnastics and equipment

Hockey, all kinds

Horseback riding

Horseshoes

Ice or snow boating

Lacrosse, rugby and miscellaneous ball games

Martial arts

Mountain climbing

Playground equipment

Racquet sports

Shuffleboard

Skateboards, Scooters

Skating, all kinds

Snowmobiles

Snow skiing and snow boarding

Soccer

Swimming activity, pools and equipment

Toboggans, sleds, snow discs, etc.

Track and field

Trampolines

Unicycles

Volleyball

Water skiing, tubing and surfing

Wrestling

Miscellaneous

#### 4. HOME COMMUNICATION, ENTERTAINMENT AND HOBBY EQUIPMENT

Sound recording and reproducing equipment

Television sets and stands

Miscellaneous

#### 5. PERSONAL USE ITEMS

Cigarettes, etc., lighters, lighter fluids and matches

Clothing, all

Coins

Desk supplies

Drug and cosmetic poisonings and chemical burns to children under age 5

Grooming devices

Holders for personal items

Infrared lamps and saunas

Jewelry, watches, keys and key chains

Massage devices

Protection devices (eyes, ears, etc.)

Razors, shavers and razor blades

Miscellaneous

#### 6. PACKAGING AND CONTAINERS FOR HOUSEHOLD PRODUCTS

Cans and other containers

Glass bottles and jars

Paper, cardboard and plastic products

#### 7. YARD AND GARDEN EQUIPMENT

Chain saws

Hand garden tools

Hatchets and axes

Lawn and garden care equipment

Lawn mowers, all types

Other power lawn equipment

Outdoor electric lighting equipment

**Pumps** 

Trimmers and small power garden tools

#### 8. HOME WORKSHOP APPARATUS, TOOLS AND ATTACHMENTS

Automotive accessories and chemicals

Batteries, all types

Battery chargers

Chains

Engines, non-automotive

Hoists, lifts, jacks, etc.

Power home tools (excluding saws)

Power home workshop saws

Welding, soldering, cutting tools

Wires, cords, not specified

Workshop chemicals

Workshop manual tools

Miscellaneous

#### 9. HOME AND FAMILY MAINTENANCE PRODUCTS

Cleaning agents (excluding soaps)

Cleaning equipment, non-caustics

Drain, oven cleaners and caustics

Paints, solvents and lubricants

Polishes and waxes

Soaps and detergents

Miscellaneous

#### 10. GENERAL HOUSEHOLD APPLIANCES

Cooking ranges, ovens, etc.

Irons and clothes steamers

Refrigerators and freezers

Washers and dryers

Miscellaneous

#### 11. SPACE HEATING, COOLING AND VENTILATING EQUIPMENT

Air conditioners

Chimneys and fireplaces

Fans (excluding stove exhaust fans)

**Furnaces** 

Heating stoves and space heaters

Pipes (heating and plumbing)

**Radiators** 

Water heaters

Miscellaneous

#### 12. HOUSEWARES

Cookware

Drinking glasses

Knives, unpowered

**Scissors** 

Skewers and picks

Small kitchen appliances

Tableware and accessories

Miscellaneous

#### 13. HOME FURNISHINGS AND FIXTURES

Bathtub and shower structures

Beds, mattresses and pillows

**Blankets** 

Carpets and rugs

Chairs, sofas and sofa beds

Desks, cabinets, shelves, racks, etc.

Drapery rods and accessories

Electric fixtures, lamps and equipment

Fireplace equipment

Holiday and party supplies

Hot tubs, spas and whirlpools

Ladders and stools

Mirrors and mirror glass

Other miscellaneous furniture and accessories

Scales (excluding baby scales)

Sinks and toilets

**Tables** 

Window, table, chair and bed covers Miscellaneous

#### 14. HOME STRUCTURES AND CONSTRUCTION MATERIALS

Automatic doors and door openers

Cabinet or door hardware

Ceilings and walls of a completed structure

Counters and counter tops

Fences

Glass doors, windows and panels

Handrails, railings and banisters

Insulation

Nails, carpet tacks, etc.

Non-glass doors and panels

Outside attached structures and materials

Outside unattached structures

Porches, open side floors, etc.

Stairs, ramps, landings and floors

Window and door sills (including frames)

Wood panelling and particleboard

Miscellaneous

#### 15. MISCELLANEOUS PRODUCTS

Alarms and escape equipment

Business and office machines

Dollies and carts

Elevators, Escalators and other lifts

Fireworks and flares

Fuel-burning lighting equipment and fuels

Gasoline and diesel fuels

Generators

Miscellaneous

<sup>2</sup>"TOTAL" includes incidents where the age was not recorded. Therefore, the aggregated age groups may not equal the total.

<sup>3</sup>The NEISS is a probability sample of the hospital emergency departments in the United States and its territories. Consumer product-related injuries reported in the sample hospitals are transmitted via computer to the commission on a daily basis. These injury reports not only provide the means for estimating the magnitude of consumer product-related injuries in the United States, but also provide a source for gathering further information concerning the nature and probable cause of the accident.

Since the estimates shown in this table are based on a sample of hospital emergency departments rather than all hospital emergency departments in the United States, they are subject to sampling error. For a description of the sample design and calculation of the sampling error, write:

National Injury Information Clearinghouse U.S. Consumer Product Safety Commission Washington, D.C. 20207-0001

<sup>4</sup>The estimates in Table 3 of the costs of emergency room treated injuries associated with the use of consumer products are from the Revised Injury Cost Model (ICM) developed by the Directorate for Economic Analysis. The ICM includes four injury cost components: medical costs; work losses; product liability administration costs; and pain and suffering costs. Cost estimates are based on the emergency room treated injuries reported in Table 2, and do not include the costs of consumer product-related injuries that were treated elsewhere, such as in physicians' offices, health maintenance organizations, and freestanding emergency clinics. Furthermore, cost estimates are not available for many acute and chronic illnesses associated with exposure to chemical hazards. The number and cost of these illnesses would be expected to be large. Table 3 also excludes the economic losses of fatalities associated with the use of a consumer product. These losses may be substantial. Injury cost estimates are adjusted to 2001 price levels using the employment cost index and the per capita medical care consumption expenditure.

Age group costs may not add to product totals because of rounding.

# **APPENDIX B: Policies, Final Regulations, and Proposed Regulations**

# **Policies, Final Regulations, And Proposed Regulations**

| Description   | Proposed    | Final         | Effective<br>Date | Resource    |
|---|-------------|---------------|-------------------|-------------|
|   | Consumer P  | roduct Safety | Act               |             |
| Final Action: Final policy concerning the reporting of hazards in products sold outside of the U.S. that may be relevant to products sold in the U.S.       | 6/07/01     | 10/31/01      | 11/30/01          | 66 FR 54923 |
|   | Federal Haz | ardous Subst  | ances Act         |             |
| Final Action:   |             |               |                   |             |
| Termination of rulemaking regarding baby walkers.   |             | 05/09/02      |                   | 67 FR 31165 |
| Proposed Action:  |             |               |                   |             |
| Proposed exemption from classification as banned hazardous substances of certain model rocket propellant devices for use with lightweight surface vehicles. | 01/30/02    |               |                   | 67 FR 4373  |
| Proposed declaration that metal-cored candle wicks and candles with such wicks that contain more than 0.06% lead are banned hazardous substances.           | 04/24/02    |               |                   | 67 FR 20062 |

| Description   | Proposed             | Final         | Effective<br>Date | Resource    |
|---|----------------------|---------------|-------------------|-------------|
|   | Poison Pre           | vention Packa | ging Act          |             |
| Final Action:   |                      |               |                   |             |
| Rule to require child-resistant packaging for products that contain low-viscosity hydrocarbons.                       | 01/03/00<br>04/11/01 | 10/25/01      | 10/25/02          | 66 FR 53951 |
| Correction of rule to require child-resistant packaging of certain previously prescription-only oral drug products.   |                      | 12/21/01      | 01/29/02          | 66 FR 65836 |
| <b>Proposed Action:</b>   |                      |               |                   |             |
| Proposed exemption of<br>hormone replacement<br>therapy products from<br>poison prevention<br>packaging requirements. | 02/19/02             |               |                   | 67 FR 7319  |

# **APPENDIX C: Meetings of Substantial Interest**

#### **Meetings of Substantial Interest**

During Fiscal Year 2002, commissioners and staff at the U.S. Consumer Product Safety Commission held meetings with outside parties to discuss matters related to the mission of CPSC. The list of those meetings complies with the requirements of section 27(j)(8) of the Consumer Product Safety Act, which requires the Annual Report to account for "the extent of cooperation of commission officials and representatives of industry and other interested parties in the implementation of this Act." We have compiled this list on the basis of meetings announced in CPSC's weekly Public Calendar.

It is important to note that this list of meetings does not account for all meetings between commission personnel and outside parties because not all such meetings are required to be listed in the Public Calendar. The Commission's Meetings Policy (16 CFR part 1012) requires that meetings concerning matters of "substantial interest" be listed in the Public Calendar while meetings on "non-substantial interest" are not required to be listed, although many are. For example, field staff meet frequently with a wide range of outside organizations in order to inform consumers and others of CPSC's work, but since these meetings are of "non-substantial interest" as defined by CPSC's Meeting Policy, they are not necessarily listed in the Public Calendar. Also, the list may not fully account for all meetings of voluntary standards development organizations with which CPSC participated. For additional information on voluntary standards efforts, see Appendix E.

CPSC's Meetings Policy defines "substantial interest" as concerning "any issue that is likely to be the subject of a regulatory or policy decision by the commission." The Meetings Policy imposes the following three requirements on CPSC staff and commissioners who hold or attend meetings involving matters of "substantial interest:" 1) they must announce the meetings in advance in the Public Calendar, 2) they must hold these meetings open to the public, unless certain specified exceptions apply, and 3) they must submit summaries of such meetings to the Office of the Secretary. In addition, summaries of telephone conversations involving "substantial interest" matters also must be submitted to the Office of the Secretary.

Meeting summaries are available from the Office of the Secretary upon request under the Freedom of Information Act.

Address information requests to:

Office of the Secretary
U.S. Consumer Product Safety Commission
Washington, DC 20207

#### **Abbreviations**

#### We have used the following abbreviations:

CPSC Consumer Product Safety Commission EC Directorate for Economic Analysis EP Directorate for Epidemiology

ES Directorate for Engineering Sciences

EXC Office of Compliance

EXHR Office of Hazard Identification and Reduction EXPA Office of Information and Public Affairs

EXPE Office of Planning and Evaluation

HF Division of Human Factors
HS Directorate for Health Sciences
LS Directorate for Laboratory Sciences

OGC Office of the General Counsel OEX Office of the Executive Director

#### **List of Meetings**

#### **AirTest Technologies**

September 12 ES: design operation and costs of gas sensing technology under

development with potential application to gas appliance safety

#### **American Academy of Orthopedic Surgeons (AAOS)**

October 11 EC: costs of orthopedic injuries

#### **American Association of Textile Chemists and Colorists (AATCC)**

November 6-7 ES: committee meeting

#### **American Council on Electrical Safety (ACES)**

November 8 ES: electrical safety issues in general

#### **American Furniture Manufacturers Association (AFMA)**

March 5 EC: CPSC activities on upholstered furniture and mattress and bedding May 16 EC, LS & other CPSC staff: CPSC laboratory testing of upholstered

Le, Ls & office et se start. Et se laboratory testing of upholstered

furniture

#### **American Kynol**

December 12 LS, EC & other CPSC staff: upholstery material testing

#### **American National Standards Institute (ANSI)**

November 13 ES: safety standards for gas-fired appliances
December 12 ES: safety standard for gas-fired water heaters

December 13 ES: carbon monoxide related safety proposals for gas-fired central

furnaces

January 29 ES: to review comments on the lint test method proposed for the water

heater standard

April 17-18 ES: safety standards for gas-fired appliances

September 24-25 ES: turkey fryer/boilers

September 26 ES: safety standards for gas-fired water heaters

#### **American Society of Mechanical Engineers (ASME)**

March 27-28 ES: escalators and moving walks

August 2 ES: suction fitting

September 12-13 ES: escalators and moving walks

#### **American Textile Manufacturers Institute (ATMI)**

April 8 EC: speech on CPSC activities on upholstered furniture and mattresses

and bedding

#### Applied Safety and Ergonomics, Inc.

September 30 HF: ANSI Z535.6 subcommittee's work on integrating warnings in

product accompanying literature

#### **Arnold & Porter Law Firm**

August 23 EXHR & other CPSC staff: proprietary data related to a low ignition

propensity cigarette

#### **Association of Home Appliance Manufacturers (AHAM)**

February 21 ES: engineering issues for various appliances

March 20 ES: portable appliances August 14 ES & LS: clothes dryers

#### Atkins & Pearce, Inc.

June 27 HS & other CPSC staff: CPSC's proposed rule concerning the use of

metals containing lead in candlewicks

#### **Atlantic Thread**

September 30 ES & other CPSC staff: correlation tests of test method for mattress edge

bindings exposed to an open flame source

#### **ASTM**

October 22-25 ES & other CPSC staff: safety standards on a variety of juvenile products

December 5 EC: upholstered furniture
December 12-13 ES: candle products/fire safety

January 17 HS & ES: toy safety

February 6-7 ES: candle products/fire safety ES: playground equipment

February 20-21 ES: non-integral firearm locking devices

March 12-13 ES: candle products/fire safety

#### ASTM cont'd.

| April 5      | EC: upholstered furniture  |
|--------------|--|
| April 9      | HS: toy safety   |
| April 12     | ES & other CPSC staff: candle products   |
| April 23     | ES: plans to develop a performance safety standard for gun lockboxes   |
|              | (gun safes)  |
| April 24     | ES: non-integral firearm locking devices   |
| April 24-25  | ES: candle products/fire safety  |
| April 25     | ES: safety vacuum release systems/discussion of ballot results   |
| May 8        | HS & other CPSC staff: the scope and feasibility study requirements for voluntary performance standards for bicycle handlebars |
| May 20-22    | ES & other CPSC staff: juvenile products voluntary standards activities  |
| June 12-13   | ES: candle products/fire safety  |
| June 17-19   | ES: subcommittee meeting   |
| August 7-8   | ES: candle products/fire safety  |
| September 10 | ES & other CPSC staff: portable bed rails  |
| September 13 | ES: candle products  |
|              |  |

#### **BP Chemicals**

February 12 ES: rope lights

#### **Business Communications Company**

June 3-5 HS: presentation of paper, "CPSC Staff Risk Assessment of Flame Retardant Chemicals in Upholstered Furniture Flammability"

#### **Business and Institutional Furniture Manufacturers Association (BIFMA)**

March 4 EC: CPSC activities on upholstered furniture and mattress and bedding

#### California Bureau of Home Furnishings

| April 26     | ES & other CPSC staff: contract research to develop mattress          |
|--------------|---|
|              | flammability test method  |
| August 26    | ES: issues related to standards development for mattresses and futons |
| August 27    | ES: TB 117 and barrier technologies                                   |
| August 28-29 | ES & other CPSC staff: contract research to develop a mattress        |
| _            | flammability test method  |

#### **Canadian Standards Association (CSA)**

March 13 ES: issues pertaining to safety standards for gas-fired appliances

August 14 ES & LS: clothes dryers September 24-25 ES: turkey fryer/boilers

#### **Carpenter Company**

October 9 EC, LS & other CPSC staff: testing of the firms upholstery barrier

materials

#### **Centuri Corporation**

October 25 Commissioner Mary Sheila Gall/staff: rocket car petition

December 13 EC & other CPSC staff: model rocket car petition

December 14 Office of Commissioner Mary Sheila Gall: model rocket car petition

#### **Cutler-Hammer Corporation**

May 1 ES: wiring failures in hair dryer cords

#### **Department of Energy**

May 8 ES & other CPSC staff: efficiency standards for residential gas furnace

and boilers

#### **Elk Corporation**

January 24 ES & other CPSC staff: company's barrier products and applications for

improving the flammability of mattresses

August 14 EC, LS & other CPSC staff: testing of the firm's upholstery barrier

material

#### **Environmental Protection Agency**

October 23-25 HS & other CPSC staff: CCA-treated wood

September 10 HS: brominated flame retardants

#### **Federal Fire Partners**

(USFA, CDC, & Collaborative Partners)

April 29-30 ES & other CPSC staff: working together to eliminate residential fire

deaths in the U.S.

#### **Fire Protection Research Foundation**

July 24-26 ES: fire risk & hazard assessment symposium on fire safety issues

#### **Head Protection Research Laboratory**

January 16 EXHR, LS, EXC & other CPSC staff: research on bicycle helmet

retention and fit

#### Hi-Z Technology, Inc.

June 11 LS & other CPSC staff: thermoelectric modules

# Industry Officials, Voluntary Standards Groups & Other Interested Parties

March 26 CPSC staff: child restraints on juvenile products

#### **Industry Representatives**

June 19 EC & other CPSC staff: alternate smoldering ignition sources for

flammability standards

#### **International Association of Arson Investigators (IAAI)**

May 20-22 ES: annual conference

#### **International Consumer Product Health & Safety Organization (ICPHSO)**

December 12 Commissioner Thomas H. Moore/staff: courtesy visit/discussion of areas

of mutual interest

#### **International Life Sciences Institute (ILSI)**

March 17 HS: workshop on "Peroxisome Proliferator Case Study" April 22-23 HS: workshop on "Peroxisome Proliferator Case Study"

June 11-12 HS: "Using Mode of Action in Assessing the Relevance of Animal

Tumors to Humans"

September 11-12 HS: workshop on "Peroxisome Proliferation Case Study Working Group"

#### **International Sleep Products Association (ISPA)**

August 15 ES & other CPSC staff: task group on screening tests/performance

measures of mattresses-open flame ignition/discussion of concepts for implementing possible performance requirements for mattresses

#### **Intertek Testing Services**

August 20 ES: electrical safety in general

#### **Mosaic Industries**

July 8 ES: results from CO alarm testing

#### National Association of Home Builders (NAHB)

October 11 ES: various issues regarding housing safety

January 25 ES: home safety issues

June 7 ES: construction codes and standards issues

September 12 ES: electrical code activities

#### National Association of Home Builders (NAHB) Research Center

June 20 ES: electrical wiring

#### **National Association of State Fire Marshals (NASFM)**

October 30 EP: CPSC's procedures for estimating product-specific fire losses March 4 EP: CPSC's procedures for estimating product-specific fire losses

July 11-13 ES: conference on fire safety

#### **National Automatic Merchandising Association**

August 21 ES: electric vending machine

#### **National Electric Manufacturers Association (NEMA)**

November 13 ES: light bulb technology

#### **National Electrical Safety Foundation (NESF)**

November 10 ES: electrical safety for consumers

#### **National Fire Protection Association (NFPA)**

May 20-22 ES: electrical and fire safety matters in general

September 25-27 ES: electrical safety

#### National Institute of Standards and Technology (NIST)

January 4 ES & other CPSC staff: task group on screening tests/performance

measures of mattress open flame ignition/discussion of the status of NIST

research on mattress flammability

April 26 ES & other CPSC staff: contract research to develop mattress

flammability test method

May 7 ES: progress on the Smoke Alarm Research Project June 5 ES: thread technologies applicable to mattresses

June 19 EC & other CPSC staff: alternate smoldering sources for flammability

standards

August 28-29 ES & other CPSC staff: contract research to develop a mattress

flammability test method

#### **National SAFE KIDS Campaign**

July 22 EXHR& ES: pool and spa entrapment issues and avoidance strategies

#### **Outdoor Power Equipment Institute (OPEI)**

April 4 EXC: compliance activities involving outdoor power equipment

#### **Outside Interested Parties**

December 10 EXC & other CPSC staff: a roundtable discussion with industry and

consumer groups on using electronic data to make recalls more effective.

Industry provided a report, among others things, research done on

releasing credit card numbers to identify purchasers of recalled consumer

products

#### PACE, Inc.

July 31 ES: electric wiring circuit protection in homes

#### **Polyurethane Foam Association**

May 8 ES & EC: speech on CPSC activities on mattresses and bedding and

upholstered furniture

#### Safety 1st

October 30 ES, EXHR & OGC: a new proprietary design of a bath seat

#### **Sleep Products Safety Council (SPSC)**

April 26 ES & other CPSC staff: contract research to develop mattress

flammability test method

May 15 ES: industry briefing on mattresses and bedding fires

August 28-29 ES & other CPSC staff: contract research to develop mattress

flammability test method

#### **Society of Toxicology**

March 20 HS: asbestos in products

#### **Technical Advisory Group (TAG)**

September 25 ES: carbon monoxide-related safety proposals for gas-fired central

furnaces

#### **Telaire**

March 14 ES: design, operation, and costs of infrared sensors under development

with potential application to gas appliance flue passage ways

#### **Underwriters Laboratories, Inc. (UL)**

October 9 ES & other CPSC staff: fire indicator testing methodologies and

application

November 27 ES: countertop appliance safety requirements

November 28 ES: concerns with centrifugal juicers

January 15 ES & other CPSC staff: UL's work on flammability testing of mattresses

February 6 ES: table saw guarding requirements

February 20 EXPA: ways to share information and cooperate in announcing recalls April 10 CPSC technical staff: to review various product topics involving UL

standards

April 17-18 LS: UL STP-67 for Panelboards

April 25 ES: safety requirements for incandescent torchiere lamps

May 2 ES: flammability of polymeric enclosures
May 8-9 ES: items related to UL fire safety activities
May 9 ES: presentation of the UL certification process
May 9-10 ES: safety standards for gas-fired appliances
June 25 EXHR & ES: playground safety action forum

June 27 ES: microwave cooking appliances
July 24-25 ES: portable computer supply recalls

August 14 ES & LS: clothes dryers

September 4 ES: thermoplastic enclosures of hair dryers

September 24 ES: switches of hair dryers

#### **University of Maryland**

October 9 ES & other CPSC staff: fire indicator testing methodologies and

application

#### Ventex

August 14 ES: barrier technologies applicable mattresses

#### Verhalen & Associates

October 24 EXPE & other CPSC staff: the history of CPSC program to reduce

electrocution deaths from consumer products

#### **Washington Textile Roundtable**

November 27 EC: CPSC activities on upholstered furniture

## **Zoltek Corporation**

ES & other CPSC staff: company's PYRON fiber and application for improving fire resistance of various products October 25

# **APPENDIX D: Log and Status of Petitions and Applications**

## Log and Status of Petitions and Applications

The Administrative Procedure Act (APA) requires each agency to give interested persons the right to petition for the issuance, amendment, or repeal of a rule. The commission receives and acts on petitions for rulemaking under the CPSA and the other statutes which the commission administers:

The Federal Hazardous Substances Act (FHSA);

The Flammable Fabrics Act (FFA);

The Poison Prevention Packaging Act (PPPA); and

The Refrigerator Safety Act (RSA).

The commission addresses all petitions as responsively and expeditiously as possible.

The status of each petition under consideration during Fiscal Year 2002 is listed in this appendix using the following terminology:

Granted - The commission has decided to initiate a rulemaking proceeding.

Denied - The commission has decided not to initiate a rulemaking proceeding.

Decision - commission review and analysis of the petition is incomplete at this time. Pending

| Number  | Petitioner  |   | Disposition as of<br>September 30, 2002 |
|---------|---|---|---|
|         |   | Consumer Product Safety Act   |   |
| CP 00-1 | J. W. MacKay  | Requests performance requirements for non-wood baseball bats  | Denied 3/6/02                           |
| CP 01-1 | Consumer<br>Federation of<br>America                | Requests rule requiring product registration  | on Decision Pending                     |
| CP 02-1 | David A. Baker<br>Lighter Association<br>Inc.       | Requests rulemaking to establish general safety standards for cigarette lighters                                  | Decision Pending                        |
| CP 02-2 | Al Lokosky<br>Michelle Robillard<br>Snow Glow, Inc. | Requests rulemaking on the need for hazard lighting on all future snowmobiles                                     | Decision Pending                        |
| CP 02-3 | Carol Pollack-Nelson                                | Requests a standard for hunting tree stand<br>and a ban of waist belt restraints used with<br>hunting tree stands |   |
|         | Fo  | ederal Hazardous Substances Act   |   |
| HP 93-1 | New York City<br>Dept. of<br>Consumer Affairs       | Requests rule establishing requirements for backyard play sets  | Denied 5 remaining requests 3/15/02     |
| HP 99-1 | National Environ-<br>metal Trust<br>et. al.         | Requests ban polyvinyl chloride (PVC) in toys and other children's articles                                       | Decision Pending                        |
| HP 00-2 | Debi Adkins<br>Latex Rubber News                    | Requests rule declaring natural latex a strong sensitizer   | Decision Pending                        |

| Number                                  | Petitioner   | Summary of Petition  | September 30, 2002   |  |
|---|--|--|--|--|
| Federal Hazardous Substances Act cont'd |  |  |  |  |
| HP 00-4                                 | Consumer<br>Federation of<br>America et. al              | Requests ban of baby bath seats  | Decision Pending   |  |
| HP 01-1                                 | The Children's<br>Hospital of Philadelph                 | Requests regulation of bicycle handlebars nia  | Decision Pending   |  |
| HP 01-2                                 | Centuri Corporation                                      | Requests exemption from banned hazardor substances for toy rocket propellant devices                               |  |  |
| HP 01-3                                 | Environmental<br>Working Group                           | Requests a ban of arsenic-treated wood in playground equipment   | Decision Pending   |  |
| HP 02-1                                 | Rachel Weintraub<br>Consumer<br>Federation of<br>America | Requests a ban of all-terrain vehicles for use by children under 16 years old and to provide refunds for consumers | Decision Pending   |  |
|   |  | Flammable Fabrics Act  |  |  |
| FP 93-1                                 | National Association<br>of State Fire<br>Marshals        | Requests issuance of safety standard for upholstered furniture   | Denied 4/10/02   |  |
| FP 99-1                                 | National Association<br>of State Fire<br>Marshals        | Requests flammability warning label for polyurethane foam in upholstered furniture                                 | Denied 4/4/02  |  |
| FP 00-1 thru<br>FP 00-4                 | The Children's<br>Coalition for Fire-<br>Safe Mattresses | Requests issuance of performance standards, labeling, and identification tags for mattresses                       | Granted FP 00-1 & FP 00-2 10/2/01 Denied FP 00-3 & FP 00-4 10/2/01 |  |

# **APPENDIX E: Voluntary Standards Activities**

# **Voluntary Standards Activities**

Eighteen new or revised voluntary consumer product safety standards, for which the CPSC staff provided technical support, received final approval in FY 2002: baby bouncers, baby gates, bassinets and cradles, candle glass containers, carriers (infant hand-held), escalators, exercise equipment (two treadmill standards), fire ladders (portable), garage door/gate operators, helmets (bicycle), play yards, pool alarms, scooters (non-powered), sprinklers (two fire residential standards), strollers, and gas water heaters.

In total, the staff provided technical support to the development of 64 voluntary safety standards, each of which is addressed in the information that follows. Nearly all of these were handled by three standards development coordinating organizations - the American Society for Testing and Materials International (ASTM International), the American National Standards Institute (ANSI), and Underwriters Laboratories Inc. (UL). These standards provide performance safety provisions addressing potential hazards associated with consumer products found in our homes, schools, and recreational areas. In addition, the CPSC staff continued monitoring conformance to selected voluntary consumer product safety standards.

# SUMMARY LISTING OF FY 2002 VOLUNTARY STANDARDS PROJECTS

- 1. All-Terrain Vehicles
- 2. Baby Bouncers
- 3. Baby Gates
- 4. Baby Swings
- 5. Baby Walkers
- 6. Bassinets and Cradles
- 7. Bath Seats
- 8. Bed Rails
- 9. Bicycles
- 10. Candles
- 11. Carriers, Infant Hand-Held
- 12. Carriers, Soft
- 13. Changing Tables, Diaper
- 14. Child-Resistant Packaging
- 15. Circuit-Interrupters, Arc-Fault (AFCIs)
- 16. Circuit Interrupters, Ground-Fault (GFCIs)
- 17. Clothes Dryers
- 18. Cribs
- 19. Escalators
- 20. Exercise Equipment (Treadmills)
- 21. Fans, Portable Electric
- 22. Fire Ladders
- 23. Furnaces, Gas Central
- 24. Garage Doors
- 25. Garage Door/Gate Operators
- 26. Garden Appliances
- 27. Gun Locks
- 28. Hair Dryers
- 29. Heaters, Fixed Room
- 30. Heaters, Portable Propane
- 31. Heaters, Vented Gas Room
- 32. Helmets, Bicycle

- 33. High Chairs
- 34. Infant Bedding and Accessories
- 35. Lamps, Portable Electric
- 36. Lights, Flexible
- 37. Multi-Use Juvenile Products
- 38. Nail Guns
- 39. National Electrical Code
- 40. Playground Equipment, Aquatic
- 41. Playground Equipment (< 2 Years Old)
- 42. Playground Equipment, Home
- 43. Playground Equipment, Public
- 44. Playground Equipment, Soft Contained
- 45. Playground Surfacing
- 46. Play Yards
- 47. Pool Alarms
- 48. Pool Vacuum Release Devices
- 49. Ranges and Ovens (Fire)
- 50. Restraints, Juvenile Products
- 51. Saws, Chain
- 52. Saws, Table
- 53. Scooters, Non-Powered
- 54. Smoke Alarms
- 55. Sprinklers, Fire
- 56. Strollers
- 57. Table Top Cooking Appliances
- 58. Tools, Engine Driven
- 59. Tools, Power
- 60. Toy Safety
- 61. Toys, Battery-Operated Ride-On
- 62. Trampolines
- 63. TV Sets/TV Carts
- 64. Water Heaters

# **Description of CPSC FY 2002 Voluntary Standards Activities**

## 1. All-Terrain Vehicles (ATVs)

**Purpose** - To revise the ANSI *Standard for Four-Wheel All-Terrain Vehicles* (ANSI/SVIA 1-2001 to update and improve its requirements in accordance with current model ATVs.

**Highlights** – Prior to the CPSC staff making recommendations for changes in the voluntary standard, field testing of youth-sized ATVs was conducted by CPSC staff. The results of the testing showed that parts of the voluntary standard need to be revised to reflect the design and operating characteristics of current model ATVs.

## 2. Baby Bouncers

**Purpose** – To develop a new ASTM safety standard for baby bouncers.

**Highlights** – A new ASTM *Standard Consumer Safety Specification for Infant Bouncer Seats (ASTM F2167-01)* was approved on 12/10/01 and published in 1/02. The standard was developed by the ASTM F15.21 Subcommittee on Infant Carriers, Bouncers and Baby Swings and included performance requirements for slip resistance, stability, structural integrity, and warning labels.

## 3. Baby Gates

**Purpose** - To revise the ASTM Standard Consumer Safety Specification for Expansion Gates and Expandable Enclosures (ASTM F1004).

**Highlights** - A revised ASTM *Standard Consumer Safety Specification for Expansion Gates and Expandable Enclosures (ASTM F1004-02)* was approved on 7/10/02. The revision included a dimension change to the small torso template, testing requirements for foot-actuated gates, and a requirement to include information on retail packaging concerning limitations of products.

# 4. Baby Swings

**Purpose** – To revise the ASTM Standard Consumer Safety Specification for Infant Swings (ASTM F2088-01) in order to improve its safety provisions.

**Highlights** – As the ASTM F15.21 Subcommittee on Infant Carriers, Bouncers and Baby Swings considered possible revisions to the ASTM baby (infant) swing standard, the CPSC staff provided technical assistance on issues relating to battery leakage and child restraints.

## 5. Baby Walkers

**Purpose** – To revise the ASTM Consumer Safety Performance Specification for Infant Walkers (ASTM F977-00).

**Highlights** - In preparation for a revision to the ASTM standard, the CPSC staff worked with the ASTM F15.17 Subcommittee on Carriages, Strollers, Walkers, and Stationary Activity Centers to address issues related to cleaning and durability of friction strips.

#### 6. Bassinets and Cradles

**Purpose** - To develop a new ASTM safety standard for bassinets and cradles.

**Highlights** - A new ASTM Consumer Safety Specification for Bassinets and Cradles (ASTM F2194-02) was approved on 6/10/02. This standard was developed by the ASTM F15.18 Subcommittee on Cribs, Toddler Beds, Play Yards, Bassinets, Cradles, and Changing Tables. The safety standard included test provisions for structural integrity, stability, mattress fit, and opening sizes. These test provisions were designed to prevent collapse, tipover, suffocation, and entrapment hazards.

#### 7. Bath Seats

Purpose – To revise the ASTM Consumer Safety Specification for Infant Bath Seats (ASTM F1967-01) in order to eliminate or reduce the risk of infant drowning resulting from tipover, submarining, and climbing out hazards.

**Highlights** - In 10/01, the ASTM F15.20 Subcommittee on Bath Seats voted to send a draft performance standard to ballot. Several negative ballots were received which were subsequently reviewed at the 5/02 and 9/02 subcommittee meetings.

#### 8. Bed Rails

**Purpose** – To develop a new ASTM safety standard for portable bed rails in order to eliminate or significantly reduce the risk of suffocation and strangulation resulting from head entrapment.

**Highlights** – In 10/01, the F15.11 Subcommittee on Bed Rails voted to send a draft performance standard to ballot. Several negative votes were received and reviewed at the 5/02 and 9/02 Subcommittee meetings. A task group was established at the 5/02 subcommittee meeting to perform round robin testing of bed rails using the proposed standard. Subsequent testing helped refine some of the draft standard's test procedures and performance requirements.

## 9. Bicycles

**Purpose** – To develop new or revised ASTM safety standards for bicycles and bicycle components, as appropriate.

**Highlights** – The CPSC staff provided injury data associated with bicycle handlebars and handlebar ends to the ASTM F08.10 Bicycle Subcommittee that was considering changes in the safety standards.

#### 10. Candles

**Purpose** – To develop new ASTM safety standards to eliminate or significantly reduce fire hazards associated with candles and candle products.

**Highlights** – A new ASTM *Standard Specification for Annealed Soda-Lime-Silicate Glass Containers that are Produced for Use as Candle Containers (ASTMF2179-02)* was approved on 5/10/02. Safety provisions of the standard focused on minimizing the breakage of glass candle containers. The CPSC staff continued technical support including injury data analysis for the ASTM F15.45 Candles and Candle Products Subcommittee. The Subcommittee's Fire Safety Task Group drafted a Provisional Fire Safety Specification for Candles that addressed several performance characteristics including secondary wicking, stability, flame height, and end of useful life.

## 11. Carriers, Infant Hand-Held

**Purpose** – To revise the ASTM safety standard for hand-held infant carriers.

**Highlights** – A revised ASTM *Standard Safety Performance Specification for Hand-Held Carriers (ASTM F2050-01)* was approved on 10/10/01 and published in 1/02. The revised standard was developed by the ASTM F15.21 Infant Carriers, Bouncers and Baby Swings Subcommittee. The revised standard clarified the standard's scope, added preconditioning and testing for exposed coil springs, required shoulder and crotch restraints on carriers that are not for use in motor vehicles, and clarified the test procedure for handle integrity.

#### 12. Carriers, Soft

**Purpose** – To develop a new ASTM safety standard for soft infant carriers.

**Highlights** – A safety standard for soft infant carriers was drafted by the ASTM F15.21 Subcommittee on Infant Carriers, Bouncers, and Baby Swings. The proposed standard included requirements for occupant retention, structural integrity, and warning information. The draft standard was balloted at the subcommittee level in 8/02.

## 13. Changing Tables, Diaper

**Purpose** – To develop a new ASTM safety standard for Baby Changing Tables for Domestic Use.

**Highlights** – An ASTM *Consumer Product Safety Specification for Baby Changing Tables for Domestic Use* was drafted by the ASTM F15.18 Subcommittee on Cribs, Toddler Beds, Play Yards, Bassinets, Cradles, and Changing Tables. During the reporting period, the subcommittee met on 10/1/01 and 5/20/02 to discuss entrapment performance testing and three-and four-sided barriers to prevent falls. At the close of the reporting period, the draft standard contained performance requirements for collapse, tipover, falls, and entrapment.

## 14. Child-Resistant Packaging

**Purpose** – To develop voluntary national consensus safety standards for child-resistant packaging (CRP).

**Highlights** – The ASTM *Classification of Child-Resistant Packages (ASTM D3475-00)* standard was being revised in FY 2002 to include new packaging designs. The CPSC staff continued participation in the ASTM D10.31 Child-Resistant Closures Subcommittee. The CPSC staff also provided technical assistance to the ASTM F15.10 Subcommittee that was working to provide child-resistant packaging safety provisions to safety standards for portable fuel containers such as gasoline cans.

# 15. Circuit-Interrupters, Arc-Fault (AFCIs)

**Purpose** – To improve the safety provisions of the UL *Arc-Fault Circuit-Interrupters* (UL 1699) standard in order to reduce the fire hazard associated with electrical wiring in homes.

**Highlights** – The CPSC staff participated on the UL Standard Technical Panel (STP) for UL 1699 that was developing: (1) requirements for AFCIs and (2) test instruments for electrical inspection and troubleshooting of AFCIs.

# 16. Circuit-Interrupters, Ground-Fault (GFCIs)

**Purpose** – To improve the reliability of GFCIs to make them more resistant to corrosion, electrical surges, and improper installation; to revise the *Standard for Safety for Ground-Fault Circuit-Interrupters (UL943)*, as appropriate.

**Highlights** – The CPSC staff advocated revising the UL standard to address corrosion, electrical surges, and improper installation. Although UL did not revise the standard, nevertheless, it did adopt significant new GFCI product certification requirements addressing these issues.

## 17. Clothes Dryers

**Purpose** – To evaluate the adequacy of the CSA/ANSI *Gas Clothes Dryers - Volume 1-Type 1 Clothes Dryers (CSA/ANSI Z21.5.1)* and the UL *Electric Clothes Dryers (UL 2158-1997)* fire safety standards and to make recommendations for revisions to the standards, as appropriate.

**Highlights** – The CPSC staff conducted a test program to evaluate electric clothes dryers and to determine the conditions resulting in lint ignition. The results of this testing provided a basis for recommendations for changes to the voluntary standards.

#### 18. Cribs

**Purpose** – To revise the ASTM *Specification for Full Size Baby Crib (ASTM F1169-99)* safety standard to more adequately address hazards associated with full-size crib hardware.

**Highlights** – At the 5/02 meeting of the ASTM F15.18 Subcommittee, the CPSC staff briefed the subcommittee with regard to the CPSC staff's on-going investigation of injuries associated with the full size crib hardware. In 9/02, the CPSC staff provided the ASTM subcommittee chairman with a completed hazard analysis and formally requested that the subcommittee address these hardware hazards. The CPSC staff recommended that a task group be formed to review the report and propose revisions to the ASTM F1169 safety standard.

#### 19. Escalators

**Purpose** – To revise two escalator standards: (1) the American Society of Mechanical Engineers (ASME) *Safety Code for Elevators and Escalators* (*ANSI/ASME A17.1*) and (2) the *ANSI/ASME Safety Code for Existing Elevators and Escalators* (*ANSI/ASME A17.3*).

**Highlights** – The revised ASME *Safety Code for Elevators and Escalators (ANSI/ASME A17.1)* became effective on 3/23/02. This revision required that new escalators meet more demanding escalator skirt safety requirements. The revised ANSI/ASME *Safety Code for Existing Elevators and Escalators (ANSI/ASME A17.3)* was approved on 3/12/02. It allowed a somewhat larger gap between the escalator skirt and the escalator steps, but also required a skirt-mounted deflection device such as brush strips if the gap is larger than the maximum gap allowed for new escalators.

# **20.** Exercise Equipment (Treadmills)

**Purpose** – To revise two treadmill standards: (1) the ASTM *Standard Test Methods for Evaluating Design and Performance Characteristics of Motorized Treadmills (ASTM F2106)* and (2) the ASTM *Standard Specification for Motorized Treadmills (ASTM F2115-02)*.

**Highlights** – The revision to the ASTM *Standard Test Methods for Evaluating Design and Performance Characteristics of Motorized Treadmills (ASTM F2106-02)* was approved on 5/10/02. This revision clarified angular measurement criteria. The revision of the ASTM *Standard Specification for Motorized Treadmills (ASTM F2115-02)* was approved on 7/10/02. This revision added a safety provision related to a clearance area for the treadmill in reference to the handrail.

## 21. Fans, Portable Electric

**Purpose** – To revise the UL *Electric Fans (UL 507*) safety standard in order to reduce the risk of fire associated with portable electric fans.

**Highlights** – In preparation for making recommended revisions to the safety standard, CPSC staff completed an evaluation of portable electric fans. In a summary report of the work, the CPSC staff concluded that new provisions for the voluntary standard are needed to reduce electrical hazards caused by mechanical damage to power supply cords and the cord that attaches the fan base to the motor. On 3/12/02, the CPSC staff sent UL recommended standard revisions that address the potential fire and shock hazards associated with the cords.

#### 22. Fire Ladders

**Purpose** – To develop a new ASTM standard based on the ASTM *Provisional Escape Ladders for Residential Use (ASTM PS 117-99)* standard.

**Highlights** – A new ASTM *Standard Specification for Portable Escape Ladders for Residential Use (ASTM F2175-02)* was approved on 4/10/02. This standard underwent the full ASTM consensus development process and changed the provisional 2-year duration standard to a full 5-year term standard.

## 23. Furnaces, Gas Central

**Purpose** – To revise the *Gas-Fired Central Furnaces (ANSI Z21.47)* safety standard to include performance requirements to: (1) prevent a furnace from producing excessive levels of carbon monoxide (CO) and (2) shutdown the furnace in response to excessive CO levels.

**Highlights** – CPSC staff tested two gas sensor technologies integrated into a high-efficiency gas furnace. The results demonstrated the feasibility of sensor technology: (1) to detect elevated concentrations of CO within a furnace, (2) to send a signal to the furnace control system, and (3) to shut down the furnace in response. These technologies would likely be necessary to implement CPSC staff's recommendations for revisions to the voluntary standard. The CPSC staff provided test results to the ANSI Z21.47 Central Furnace Subcommittee (SC), which viewed the use of CO sensor technology as having potentially broader application than just for central furnaces. The SC voted to have the CPSC proposal addressed by the parent ANSI

Z21/83 Committee. The committee established an ad hoc working group to evaluate the efficacy of using CO sensor technology to prevent excessive CO production in a wide range of vented gas appliances including central furnaces.

## 24. Garage Doors

**Purpose** – To revise the ANSI Section Interfaces on Residential Garage Door Systems (ANSI/DASMA 116-01) safety standard in order to eliminate or significantly reduce the potential for finger and hand injuries between folding panels of garage doors.

**Highlights** – A revision to the standard was approved just prior to the beginning of the reporting period. No CPSC staff recommendations were made for further revision to the standard during FY 2002 due to the limited time the revised standard was in effect. The CPSC staff held membership on the ANSI canvass group maintaining the standard and monitored the efficacy of the standard.

## 25. Garage Door/Gate Operators

**Purpose** – To develop a new ANSI national consensus safety standard which addresses safety hazards associated with garage door/gate operators and is based on the UL *Door, Drapery, Gate, Louver, and Window Operators and Systems (UL 325)* standard.

**Highlights** – The ANSI/UL *Door, Drapery, Gate, Louver, and Window Operators and Systems (ANSI/UL 325-02)* standard was approved on 5/17/02. The standard was based on the UL 325 standard but also included a new provision so that the gates can be disconnected safely from the motor to enable manual operation. This would be important in situations such as power outages. In addition, this standard successfully completed the ANSI national consensus review and approval process. The CPSC staff participated on the Standard Technical Panel that considered revisions to the standard and worked with the STP to address the risk of injury to persons crawling under a stopped, partially open garage door.

# 26. Garden Appliances

**Purpose** - To develop voluntary safety standards to eliminate or significantly reduce the risk of shock/electrocution associated with garden appliances.

**Highlights** – The CPSC staff reviewed injury incidents to identify the hazard patterns associated with shock/electrocution from garden appliances. There was insufficient information to determine the detailed cause or circumstances associated with the incidents.

#### 27. Gun Locks

**Purpose** - To develop an ASTM safety standard for cable and trigger gun locks.

**Highlights** –The ASTM F15.53 Subcommittee (SC) on Non-Integral Firearm Locking Devices continued to draft provisions for a safety standard dealing with cable and trigger gunlocks. The ASTM SC task groups reported on the development of various tests to support the provisions. On 4/23/02, the SC met and continued discussions on test fixtures and requirements for tests applicable to the various proposed safety requirements for gun locks. The SC reviewed draft CPSC staff test parameters to determine the need to revise the proposed gun lock tests for cable cutting and high speed impact tests of gun locks. Task groups worked on defining provisions for test procedures.

## 28. Hair Dryers

**Purpose** –To revise the UL *Household Electric Personal Grooming Appliances (UL 859)* standard in order to reduce the risk of fire associated with hand-held hair dryers.

**Highlights** – In 10/01, the CPSC staff participated on a UL Standard Technical Panel, which discussed CPSC staff concerns regarding injuries associated with hair dryers. As a result of the meeting, working groups were established to formulate new requirements for cord entry flexing and strain tests at the appliance, more stringent performance requirements for the slide switches, and enclosure ignition and flammability properties. The CPSC staff provided technical support to all three working groups.

#### 29. Heaters, Fixed Room

**Purpose** – To revise the UL *Electric Baseboard Heating Equipment (UL 1042)* and UL *Fixed and Location-Dedicated Electric Room Heaters (UL 2021)* safety standards to reduce the risk of fire.

**Highlights** – The CPSC staff issued a report on fixed electric heaters that contained recommended changes to the UL *Electric Baseboard Heating Equipment (UL 1042)* and the UL *Fixed and Location-Dedicated Electric Room Heaters (UL 2021)* safety standards. The CPSC staff met with representatives of UL to review recommended standard changes and requested that the changes be included on the agenda for a future meeting of UL Standard Technical Panel 1042.

# **30.** Heaters, Portable Propane

**Purpose** – To revise the ANSI *Portable Type Gas Camp Heaters (ANSI Z21.63)* safety standard to eliminate or significantly reduce the hazards associated with these products.

**Highlights** – The CPSC staff tested various types of portable propane heaters currently available on the market. None of the heaters tested were certified to the ANSI Z21.63 standard

and only the heaters equipped with an oxygen depletion sensor complied with the combustion requirements in the standard. Although the combustion requirements in the standard were sufficient, the scope of the standard did not necessarily cover all of the small portable propane radiant heaters currently on the market. Therefore, the CPSC staff recommended that the upper limit on the energy-input rate of the heaters covered by the standard be removed and that the standard be limited to heaters that use up to a 1-pound bottle of propane gas. In addition, the CPSC staff also recommended that the standard apply to products that are to be used as both a heater and a cooker.

## 31. Heaters, Vented Gas Room

**Purpose** – To revise the ANSI vented gas room heaters voluntary safety standards in order to improve fire safety related to vent connections. The relevant ANSI safety standards are: (1) *Vented Gas Fireplaces (ANSI Z21.50)*, (2) *Vented Gas-Fired Space Heating Appliances (ANSI Z21.86)*, and (3) *Vented Gas Fireplace Heaters (ANSI Z21.88)*.

**Highlights** – The CPSC staff continued working with the ANSI Z21 vented heater subcommittee to add mechanical integrity requirements to the venting systems safety standards. The subcommittee agreed that new mechanical integrity safety requirements in the venting systems standards should be effective on 11/1/03.

## 32. Helmets, Bicycle

**Purpose** – To revise the ASTM bicycle helmet standard to reduce the risk of serious head injury while bicycling.

**Highlights** – A revised ASTM Standard Test Methods for Equipment and Procedures Used in Evaluating Performance Characteristics of Protective Headgear (ASTM F1446-01a) was approved on 12/10/01. This revision of the standard clarified safety provisions.

# 33. High Chairs

**Purpose** – To revise the ASTM *Standard Consumer Safety Specification for High Chairs* (ASTM F404-99a) to reduce the risk of falling or strangulation from submarining or occupant escape.

**Highlights** – The CPSC staff submitted several voluntary standards proposals for child restraint systems on high chairs in 2/02. The proposals were derived from hazard patterns involving restraint failures identified from CPSC data and findings of a prior CPSC-contracted study on restraints. The proposals addressed tray disengagement, leg hole openings on passive crotch restraints, and performance tests for occupant retention. The CPSC staff presented the voluntary standard proposals at the 5/02 ASTM F15.16 Subcommittee meeting. The subcommittee formed task groups to address the safety issues.

## 34. Infant Bedding and Accessories

**Purpose** – To revise the ASTM Standard Consumer Safety Performance Specification for Infant Bedding and Related Accessories (ASTM F1917-00) in order to address entanglement in fitted sheets and other soft bedding issues.

**Highlights** - As a prerequisite for revising the standard, the ASTM F15.19 Subcommittee on Infant Bedding drafted procedures to test the performance of fitted crib sheets. Participating labs began round robin testing. To address suffocation hazards, a subcommittee task group proposed a warning label with a recommendation that a baby be 12 or more months of age for use of quilts and comforters. The task group decided to pursue warning labels on products, such as play yards and strollers that are likely to be used with the infant bedding, rather than label the infant bedding.

## 35. Lamps, Portable Electric

**Purpose** – To revise the UL *Portable Electric Luminaires (UL 153)* safety standard to improve the fire safety of torchiere style incandescent portable lamps.

**Highlights** – The CPSC staff proposed changes to the voluntary safety standard for incandescent torchiere lamps on 10/4/01. UL set up a working group that met on 4/25/02 to develop performance requirements. The Standard Technical Panel members approved the proposed "abnormal test" requirements, which will become effective on 4/10/04.

# 36. Lights, Flexible

**Purpose** – To develop a new UL *Flexible Lighting (UL 2388)* standard to eliminate or significantly reduce associated fire hazards.

**Highlights** – CPSC staff reviewed and commented on the drafts of the proposed UL 2388 standard. The CPSC staff also studied incidents associated with flexible lights to determine if the proposed standard would address foreseeable use or misuse.

#### 37. Multi-Use Juvenile Products

**Purpose** – To revise existent voluntary standards or develop a new standard, as warranted, to improve the safety of multi-use juvenile products.

**Highlights** – The staff reviewed reported injury incidents to identify hazard patterns associated with the use of multi-use juvenile products. The data search revealed multi-use

stroller incidents with inadvertent detachment of the infant carrier from the stroller. An engineering and human factors assessment of multi-use products, including multi-use strollers, was performed. CPSC staff notified the ASTM F15.17 Subcommittee that the *Standard Consumer Safety Performance Specification for Carriages and Strollers (ASTM F* 833) should be revised to include performance requirements for multi-use strollers to prevent the infant carrier detaching or flipping while it is attached to the stroller.

#### 38. Nail Guns

**Purpose** – To revise the ANSI Safety Requirements for Portable, Compressed-Air-Actuated, Fastener Driving Tools (ANSI/SNT-101) standard to provide safer activation mechanisms on nail guns, as appropriate.

**Highlights** – A CPSC staff evaluation of several nail gun models and a hazard analysis of nail gun injuries were completed. Courtesy copies of both reports were forwarded to the International Staple, Nail, and Tool Association (ISANTA). This information was necessary prior to making specific standard change recommendations.

#### 39. National Electrical Code

**Purpose** – To revise the safety provisions of the *National Electrical Code (NEC)* to reduce electrical fires and shock associated with consumer products including appliances, electrical equipment, and wiring products.

**Highlights** – The CPSC staff prepared eight new proposals for submission to the *NEC* sponsor, the National Fire Protection Association. These proposals will be acted on during the code cycle leading up to the next edition (2005) of the *NEC*. The CPSC staff proposals called for widespread utilization of ground-fault circuit-interrupters (GFCIs) throughout homes and public places. The proposals also included new requirements for introducing arc-fault circuit-interrupters (AFCIs) into older homes in order to reduce the risk of fire caused by some electrical arcing conditions.

# 40. Playground Equipment, Aquatic

**Purpose** – To develop a new ASTM safety and performance standard for various types of aquatic play equipment intended for public use in aquatic facilities.

**Highlights** – The aquatic play equipment task group (a combined ASTM F15 Committee and ASTM F24 Committee harmonization task group) compiled information and developed a proposed new safety provision for the F15.29 Subcommittee on Playground Equipment for Public Use to review as the basis for a possible new safety standard.

# 41. Playground Equipment (< 2 Years Old)

**Purpose** – To develop a new ASTM safety standard for playground equipment intended for children from 4-months old through 23-months old. Such equipment often is found in childcare facilities.

**Highlights -** CPSC staff joined working groups within the ASTM F15.44 Subcommittee on Play Equipment for Children under Two that continued development of safety provisions for indoor and outdoor play equipment intend for use by children under 2 years of age.

## 42. Playground Equipment, Home

**Purpose** – To revise the ASTM *Standard Consensus Safety Performance Specification* for Home Playground Equipment (ASTM F1148) to improve its safety provisions.

**Highlights -** CPSC staff joined the ASTM F15.09 Home Playground Subcommittee that reviewed a new safety provision to address stability and restraint performance for "tot swings." Work on refining the toddler swing stability test continued.

## 43. Playground Equipment, Public

**Purpose** – To revise the ASTM Consumer Safety Performance Specification for Public Playground Equipment (ASTM F1487) to improve its safety provisions.

**Highlights** – The CPSC staff continued participation on the ASTM F15.29 Subcommittee on Playground Equipment for Public Use. The subcommittee continued to work on safety provisions for "stepping stones" equipment and on a test method to address entanglement risks.

# 44. Playground Equipment, Soft Contained

**Purpose** – To revise the ASTM *Standard Safety Performance Specification for Soft Contained Playground Equipment (ASTM F1918-98)* to improve its safety provisions.

**Highlights** – The CPSC staff worked with the ASTM F15.36 Subcommittee on Soft-Contained Play Systems to develop revised safety provisions for the standard. A task group to address soft foam products intended for toddler play areas in public settings was formed.

# 45. Playground Surfacing

**Purpose** – To revise the ASTM Safety Specification for Impact Attenuation of Surface Systems Under and Around Playground Equipment (ASTM F1292-01) in order to enhance its safety provisions.

**Highlights** – The ASTM F08.63 Playground Systems Subcommittee continued to evaluate the current impact attenuation test method to determine if improvements could be made

to increase the precision of the measurement methodology. At the Subcommittee meeting in 11/01, the analysis of inter-laboratory tests of two unitary materials proposed by the CPSC staff was presented. In 5/02, an ASTM task group with CPSC staff representation commenced

drafting a revision to the ASTM F1292 safety standard. A draft revision dated 9/02 was sent to subcommittee members for review and approval.

## 46. Play Yards

**Purpose** – To revise the ASTM *Consumer Safety Specification for Play Yards (ASTM F406-99)* in order to improve its safety provisions.

**Highlights** – A revised ASTM *Consumer Safety Specification for Play Yards (ASTM F406-02)* was approved on 4/10/02. The standard contained test procedures for both rigid and mesh types of products. These new provisions addressed collapse, entanglement, suffocation, and entrapment hazards.

#### 47. Pool Alarms

**Purpose** – To develop a new ASTM safety standard based on the *ASTM Provisional Specification for Pool, Spa, and Hot Tub Alarms (ASTM PS 128-01).* 

**Highlights** – A new ASTM *Standard Specification for Pool Alarms (ASTM F2208-02)* was approved on 9/10/02. This standard underwent the full ASTM consensus process and has a 5-year duration.

#### 48. Pool Vacuum Release Devices

**Purpose** – To develop a performance standard for swimming pool and spa vacuum release devices intended to protect against drowning incidents related to body suction entrapment.

**Highlights** – The ASTM F15.51 Subcommittee on Safety Vacuum Release Systems developed and balloted several versions of a fast track ASTM provisional safety standard. At the end of the reporting period, a draft provisional standard approached final approval.

# 49. Ranges & Ovens (Fire)

**Purpose** – To revise the industry voluntary standards for gas and electric ranges to address cooktop cooking fires, UL 858 household electric ranges and ANSI 221.1 gas ranges.

**Highlights** – To support revisions of voluntary standards, CPSC staff continued to evaluate strategies for addressing cooktop cooking fires. CPSC sponsored a study to identify technologies that could be used to measure pan temperatures on ceramic glass electric cooktops.

The results of the study were published in a 2/02 report entitled, "An Evaluation of Sensor and Control Technologies to Address Cooking Fires on Glass Ceramic Cooktops." The study indicated that there were promising technologies that warranted further consideration. In 9/02, CPSC initiated a contract to conduct a follow-on study to test prospective technologies for ceramic glass cooktops. Recommendations for revisions to voluntary safety standards will follow the results of these studies, as appropriate.

## 50. Restraints, Juvenile Products

**Purpose** – To revise the ASTM Standard Consumer Safety Specification for High Chairs (ASTM F 404-99a) and the ASTM Standard Consumer Safety Performance Specification for Carriages and Strollers (F 833-01) in order to improve the effectiveness of child restraint safety systems.

**Highlights** – The CPSC staff completed a report on child restraint systems on high chairs and strollers in 2/02. The report made proposals to address fall and entrapment hazards associated with high chair tray disengagement, several kinds of high chair and stroller restraints, stroller leg-hole openings, and five-point harnesses. The CPSC staff presented its voluntary standards proposals to industry and other interested parties at a 3/02 meeting. The ASTM High Chair and Stroller Subcommittees discussed these proposals at a 5/02 meeting. Task groups were formed to address remaining issues.

## 51. Saws, Chain

**Purpose** – To improve the kickback safety provisions in the ANSI *Safety Requirements* for Gasoline Powered Chain Saws (ANSI B175.1-2000).

**Highlights** – The CPSC staff continued to monitor possible revisions to the standard by serving on the ANSI B175.1 Chain Saw Subcommittee and the ANSI B175 Committee. Attention was focused on the five-year review of the standard. Topics to be included in the 5-year review included top-handle chain saws and improved provisions for replacement "low kickback" saw chains.

## 52. Saws, Table

**Purpose** – To revise the UL *Standard for Safety for Stationary and Fixed Electric Tools* (*UL 987*), in order to reduce the risk of laceration and amputation associated with table saw blade contact.

**Highlights** – In 11/01 and 2/02, the CPSC staff attended UL working group meetings to discuss possible revisions of safety requirements for blade guards. A Standard Technical Panel meeting was scheduled to review the working group's findings.

#### 53. Scooters, Non-Powered

**Purpose** – To develop a new ASTM performance safety standard for non-powered scooters intended for use by children 5 years old and older.

**Highlights** – A new ASTM *Provisional Standard Consumer Safety Specification for Non-Powered Scooters (PS 130-01)* was approved on 11/28/01. The standard provided performance requirements, test methods, and labeling requirements to minimize the risks associated with non-powered scooters.

#### 54. Smoke Alarms

**Purpose** – To revise the UL *Single and Multi-Station Smoke Alarms (UL 217)* safety standard in order to improve the alarm response to residential fires and avoid nuisance alarms, as warranted.

**Highlights** – Prior to recommending revisions to the safety standard, the National Institute of Standards and Technology (NIST) completed full scale testing in one- and two-story homes. The full-scale tests characterized the test environments and collected data on carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), oxygen (O<sub>2</sub>) and, hydrogen cyanide (HCN) levels, and on smoke obscuration, temperature, humidity, and smoke particle size and concentration. Tests for nuisance activation of smoke alarms were also completed. The results of these tests will help form the basis for possible revisions to the UL standard.

## 55. Sprinklers, Fire

**Purpose** – To revise NFPA, and ANSI/UL fire sprinkler safety and installation standards to make them more effective in preventing fire deaths.

Highlights – The NFPA Standard for the Installation of Sprinkler Systems in One- and Two-Dwelling and Manufactured Homes (NFPA 13D-2002) was approved on 8/8/02. The NFPA Standard for the Installation of Sprinkler Systems in Residential Occupancies up to and including Four Stories in Height (NFPA 13R-2002) also was approved on 8/8/02. The CPSC staff participated on the NFPA 25 Inspection, Testing, and Maintenance Committee that completed these standards. CPSC staff also participated on the Standards Technical Panel that proposed revisions to the following UL safety standards: (1) Automatic Sprinklers for Fire-Protection Service (UL 199), (2) Residential Sprinklers for Fire-Protection Service (UL 1626), (3) Early Suppression Fast-Response Sprinklers (UL 1767), (4) Heat Responsive Links for Fire Protection Service (UL 33), and (5) Spray Nozzles for Fire Protection Service (UL 2351). Tests to address leakage, glass bulb integrity, increasing sample testing, and dezincification requirements were updated in these safety standards. At the request of the CPSC staff, UL also proposed that effective 1/03 it will stop listing sprinklers that contain O-rings in dynamic seal applications.

#### 56. Strollers

**Purpose** – To revise the ASTM *Standard Consumer Safety Specification for Carriages and Strollers (ASTM F833)* to improve its safety provisions.

**Highlights** – A revised ASTM *Standard Consumer Safety Specification for Carriages and Strollers (ASTM F833-01)* was approved on 11/10/01 and published in 12/01. The revised standard clarified the dimensional requirements that address finger and toe entrapment in accessible holes and slots of strollers. The CPSC staff continued working with the ASTM F15.17 Subcommittee on safety issues related to stroller collapse, restraint systems for strollers, and the inclusion of provisions for jogging strollers.

## 57. Table Top Cooking Appliances

**Purpose** – To develop a new or revised voluntary safety standard to address the hazard of overheated butane fuel cans, if appropriate.

**Highlights** – During the reporting period, the CPSC staff continued development of a report on tests of butane fuel cans operating under normal and extreme use conditions. Based on the test results, the staff planned to make recommendations relating to voluntary safety standards.

## 58. Tools, Engine Driven

**Purpose** - To develop the first edition UL *Portable Engine Generator Assemblies (UL 2201)* standard in order to eliminate or significantly reduce carbon monoxide deaths associated with portable generators.

**Highlights** - Prior to recommending revisions to the standard, the CPSC staff focused on the development of prototype engine interlock devices that could shut down a generator's engine when an unsafe level of exhaust carbon monoxide is detected in the room where the generator is operating.

#### 59. Tools, Power

**Purpose** – To revise existing safety standards or create new ones which will eliminate or significantly reduce shock and electrocution injuries associated with power tools.

**Highlights** –Prior to making any recommendations with regard to voluntary safety standards, the CPSC staff reviewed reported incidents to identify the hazard patterns associated with shock/electrocution from power tools. There was insufficient information to definitively determine the cause or circumstances associated with the incidents or to make recommendations for standard revisions. The data revealed that the majority of the incidents occurred with older tools.

## 60. Toy Safety

**Purpose** – To revise the ASTM *Consumer Safety Specification on Toy Safety (ASTM F963)* in order to reduce injuries associated with toys.

**Highlights** – The CPSC staff provided technical assistance to revise a number of the safety provisions of the ASTM F963 standard. By the end of the reporting period, the draft revised toy standard neared completion. The CPSC staff activities related to new safety provisions for battery-operated ride-on toys are shown below.

## 61. Toys, Battery-Operated Ride-On

**Purpose** – To revise the ASTM *Consumer Safety Specification on Toy Safety (ASTM F963)* to include safety requirements for battery-powered ride-on (BPRO) toys.

**Highlights** –The CPSC staff worked with the ASTM F15.22 Subcommittee to add safety provisions for BPRO toys to the ASTM F963 toy standard. These provisions addressed hazards involving fires due to overheating components and conditions resulting in run-away toys. At the end of the reporting period.

## 62. Trampolines

**Purpose** – To revise the ASTM Consumer Safety Specification for Components, Assembly and Use of a Trampoline (ASTM F381-99) to include safety requirements for frame padding.

**Highlights** – The CPSC staff continued to work with subcommittee members on the impact attenuation performance of frame padding and on other requirements for padding retention. No new provisions on frame padding were readied for ballot during this reporting period. Instead, the subcommittee concentrated on the development of a standard for trampoline enclosures. The CPSC staff provided suggestions to strengthen the testing requirements in a proposed revision to the standard. In 9/02, a draft standard was sent to concurrent ASTM subcommittee and committee ballot.

#### 63. TV Sets/TV Carts

**Purpose** – To issue a new edition of the UL *Household Commercial and Professional-Use Carts and Stands for Use with Audi/Video Equipment (ANSI/UL 1678*) safety standard, including requirements to address the hazard presented by unstable television receivers and television carts.

**Highlights** – The Fourth Edition of the UL *Household Commercial and Professional-Use Carts and Stands for Use with Audi/Video Equipment (ANSI/UL 1678-2001)* safety standard was

issued on 12/21/01.

## 64. Water Heaters, Gas

**Purpose** – To revise the ANSI *Gas-Fired Water Heaters, Volume I, Storage Water Heaters with Input Ratings of 75000 Btu Per Hour or Less (ANSI Z21.10.1)* safety standard to include flammable vapor ignition resistance provisions and eliminate any lint clogging that might cause a carbon monoxide hazard.

**Highlights** – The revised ANSI *Gas-Fired Water Heaters, Volume I, Storage Water Heaters with Input Ratings of 75000 Btu Per Hour or Less (ANSI Z21.10.1- 2001/CSA 4.1-2001)* safety standard, which included flammable vapor ignition resistance provisions and added new provisions on the use of corrugated venting material for direct vent systems, was approved on 12/20/01. The draft revised ANSI *Gas-Fired Water Heaters, Volume I, Storage Water Heaters with Input Ratings of 75000 Btu Per Hour or Less (ANSI Z21.10.a - 2001/CSA 4.1-2002)* standard was nearing final ANSI approval at the end of the reporting period. This draft revised standard's goal was to eliminate possible lint trapping and creation of a carbon monoxide hazard.

# **APPENDIX F: Voluntary Corrective Action Plans and Product Recalls**

**Subsection A: Regulatory Recalls Subsection B: Section 15 Recalls** 

# **Voluntary Corrective Action Plans and Product Recalls**

# Voluntary Corrective Action Plans and Recall of Products Subject to Product Safety Standards and Other Specific Regulations Exclusive of Section 15 of the Consumer Product Safety Act

The Office of Compliance and the Regional Offices are jointly responsible for identifying consumer products that fail to comply with a specific product safety standard or the CPSC product-related requirements mandated by statute or regulation. CPSC worked cooperatively with the responsible companies to obtain voluntary corrective action plans monitored by the commission during Fiscal Year 2002.

Consumers may find products on the market that resemble those appearing on this list. After manufacturers and importers correct violations, they may distribute complying versions of products which were recalled. CPSC monitors recalls to ensure that the noncomplying products have been removed from the market. It is unfair to responsible manufacturers and importers to conclude that toys and children's products on the list are still offered for sale.

# **Subsection A: Regulatory Recalls**

| Date       | Product/ Model                        | Violation  | Manufacturer/Imp.                                       |
|------------|---------------------------------------|--|---|
| 10/23/2001 | Strobing Thunder<br>King 25<br>BP2825 | Pyrotechnic Materials<br>Overload<br>16 CFR 1500.17          | Flying Phoenix Corp.<br>Araphoe, WY 82501               |
| 10/23/2001 | Contact Adhesive<br>MO-70             | Extremely Flammable<br>Adhesives Labeling<br>16 CFR 1500.133 | Kole Imports<br>Carson, CA 90745                        |
| 10/23/2001 | Children's Pajama                     | Sleepwear Flammability<br>Failure<br>16 CFR 1615/16          | Teresa Segadelli Dba August<br>Moon<br>Tucson, AZ 85718 |
| 10/23/2001 | Lighter<br>TB 100B                    | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Heart Cut-Out<br>Lighter<br>TB 197    | Lighter Reporting<br>16 CFR 1210.17(b)                       | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Hongda Smoking Set                    | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Lipstick Lighter<br>TB 78             | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Missile Lighter<br>TB 203             | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Nude Woman<br>W/Lights<br>TB 190      | Lighter Reporting<br>16 CFR 1210.17(b)                       | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Penguin Lighter<br>TB 178             | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |
| 10/23/2001 | Phone Lighter<br>TB 35                | Lighter Reporting 16 CFR 1210.17(b)                          | Vertrees, Ron<br>Denver, CO 80239                       |

| Date       | Product/ Model                         | Violation                                       | Manufacturer/Imp.                           |
|------------|--|---|---|
| 10/23/2001 | Playboy Logo<br>Lighter<br>TB 214      | Lighter Reporting<br>16 CFR 1210.17(b)          | Vertrees, Ron<br>Denver, CO 80239           |
| 10/23/2001 | Pocket Torch<br>TB 92B                 | Lighter Reporting 16 CFR 1210.17(b)             | Vertrees, Ron<br>Denver, CO 80239           |
| 10/23/2001 | Power Lighter<br>TB 168                | Lighter Reporting 16 CFR 1210.17(b)             | Vertrees, Ron<br>Denver, CO 80239           |
| 10/23/2001 | Tire W/Ashtray Set<br>TB 119           | Lighter Reporting 16 CFR 1210.17(b)             | Vertrees, Ron<br>Denver, CO 80239           |
| 10/23/2001 | Wrench-Adjustable<br>TB 46             | Lighter Reporting 16 CFR 1210.17(b)             | Vertrees, Ron<br>Denver, CO 80239           |
| 11/1/2001  | Alpaca Fur Teddy<br>Bear               | Small parts<br>16 CFR 1501                      | San York Sales<br>Denver, CO 80216          |
| 11/2/2001  | Halloween Costume<br>948P00            | Clothing Flammability<br>Failure<br>16 CFR 1610 | Lillian Vernon Corporation<br>Rye, NY 10580 |
| 11/13/2001 | Electric Lawn<br>Mower<br>CM1000R      | Lawn Mowers Performance 16 CFR 1205             | Black & Decker<br>Towson, MD 21204          |
| 11/28/2001 | Bicycle<br>Runt                        | Bicycle Brake System<br>16 CFR 1512.5           | Wysco Products<br>Baldwin Park, CA 91706    |
| 11/28/2001 | Mini Bike<br>Runt                      | Bicycle Brake System<br>16 CFR 1512.5           | Wysco Products<br>Baldwin Park, CA 91706    |
| 11/28/2001 | Runt Bike<br>Runt                      | Bicycle Brake System<br>16 CFR 1512.5           | Wysco Products<br>Baldwin Park, CA 91706    |
| 11/29/2001 | Methanol Products<br>Rain-X            | Methyl alcohol Packaging 16 CFR 1700.14(a)(8)   | Blue Coral Slick 50<br>Cleveland, OH 44131  |
| 12/6/2001  | 2.5" Flicker Tongue<br>Dino<br>452-364 | Small parts<br>16 CFR 1501                      | Manley Toy Direct<br>Indianola, IA 50125    |

| Date       | Product/ Model                         | Violation  | Manufacturer/Imp.                                    |
|------------|--|--|--|
| 12/6/2001  | 2.5" Flicker Tongue<br>Frog<br>452-363 | Small parts<br>16 CFR 1501                                     | Manley Toy Direct<br>Indianola, IA 50125             |
| 12/6/2001  | 3" Squeeze Animals<br>452-287          | Small parts<br>16 CFR 1501                                     | Manley Toy Direct<br>Indianola, IA 50125             |
| 12/6/2001  | Silverrider Trikes<br>100              | Lead-in-Paint<br>Toy/Children's<br>Product/CPSA<br>16 CFR 1303 | The Angeles Group<br>Pacific, MO 63069               |
| 12/7/2001  | Crocodile Tongue<br>Spitter<br>39/1257 | Small parts<br>16 CFR 1501                                     | Oriental Trading Company,<br>Inc.<br>Omaha, NE 68137 |
| 12/20/2001 | Full Size Crib<br>Betsy                | Crib Cut Outs<br>16 CFR 1508/1509                              | Lajobi Industries, Inc.<br>Edison, NJ 08837          |
| 12/20/2001 | Full Size Crib<br>Molly                | Crib Cut Outs<br>16 CFR 1508/1509                              | Lajobi Industries, Inc.<br>Edison, NJ 08837          |
| 1/9/2002   | Charcoal<br>05228                      | Charcoal Briquettes<br>Labeling<br>16 CFR 1500.14              | Charcoal Master<br>International<br>Downey, CA 90241 |
| 1/9/2002   | Micro Torch<br>RK2020                  | Lighter Certificate of<br>Compliance<br>16 CFR 1210.12(b)      | Scientific Models, Inc.<br>Berkley Heights, NJ 07922 |
| 1/30/2002  | Five 40<br>Bike Helmet                 | Bicycle Helmet Structural<br>Failure<br>16 CFR 1203.17         | Mosa Extreme Sports, Inc<br>Champaign, IL 61822      |
| 1/30/2002  | Pro-Tec<br>Classic                     | Bicycle Helmet Structural<br>Failure<br>16 CFR 1203.17         | Mosa Extreme Sports, Inc<br>Champaign, IL 61822      |
| 1/31/2002  | Terminator Rocket<br>GG#0352           | Pyrotechnic Materials<br>Overload<br>16 CFR 1500.17            | North Central Industries, Inc.<br>Muncie, IN 47307   |

| Date      | Product/ Model                         | Violation  | Manufacturer/Imp.                                     |
|-----------|--|--|---|
| 2/14/2002 | Cigarette Lighter<br>692               | Lighter Certificate of<br>Compliance<br>16 CFR 1210.12(b)            | E. Moran Mayorista<br>Toa Baja, PR 00951              |
| 2/14/2002 | Cigarette Lighter<br>884               | Lighter Certificate of<br>Compliance<br>16 CFR 1210.12(b)            | E. Moran Mayorista<br>Toa Baja, PR 00951              |
| 2/22/2002 | Pedal Cars<br>70201                    | Lead-in-Paint<br>Toy/Children's<br>Product/CPSA<br>16 CFR 1303       | Alpha International Inc.<br>Cedar Rapids, IA 52404    |
| 2/22/2002 | Zowie's 123 Book<br>Book               | Small parts<br>16 CFR 1501   | Disney Children's Book<br>Group<br>New York, NY 10011 |
| 3/9/2002  | Multivitamin<br>6O Tablet              | Iron Containing Dietary<br>Supplements Pkg.<br>16 CFR 1700.14(a)(13) | Leiner Health Products<br>Carson, CA 90745            |
| 3/28/2002 | Computer Mouse 1724                    | Small parts<br>16 CFR 1501   | KB Gear Interactive<br>Eden Prairie, MN 55344         |
| 4/1/2002  | Double Pop Missile,<br>19 Sh<br>BP2362 | Pyrotechnic Materials<br>Overload<br>16 CFR 1500.17                  | Flying Phoenix Corp.<br>Araphoe, WY 82501             |
| 4/2/2002  | Single Shot Thunder<br>King<br>W026    | Fireworks Labeling<br>16 CFR 1500.14                                 | Flying Phoenix Corp.<br>Araphoe, WY 82501             |
| 4/11/2002 | Wiggly Giggler<br>321                  | Rattle<br>16 CFR 1510  | Hands On Toys, Inc.<br>Wilmington, MA 01887           |
| 4/18/2002 | Key Chain<br>Harry Potter              | Children's Product<br>Chemical hazard<br>16 CFR 1500.18              | Hallmark Cards, Inc.<br>Kansas City, MO 64141         |
| 5/8/2002  | Soft Farm Sets<br>76626                | Small parts<br>16 CFR 1501   | Lands' End, Inc.<br>Dodgeville, WI 53595              |

| Date      | Product/ Model                   | Violation  | Manufacturer/Imp.  |
|-----------|----------------------------------|--|--|
| 5/24/2002 | Locker Room                      | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a                | Clyde Cope<br>Memphis, TN 38111                            |
| 5/29/2002 | American Homeland<br>BP2045      | Fireworks Multiple Tube<br>Tipover<br>16 CFR 1507.12             | Victory Fireworks, Inc.<br>Ellsworth, WI 54011             |
| 6/14/2002 | Little Wooden Push<br>Car<br>218 | Small parts<br>16 CFR 1501                                       | Radio Flyer Inc.<br>Chicago, IL 60707                      |
| 6/14/2002 | Cigarette Lighter                | Lighter Child-Resistance 16 CFR 1210.3(a)                        | Specialty Sales Co., Inc.<br>Castro Valley, CA 944546      |
| 6/24/2002 | Super Butane Lighter 619-9546    | MP Lighter Performance-<br>Effective Life<br>16 CFR 1212.3 (b) 2 | Midwest Air Technologies,<br>Inc<br>Lincolnshire, IL 60069 |
| 6/25/2002 | Wood Furniture                   | Lead-in-Paint Not<br>Children's Product<br>16 CFR 1303           | Crate & Barrel<br>Northbrook, IL 60062                     |
| 7/3/2002  | Medicated Oil<br>Golden Drago    | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3)           | Kingsway Trading Inc.<br>Brooklyn, NY 11201                |
| 7/12/2002 | Lighter                          | Lighter Child-Resistance 16 CFR 1210.3(a)                        | Ollies Delli<br>Rockville MD 20851                         |
| 7/12/2002 | Lighter 2002                     | Lighter Child-Resistance 16 CFR 1210.3(a)                        | Ollies Delli<br>Rockville MD 20851                         |
| 7/12/2002 | Lighter<br>Dec, 2000             | Lighter Child-Resistance 16 CFR 1210.3(a)                        | Ollies Delli<br>Rockville MD 20851                         |
| 7/12/2002 | Lighter<br>Jan, 2001             | Lighter Child-Resistance 16 CFR 1210.3(a)                        | Ollies Delli<br>Rockville MD 20851                         |

| Date      | Product/ Model                              | Violation  | Manufacturer/Imp.   |
|-----------|---|--|---|
| 7/17/2002 | Furniture<br>Beds/Tables                    | Lead-in-Paint<br>Toy/Children's<br>Product/CPSA<br>16 CFR 1303 | Urban Artifacts, Inc.<br>Menasha, WI 54952                      |
| 7/23/2002 | Activity Sets &<br>Puzzles<br>02116         | Small parts<br>16 CFR 1501                                     | Lauri, Inc.<br>Phillips-Avon, ME 04966                          |
| 7/23/2002 | Sort & See Toy 5516950                      | Small parts<br>16 CFR 1501                                     | Small World Toys<br>Culver City, CA 90231                       |
| 7/24/2002 | Caliper Turbo<br>Lighter<br>763052201085    | Lighter Reporting 16 CFR 1210.17(b)                            | Kang Long A.D.C., Ltd. S. El Monte, CA 91733                    |
| 7/25/2002 | Plan Toys Pull-<br>Along Snails<br>39510800 | Small parts<br>16 CFR 1501                                     | Brio Corporation<br>Germantown, WI 53022                        |
| 7/25/2002 | Cigarette Lighter                           | Lighter Child-Resistance 16 CFR 1210.3(a)                      | Young's J.K., Inc.<br>Portland, OR 97230                        |
| 7/29/2002 | Cotton/Poly Jacket<br>BT2018                | Clothing Flammability<br>Failure<br>16 CFR 1610                | Estyle, Inc.<br>Los Angeles, CA 90017                           |
| 7/30/2002 | Analgesic Balm<br>0.67 FL. OZ.              | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3)         | Jic-Janta International Co.<br>San Francisco, CA 94108-<br>3219 |
| 8/8/2002  | Man Scent                                   | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a              | Black Hawk Leather<br>Houston, TX 77006                         |
| 8/8/2002  | Nitro                                       | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a              | Black Hawk Leather<br>Houston, TX 77006                         |
| 8/16/2002 | Star Clacker<br>4282786                     | Rattle<br>16 CFR 1510  | Pottery Barn Kids<br>San Francisco, CA 94109                    |

| Date      | Product/ Model                              | Violation  | Manufacturer/Imp.  |
|-----------|---|--|--|
| 9/5/2002  | Boom Man<br>Reloadable Shell<br>DS777A      | Other Fireworks<br>16 CFR 1507                         | Flying Phoenix Corp.<br>Araphoe, WY 82501                    |
| 9/12/2002 | Medicated Oil<br>Item #00060                | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3) | East West Natural Herbal,<br>Inc.<br>San Jose, CA 95133-1715 |
| 9/12/2002 | Medicated Oil<br>MO00065                    | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3) | East West Natural Herbal,<br>Inc.<br>San Jose, CA 95133-1715 |
| 9/12/2002 | Medicated Oil<br>0.85 FL. OZ.               | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3) | So's (Usa) Co., Inc.<br>Los Angeles, CA 90033                |
| 9/12/2002 | Medicated Oil<br>(Wood Lock)<br>1.7 FL. OZ. | Methyl salicylate<br>Packaging<br>16 CFR 1700.14(a)(3) | So's (Usa) Co., Inc.<br>Los Angeles, CA 90033                |
| 9/25/2002 | Amsterdam Special                           | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a      | Whirlwind Distributing, Inc. Decatur, GA 30033               |
| 9/25/2002 | Blue Boy Liquid<br>Incense                  | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a      | Whirlwind Distributing, Inc. Decatur, GA 30033               |
| 9/25/2002 | Boot Cleaner                                | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a      | Whirlwind Distributing, Inc. Decatur, GA 30033               |
| 9/25/2002 | Cuckoo's Nest                               | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a      | Whirlwind Distributing, Inc. Decatur, GA 30033               |
| 9/25/2002 | Dragon's Breath                             | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a      | Whirlwind Distributing, Inc. Decatur, GA 30033               |

| Date      | Product/ Model                       | Violation   | Manufacturer/Imp.                              |
|-----------|--------------------------------------|---|--|
| 9/25/2002 | Ecstasy Pop                          | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Gift Packages                        | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Jungle Juice Plus                    | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Man Scent                            | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Nitro Supra Liquid<br>Aroma          | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Phuck Fluid Incense                  | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Rush Liquid Incense                  | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | The Real Poppers                     | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/25/2002 | Z-Best Leather<br>Cleaner<br>LC-1000 | Volatile Butyl Nitrites<br>Ban<br>15 U.S.C. 2057a | Whirlwind Distributing, Inc. Decatur, GA 30033 |
| 9/26/2002 | \$100.00 Bill Lighters               | Lighter Child-Resistance 16 CFR 1210.3(a)         | Steven Choy<br>Marlboro, MA 01752              |
| 9/26/2002 | 8 Ball Flame Lighter                 | Lighter Child-Resistance 16 CFR 1210.3(a)         | Steven Choy<br>Marlboro, MA 01752              |

| Date      | Product/ Model                      | Violation   | Manufacturer/Imp.                            |
|-----------|-------------------------------------|---|--|
| 9/26/2002 | 9mm Revolver Ltr<br>33342           | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Airplane Lighter                    | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Automobike Lighter 33336            | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Binocular Lighter 33367             | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Car Lighters<br>BZ1668              | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Clothes Iron Lighter                | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Pen Style Flame<br>Lighter          | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Slim Style Torch<br>Lighter         | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Turtle Lighter                      | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Zhengda Torch<br>Lighter            | Lighter Child-Resistance 16 CFR 1210.3(a)                   | Steven Choy<br>Marlboro, MA 01752            |
| 9/26/2002 | Multi-Purpose<br>Lighter<br>JZDD-20 | MP Lighter Certificate of<br>Compliance<br>16 CFR1212.12(b) | W & W Imports Inc.<br>Little Falls, NJ 07424 |

# Subsection B: Voluntary Corrective Action Plans Under Section 15 of the Consumer Product Safety Act and Section 15 of the Federal Hazardous Substances Act

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 10/01 | L. Powell Co. Culver City, CA Glider rocker   | Occupant can fall from<br>the glider sustaining<br>bruises, scratches, other<br>minor injuries.                        | The firm discontinued sales and recalled the glider rocker.  |
| 10/01 | Next Level Communications Rohnert Park, CA  PowDec Technologies, Inc., wall mount power supply for computer modem, model WP12303N | Thermal burns to the hands are possible from elevated surface temperature of the power supply.                         | Next Level has discontinued use of the affected PowDec model and is now using a PowDec model with a different design. Recalled units will be replaced at no charge to consumers. |
| 10/01 | National Presto Inc.<br>Eau Claire, WI<br>Electric deep fryer<br>models "Cool Daddy" &<br>"Fry Daddy"                             | The handle may come off during use spilling hot liquids, resulting in scald burn injuries.                             | The firm is providing consumers with a replacement product.  |
| 10/01 | Diecraft Corporation<br>City of Commerce, CA<br>Natural gas burner model<br>AWHC #3100118   | Gaps between parts of gas burner may cause excessive production of CO, presenting a risk of CO poisoning to consumers. | Gas burners will be manufactured in accordance with revised drawings. Process control will be implemented to ensure that gas burners meet specifications.                        |

Certain corrective actions may not rise to the level of a substantial product hazard, but a firm still chooses to undertake a voluntary corrective action plan. In some of those cases, the corrective action plan may not be listed.

| Date  | Firm and Product   | Alleged Hazard   | Remedy  |
|-------|--|--|---|
| 10/01 | Ames Department Stores<br>Rocky Hill, CT<br>Classic Living cedar<br>chest #FC06565                     | If the lock is not assembled properly, it cannot be opened in the event a young child climbs into the chest. | The firm recalled the product.  |
| 10/01 | Whirlpool Corp. Benton Harbor, MI Whirlpool, Kitchen Aid, and Kenmore microwave oven/hood combinations | Use of the products presents a potential fire hazard.  | The firm will provide free in-home repair for owners.                 |
| 10/01 | Cisco Systems, Inc.<br>San Jose, CA<br>Power adapter part #34-<br>0949-02                              | Use of the product presents potential shock and fire hazards.  | The firm will provide a free replacement.                             |
| 10/01 | Il Jin U.S.A., Inc.<br>Kent, WA<br>Unix 1380A hair dryers  | The hair dryers present electrocution and fire hazards.  | None of the units were sold. They were exported back to supplier.     |
| 10/01 | Universal Distribution<br>Cranford, NJ<br>100 minature lights set                                      | The Xmas light set presents electrocution and fire hazards.  | None of the units were sold. They were exported back to the supplier. |
| 10/01 | Maytag Corp. Newton, IA  Amana gas range models ACF3315, ACF3335A, ACF3375                             | Use of the products presents fire and CO poison hazards.   | The firm is repairing the products.                                   |

| Date                    | Firm and Product  | <b>Alleged Hazard</b>  | Remedy   |
|-------------------------|---|--|--|
| 10/01                   | Oriental Trading<br>Company, Inc.<br>Omaha, NE                  | Use of the product presents a burn hazard.                                       | The firm offered consumers a refund and destroyed the product. |
|                         | Bamboo torch candle model 34/483                                |  |  |
| 10/01                   | Ocean State Jobbers, Inc.<br>N. Kingston, RI                    | The charcoal grills have paint that is flammable.                                | Consumers should not use the grill and return it               |
|                         | Charcoal grill #86933   |  | to the retailer for a full refund of the purchase price.       |
| 10/01                   | Wenzel<br>St. Louis, MO   | Gas leaks at the connection may result in fire and burns to user.                | The firm will refund purchase price of lantern to owners.      |
|                         | Wenzel, Ozark Trails camping lantern                            | ine and ourns to user.   | to owners.   |
| Bloomington, MN causing | The wheel rim may fail causing the rider to fall from the bike. | The firm will replace the wheel or wheel rim free                                |  |
|                         | Bicycle wheel rim models<br>Salsa, Salsa Alto                   |  | of charge to the consumer.                                     |
| 10/01                   | Wolf Appliance<br>Company, LLC<br>Fitchburg, WI                 | Delayed ignition can present a risk of thermal burn or fire hazard to consumers. | The firm is offering a free in-home repair to consumers.       |
|                         | Gas range with oven,<br>models Wolf and Wolf<br>Gourmet         |  |  |

| Date  | Firm and Product                                       | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 10/01 | Wal-Mart Store<br>Bentonville, AR                      | Use of the product presents a potential fire hazard.                             | The firm offered owners a refund.                |
|       | Ambria candle with potpourri                           | nazaru.  |  |
| 10/01 | Wolf Range Company,<br>LLC<br>Compton, CA              | Delayed ignition can present a risk of fire or thermal burn hazard to consumers. | The firm is offering a free, in-home repair.     |
|       | Gas range with oven<br>models Wolf and Wolf<br>Gourmet | consumers.   |  |
| 10/01 | Norcold, Inc.<br>Sidney, OH 45365                      |  | The firm is providing a free, in-home repair.    |
|       | Combination gas/electric refrigerator model 1082       |  |  |
| 10/01 | Hunter's View<br>Peoria, IL                            | The stand support cables may fail causing the                                    | The firm offered owners free replacement cables. |
|       | Deer hunting tree stand                                | hunter to fall from the tree.  |  |
| 10/01 | Compaq Computer<br>Corporation<br>Houston, TX          | The adapter may overheat presenting possible fire or burn hazards.               | 1  |
|       | A/C adapter for laptop computer                        |  |  |
| 10/01 | Wal-Mart Stores, Inc.<br>Bentonville, AR               | Plastic pieces may break off, creating a potential                               | The product was discontinued and                 |
|       | Small Steps ponytail hair accessory                    | choking hazard.  | returned to the supplier.                        |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 10/01 | American Honda Motor<br>Co., Inc.<br>Torrance, CA   | Use of the products can result in serious bodily injury.             | The firm offered consumers repairs at dealer locations.        |
|       | All-terrain vehicle<br>models 2002<br>TRX250TE/TM &<br>TRX450FE/FM  |  |  |
| 10/01 | Lifa International Co.,<br>Ltd.<br>Las Vegas, NV  | Use of the lights presents potential electrocution and burn hazards. | The entire supply was exported to China.                       |
|       | Rice Christmas light sets   |  |  |
| 10/01 | Polaris Industries Inc.<br>Minneapolis, MN  | Use of the product presents a potential for serious bodily injury.   | The firm offered repairs at the dealer locations.              |
|       | All-terrain vehicle model Xpedition 425   |  |  |
| 10/01 | Company and the shoulder straps<br>Hudson, Ohio can pull out of the seat  | Consumers are to contact the manufacturer for free repair kit.       |  |
|       | 2-in-1 Snug 'n Secure<br>swing model # 4117 with<br>blue plastic buckles sold<br>prior to September 30,<br>2001 | with<br>old  |  |
| 10/01 | Ameriland Trading Inc.<br>Brooklyn, NY  | The electric fans present electrocution and fire hazards.            | None of the units were sold. The entire shipment was exported. |
|       | SP1800 electric fans  | nazaras.   | simplificate was exported.                                     |

| Date  | Firm and Product  | <b>Alleged Hazard</b>   | Remedy  |
|-------|---|---|---|
| 10/01 | Palliser Furniture, Ltd.<br>Winnipeg, Manatoba,<br>Canada<br>Toy box models 580-283,<br>581-283, 582-283, 583-<br>283, 584-283, 589-283 | The lid can fall suddenly, creating a potential risk of entrapment and strangulation. Also, the spaces at the end of the hinge can cause pinched, crushed or lacerated fingers. | Consumers should contact the company for a replacement toy chest or refund.   |
| 10/01 | Cannondale Corporation<br>Bethel, CT<br>Off-road cycle models<br>MX and CX  | The front end may break causing the rider to lose control of the cycle.   | The firm provided a reinforcement kit to be applied to the front end of motocross cycle free of charge to all owners. |
| 10/01 | Galaxy Fireworks Tampa, FL  Iron Jaguar firework device #P5042  | The device fails to ignite after repeated efforts and presents a potential explosive hazard.  | None were sold to consumers. They were used in display shows.   |
| 10/01 | Kam Kee Trading Corp.<br>Brooklyn, NY<br>Stationary set   | The children's stationary<br>set has a razor knife that<br>presents a severe<br>laceration hazard.  | Consumers should return<br>the unit for a refund of<br>the purchase price.  |
| 10/01 | Mill's Pride<br>West Palm Beach, FL<br>Premier & Four Seasons<br>utility wall cabinet   | The cabinet has the potential to fall from the wall possibly hitting someone nearby.  | The firm recalled the cabinets offering consumers repair kits to address the problem.                                 |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 10/01 | Polaris Industries Inc. Minneapolis, MN  Snowmobile steering rack washers,1999 XTL SP, 1999 700 RMK, 1999 700 XCR, 1999 800 XCR,1999 XRL SP: 099AB6AS; 1999 700 RMK: 099SR7ASA; 1999 700 XCR: 09AB7BS; 1999 800 XCR: 099AB8AS. | The missing steering rack washers can cause the steering rack to drop down, possibly resulting in a loss of steering control. | The firm is offering a free inspection at dealers and necessary replacement parts.             |
| 10/01 | Cannondale Corp. Bethel, CT  Bicycle disk brakes models CODA, 9VF2R; 9FS4K; 9VA2; 9FS2; 9FS9; 9FS2; 9VF9   | Use of the subject brakes may result in loss of braking capability.   | Cannondale dealers will<br>do free braking checks<br>and replace the<br>necessary brake parts. |
| 10/01 | Cannondale Corp. Bethel, CT  Bicycle seat post clamping system on Raven II Frames,1000SL, 2000SL, 4000SX, 700SX - Raven II Frames w/ magnesium brackets  | The clamp may fail resulting in loss of balance and possible falls from the bike.   | The firm revised the clamp and offered it free of charge to retailers and consumers.           |

| Date  | Firm and Product   | Alleged Hazard   | Remedy  |
|-------|--|--|---|
| 10/01 | Cosco, Inc. / Dorel<br>Juvenile Group<br>Columbus, IN 47201<br>Cosco Okie Dokie, Zip N<br>Go, and Carters playpen<br>models #05-361, 362,<br>363, 364 made between<br>May 1995 and Dec. 1997 | Plastic pins that lock the rails in place can break or loosen over time, allowing the rails to turn inward, collapse and entrap an infant.   | Consumers are to contact Cosco for a refund or a replacement product.               |
| 10/01 | Polaris Industries Inc.<br>Minneapolis, MN<br>Snowmobile models<br>Polaris, Sport, Sport<br>Touring, & Transport   | Tie rod end nuts have<br>been over-tightened<br>during assembly,<br>damaging the tie rod<br>ends, which could break<br>causing a loss of steering<br>control.  | The firm replaced the tie-rod end.  |
| 11/01 | Evenflo Company, Inc.<br>Vandalia, OH<br>Home Decor wooden<br>baby gate model 1555/6<br>before 9/01  | The mounting hardware attached to the wall can crack or break, allowing gate to unlatch. The hardware attached to the side of gate can break, creating small parts. Child can fall down stairs and be injured or choke on plastic pieces of broken hardware. | Consumers are to contact the firm for free replacement hardware to repair the gate. |
| 11/01 | EMESS Lighting, Inc. & SLI Lighting Solutions Ellwood, PA Twister lamps  | The lamp's exposed bulb contacts combustible materials, there is a risk for thermal burn and fire hazards.   | The firm provided a protective bulb guard to owners.                                |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 11/01 | Sassy, Inc.<br>Kentwood, MI<br>Sassy soft rattles with<br>spherical-shaped sewn-on<br>eyes, models #823, #825,<br>#829, #831, #835 & #837 | The sewn-on eyes can detach, presenting a choking hazard.  | Consumers are to contact Sassy for a free redesigned rattle with embroidered eyes. |
| 11/01 | Discovery Toys Inc.<br>Livermore, CA<br>Hello Baby toy phone<br>model #1077   | The blue, hard plastic antenna ball can break off of the toy phone, posing a choking hazard to young children. |  |
| 11/01 | Candlewick Press, Inc.<br>Cambridge, MA<br>Children's board book,<br>"Bunny My Honey,"<br>authored by Anita Jeram                         | The plastic lamination on<br>the board book may peel<br>off, posing a choking<br>hazard to young children.     | Consumers should contact the firm for a free replacement board book.               |
| 11/01 | Pacific Cycle, LLC<br>Madison, WI<br>Instant Tandem Pacific<br>Bicycle "Mongoose Ally<br>Cat" hitch                                       | The tandem connection may fail causing the rider to fall from the bike.  | The firm will replace and repair free of charge to users and owners.               |
| 11/01 | Progress Lighting<br>Spartanburg, SC<br>Various fluorescent light<br>fixtures   | Use of the products presents a fire hazard.  | The firm rewired the light fixture ballast.  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy  |
|-------|--|--|---|
| 11/01 | Hewlett Packard Co.<br>Palo Alto, CA<br>17" computer monitor<br>model D8903A   | Use of the product presents an electric shock hazard   | Inspect monitors for electric shock hazard. Replace any monitor that indicates a shock hazard exists. |
| 11/01 | Yamaha Motor<br>Corporation USA<br>Cypress, CA  2-wheel motocross ATV<br>models 1996-2000,<br>YZ125 & YZ250; 1997<br>WR250                                       | Riders may lose control and crash.   | The firm will repair and replace parts as needed to correct the defect on the throttle cable.         |
| 11/01 | Catton Brothers<br>Corporation<br>New York, NY  "Onesie" garment for<br>newborns, Fisher Price<br>Baby Wear, RN# 38847<br>style#s 89220, 89221,<br>89224 & 89225 | The metal snaps could break off, presenting a potential choking hazard.  | Consumers are to return the product to retailers for a refund.  |
| 11/01 | Papa John's International, Inc. Louisville, KY  9-inch plastic fly discs   | There is a potential for lacerations from the edges of the disc.   | The firm recalled the product from the franchisees.   |
| 11/01 | JA-RU Inc.<br>Jacksonville, FL<br>Blast balls no. 916  | The device(s) are coated in a friction sensitive mixture that when struck produces sparks, noise and possibly heat which results in burns. | The firm stopped sales and distribution.  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 11/01 | BikeE<br>Corvallis, OR   | The front fork can loosen or separate causing the rider to fall.                                    | The firm offered free replacements to all owners and dealers.                  |
|       | Tandem bicycles 2000-<br>2001 "E2"   |   |  |
| 11/01 | Duell, Yearout & Spina<br>Birmingham, AL   | The screws may strip causing a person to fall from the stand.                                       | The firm is refunding money to consumers                                       |
|       | Stronbuilt hunting stand   | from the stand.   | through the retail stores.   |
| 11/01 | Performance, Inc.<br>Chapel Hill, NC   | The wheel rim may break<br>or crack causing the rider<br>to suffer a fall and sustain<br>an injury. | wheel rim free of charge   |
|       | Forte Pro SL bicycle wheel rims  |   |  |
| 11/01 | Pursuit Marketing Inc.<br>Schuller Park, IL  | injury to user may result   | The firm will repair and replace parts free of charge.                         |
|       | Paint ball face mask models Black Maxx, Rampage, Tracer from the masks breaking apart. |   |  |
| 11/01 | Profile Design LLC<br>Carson, CA   | The armrests may fail causing the rider to loss control of the bicycle and fall.                    | The firm offered free replacement brackets to hold handlebar armrest securely. |
|       | "ZB" handlebar armrests  |   |  |
| 11/01 | Arctic Cat, Inc.,<br>Thief River Falls, MN   | Riders can lose control of the vehicle which  | The firm offered owners a replacement unit.                                    |
|       | All-terrain vehicle model 2002 ATV 500cc, manual transmission                          | 2 3 2   |  |

| Date   | Firm and Product   | <b>Alleged Hazard</b>   | Remedy  |
|--|--|---|---|
| 11/01  | Alco Industries<br>Incorporated<br>Edison, CT  | Use of the candles presents a fire hazard.                            | The firm offered owners a refund or replacement of the candles.   |
|  | Candle models 8475,<br>8475,8475AMS &<br>8475FD  |   |   |
| 11/01  | American Suzuki Motor<br>Corporation<br>Brea, CA   | Use of the products can result in serious bodily injury or death.     | The firm offered owners a repair of the products.                 |
|  | All-terrain vehicle Eiger<br>model LT 400K2 &<br>LT400FK2  |   |   |
| 11/01  | Electrolux<br>Cleveland, OH  | Exposed conductors on product power cord may pose an electrocution    | The firm provided replacement products.                           |
|  | Electric chainsaw & electric edger brands<br>Craftsman and Weed<br>Eater models 358.34114<br>(chainsaw) & 358.79650<br>(edger) | hazard.   |   |
| Silex, Inc. lid can break apart Glen Allen, VA projecting metal at plastic parts aroun Juice extractor models immediate area, po | The strainer basket and lid can break apart projecting metal and   | The firm recalled the juice extractors offering consumers replacement |   |
|  | 67150, 67180, 67180W,  | immediate area, possibly hitting nearby consumers.                    | strainer baskets,<br>replacement product<br>and/or a \$10 refund. |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 11/01 | Ceraflame Inc.<br>New York, NY   | The ceramic pot may break during use.   | The firm implemented a consumer-level recall.                               |
|       | Ceramic tea kettle models<br>BTK, ATK, PTK, CTK,<br>MTK, SCTK, SPTK,<br>SMTK |   |   |
| 11/01 | Sanberg Manufacturer<br>Company<br>Los Angeles, CA                           | The chest may tip over unto consumers causing contusion and/or fracture injuries. | The firm removed the plastic strip from the chest increasing its stability. |
|       | Four drawer chest  | J   | J   |
| 11/01 | Raleigh America, Inc.<br>Seattle, WA   | The bottom bracket may fail causing the rider to lose control and fall.           | The firm replaced the bottom brackets on the                                |
|       | 2001 Diamondback<br>bicycles with Truvativ<br>Sealx bottom brackets          | lose control and fair.  | subject bikes free of charge.   |
| 11/01 | Kraft Foods North<br>America, Inc.<br>Northfield, IL                         | The coffee makers can overflow causing burn injuries.                             | The consumers will receive a replacement coffee maker.                      |
|       | Gevalia DL 10 automatic drip coffee maker                                    |   |   |
| 11/01 | Winstar International<br>Brooklyn, NY  | The light set poses electrocution and fire hazards.                               | Consumers should contact the firm for a refund.                             |
|       | Christmas light set,<br>XM100  | inzuino.  | Totalia.  |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 11/01 | Grasshopper<br>Manufacturing Company<br>Moundridge, KS  | The fuel tank may leak presenting possible fire or burn hazards.   | The firm replaced the fuel tank.                                  |
|       | Zero-turning radius riding lawn mower model 225/52  |  |   |
| 11/01 | Electrolux<br>Cleveland, OH   | There is a possible fuel ignition hazard from the leaking fuel tank.   | The firm provided replacement fuel tanks to consumers.            |
|       | 2-gallon fuel tank for<br>certain Poulan Pro, Weed<br>Eater, Husqvarna and<br>Jonsered lawn tractors              | leaking fuel tank.   | consumers.  |
| 11/01 | Black & Decker<br>Towson, MD  | Because there are no clips<br>to hold the control cable<br>to the handle, it may kink<br>and cause the blade to<br>continue to rotate. | contact the firm for a  |
|       | Cordless electric lawnmowers model CMM1000R   |  |   |
| 11/01 | Darice Inc.<br>Strongsville, OH   | Use of the products presents a potential fire hazard.  | The consumers are to receive a full refund of the purchase price. |
|       | Candle holder models<br>9173-05, 9173-06, 9173-<br>07, 9173-08, 9173-<br>09,9173-10, 9173-11,<br>9173-14, 9173-15 | nuzaru.  | ть ригоназо рисс.   |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 11/01 | Bizcom Electronics Inc./Sceptre Technoloeies Inc/Systemax Milpitas, CA   | The battery may short internally presenting a possible fire hazard. | The firm replaced the original battery with a redesigned battery.  |
|       | Battery pack for laptop<br>computers brand names<br>ARM, ChemUSA,<br>HyperData, Jetta, MPC,<br>PC-Club, Sceptre,<br>Systemax and TTX |   |  |
| 12/01 | Williams-Sonoma, Inc.<br>San Francisco, CA   | The hinge line opening is too narrow and may pose                   | Consumers are to contact the company for a free replacement chest and gift certificate.                                |
|       | Pottery Barn Oxford toy<br>trunk models #3763364<br>& #3763372   | a finger pinching hazard.   |  |
| 12/01 | American Water Heater<br>Company<br>Johnson City, TN   | Excess CO production may present a risk of CO hazards to consumers. | The firm is offering a free in-home repair.  |
|       | American Pro-line,<br>Envirotemp, Mor-Flo,<br>Powerflex, Premier Plus<br>and US Craftmaster gas-<br>fired water heaters              |   |  |
| 12/01 | Stride Rite Corp.<br>Lexington, MA   | The buckles can break, posing a choking hazard for young children.  | Consumers are to return<br>the shoes to the store<br>where purchased for a<br>refund or a replacement<br>of the shoes. |
|       | Girl's shoes sizes 4 - 12,<br>models Munchkin T-strap<br>shoes 3182001, 3182029,<br>3182037, 3182045,<br>3182052, & 3182094          |   |  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 12/01 | Safety 1st, Inc.<br>Chestnut Hill, MA<br>Fold-up booster seat<br>models 173, 173A, 173B  | The top half of the seat inserts can separate from the bottom. A child can fall and be injured.   | Consumers are to contact the firm for a free replacement strap kit or a new seat insert.                  |
| 12/01 | Brio Corporation<br>Germantown, WI<br>Brio "Curious George" in<br>Astronaut Suit, #32900   | The fabric-filled mobile phone may present a potential choking hazard.  | Consumers are to contact the firm for a free replacement toy or refund.                                   |
| 12/01 | The Toro Company<br>Bloomington, MN<br>Lawn-Boy, SilverPro and<br>GoldPro Series 21" walk<br>behind power lawn<br>mowers equiped with<br>"Duraforce" engines | The fuel tanks may crack and leak fuel presenting potential hazards for fire and burn injuries.   | The firm provided a replacement fuel tank made with different composition plastic.                        |
| 12/01 | Gametracker<br>Flushing, MI<br>Hunting stand safety<br>buckle model #3152,<br>#3153 & #3163  | The buckle may fail causing the hunter to fall as he climbs the tree for a position.  | The firm replaced the original climbing stick and step buckles with new ones free of charge to consumers. |
| 12/01 | American Suzuki Motor<br>Corporation<br>Brea, CA  All-terrain vehicle model<br>Eiger 2002 LT-A400K2<br>& LT-A400FK2  | The crankshaft was produced with an internal flaw that causes it to break, presenting a risk of injury or death to the rider if undetected. | affected ATVs.  |

| Date  | Firm and Product   | <b>Alleged Hazard</b>   | Remedy   |
|-------|--|---|--|
| 12/01 | Spiegel<br>Downers Grove, IL<br>Plantation bench model<br>#83-8463   | The bench may collapse due to an improper design of the support joint.  | The firm is offering refunds to owners of the bench.                   |
| 12/01 | L.A. Baby Company<br>Vernon, CA  Portable wooden folding<br>crib model Little Wood<br>Crib #83 & #83/4     | The end panels on some of the cribs may separate, presenting a potential entrapment hazard.                         | The company is providing free repair kits.                             |
| 12/01 | Graco Children's Products Inc. Elverson, PA  Ocean Friends Stationary Entertainer, Little Tikes # 4629 GIG | The antennae breaks off the lobster toy posing a choking hazard.  | The firm provided a replacement lobster toy with an approved antennae. |
| 12/01 | Cub Cadet Corporation<br>Cleveland, OH<br>Cub Cadet garden tractor<br>model #3184                          | The tractor's rear wheel can loosen and spin free on its axle, resulting in a loss of power to the wheel and brake. | The firm implemented a consumer-level recall.                          |
| 12/01 | American Tool<br>Companies<br>Vernon Hills, IL<br>Tool Box vise grip                                       | Consumers may receive cuts from the exposed blade.  | The firm eliminated the sharp point.                                   |

| Date  | Firm and Product  | Alleged Hazard  | Remedy  |
|-------|---|---|---|
| 12/01 | Titus Cycle Inc. Tempe, AZ  Mountain bicycle frame model Titus 2001 Loco- Moto  | The bike frame may fail or crack causing the rider to lose control of the bicycle and fall.           | The firm will replace the bike frame free of charge to all owners.  |
| 12/01 | Kid Cool LLC<br>New York, NY<br>Baby Cool and Kid Cool<br>girls' infant/toddler<br>garments sold exclusively<br>at Sears stores from Sept.<br>- Oct. 2001 | The zipper pull and metal ring on the garments can detach, posing a choking hazard to young children. | Consumers should remove the zipper pull and metal ring from the garments and dispose of them. The garments can be returned to retailers to receive a replacement garment. |
| 12/01 | DeVilbiss Air Power<br>Company<br>Jackson, TN<br>Portable gasoline<br>generator model Porter<br>Cable BSI550-W  | A small hole in the gasoline tank is a potential fire and spill hazard.                               | Owners are to receive a gasoline tank installed free of charge at authorized service center.  |
| 12/01 | Kawasaki Motor<br>Corporation<br>Santa Ana, CA  All-terrain vehicle<br>models 2002 KLF220,<br>KLF 300B-C, "Bayou<br>220, 300, 300 4x4"                    | Use of the product may result in serious injury.  | The firm has offered to repair the ATVs.  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 12/01 | Lite Machine West Lafayette, IN Fusion 35 controller box on an electric helicopter               | Use of the product presents a potential for bodily injury.                  | The firm offered to replace the product.  |
| 12/01 | Kent International Inc.<br>Parsippany, NJ<br>Mini Midget bicycle                                 | The fork may separate from the bicycle causing the rider to fall.           | The firm will refund the purchase price to all consumers, dealers and distributors for all product.                                   |
| 12/01 | Baby Buzz'r International, LC Sandy, UT  Baby Buzz'r infant toy with soft plastic button covers  | Soft button covers can come off, posing a choking hazard to young children. | Consumers are to return<br>the product to the<br>manufacturer for repair<br>or a refund. New<br>production has<br>redesigned buttons. |
| 12/01 | Roadmaster (USA) Corp.<br>Eatontown, NJ<br>Outdoor lighting timer,<br>model Homemaster<br>HOT100 | Use of the product presents a potential shock hazard.                       | The firm replaced the recalled timers with free timers that have corrected wiring.  |
| 12/01 | Flora-Lite Co.<br>Clearwater, FL<br>Christmas light set  | The light set presents potential overheating and fire problems.             | Consumers should contact the firm for a refund of the purchase price.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 12/01 | Diving Unlimited International Inc. San Diego, CA Buoyancy control system overpressure valves                                 | The overpressure valve could stick in the open position causing a drowning hazard.   | The firm implemented a consumer-level recall.                              |
| 12/01 | Rotozip Tool Corporation<br>Cross Plains, WI<br>Power spiral saw models<br>Rebel, Revolution &<br>Solaris                     | Use of the product presents a potential for abrasions, lacerations, and amputations. | The firm implemented a repair program.                                     |
| 12/01 | NBG International, Inc.<br>Houston, TX<br>Rice Christmas lights   | Use of the lights presents potential electrical fire and/or shock hazards.           | The firm is providing replacement lights.                                  |
| 12/01 | Kids II, Inc.<br>Arpharetta, GA<br>Kids II Pop Links toy<br>model 883, with "ND1"<br>molded on the tips of the<br>links.      | The tips on the links can break off, posing a choking hazard to young children.      | Consumers are to return the product to Kids II for a free replacement toy. |
| 01/02 | Waterway Plastics Inc.<br>Oxnard, CA  Above-ground pool filter<br>models Waterway<br>Clearwater, 1999 models<br>#75, 100, 150 | The top portion could detach.  | The firm will replace all units.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 01/02 | Deere & Company<br>Moline, IL<br>Lawn tractor models<br>LT133A, LT155B,<br>LT155C, LT166E,<br>LT166F, LT166G &<br>LT166J                          | The fuel tank may leak gasoline presenting a potential for fire or burn injuries.  | The firm will replace the defective fuel tank with a redesigned fuel tank.   |
| 01/02 | LTD Commodities, Inc.<br>Bannockburn, IL<br>Holiday porcelain votive<br>lantern, manufacturer Fu-<br>Jian De Hua Foreign<br>Trade Co. # 102723-01 | The porcelain can crack or ignite presenting a fire hazard.  | The consumer will be issued a refund.  |
| 01/02 | Reed & Barton<br>Corporation<br>Taunton, MA<br>Waterford Fine Silver<br>Gifts, Powerscourt<br>Sterling Teething Rings<br>models #WX201            | The area where the teething rings are soldered together may contain a small amount of flux, which can irritate the skin or mouths of young children. | Consumers should take<br>the recalled teething<br>rings away from children<br>and return them to the<br>store where purchased<br>for a refund. |
| 01/02 | Whirlpool Corp. Benton Harbor, MI Whirlpool, Kenmore, ComfortAire dehumidifiers   | Use of the products presents a potential fire hazard.  | The firm is providing a free repair of the product.  |
| 01/02 | Dillard's Inc.,<br>Little Rock, AR<br>Pine tree candles   | Use of the candles presents a fire hazard.   | The firm offered consumers a full refund.  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 01/02 | Arctic Cat, Inc. Thief River Falls, MN All-terrain vehicles  | The pressure switch in<br>the braking system leaks<br>fluid. This will cause the<br>brakes to fail, resulting in<br>serious injury or death. | The firm offered to replace the pressure switch.   |
| 01/02 | Eddie Bauer, Inc.<br>Redmond, WA<br>Eddie Bauer stainless<br>steel lunch bottle, 32 oz.  | The top collar leaks hot liquid.   | The firm offered a full refund for consumers when the product is returned to retail stores.                    |
| 01/02 | Value City Department<br>Stores, Inc.<br>Columbus, OH<br>Expressways Children's<br>Candle Making Kit<br>models 3041& 3042                            | During use the product<br>may generate a high<br>flame, melt the plastic<br>holder presenting a fire<br>hazard.                              | Value City offered a refund for the product.   |
| 01/02 | Value City Department<br>Stores, Inc.<br>Columbus, OH<br>Concord City Corp.<br>round & square botanical<br>flower candles SKU's<br>6013361 & 6013882 | Use of the candles presents a fire hazard.   | The firm provided a refund for recalled product.   |
| 01/02 | Intermatic, Inc. Spring Grove, IL  Electroluminescent night light models GN172 & GN165   | Use of the products presents possible fire or electric shock hazards.  | The firm offered a refund of the purchase price or to replace the recalled light with a different model light. |

| Date  | Firm and Product  | Alleged Hazard  | Remedy   |
|-------|---|---|--|
| 01/02 | Watkins Manufacturing Corporation Vista, CA  220V spa models Hot Spring, Tiger River, Hot Spring Landmark, Hot Spring Jetsetter, Hot Spring Classic, Hot Spring Grandee, Hot Spring Sovereign, Hot Spring Prodigy, Tiger River Siberian, Tiger River Bengal, Tiger River Sumatran | The heater may fail presenting a fire hazard.           | The heater manufacturer modified the product and assembly process to eliminate the problem in future production. Installed heaters will utilize an insulation kit that will contain a fire, should it occur. |
| 01/02 | TruHeat Corporation<br>Allegan, MI<br>220v no-fault 6,000 out-<br>of-water heating<br>assembly for Hot Spring<br>and Tiger River brand<br>spas  | There is a potential for fire due to connection arcing. | The firm modified the product and assembly process to eliminate the problem in future production. An insulation kit will be provided by the spa manufacturer to consumers with recalled heaters.             |
| 01/02 | Custom Buoyancy Inc. Torrence, CA  Buoyancy compensator device  | An overpressure valve could stick open.                 | The firm implemented a consumer-level recall.  |
|       |   |   |  |

| Date  | Firm and Product  | Alleged Hazard  | Remedy  |
|-------|---|---|---|
| 01/02 | MCM International<br>Eden Prairie, MN<br>Black Cat-brand electric<br>pressure washer models<br>BC-2000 & TW1730 | Electric shock may result if the GFCI should fail to provide adequate protection. Some units have counterfeit groundfault circuit interrupters (GFCIs).                               | Counterfeit GFCIs will<br>be replaced with a<br>genuine Wellong-brand<br>GFCI.  |
| 01/02 | Walgreen Company<br>Deerfield, IL<br>Candele Glitter candles  | Use of the product presents a fire hazard.  | The firm recalled the candles and offered consumers a refund.   |
| 02/02 | Easy Gardener, Inc.<br>Waco, TX<br>Ross root feeder model<br>1200C  | The mixing chamber cap may detach unexpectedly, presenting a risk of impact injury to consumers.  | The firm is offering a free replacement cap.  |
| 02/02 | BSH Home Appliances<br>Corporation<br>Huntington Beach, CA<br>Thermador gas range,<br>PRG series                | High temperatures on accessible surfaces may present risks of thermal burn hazards to consumers.  | The firm offered a free repair to owners to modify venting and reduce external temperatures.  |
| 02/02 | HSN LP<br>St. Petersburg, FL<br>Red Devil outdoor gas<br>grill  | Grill overheats and consumers can be burned if grill is lit at venturi air hole opening. Grills with a plastic support block may deform and fall. Possible fire and/or burn injuries. | The firm provided consumers with warning labels and instructions if the grill had a nylon support block. The grill was recalled if it had it had a plastic support block. |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 02/02 | Barbeques Galore<br>Washington, DC<br>Stainless steel cart or<br>pedestal base for a gas<br>barbeque grill | A sharp edge on the pedestal base may cause a laceration.   | The firm has deburred<br>the edge instituting a<br>machine finish instead of<br>hand finishing.  |
| 02/02 | L. L. Bean, Inc.<br>Freeport, ME<br>Lighthouse lamp #SWB3  | The lamp presents fire, burn and electric shock hazards.  | Consumers should not use the lamp and return it for a refund of the purchase price.  |
| 02/02 | QVC, Inc.<br>West Chester, PA<br>Red Devil outdoor gas<br>grill  | Grill overheats and consumers can be burned if grill is lit at venturi air hole opening. Grills with a plastic support block may deform and fall. Fire and/or burn injuries are possible. | The firm will provide consumers with warning labels and revised instructions if grill has nylon support block.  The grill is recalled if it has a plastic support block. |
| 02/02 | Harry and David<br>Medford, OR<br>Outdoor lantern  | The solder attaching the hanger at the top of the lantern may melt from the heat of a candle causing the lantern to detach from the hanger posing a fire hazard.                          | The firm recalled the lanterns and offered consumers a full refund.  |
| 02/02 | Lands' End, Inc.<br>Dodgeville, WI<br>Polartec heat blanket  | The blanket may contain a loose connection that could cause the unit to not function or to short circuit posing a risk of fire.   | The firm provided consumers a full refund.   |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 02/02 | Roadmaster (USA) Corp.<br>Eatontown, NJ<br>Outdoor lighting yard<br>stake model Homemaster,<br>HMPSS-3   | Use of the product presents shock, fire, arcing and material flammability hazards.  | The firm stopped distributing the product 10/2000.   |
| 02/02 | Ingersoll-Rand Company<br>Woodcliff Lake, NJ<br>Air compressor portable<br>1983-1991 models Ajax,<br>Charge Air Pro, Energair,<br>Guardian Power, Energair<br>Compressor |   | The firm recalled all suspect air compressors. All compressors being recalled are at or have exceeded their recommended useful life. |
| 02/02 | OshKosh B' Gosh, Inc.<br>Oshkosh, WI<br>Jumpsuits style # 516-<br>8240, 516-8340; top and<br>bottom sets, style # 516-<br>8241, 516-8341                                 | The fabric, heat-sealed flowers on the front of the garments can detach after washing, posing a choking hazard to young children. | Consumers should stop using these garments immediately and return them to OshKosh B' Gosh for a refund.                              |
| 02/02 | Dollar Tree Stores<br>Cheasapeake, VA<br>K's Collection<br>paperweights  | The paperweight's contents can leak posing ingestion and flammability hazards.  | Consumers should not use the paperweights and return them for a refund of the purchase price.  |
| 02/02 | Intercon Merchandising<br>Source, Inc.<br>City of Industry, CA<br>"Time Out" mini beach<br>chair #48084  | The frame creates a scissoring action when folded that can lacerate, crush or amputate fingers, hands or toes.                    | Units may be returned to the firm for repair/replacement at no charge to consumers.  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 02/02 | SB Power Tool<br>Chicago, IL<br>Carbide wheel bit for<br>dremel tool   | The bit may break or bending causing property damage or injury to the user.                       | The firm will shorten and substantiate the bit shaft, label current product with a warning and re-work a portion of those units.                   |
| 02/02 | Random House, Inc.<br>New York, NY  Children's doorhanger<br>book "Monsters In The<br>Closet" english & spanish<br>language versions | A snap can detach from<br>the book presenting a<br>potential choking hazard<br>to young children. | Consumers are to return the product for a different replacement book.  |
| 02/02 | Cutco Cutlery Corp. Olean, NY ` Mountable knife block set  | A knife protrudes from<br>the end of the block<br>presenting a laceration<br>hazard.              | Repair kits are to be sent to consumers with instructions, recall information, and a brass plate for the end of knife block to prevent protrusion. |
| 02/02 | Invensys Climate<br>Controls<br>Long Beach, CA<br>Thermal safety control<br>model TS-11  | The valve housing possibly has damage that can leak gas presenting a potential fire hazard.       | The firm is locating and destroying recalled valves. Changes will be made in manufacturing process to prevent reoccurrence.                        |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 02/02 | Applica Consumer<br>Products, Inc.<br>Miami, FL  | The units may remain energized after use, creating a fire hazard.   | Consumers will receive a \$10 refund on 2-slice toasters and a \$20 refund on 4-slice toasters.                     |
|       | Black & Decker brand 4-<br>slice toaster T1400,<br>T1450 & Black & Decker<br>brand 2-slice toaster<br>T1200 & T1250  |   |   |
| 02/02 | Unilever United States,<br>Inc.<br>Greenwich, CT   | posing a choking hazard to young children.  | Consumers should contact the firm for information on how to exchange for a coupon                                   |
|       | Snuggle fabric softener's promotional/giveaway plush bear models 5" Pajama Bear, 5" Nightcap Bear, 5" Purple and Pink Blanket Bears, 8" Bean Bear & 10" Stuffed Bear |   | for free Snuggle fabric softener product.   |
| 02/02 | Calphalon Corporation<br>Toledo, OH<br>2 quart stainless steel tea<br>kettle model A4302SP   | The kettle's cover traps steam inside of the kettle causing an increase in pressure. This forces hot water to rise and escape through the spout, posing a serious burn hazard to consumers. | The firm is offering a free replacement cover that has vent wholes. Consumers are to contact the firm.              |
| 02/02 | Cannondale Corporation<br>Bethel, CT<br>Easy Rider recumbant<br>bicycle  | Use of the product may cause the rider to lose control and fall from the cycle.   | Imported parts, stem and chainwheel assembly, were made incorrectly. The firm provided free replacements to owners. |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 02/02 | BikeE Corporation<br>Corvallis, OR<br>Recumbant bicycle seat<br>sliders on models CT,<br>AT, RX, & E2   | The seat may derail or break causing the rider to fall from the bike.                            | A support brace was added to the seat sliders free of charge to all owners and retailers. |
| 02/02 | Creative Consumer<br>Concepts, Inc.<br>Overland Park, KS<br>Sonic Restaurant Tic Tac<br>Tot premium board game<br>toy   | There is a choking hazard presented by the game figure heads that have a potential to break off. | All product was destroyed and not distributed to consumers.                               |
| 02/02 | Lakewood Engineering & Mfg. Co. Chicago, IL  Portable convection heater models 797 & 797 DFT  | Use of the products presents a potential shock hazard.   | The firm is offering a free repair or replacement of the product.                         |
| 02/02 | Vector Manufacturing,<br>Ltd.<br>Fort Lauderdale, FL<br>Maxx and Force series<br>DC to AC power inverter<br>models VEC049G, GF,<br>GM; VEC050G, GF,<br>GM; VEC051GM | An incorrect GFCI function can present a risk of electric shock or electrocution to consumers.   | The firm will provide free repairs.   |

| Date  | Firm and Product  | <b>Alleged Hazard</b>   | Remedy   |
|-------|---|---|--|
| 03/02 | Honeywell, Inc.<br>Golden Valley, MN<br>Honeywell model HZ-<br>514 moveable baseboard<br>heater   | The heating element may fail and result in molten aluminum igniting combustible material beneath the heater.  | Heaters with the recalled heating element will be replaced at no charge with a heater using an element produced at a different facility. |
| 03/02 | Scholastic Inc.<br>New York, NY<br>Tangering Press Spy<br>Master Kit #ISBN 0-439-<br>32540-4  | The lens on the sunglasses in the kit can break or crack presenting a potential laceration hazard.  | The product was recalled from retail accounts.   |
| 03/02 | Viessmann Manufacturing Company, Inc. Waterloo, RI  Gas-fired boiler or oil- fired boiler series Atola RN, 2-stage, with SR- RN2 and SR-RN2R controls | The safety interlock may not work causing the boiler to continue to operate when the flue damper is closed. There is a potential for CO emission, fuel or gas ignition and/or an explosion. | The firm added an electronic module as an additional safety interlock to prevent operation with the flue damper closed.                  |
| 03/02 | Hunter Fan Company<br>Memphis, TN  Carefree & carefreePlus<br>humidifier models 32500,<br>33300, 33330, 33350   | The products present a fire hazard from an overheated motor.  | Consumers are to receive a new motor compartment to slide into humidifier.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 03/02 | Graco Children's Products Inc. Elverson, PA Snack and activity tray model #50511  | Consumers may have difficulty inserting the stems of suction cups into holes in base. If the suction cups are not properly attached, they can detach, posing a choking hazard to young children. | Consumers can get instructions for securely installing the suction cups from the firm's web site or calling a toll-free number.                        |
| 03/02 | Cycletote Corporation<br>Fort Collins, CO  Bicycle trailer models<br>Cycletote, Family,<br>Touring, Doggytote, &<br>Special Needs | There is a potential for injury to the child or pet in the trailer if carrying supplies.   | The firm will repair and replace parts free of charge to consumers with the defective trailer hitches.   |
| 03/02 | Jade Products<br>Commerce, CA<br>Dynasty DGRSC gas<br>range   | The range may tip over presenting a potential for burn injuries.   | The firm will install anti-<br>tip bracket on all<br>existing ranges that have<br>been sold to consumers.<br>The design for new<br>ranges is modified. |
| 03/02 | Horizon, Inc. DeForest, WI  2000/2001 treadmill models Horizon, Paragon, Quantum & Omega  | A faulty control board can cause the treadmill belt to unexpectedly speed up causing consumers to fall.  | Suspected units will have the control boards replaced free of charge.  |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 03/02 | Murray, Inc. Brentwood, TN  Fuel tank for riding lawn mower brands Murray, Murray Select, Craftsman, and Wizard | The fuel tank may crack<br>and leak gasoline<br>presenting a potential for<br>fire or burn injuries.                   | The firm replaced the recalled fuel tank with a redesigned tank.      |
| 03/02 | Murray, Inc. Brentwood, TN  Fuel tank riding lawn mower, Murray and Yard King brands                            | The fuel tank may crack<br>and leak gasoline<br>presenting a potential for<br>fire or burn injuries.                   | The firm replaced the recalled fuel tank with a redesigned tank.      |
| 03/02 | Rose Art Industries, Inc.<br>Livingston, NJ<br>Children's soap making<br>kit models #4054 &<br>#4121            | The soap may leak from its container when heated in microwave, presenting a burn hazard to children.                   | Consumers are to contact the firm for a refund and incentive gift.    |
| 03/02 | Athlon, LLC<br>Elverson, PA<br>Simplicity treadmill #<br>4000   | The faulty control board can cause the treadmill belt to speed up without warning. Consumers can be injured in a fall. | Suspect units will have their control boards replaced free of charge. |
| 03/02 | Hola Unique Gifts<br>Greenville, SC<br>Electric water pump #B-<br>72  | The electric water pump poses electrocution and fire hazards.  | Consumers should contact the firm for a refund.                       |

| <b>Date</b> | Firm and Product   | Alleged Hazard  | Remedy   |
|-------------|--|---|--|
| 03/02       | Country Home Products,<br>Inc.<br>Vergennes, VT<br>Cordless electric walk-<br>behind lawn mower brand<br>DR Whisper Lite brand                               | Lacerations or amputations can result from the blade continuing to turn after the "deadman" switch is released. | Affected circuit boards will be replaced or conditioned at no charge to consumers.               |
| 03/02       | Hewlett Packard Co. Palo Alto, CA  Longwell AC power cord sets w/ HP Deskjet 800 & 900 series printers & 1000, 1100, 1200, & 1300 series Photosmart printers | -   | The firm will provide a replacement cord of a different design.                                  |
| 03/02       | Instep, LLC<br>Mendota Heights, MN<br>Hitchhiker III trailer<br>bikes, model NH300   | The universal joint system can fail causing the trailer to tip over and possibly injure the rider.              | Free replacement<br>universal joint systems<br>are available to owners<br>of the affected units. |
| 03/02       | Interlogix, Inc. Tualatin, OR  Sentrol/Moose buglar/fire control system ZX- 300/310  | The product does not adequately perform, thereby, presenting a risk of injury due to burglary or fire.          | The firm will provide free repair.   |
| 03/02       | Lifetime Products, Inc.<br>Clearfield, UT<br>Portable basketball hoop  | Continued use of the product may result in lacerations or cuts from a protruding bolt.                          | Consumers are to contact the company for a free repair kit.                                      |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 03/02 | Eugenio Serafin, Inc. Bayamon, PR  Corona, Corona Maxi and Fammy electric showerhead heaters   | The products pose a severe electrocution hazard.  | Consumers should contact the firm for a refund.                       |
| 03/02 | Innovative USA, Inc.<br>Norwalk, CT  Innovative Kids Groovy<br>Tubes Books Bug Blast<br>ISBN 1-58476-018-4 (2d<br>printing); SeaSplash<br>ISBN 1-58476-149-2 (2d<br>printing). | Sharp metal objects found in two books at retail that could pose a laceration hazard to children. | <u>*</u>  |
| 03/02 | Cannondale Corporation<br>Bethel, CT<br>Mountain bike fork blade   | The fork may fail catastrophically causing the rider to fall.                                     | The firm will replace the fork blades on all units effected.          |
| 03/02 | Brad Hughes<br>Oak Park, CA<br>Black Anodized bicycle<br>rim model Alexrims,<br>R390, 700C   | The rim may fail causing the ride to fall.  | The firm offered free replacement rims at dealer and consumer levels. |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 03/02 | Dixon Industries, Inc.<br>Coffeyville, KS  Zero Turning Radius(ZTR) riding mower models 2301, 3303, 3304, 3014, 4421, 5020, 5022, 5023, 5503, 5017, 5423, 5424, 5425, 5402, 5601& Classic Series | The fuel tank may crack<br>and leak fuel presenting a<br>potential for fire or burn<br>injuries if the fuel ignites.             | The firm replaced the recalled fuel tank with a redesigned fuel tank.                                    |
| 03/02 | Escalade Sports<br>Evansville, IN<br>Portable basketball hoop  | A sharp bolt that holds<br>the struts to the main pole<br>may cause a laceration<br>hazard to the legs and<br>body of consumers. | The firm stopped distribution and offered consumers a free cap nut to cover the sharp bolt.              |
| 03/02 | Tristar Products, Inc.<br>Parsippany, NJ<br>Steam Buggy Deluxe<br>steam machine  | Leaking steam or hot water presents risk of burn injuries to consumers.  | Consumers will have the option to receive a replacement steamer or a refund.                             |
| 04/02 | Access Business Group<br>Ada, MI<br>Meijer and Best Yet<br>laundry stain remover   | Inhalation of the stain remover may cause irritation.  | The firm recalled the product from retailers. The firm is considering a formulation or packaging change. |
| 04/02 | Kichler Lighting Group<br>Cleveland, OH<br>Landscape lighting<br>transformer   | Use of the product presents a potential shock hazard.  | The firm will provide a free repair.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 04/02 | Federated Department<br>Stores<br>New York, NY<br>Infant Greendog pant and<br>shirt set # 8088                                      | The decorative buttons in<br>the center of embroidered<br>flowers can detach,<br>presenting a potential<br>choking hazard.                           |  |
| 04/02 | Childcraft Education Corporation Lancaster, PA  Wood changing table with steps for day care and preschool facilities, item # 351965 | When a door is open, a child's finger can become caught in the metal hinges, causing finger crushing or pinching to occur while the door is closing. | Consumers are to contact Childcraft Education Corporation for a free repair kit. |
| 04/02 | Crystal Mountain Water<br>Cooler Corp.<br>Edmonton, Canada<br>Hot/cold water cooler #99<br>125 - 00 176                             | The heater can short causing arcing, fire and smoke.   | Consumers are to repair their units by removing the insulation around heater.    |
| 04/02 | Club Car, Inc.<br>Augusta, GA<br>Gas golf cart  | Use of the product presents a fire hazard.   | The fuel tanks will be inspected and replaced if there is a gasoline leak.       |
| 04/02 | Petzl, Inc.<br>Clearfield, UT<br>Petzl-Reverso rock<br>climbing device  | Use of the product can cause the second climber to fall in a double belay.   | The firm recalled the product.   |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 04/02 | Gas Fired Products, Inc.<br>Charlottte, NC<br>Outdoor gas water heater<br>brands "Bradford &<br>White" & "Seahorse"                    | The heat exchanger can crack causing the outer surface temperature to get hot enough to ignite nearby combustible material.                                   | The product was discontinued. A high-temperature limit switch will be provided at no charge to consumers.                         |
| 04/02 | Specialized Bicycle<br>Components<br>Alameda, CA<br>Bicycle models Enduro,<br>Pro, Expert, Comp, FSR                                   | The brake stay or frame may fail causing the rider to lose control of the bicycle.  | The firm notified all dealers, consumers and retailers of the free of charge recall and repair program.                           |
| 04/02 | Total Gym Fitness<br>West Chester, PA  Total Gym XL home<br>exercise equipment   | The product has a defect<br>that does not allow the<br>lever pin to snap into the<br>support column hole.   | Consumers should contact the firm for a free retrofit kit.  |
| 04/02 | Fisher-Price, Inc.<br>East Aurora, NY<br>Fisher-Price Smart<br>Response swing models<br>#79644, 79645 & 70647                          | The swing seat can be assembled to the swing so that it appears secure but is not. If misassembled, the seat can flip forward and baby may hit head on floor. | Consumers are to contact Fisher-Price to get instructions for inspecting swing assembly. If needed, firm will replace swing seat. |
| 04/02 | Tough Traveler Ltd.<br>Schnectady, NY<br>Child backpack carriers<br>models Kid Carrier<br>#1371, Filly model #1350<br>& Stallion #1385 | A child could fall from a carrier when the seat restraint is not doubled-back through the slider if the carrier's safety harness is not used.                 | Consumers should contact Tough Traveler to receive instructions on doubling back the strap through the slider.                    |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 04/02 | Black & Decker (U.S.),<br>Inc.<br>Towson, MD   | The spindle on the saws may slip.   | Consumers should contact the firm for a free repair.   |
|       | Dewalt Industrial Tool<br>Co. lightweight circular<br>saw models DW368,<br>DW368K & DW369CSK |   |  |
| 04/02 | Black & Decker (U.S.)<br>Inc.<br>Towson, MD  | The nail may be released unexpectedly.  | The firm will replace the trigger mechanism.   |
|       | DeWalt framing nailer model D51845   |   |  |
| 04/02 | Fitness Quest, Inc.<br>Canton, OH  | The handle/cable assembly may break during use possibly e injuring the consumer.                  | Free replacement<br>handle/cable assemblies<br>are available to<br>consumers with affected<br>units. |
|       | Total Gym 1000 exercise equipment  |   |  |
| 04/02 | Dansk International<br>Designs, Ltd.<br>White Plains, NY                                     | The metal cap at the end of the scoop may project off the handle possibly hitting someone nearby. | The firm recalled the product offering consumers a \$20 coupon for the return of the item.           |
|       | Metal ice cream scoops   | memig someone nearby.   |  |
| 04/02 | Brunswick Corporation<br>Skokie, IL  | The fork may fail resulting in injury to the rider.   | The firm offered a refund to owners who returned the suspension                                      |
|       | Ballistic bicycle suspension fork  | rider.  | fork.  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 04/02 | Aroma Housewares<br>San Diego, CA<br>Aroma Health Grill    | The grill's tempered glass lid has potential to shatter.  | The firm changed its production process to correct the problem.                  |
|       | model AHG-1435   |   |  |
| 04/02 | Veltec Sports Inc.<br>Sand City, CA                        | The seat post may fail causing the rider to fall from the bicycle.  | The firm notified all customers, owners and retailers offering a                 |
|       | Look-Ergopost 3 bicycle seat post                          |   | replacement and a refund.  |
| 04/02 | Pascal Products Company<br>Ltd.<br>Jackson, MS             | The paint of the screens can ignite when exposed to a direct flame.   | The firm recalled the product offering consumers a refund of the purchase price. |
|       | Wal-Mart Home Trends<br>fireplace screens model<br>#500RR  |   | rac postaneo para  |
| 04/02 | Delta, BC, Canada may unexpectedly fall out                | Consumers should return the unit to the retailer for a free retrofit.   |  |
|       | Mountain bicycle models "Edge" and "Slayer"                | of place.   | a free retrofit.   |
| 04/02 | Cleveland, OH causing a loss of drive                      | The firm offered to replace the transmission.   |  |
|       | Sears Craftsman riding lawn mowers model number 247.270250 | and brake ability. Should<br>this occur, the potential<br>exists for personal injury<br>and/or property damage. |  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 04/02 | Discovery Toys, Inc.<br>Livermore, CA<br>Up, Down & Around<br>toddler maze toy, model<br>#1612   | The plastic tubes can break from the wooden base, releasing beads and posing a choking hazard to young children.  | Consumers should stop using the toy immediately and contact the firm to receive a free replacement.                        |
| 04/02 | Systems Trading<br>New York, NY<br>Space Saver/Barkan<br>television wall mount<br>model P-1-21   | The TV may fall from its mounted position, hitting someone nearby.  | The firm improved its manufacturing process.   |
| 04/02 | Ames True Temper<br>Camp Hill, PA<br>Plastic rim on<br>wheelbarrow models<br>Mustang & Douglas   | The wheel's plastic rim can break under pressure caused by high-pressure air hose inflation or over inflation. Exploding plastic parts may injure nearby consumers. | The firm recalled the wheels offering consumers a free replacement wheel assembly.   |
| 04/02 | S. C. Johnson & Sons,<br>Inc.<br>Racine, WI<br>Glade Scented Oil Extra<br>Outlet air freshener<br>model SCJ079 (Mystical<br>Garden & Sky Breeze) | There is a potential fire hazard associated with the assembly problem at the manufacturer.  | The firm offered to refund the purchase price or provide a full purchase price coupon for a different model air freshener. |

| Date  | Firm and Product  | Alleged Hazard  | Remedy  |
|-------|---|---|---|
| 04/02 | Coast Energy Management, Inc. Chandler, AZ  Energy Smart Power Planner I, models SP010- N & SP010-NV                          | Reversed polarity on appliance outlet may present a risk of electric shock or electrocution to consumers.                                       | Design changes were implemented to correct the problem. The firm will provide a free replacement and a free gift to consumers identified as owning an affected product. |
| 04/02 | ICON Health & Fitness,<br>Inc.<br>Logan, UT  NordicTrack Adventurer,<br>Teton and Proform Trail<br>Runner Hikers              | The overheated component may present a risk of fire or thermal burn to consumers.   | The firm is offering a free consumer-installed repair kit or a free service call to perform the repair.   |
| 04/02 | 1/2 Price Fireworks, Inc.<br>Lawrenceburg, IN  Sky Revenge 12 Shot<br>mine/shell fireworks<br>device, Y23-215                 | Users or onlookers can be struck by shells fired horizontally should the unit tip over during firing.   | The firm implemented a repair program   |
| 04/02 | Aqua-Leisure Industries,<br>Inc.<br>Avon, MA  Baby flotation device,<br>SA3242 "SunSmart Baby<br>Adjustable Sunshade<br>Boat" | The material between the leg holes in the seat of the float can tear, allowing children to unexpectedly fall into the water and possibly drown. | <u> </u>  |
| 05/02 | Trudeau Corporation<br>Woodbridge, IL<br>Fondue pots  | The pots' plastic ring can crack posing fire and burn hazards.  | The firm recalled the fondue pot offering consumers a replacement pot.  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 05/02 | Ameriwood Industries<br>Wright City, MO<br>Furniture desk models<br>465 & 479  | The desks may collapse if moved or if the screws are not secured in place.  | The firm revised its instructions and changed the desks backing to address the problems.   |
| 05/02 | Recreational Equipment<br>Inc. (REI)<br>Kent, WA<br>REI 2001 Novara<br>Trionfos front brake yoke<br>stay bolt                                | The bolt may loosen presenting the potential to lose some braking capacity.   | The firm recalled all units back to retailers and dealers for a free repair.   |
| 05/02 | Best Lock Corporation<br>Indianapolis, IN<br>Electronic fire door lock<br>assemblies   | In an extreme fire situation, the lock assemblies may pose a risk of fire hazard.   | The firm recalled the locks offering owners a replacement or repair of the assemblies.   |
| 05/02 | Consumers Union<br>Yonkers, NY  Glove compartment<br>organizer kit (contains<br>flashlight, tire pressure<br>guage, knife, pen and<br>paper) | The flash light can overheat when the batteries are inserted, presenting a possible burn hazard. Also, the tire gauge does not produce accurate measurements when used. | Consumers that return<br>the kits will receive a<br>free subscription for six<br>months free of charge. In<br>addition, they will mail<br>two free books to<br>consumers as a<br>replacement for the<br>premium. |
| 05/02 | Milwaukee Electric Tool<br>Corp.<br>Brookfield, WI<br>Battery charger model<br>48-59-0184  | Use of the product presents a potential fire hazard.  | The company is offering three options: 1) Refund 2) Provide batteries 3)Replacement -120V wall unit battery charger.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 05/02 | Epoch Design, L.L.C.<br>Bellevue, WA<br>Toy chest model #5225   | The toy chest's lid does not completely close.   | The company will revise its instruction sheet to provide consumers with a clearer description concerning the installation of the lid support mechanism.  |
| 05/02 | Prestige Toy Corp.<br>New York, NY  Duckie Ring Rattle Teether models 4576, 44576, & 84576  | The rattle teether may break, causing small beads to fall out presenting a potential choking hazard to young children. | Consumers should immediately take the product away from children and return them to the company to receive a replacement toy or refund. Prestige will reimburse consumers for the cost of postage. |
| 05/02 | UL United, Inc.<br>Houston, TX  The Motion Picture series of electrical paintings   | The item presents electrical fire and/or shock hazards.  | Consumers may return<br>their units directly to the<br>firm for a refund of the<br>purchase price and the<br>cost of shipping.   |
| 05/02 | Vermont Precision<br>Woodworks, Inc<br>Morrisville, VT<br>Full size cribs: Dunmore<br>7040, Coventry 7030,<br>Alpine 7010, Caspian<br>7020, Haystack/Lyndon<br>7070 | There is a potential for entrapment when the slats separate from the drop side rail.                                   | The firm will send consumers a newly designed drop side rail.  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 05/02 | Estes Industries<br>Penrose, CO<br>Estes Air-Powered<br>Rocket Systems model<br>GL-X200                          | The rockets' foam tips<br>break off exposing sharp<br>edges that cause face<br>lacerations or eye injuries<br>to consumers. Certain<br>rocket systems also have<br>weak pump handles that<br>break during use, posing<br>a risk of hand lacerations. | Consumers should stop using the air rockets immediately and contact Estes for free replacements. |
| 05/02 | ECR International<br>Utica, NY<br>Carrier/Bryant, Lennox,<br>Penn and Dunkirk natural<br>gas and propane boilers | There is an excess of CO in the exhaust gases that presents a risk of CO poisoning to consumers.   | The orifice sizes were reduced and burner assemblies changed. The firm is offering free repairs. |
| 05/02 | Rand International<br>Farmingdale, New York<br>20-inch "Barbie" beach<br>ball model BD-9002                      | The product is mislabeled "This is a life saving device." The correct labeling is "This is not a life saving device".  | The product was taken off of retailer's shelves and destroyed.                                   |
| 05/02 | Ryobi Technologies, Inc.<br>Anderson, SC<br>Ryobi HD501 hammer<br>drill  | The on-off trigger sticks<br>or the lock-on button can<br>jam, posing a risk of<br>physical injury to<br>consumers.  | The firm is offering a full refund to consumers.   |
| 05/02 | Kolcraft Enterprises, Inc.<br>Chicago, IL<br>Toy steering wheel on<br>Jeep Cherokee stroller<br>model 55120      | The steering wheel can break away from its base, allowing the small plastic turn signal and horn pieces to come off, presenting a potential choking hazard to young children.  | = =  |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 05/02 | Philips Electronics<br>Atlanta, GA  AC adapter model D12-<br>1A-950 with "T-junction"<br>plug for external powered<br>computer speakers model<br>P1534-60001 | The adapter's AC power supply cord is not grounded, which presents a potential electric shock hazard. | The firm redesigned the adapter's AC cord to ensure grounding.                                   |
| 05/02 | Island Soap & Candle<br>Works<br>Honolulu, HI  | Use of the candles presents a potential burn hazard.  | The firm recalled the candles offering a refund of the purchase price.                           |
|       | Candles  |   |  |
| 05/02 | Chen's 99-Cent City<br>East Northport, NY  | The set contains a razor knife that presents a serious laceration hazard.                             | Consumers should return<br>the unit to the retailer for<br>a refund of the purchase              |
|       | Child's stationary set   |   | price.   |
| 05/02 | Sherwood Division of<br>Harsco Corp.<br>Lockport, NY   | Use of the product presents a drowning hazard.  | The firm increased the material thickness that cracks on the 2nd stage orifice. Repairs and free |
|       | Maximus SCUBA 2nd stage regulator by Sherwood  |   | replacements are offered to customers and dealers.   |
| 05/02 | Sandvik Publishing, Ltd.<br>Bristol, PA  | The activation cord on some of these toys may be longer than 8 inches                                 | Consumers were mailed procedures for shortening the pull   |
|       | Lullaby Moon musical<br>pull string toy distributed<br>through Baby's First<br>Book Club   | and could present a risk of strangulation.  | string. They also have the option to receive a full refund.                                      |

| Date  | Firm and Product   | Alleged Hazard   | Remedy  |
|-------|--|--|---|
| 05/02 | ITW NEXUS<br>Des Plaines, IL<br>Snowboarding helmet<br>model Homex - K2  | The helmet does not prevent head injury during falls or crashes.   | The firm offered consumers a replacement of the original buckle for an improved material buckle.  |
| 05/02 | Quixstar, Inc.<br>Ada, MI<br>Juice Extractor model JA-<br>388, HOP SHING<br>manufacturer   | The filter and lid can<br>break apart when using<br>the product, projecting<br>parts that pose a risk of<br>injury to nearby<br>consumers. | The firm offers refunds of the purchase price to consumers.   |
| 05/02 | Dorel Juvenile Group,<br>Inc.<br>Columbus, IN<br>Safety 1st and Beatrix<br>Potter "Designer 22"<br>infant car seats/carrier<br>models 02-621SAL, 02-<br>620 AZY & 02-620BEA. | When the seat is used as a carrier, the plastic handle can unexpectedly release from the carrying position.                                | Consumers can continue use of the "Designer 22" as a car seat but should stop using it as a carrier immediately and contact Dorel Juvenile Group to receive a repair kit. |
| 05/02 | Head USA<br>Byfield, MA<br>Snowboard binding   | The bindings may break during use, causing the snowboarder to fall.  | The firm will repair and retrofit free of charge any defective bindings found during checks.  |
| 05/02 | LPK International, Inc.<br>Northbrook, IL<br>Bar stool models 74150<br>& 74151   | The stools can collapse causing occupants to fall to the floor sustaining injuries.  | The firm discontinued distribution of the stools offering consumers a refund of the purchase price.   |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 05/02 | Pacific Aerospace & Electronics Wenatchee, WA  Earthquake gas shut-off valve model Northridge 2000 | Gas valves may stick<br>open allowing gas to<br>escape presenting a risk<br>of fire and explosion<br>during an earthquake.  | The firm is providing free installation and replacement of any Northridge 2000 gas valve.              |
| 05/02 | Adams Mfg. Corp.<br>Portersville, PA<br>Quik Fold plastic chair                                    | Certain chairs have the potential to collapse during use.   | The firm recalled the chairs offering consumers a replacement chair or a refund of the purchase price. |
| 05/02 | Rose Art Industries, Inc.<br>Livingston, NJ<br>Electronic cotton candy<br>machine model #5696      | The electric motor can seize and overheat, posing a fire or burn injury hazard. Also, the heating element can be activated without the spinner in place presenting a risk for burn injuries to consumers. | The firm replaced the base and motor unit with a re-designed unit.                                     |
| 05/02 | Quantum North America<br>Encino, CA<br>Red Devil gas grill   | The grill may collapse resulting in fire and burns.   | The firm provided consumers with instructions and a replacement tripod block.                          |
| 05/02 | The Sportsman's Guide<br>South St. Paul, MN<br>Children's Big Red<br>Wagon                         | The wheels' plastic rims can shatter under pressure, possibly injuring someone nearby.  | The firm recall the wheels offering consumers replacement wheels and a \$10 incentive coupon.          |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 05/02 | E-filliate Corporation<br>Rancho Cordova, CA<br>Ziotek Liberator I & II<br>extension cords              | Reversed polarity presents risks of electric shock or electrocution to consumers.  | The firm is offering a free replacement or a refund. QC changes implemented by manufacturer and firm will spot check future shipments. |
| 05/02 | L.L. Bean, Inc.<br>Lewiston, ME<br>Ladies jacket model #<br>RK76  | The jacket causes a skin irritation due to improper tanning and dyeing process.  | Consumers should return the unit for a refund of the purchase price.   |
| 05/02 | Megatech<br>North Bergen, NJ<br>8.4-volt battery radio-<br>controlled Skyliner model<br>airplane        | There is a potential for fire from a overheated battery during charging.   | A free replacement battery will be provided to consumers.  |
| 05/02 | Luxo Corporation<br>Port Chester, NY<br>Portable fluorescent lamp<br>models PS355 & PS360               | The ballast can overheat, short-circuit and melt the insulating cover of the ballast. This failure could present a thermal burn hazard if the melted cover is contacted. | Luxo will replace the recalled ballast with a ballast of a different design at no charge to consumers.                                 |
| 06/02 | D.A.M. Clothing, Inc.<br>New York, NY<br>Basic Editions 2-Piece<br>girls' short set #7071,<br>RN# 88914 | The buttons on the garment are sewn on too loosely, creating a danger of them falling off or being pulled off, which poses a potential choking hazard to young children. | Consumers are to return the product to the store where purchased for a refund.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 06/02 | Cannondale Corporation<br>Bethel, CT<br>Cannondale bicycle rear<br>brake disc bolt  | The brake bolt may fail causing the rider to lose control of the bike and fall.                                  | The firm will replace the bolts free of charge on all disc brake bikes involved at the retail and consumer levels.   |
| 06/02 | Candle-lite Company<br>Cincinnati, OH  Martha Stewart Everyday<br>Decorating simmering<br>potpourri pot item #<br>7161595 | Use of the product presents a potential fire hazard.   | The firm is offering a refund of the purchase price.   |
| 06/02 | Brookdale International<br>Systems<br>Vancouver, British<br>Columbia, Canada<br>Smoke hood model<br>EVAC-U8               | The hood provides inadequate protection against chemical warfare agents.   | The firm recalled the masks.   |
| 06/02 | Brinkmann Corporation<br>Dallas, TX<br>Outdoor tabletop heater<br>model 883-1000-0  | The outdoor heater can emit high levels of carbon monoxide (CO), presenting a risk of CO poisoning to consumers. | The firm is offering a full refund of purchase price to consumers that return affected products.  Prepaid shipping labels will be provided for return of products. |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 06/02 | Invensys Building Systems Loves Park, IL Siebe MA 200 series actuator used in fire/smoke dampers sold 1994 - May 1999                                    | Fire, combustion products, and smoke can travel through building if the actuator jams and does not allow the fire/smoke damper to close in an emergency. | The firm provided free labor and parts to replace defective actuators. Frequently cycle actuators left in use.                           |
| 06/02 | Graco Children's Products, Inc. Elverson, PA  Green toy tracks on Tot Wheels V models 4511and 4521 and the Convertible Entertainer models 4652 and 35225 | The toy track can break, presenting a cut or pinch hazard and the exposed small parts can pose a choking hazard to young children.                       | Consumers should stop using these activity centers with green toy tracks immediately, and contact the firm for a free replacement track. |
| 06/02 | The Little Tikes Company Hudson, OH  Pop 'n Scoot ride-on toy model 1568   | Young children who lean forward can fall over the handlebars, causing facial injuries.   | using the toys   |
| 06/02 | Spin Master Toys<br>Toronto, Ontario Canada<br>Firestormer & Skyblazer<br>air-powered toy planes<br>codes 12/29/01 - 03/24/02                            | Children can suffer bruises, cuts and abrasions from plastic pieces of the bursting intake chamber.  | The firm is providing consumers a free replacement plane with an improved intake chamber.  |

| Date  | Firm and Product  | Alleged Hazard  | Remedy   |
|-------|---|---|--|
| 06/02 | Black Diamond Equipment Salt Lake City, UT 'Rage' climber's ice tool  | The tool may break or separate at the handle causing the climber to fall.   | The firm is offering consumers a free replacement handle for the ice tool. |
| 06/02 | Square D Company<br>Palatine, IL<br>Circuit breaker model<br>MA-MH  | Use of the product presents a potential fire hazard.  | The firm is offering a repair program.                                     |
| 06/02 | Whirlpool Corp. Benton Harbor, MI  Whirlpool, Kenmore, General Electric washer and gas dryer combination unit | Use of the products presents a potential fire hazard.   | The firm will provide free inspections and repair of the units.            |
| 06/02 | Dynacraft Industries, Inc.<br>San Rafael, CA<br>Next Ultrashock Ballistic<br>front fork                       | The fork may fail during use causing the rider to fall from the bike.   | The firm is providing free replacement forks to owners.                    |
| 07/02 | In-Sink-Erator Racine, WI  Half-gallon capacity instant hot water dispensers                                  | Water can leak from the metal holding tank wetting insulating material and causing electrical arcing and heat build-up, which presents a risk of fire to consumers. | The firm is offering a free insulator replacement kit.                     |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 07/02 | PM International, Ltd Edison, NJ  Two-prong International Electric adapter plug models 4622, 4624 & | The plug can separate presenting a potential for electric shock or electrocution from contact with exposed electrical conductors.  | The firm replaced the recalled plugs with plugs that incorporate a different bonding method. |
| 07/02 | 4626  Dynacraft Industries, Inc. San Rafael, CA  Vertical XL2 bike fork                             | The fork may fail during use causing the rider to fall from the bike.  | The firm will replace all defectively welded forks free of charge.                           |
| 07/02 | E. S. Sutton, Inc.<br>New York, NY  Two-piece shortall for<br>toddler girls style<br>#KD1012KM      | The button may separate from the garment and present a potential choking hazard.   | The firm requested retail stores to stop sale and return all inventory of the product.       |
| 07/02 | Bath and Body Works,<br>Inc.<br>Columbus, Ohio  | The product's exposed blade can cause cuts.  | The firm offered consumers a refund or a merchandise credit.                                 |
| 07/02 | Southern Wood Products<br>Sparta, TN<br>Bench seat toy box model<br>#343-8502                       | The middle lid support hinge can collapse suddenly causing an injury to a child's head, neck, fingers, or hands and also present an entrapment hazard to young children. | Consumers will receive a free replacement hinge.   |

| Date  | Firm and Product   | Alleged Hazard  | Remedy  |
|-------|--|---|---|
| 07/02 | Conair Corp.<br>Stanford, CT<br>Hair dryer #2002BLX  | The hair dryer is a professional model sold in retail operations without immersion protection which presents an electrocution hazard in wet environments. | Consumers should contact the firm for a replacement product.  |
| 07/02 | Snapper, Inc.<br>McDonough, GA<br>Walk-behind lawn mower<br>models 216751BV,<br>P2167517BV &<br>FRP2167517BV     | The mower's side discharge deflector may not be strong enough to keep projectiles from flying out from under the mower deck.                              | The firm will replace all affected discharge deflectors   |
| 07/02 | L. L. Bean Inc.<br>Freeport, ME<br>Mission entertainment<br>cart #BT98   | The legs of the unit may fracture where the casters are inserted causing the unit to tip over.  | Consumers should not use the carts and contact the firm for a free fix kit.   |
| 07/02 | UWATEC/Scubapro<br>Sturtevant, WI<br>Pressure gauge on diving<br>console models Smart<br>Pro, Sport Plus & Ultra | A diver could run out of air while the gauge is showing some air remaining. Divers could fail to decompress properly causing decompression sickness.      | The pressure gauge capsule on all units will be replaced with a new gauge face material which will eliminate the problem. |
| 07/02 | Ceraflame Inc. New York, NY  Ceramic tea kettle models BTK, ATK, PTK, CTK, MTK, SCTK, SPTK, SMTK                 | The product could break if boiled dry.  | Refunds will be made available upon request.  |

| Date  | Firm and Product  | Alleged Hazard  | Remedy   |
|-------|---|---|--|
| 07/02 | Mountain Safety Research Seattle, WA Backpacking premium        | Water contamination in<br>the fuel can corrode the<br>fuel can, creating small<br>holes and allowing the<br>fuel to leak. | The firm will provide a replacement fuel can to owners.                                      |
|       | blend stove fuel #120002-<br>2.0                                |   |  |
| 07/02 | Wal-Mart Stores Inc.<br>Bentonville, AR                         | The grill may collapse and tip over causing injuries and property   | The firm stopped sales and offered consumers a refund for the return of the product.         |
|       | Red Devil outdoor gas<br>grill model REDO<br>011000, SK20Y-3.0A | injuries and property damage.   |  |
| 07/02 | Petzl, Inc.<br>Clearfield, UT                                   | Use of the cam may cause its load to fall, possibly injuring someone below the cam.                                       | A warning was placed<br>on the firm's website and<br>on future production of<br>the product. |
|       | Traxion mini cam  |   |  |
| 07/02 | Cane Creek Cycling<br>Components<br>Fletcher, NC                | The seat post may break during use causing the rider to fall.   | The firm recalled and replaced free of charge the defective seat posts.                      |
|       | "Thudbuster" seat post cycling component                        |   |  |
| 07/02 | National Sporting Goods<br>Passaic, NJ                          | The skates can break during use causing the skater to fall.   | Consumers are to return<br>the skates to their<br>retailer for a replacement                 |
|       | Men's and ladies Bullet<br>Speed Skates model B-<br>100         |   | or refund.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 07/02 | The Coleman Company, Inc. Wichita, KS  Propane-powered mosquito eliminator models Mosquito Deleto Back Home #2950-800 & Mosquito Deleto Portable model 2950-801 | The gas regulator may leak propane or allow excessive propane flow which presents a fire hazard. | The firm upgraded its propane regulator test program and consumer units will be inspected and replaced if necessary with reinforced hoses. The Octanol cartridge will be relocated. |
| 07/02 | Hilton Hotels Corporation Beverly Hills, CA Promotional foldable cooler/chair   | A consumer's finger can<br>be pinched or crushed by<br>the foldable hinge of the<br>chair.       | The firm offered consumers a replacement product.   |
| 07/02 | Aroma Housewares<br>San Diego, CA<br>Juice Extractor model<br>ACJ-250   | The filter and lid can break apart cutting the user or bystander.                                | The firm provided a full refund of the purchase price to owners.  |
| 07/02 | Dollar Tree Stores, Inc.<br>Cheasapeake, VA<br>Non-stick drip pan SKU<br>845289   | The drip pan can ignite presenting a fire hazard.  | The firm discontinued the product and offered consumers a full refund.  |

| Date  | Firm and Product   | <b>Alleged Hazard</b>  | Remedy   |
|-------|--|--|--|
| 07/02 | Flat Rock Grill Company<br>Powhatan, VA<br>Shoreline stainless steel<br>liquid propane gas grill<br>series 2000 & 3000 | The glass covered temperature gauge can shatter, presenting a potential laceration hazard.   | Temperature gauges manufactured after October 2001 use only tempered glass. The firm replaced the potentially defective thermometers with tempered glass thermometers. |
| 07/02 | Universal Interiors Inc.<br>Riverside, CA  Bed rail models twin, full, queen & king size                               | The bed rails may detach from the head and footboards.   | The firm will replace all bed rail brackets.   |
| 07/02 | Yamaha Motor<br>Corporation, USA<br>Cypress, CA<br>1997 Warrior 350, 1998<br>Warrior 350                               | The mounting-bracket on<br>the rear hub can come<br>loose, resulting in rear<br>brake failure and possible<br>injury to operators. | Consumers are to inspect<br>the weld area of the hub.<br>If the weld is cracked,<br>the hub is to be replaced<br>with a new one.                                       |
| 07/02 | Royal Outdoor Products<br>Ontario, Canada<br>Royal Colonial deck<br>posts  | Cracks can develop at the base of the posts possibly causing the deck's railing to collapse.                                       | posts offering consumers   |
| 07/02 | Radio Flyer, Incorporated<br>Chicago, IL<br>Roaster Car model 8  | There is a potential choking hazard from the small parts in the horn on the steering wheel.  | The firm is offering a free replacement steering wheel.  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 07/02 | Crate & Barrel<br>Northbrook, IL<br>Elements entertainment<br>cart   | The cart's casters may break causing the cart and/or objects on the cart to fall onto nearby consumers.                        | The firm recalled the problem casters offering consumers stronger replacement casters to correct the hazard.   |
| 07/02 | Jakks Pacific, Inc. Malibu, CA  Nickelodeon Nick- Tivities aerosol spray foam: "Orginal Smatter" "Spit Smatter" and "Fatter Smatter"with date codes "0492PT" to "0952PT" | The cans may forcibly break apart if, contrary to instructions, it is stored in excessively hot places such as in parked cars. | The firm is offering free replacement of cans.   |
| 07/02 | Electrolux Home<br>Products, Inc.<br>Cleveland, OH<br>Sears Craftsman Poulan<br>Pro and Husqvarna<br>garden tractors   | Use of the products may cause drivers to lose control of the tractor.  | The firm is offering free repair of the wheel hub.   |
| 08/02 | Specialized Bicycle<br>Components<br>Alameda, CA<br>"Downhill" model bicycle<br>frame  | The frame may break causing the rider to suffer a fall when riding down mountains.   | The firm will repair the bike if possible, if not, replacement or refund to all owners is offered by the firm. |

| Date  | Firm and Product   | Alleged Hazard  | Remedy   |
|-------|--|---|--|
| 08/02 | Bombardier Motor<br>Corporation of America<br>Montreal, Quebec<br>Snowmobile model Ski-<br>Doo 2002 MXZ 500 &<br>600   | The throttle may stick causing unexpected excessive speed. The rider may fall from the snowmobile.  | The firm offered free carburetor replacements to resolve the throttle stick and missing parts were replaced.   |
| 08/02 | Bombardier, Inc.<br>Valcourt, Quebec<br>Snowmobiles SkiDoo<br>Bombardier models 2001,<br>Fromula Delux 500 &<br>Grand Touring 500  | The throttle may stick causing the rider to crash the snowmobile.   | The firm is offering a correction to the simple throttle part.   |
| 08/02 | Cannondale Corporation<br>Bethel, CT<br>Bicycle frame model<br>Raven 2   | The frame may break during use causing the rider to fall.   | The firm will replace the frame free of charge to owners.  |
| 08/02 | Excel Industries, Inc.<br>Hesston, KS<br>Riding lawn mower   | The fuel line could droop and be cut by the transmission fan.   | Consumers are to contact their dealer who will reroute the fuel line free of charge.   |
| 08/02 | Burlington Coat Factory<br>Warehouse Corp.<br>Burlington, NJ  Disney's Winnie the<br>Pooh, boy's and girl's<br>toddler sneakers w/<br>Velcro strap & zipper<br>styles #'s P072B &<br>P072G | The plastic decorative zipper pull-tab with metal ring could detach from the sneakers, presenting a potential choking hazard to young children. | Retailers stopped sale of<br>the sneakers and<br>removed decorative<br>zipper pull-tab with<br>metal ring from the<br>sneakers. The sneakers<br>were returned to store<br>shelves/floor after the<br>zipper pull-tab and ring<br>were removed. |

| Date  | Firm and Product   | <b>Alleged Hazard</b>  | Remedy  |
|-------|--|--|---|
| 08/02 | Cannondale Corporation<br>Bethel, CT<br>Gruppo, SPA - 3T Zepp<br>XL bike stem                          | The bike's stem may fail causing the rider to lose control and fall from the bike.   | The firm will provide a correctly sized stem bolt free to all owners and dealers and retailers. |
| 08/02 | Bike Pro, Inc.<br>Pico Rivera, CA<br>Baby walkers  | Use of the walkers may result in head injury, contusions, fractures, or lacerations.   | The firm offered consumers a refund of the purchase price.                                      |
| 08/02 | Oriental Trading<br>Company, Inc.<br>Omaha, NE<br>Plastic Galaxy Wand<br>battery-powered toy<br>#35/49 | The batteries may overheat presenting a potential for burn injuries.   | The firm offered owners a refund of the purchase price.   |
| 08/02 | Thrifty Paper Co., Inc.<br>Hempstead, NY<br>Electric fan models<br>SF16BK, DF16BK,<br>SF16BE, FL18     | The fans have undersized wiring, the power plug is not polarized, the grill fails to prevent finger entrapment and the unit overheats. | Consumers should contact the firm for a refund.   |
| 08/02 | Holophane<br>Newark, OH<br>Luminaire lamp models<br>Enduralume & Low<br>Profile Enduralume             | The glass portion of the luminaire optical assembly can crack, presenting risks of laceration injuries to consumers.                   | The firm provided replacement optical assemblies to owners.                                     |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 08/02 | Century Products, Inc.<br>Macedonia, OH  Century Travelite and Pioneer 4-in-1 strollers                           | The stroller can collapse and the car seat/carrier can fall to the ground.       | Consumers should contact Century Products to receive a free repair kit.  |
| 08/02 | Century Products, Inc. Macedonia, OH  Century Travel Solutions and Take 2 Travel Solutions 4-in-1 strollers       | The strollers can collapse<br>and the car seat/carrier<br>can fall to the ground | Consumers are to contact the firm to receive a free repair kit.  |
| 08/02 | Century Products, Inc.<br>Macedonia, OH<br>Century Pro Sport 4-in-1<br>stroller                                   | The stroller can collapse and the car seat/carrier can fall to the ground.       | Consumers should contact Century Products to receive a free repair kit.  |
| 08/02 | Motorola, Inc. Broadband<br>Communications Sector<br>Horsham, Pa<br>Motorola Digital Set-Top<br>Box model DCT2000 | Use of the product presents a potential shock hazard.                            | The cable service provider will inspect the boxes and, if warranted, Motorola will provide a free replacement product. |
| 08/02 | Carrier Guam<br>Syracuse, NY<br>Window air conditioner<br>model 77ZFA007101                                       | The corroded capacitor could leak and present a fire hazard.                     | The firm offered consumers a free inspection and repair of the product.  |
| 08/02 | Magnetic Poetry Inc.<br>Minneapolis, MN<br>Poetry beads #6025   | Use of the beads may cause lead poisoning.                                       | The firm removed the affected beads from the kit and a different colorant will be used to color beads.                 |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 08/02 | Shop Therapy<br>Provincetown, MA<br>Child's tie down woven<br>cotton top  | The garment is contaminated with kerosene and presents irritation, inhalation and flammability hazards.                                  | None of the units were sold. The entire shipment was destroyed. |
| 08/02 | Marvair/Airxcel, Inc.<br>Cordele, GA<br>Heater air conditioning<br>unit, ModPac II                                | The unit presents a potential fire hazard.   | The firm implemented an upgrade to correct the problem.         |
| 08/02 | Williams-Sonoma, Inc.<br>San Francisco, CA<br>Yellow wooden duck-<br>shaped riding toy w/<br>orange wheels & bill | The plastic wheel cap can break, allowing the wheel to come off releasing small parts which presents a choking hazard to young children. |   |
| 08/02 | MTD Products Inc.<br>Cleveland, OH<br>Yard Machine electric<br>lawn mower model 407                               | Sticks and stones may be<br>thrown from above the<br>grass bag area of the<br>product.   | The firm provided free replacement bags.                        |
| 08/02 | Koala Corporation<br>Englewood, CO<br>Infant seat cradle<br>designed to accommodate<br>infant seats/carriers      | Metal lanyards between<br>the legs may fail and<br>allow cradle to open<br>slightly due to increased<br>weight on top of product.        | The firm recalled the product.                                  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy   |
|-------|--|--|--|
| 08/02 | Briggs & Stratton<br>Milwaukee, WI<br>Three to five hp go-cart<br>engine model<br>series1352XX and<br>1362XX | When the engine is inverted or tipped, gasoline can leak from it and ignite, causing burns to consumers riding the fun-kart.   | Authorized service centers and dealers provided a free replacement of the engine's fuel tank assembly on the recalled engines. |
| 08/02 | Answer Products Inc. Valencia, CA Suspension fork "Manitou"  | The fork may forcefully eject its cap presenting a potential for eye, chin or facial injury.   | The firm is offering free replacement of caps to all owners, dealers, retailers.   |
| 08/02 | Todson Inc.<br>Foxboro, MA<br>Bicycle pump model Joe<br>Blow   | There is a potential for eye injuries from broken flying parts.  | The firm will replace the pump for consumers at no charge.   |
| 08/02 | Cavagna North America,<br>Inc.<br>Branchburg, NJ<br>Omeca propane tank<br>relief valve model 66-<br>1031     | Sharp internal edges can cut gasket seals and cause propane to leak, presenting a risk of fire or burn injuries to consumers.  | The firm will replace affected valves.   |
| 08/02 | System Sensor<br>St. Charles, IL<br>Notification device for<br>SpectrAlert alarm system<br>series            | The notification device<br>can fail to operate in the<br>event of a fire, presenting<br>a risk of serious injury to<br>consumers expecting to<br>be warned of fires. | The firm is offering a free replacement, and a \$15 credit for installed units returned through December 2002.                 |

| Date  | Firm and Product  | Alleged Hazard   | Remedy   |
|-------|---|--|--|
| 08/02 | Fluke Corporation<br>Everett, WA<br>Digital multimeter model<br>175, 177 & 179                          | The product is slow to display the presence of AC voltages above 500 volts which may cause the user to think voltage is not present, which may result in shock or electrocution. | The firm will repair affected units at no charge to consumers.   |
| 08/02 | Marin Bikes<br>Novato, CA<br>Bicycle frame on mt. bike<br>models Marin-Bobcat,<br>Hawk Hill & Palisades | The head tube can separate from the top and down tubes causing the rider to lose control and fall from the bike.   | The firm recalled the bikes and will replaced the frames or replace the entire bike free of charge to retailers and consumers. |
| 08/02 | Shindaiwa, Inc.<br>Tualatin, OR<br>Gasoline-powered hedge<br>trimmer, Models HT 231<br>& DH 231         | The catalytic muffler can overheat and melt the fuel tank presenting a potential fire hazard.  |  |
| 08/02 | Gem Stores, Inc.<br>Jamaica, NY<br>Electric fan #SF16-72  | The fan has defects that can cause electrocution, fire or finger entrapment hazards.   | Consumers should contact the firm for a replacement product or a refund of the purchase price.                                 |

| Date  | Firm and Product   | <b>Alleged Hazard</b>   | Remedy   |
|-------|--|---|--|
| 08/02 | Black & Decker (U.S.) Inc. Towson, MD  | The switch can malfunction and overheat presenting a potential fire hazard.     | 2  |
|       | Cordless drill/driver<br>models FS1802, HP932,<br>Q185, 15.6 volt, FS1560,<br>14.4 volt, CD632, HP532,<br>FS1442, Q145 |   |  |
| 08/02 | Black & Decker (U.S.)<br>Inc.<br>Towson, MD  | The field case (part of motor housing) may crack presenting electrical hazards. | The firm is offering a free repair.                                  |
|       | 10-inch table saw model BT2500   | erectrical nazaras.   |  |
| 08/02 | Polaris Industries Inc.<br>Minneapolis, MN   | Use of the product may cause the rider to crash or lose control of              | The firm offered free brake pad replacements to all owners and       |
|       | 2002 Polaris Snowmobile brake pads   |   | operators.   |
| 08/02 | Greenlee Textron, Inc.<br>Rockford, IL   | Use of the product presents an electrocution hazard.                            | The firm offered consumers a replacement meter.                      |
|       | Clamp-on-Meter models CM700 & CM750  | nazara.   |  |
| 08/02 | Halcyon Manufacturing<br>High Springs, FL  | The re-breather may fail causing hypoxia and drowning.                          | The firm will repair or replace the bellows to proper working order. |
|       | Scuba re-breather RB80   | arowning.   | proper working order.  |

| Date  | Firm and Product  | Alleged Hazard  | Remedy  |
|-------|---|---|---|
| 09/02 | The Toro Company<br>Bloomington, MN<br>Lawn-Boy walk-behind<br>lawn mower   | Thrown objects from the mower presents a risk of injury.  | The firm implemented a recall to replace the product.   |
| 09/02 | Popeil Inventions, Inc.<br>Chatsworth, CA<br>Ronco Showtime BBQ<br>electric rotisserie ovens<br>model 4000                        | The item presents an electrical shock hazard.             | Consumers with affected units should contact the firm for a free replacement of the unit's heating element. |
| 09/02 | Leifheit International<br>USA, Inc.<br>Melville, NY<br>Leifheit Pro Line apple<br>slicer and corer item #s<br>36802, 36803, 36804 | Use of the products presents a potential for lacerations. | The firm is offering owners a free replacement product or a refund.   |
| 09/02 | Polaris Industries Inc.<br>Minneapolis, MN<br>Smowmobile model year<br>2003   | There is a potential for braking problems.                | The firm replaced the brake calipers on all units.  |
| 09/02 | Wadsworth and Company<br>Houston, TX<br>Christmas wreath  | The wreath is composed of flammable materials.            | The firm offered refunds to consumers.  |

| Date  | Firm and Product  | Alleged Hazard  | Remedy   |
|-------|---|---|--|
| 09/02 | X L Machine LTD<br>Eden Prairie, MN<br>Toy chest model<br>Playskool #33074      | Screws in the chests' lid<br>support hinges can loosen<br>causing the lids of the toy<br>chests to collapse<br>suddenly, possibly<br>injuring children's heads,<br>necks, fingers or hands. |  |
| 09/02 | Eastman Kodak Co.<br>Rochester, NY<br>Kodak digital camera<br>model DC5000 Zoom | There is a possible shock hazard if the wire lead contacts the lens cover and the camera is held in a certain manner.   | The recalled cameras will be returned to Kodak and corrected, if necessary, at no charge to consumers. |
| 09/02 | Huffy Sports Co.<br>Sussex, WI<br>Vertical portable<br>basketball hoops         | A potential risk of injury is presented by the protruding bolt on the pole, 20-inches from the ground.  | The firm provided free bolt covers.  |
| 09/02 | ROHN Industries, Inc.<br>Peoria, IL<br>Internal flange pole                     | The poles may collapse due to weld failures.  | The firm will repair all poles free of charge.   |
| 09/02 | Chargetek<br>Oxnard, CA<br>Battery charger model<br>CT2000                      | A manufacturing defect<br>can cause internal<br>connections or external<br>wiring to overheat,<br>presenting a risk of fire to<br>consumers.  | The firm is offering a free replacement.   |

| Date  | Firm and Product  | Alleged Hazard   | Remedy  |
|-------|---|--|---|
| 09/02 | Miles Industries, LTD<br>North Vancouver, Canada<br>Valor natural gas or<br>propane fireplace models<br>837AN & 837AP | A design defect in the gas control assembly can allow main burner gas to prematurely enter the firebox during lighting. The ignition of this excess gas can cause the glass window to shatter, presenting a risk of burns or cuts from broken glass. | The firm is offering a free repair.   |
| 09/02 | Northern Tool & Equipment Co. Burnsville, MN  Electric powered air compressor #191000, 192000                         | The electric motor may overheat and ignite the plastic shroud presenting a potential fire hazard.  | Owners are to return the product to the firm for a refund of the purchase price.        |
| 09/02 | Kohler Co.<br>Kohler, WI<br>Shower door bracket,<br>Helios & FreeStyle<br>models                                      | The plastic bracket may break allowing door to fall and shatter.   | The firm recalled the shower doors offering consumers replacement doors free of charge. |
| 09/02 | Raleigh, USA Bicycle<br>Company<br>Kent, WA<br>Kinesis bike frame model<br>19" SC300                                  | The frame may fail<br>during use causing the<br>rider to fall  | The firm is offering a free replacement frame to all retailers and consumers.           |

| Date  | Firm and Product  | Alleged Hazard  | Remedy   |
|-------|---|---|--|
| 09/02 | Recreational Equipment<br>Inc. (REI)<br>Kent, WA  | The plastic decorative zipper pull-tab could detach from the jacket, presenting a potential choking hazard to young children. | Consumers should return jackets to nearest REI store for a full refund.                          |
|       | Children's fleece jackets SKU #s 686-786-0014, 0022, 0030, 0048, 0055, 686-786-0063, 0071, 0089, 0097, 0105 |   |  |
| 09/02 | Team Beans, LLC<br>East Brunswick, NJ   |   | Consumers should mail<br>the key chains to Team<br>Beans for a refund or<br>credit plus postage. |
|       | Coca Cola Racing Family<br>4-inch keychains w/ plush<br>polar bears   |   |  |
| 09/02 | Puleo Tree Company<br>S. Plainfield, NJ   | The base may overheat presenting a potential fire hazard.   | The firm recalled and disposed of all product.   |
|       | Fiber optic Christmas tree base   |   |  |
| 09/02 | Black & Decker (U.S.)<br>Inc.<br>Towson, MD   | The electric circuitry may overheat and ignite.   | A free repair will be provided to affected consumers.  |
|       | Cordless electric mowers<br>Black & Decker<br>CMM1000 &<br>CMM10002 & Craftsman<br># 900.37052              |   |  |

| Date  | Firm and Product   | Alleged Hazard   | Remedy  |
|-------|--|--|---|
| 09/02 | Performance, Inc.<br>Chapel Hill, NC<br>Forte Pro Stix bicycle bar<br>ends   | The bar ends may break causing the rider to lose control of the bicycle.         | The firm will replace the original product with better quality bar ends or offer consumers a full refund. |
| 09/02 | Nikon Inc.<br>Melville, NY<br>Nikon Coolpix 2000<br>digital camera   | The batteries may short circuit, creating a thermal burn hazard if touched.      | Nikon will provide a free<br>replacement Coolpix<br>2000 digital camera to<br>affected consumers.         |
| 09/02 | McDonald's Corporation<br>Oak Brook, IL<br>Bobble head figurines of<br>NFL football players<br>Brian Erlach & Anthony<br>Thomas                                    | The paint on some of the figurines contain excess amounts of lead.               | Consumers are to return the product for a refund.   |
| 09/02 | BCI Burke Company<br>Fond du Lac, WI<br>Buckle Bridge on 2000<br>series playground<br>equipment #'s 200-0101,<br>0102, 0110, 0111, 0129,<br>0130, 0131, 0132, 0134 | There is a potential for<br>the bridge to crack and<br>detach from the platform. | The firm will repair the bridges using new brackets to attach them to platforms.                          |
| 09/02 | Value City Department<br>Stores, Inc.<br>Columbus, OH<br>Red Devil outdoor gas<br>grill # REDO 011000,<br>SK20Y-3.0A, 45100-<br>1/Red Devil                        | The grill may collapse presenting fire or burn hazards.                          | The firm stopped sales and offered consumers a refund or store credit for the return of the product.      |

## **APPENDIX G: Litigation and Settlements**

## **Litigation and Settlements**

Part I of this appendix summarizes the federal court cases, categorized by type, to which the commission was a party during fiscal year 2002. Part II summarizes the civil penalty settlement agreements accepted by the commission during fiscal year 2002.

## Part I

## Civil Penalty/Injunction Cases

U.S. v. Mirama Enterprises, Inc. D/B/A Aroma Housewares Company, No. 00 CV 2269 K (LAB) (S.D. Calif.) and No. 02-56466 (9<sup>th</sup> Cir.). Beginning in January 1998, Aroma received reports of its juicer shattering. Through October 1998, it had received 19 such incident reports, including 13 injuries. Aroma failed to report this information to the commission. On November 9, 2000, the government filed a complaint seeking a civil penalty and injunction. On December 13, 2000, Aroma filed an answer. On November 29, 2001, the government filed a motion for summary judgment, which Aroma opposed on January 7, 2002, and to which the government replied on January 11, 2002. On January 23, 2002, Judge Keep granted summary judgment for the government on liability, and she amended her order on February 12, 2002. Following a three-day hearing on the amount of penalty, Judge Keep ordered from the bench that Aroma pay \$300,000 plus costs (\$100,000 and costs forthwith, and \$200,000 within 9 months). On August 20, 2002, Aroma filed a notice of appeal.

U.S. v. Wal-Mart Stores, Inc., Sam's East, Inc., Sam's West, Inc. and Icon Health and Fitness, Inc., No. PJM-01-CV-1521 (D. Md.). Prior to October 1996, Icon made and distributed three types of exercise machines. They had a defective link-arm that permitted the seat to unexpectedly disconnect during use, causing the user to fall abruptly. Between 1996 and 1999, Wal-Mart and Sam's Club sold more than 50,000 pieces of this equipment. Despite knowing of numerous injuries, including nearly 30 that occurred in the retail stores while consumers were trying out the equipment, the companies failed to report to CPSC. On May 24, 2001, the government filed a complaint seeking a civil penalty and injunction. On June 21, 2001, the defendant companies filed answers. On November 27, 2001, Judge Messitte entered a Stipulated Judgment and Order settling the case against Icon for a \$500,000 civil penalty. On March 15, 2002, the government filed a motion for summary judgment. On March 29, 2002, Magistrate Judge Day granted the government's motion for a protective order barring the deposition of former CPSC Chairman Ann Brown. On April 12, 2002, Wal-Mart (the only remaining defendant) filed an opposition to the government's motion for summary judgment, a cross-motion for summary judgment, and an objection to the order on Ann Brown's deposition. [Discovery in this case began in July 2001 and ended in May 2002.] On May 8, 2002, the government filed an opposition to Wal-Mart's motion for summary judgment, a reply on its own summary judgment motion, and a response on the Ann Brown decision. Judge Messitte heard oral argument on July 19, 2002 on the cross

motions for summary judgment and denied them both. On July 24, 2002, Judge Messitte adopted Magistrate Judge Day's order on the Ann Brown deposition.

U.S. v. Weed Wizard Acquisition Corporation; Easy Gardener, Inc.; and U.S. Home and Garden Inc., No. DKC-2002-2290 (D. Md.). By July 1999, Weed Wizard Acquisition Corporation and related companies were aware of at least 30 incidents caused by the detaching during use of the metal chain links on weed trimmer attachments. In most of the incidents, the chain links caused penetration wounds and lacerations to users' or bystanders' legs, heads, necks, ankles or feet. In one incident, the product killed a 3-year-old girl when a link detached, flew off, and struck her in the head. The companies failed to report any of this information to CPSC until the staff asked for information on the product. And the companies refused to provide subpoenaed information. On July 7, 2002, the government filed a complaint, which the defendant companies answered on July 30. On August 13, 2002, the defendants filed a motion to dismiss for lack of *in personam* jurisdiction. The government filed an opposition on August 22, and the defendants filed a reply on August 30. On September 16, 2002, the government moved for leave to file an amended complaint naming three additional parties. On September 19, 2002, Judge Chasanow granted the defendants' motion and dismissed the case for lack of *in personam* jurisdiction.

**U.S. v. Ameri-China International, Inc. and Austin Wu**, No. CV-01-04936 (C. D. Calif.). Between December 1995 and December 1999, Ameri-China imported six types of toys that failed to comply with the small parts requirements; six types of toys that failed to comply with the toy labeling requirements; and one flammable aerosol spray can that failed to comply with Federal Hazardous Substances Act requirements. On June 4, 2001 the government filed a complaint for a civil penalty and injunction, and on July 16, 2001 the defendants filed an answer. On October 22, 2001 discovery started. On June 14, 2002, the parties signed an agreement to settle the case for a civil penalty of \$140,000 and an injunction ordering the defendants to comply with the Federal Hazardous Substances Act and the CPSC's toy regulations. On July 2, 2002 the judge signed the consent decree.

U.S. v. Shelton Wholesale, Inc. (d/b/a Shelton Fireworks), Polaris Fireworks, Inc. and Gregory Shelton, an individual, No. 96-6131-CV-SJ-1 (W. D. Mo.) and In re: Gregory P. Shelton, petitioner, No. 99-4057WMSJ (8th Cir.). Over a 4-year period, Shelton Fireworks imported 23 different shipments of fireworks that failed to comply with CPSC's regulations and with the Federal Hazardous Substances Act. On October 2, 1996 the government filed a complaint seeking a civil penalty and injunction. The defendants (collectively, "Shelton Fireworks") filed an answer on November 29, 1996. Between July 1997 and April 1998, the parties engaged in discovery, including depositions, interrogatories, and document production. The government moved for summary judgment on February 13, 1998, and Shelton Fireworks moved for summary judgment on March 3, 1998. On April 28, 1998, the court ruled on the cross motions for summary judgment and found that: (a) CPSC has jurisdiction to regulate fireworks, (b) Shelton Fireworks's products were in interstate commerce, (c) the doctrine of laches does

not preclude CPSC from seeking civil penalties, (d) CPSC may rely for injunctive relief on violative fireworks that it permitted Shelton Fireworks to sell, (e) CPSC may use sampling to condemn a whole fireworks shipment, (f) the issue of non-random sampling is reserved for trial, (g) Shelton Fireworks's due process arguments lack merit, (h) the statistical validity of a sample that disregards the size of the shipment is reserved for trial, (i) Shelton Fireworks's claim that fuse burn values of 2.51 and higher must be rounded up to 3 is not adopted, (j) Shelton Fireworks's claim about the labeling of small festival balls is wrong, (k) Shelton Fireworks's arguments about the corporate and individual distinctiveness of the three importers are reserved for trial, (l) CPSC's assertion that Shelton Fireworks acted "knowingly" is reserved for trial, (m) there is jurisdiction over the NFA case, and (n) there is no evidence to support Shelton Fireworks's claim that CPSC took "unjustified actions and threats" against Shelton Fireworks.

From May 11-15, 1998, the parties presented evidence at a bench trial (a non-jury trial before the judge). Both sides filed post-trial briefs on August 3, 1998. The court heard oral argument on November 5, 1998. On January 6, 1999, the court ordered Shelton Fireworks to pay a \$100,000 fine, and enjoined Shelton Fireworks from importing violative fireworks in the future. On April 16, 1999, the government moved for summary judgment against Gregory Shelton (he had been added as a defendant in 1997, but was not involved in the bench trial because he had requested a jury trial). After Mr. Shelton opposed the government's motion and the government replied, the court granted the motion in part and denied it in part on September 21, 1999. The court enjoined Mr. Shelton from knowingly or recklessly importing products violative of the CPSC's fireworks regulations. The court further ruled that Mr. Shelton's violations had been established, but whether the violations occurred "knowingly" cannot be determined as a matter of law and would be a question for a jury. Pending appeal, Shelton moved for a stay of enforcement, seeking to post a \$25,000 bond instead of paying the \$25,000 portion of the fine due on August 1, 1999. The government asked for a \$50,000 bond, to cover two installment payments. On July 30, 1999, the court set a bond of \$50,000.

On November 20, 1999, Shelton Fireworks petitioned the U.S. Court of Appeals for the Eighth Circuit for a writ of mandamus seeking an order to the district court (1) to vacate the September 22, 1999 order entering summary judgment, (2) to grant Gregory Shelton a jury trial on all issues, and (3) to set aside judgment against the corporate defendants. On December 11, 1999, the government filed a response to the mandamus petition. On January 11, 2000, the 8th Circuit denied a writ of mandamus, stating that whether any party has a right to a trial by jury can be determined in a timely appeal.

On September 18, 2000, the government filed with the district court a Motion for Reconsideration Regarding Liability of Gregory Shelton for Civil Penalties and Suggestions in Support. Mr. Shelton responded to that motion on October 31, 2000, and the government replied on November 14, 2000. On December 12, 2000 the court denied the government's motion for reconsideration.

On April 2, 2001, the Eighth Circuit granted Shelton Fireworks' motion to

consolidate this case, for appeal, with *Greg Shelton, Shelton Wholesale, Inc. and The National Fireworks Association, Ltd. v. CPSC*, No. 97-6021-CV-SJ-4-6 (W. D. Mo.) and No. 99-1450WMSJ (8th Cir.), discussed below. Shelton Fireworks filed its opening brief on May 4, 2001, the government filed its responsive brief on June 4, 2001, and Shelton Fireworks filed its reply brief on June 18, 2001. The Eighth Circuit heard oral arguments on September 10, 2001. On January 23, 2002, the Eight Circuit affirmed the district court, 3-0, on all four issues presented on appeal: the CPSC's statutory authority to regulate fireworks; due process; the applicability of the hearsay objection to the government's evidence; and the waiver of the corporate defendants of its rights to a jury trial. On June 27, 2002, the Shelton companies petitioned the Supreme Court for certiorari.

**U.S. v. American Buyers, Inc. and Sid Richmond**, No. LR-C-99-384 (E.D. Ark.). American Buyers bought and sold cigarette lighters that lacked child-resistant features and failed to comply with CPSC's safety standard. On May 28, 1999, the government filed a complaint seeking a civil penalty and an injunction. On June 21, 1999, the defendants filed an answer. On December 27, 1999, the government filed a joint motion to stay the litigation pending the outcome of the *U.S. v. The Customer Company, Inc., et al.* case (discussed below), in which American Buyers had become a third-party defendant. On December 28, 1999, the court granted the government's motion. On September 26, 2000, the government filed an application to reopen the case, based on dismissal of American Buyers from the *Customer Company* case. On March 1, 2001 the court granted the application to reopen. On July 5, 2002, the parties settled the case by filing a consent decree that required the defendants to take special steps to assure compliance of their lighters with the CPSC's regulations. The judge entered the consent decree of permanent injunction on July 18, 2002.

**U.S. v. Popular Products, Inc. and Charles Heflin**, No. 00-3007 MI BRE (W.D. Tenn.). Popular Products bought and sold cigarette lighters that lacked child-resistant features and failed to comply with CPSC's safety standard. On October 24, 2000, the government filed a complaint seeking a civil penalty and an injunction. On January 5, 2001, the defendants filed an answer. On January 26, 2001, the defendants filed a motion to dismiss the case based on a statute of limitations defense. The government filed an opposition to that motion on February 21, 2001, and the court denied it on March 5, 2001. On August 3, 2001, the government filed a motion for partial summary judgment. On November 30, 2001, the parties settled this case for a civil penalty of \$180,000.

U.S. v. The Customer Company, Inc., Premium Tobacco Stores, Inc. d/b/a Cigarettes Cheaper, Ned Roscoe, John Roscoe, and Mark Baldwin, No. CIV S-99-1044-MLS-DAD) (E.D. Calif.). The defendants bought and sold cigarette lighters that lacked child-resistant features and failed to comply with the CPSC safety standard. On May 27, 1999, the government filed a complaint, along with a consent decree of permanent injunction. The defendants must obtain certification that the lighters they buy are child-resistant; visually inspect the lighters; train their employees; and take other steps to assure the conformity of their cigarette lighters to the CPSC standard. On June

- 22, 1999, the defendants filed an answer. On August 25, 1999, the defendants filed a third-party complaint for indemnity against American Buyers (case discussed above), which the government did not oppose. On September 8, 1999 American Buyers became a third-party defendant. Between September 1999 and September 2000, the parties engaged in discovery. On September 8, 2000, both sides filed pre-trial documents. On September 11, 2000, the defendants filed an offer of judgment, with judgment in favor of the government and defendants to pay \$181,000 plus taxable court costs to date. On September 15, 2000, on motion of defendants, the court dismissed without prejudice the case against American Buyers. On December 11, 2000, the court granted the defendants' request to postpone the January 8, 2001 trial date. On October 2, 2001, the parties settled the case for a civil penalty of \$225,000.
- U.S. v. Lucky Toys, Inc.; Tin H. Ko; Huu D. Thai [Steve Thai]; Union Import, Inc.; Tin Chwee Ko; Seven Stars Toys Imports, Inc.; Tin Cheng Ko; Rita T. Chin; 333 Imports, Inc.; and Harry Chin, No. CV2-4077 SVW (C.D. Calif.). Since 1997, four companies and six individuals located in Los Angeles imported over 360,000 violative toys of 50 different types. Following each violation, CPSC's field office sent letters of advice to the companies, but the violations continued to occur. On May 21, 2002, the government filed a complaint. Two defendant companies and three individual defendants answered on July 12, 2002. The remaining two companies and three individuals answered on July 19. On August 30, 2002, the court ordered all the defendants to provide financial information to the government.
- **U.S. v. STK International, Inc. and Stuart T. Kole,** Civ. No. 02-7093 (C.D. Calif.). In 1997, STK International paid a civil penalty of \$80,000 for violating the Federal Hazardous Substances Act. Then, it violated the FHSA 24 additional times, including eight small parts violations and one rattle violation. On September 11, 2002, the government filed a complaint seeking a civil penalty and injunctive relief, along with a consent decree signed by the defendants. On September 13, 2002, the court entered the decree which ordered STK and Mr. Kole to pay a civil penalty of \$150,000, to comply with CPSC's toy and art materials regulations, and to conduct pre-importation age grading and small parts testing.
- **U.S. v. General Electric Company, Inc.** Between 1983 and 1989, General Electric Company, Inc. (GE) manufactured approximately 3.1 million dishwashers under the GE and Hotpoint brand names that incorporated in their design a slide switch enabling consumers to choose between a heated or non-heated drying cycle. Over time, the slide switch can deform, melt, and/or ignite. This defect in the slide switch resulted in more than 150 fires, including at least 30 structural fires. The first major fire of which the staff was aware and of which the staff knew GE had notice occurred in 1991. GE did not report the problem to the CPSC until November 1998. On August 7, 2002, the parties signed a settlement agreement that required the General Electric Company to pay a civil penalty of \$1 million.

#### **Criminal Cases**

- **U.S. v. Steve Thai**, No. CR 01 419 (C.D. Calif.). Between November 1995 and February 1999, Super Rambo, a company owned and run by Steve Thai, imported toys with small parts, violative rattles, and improperly labeled toys. The government filed an information against Steve Thai on September 1, 2000, after Super Rambo was no longer in business. Mr. Thai was arraigned on June 25, 2001. He signed a plea agreement on August 8, 2001, and entered a guilty plea to four misdemeanor counts of violating the Federal Hazardous Substances Act on August 13, 2001. On April 8, 2002, the judge sentenced Mr. Thai to 3 years probation, a \$20,000 fine, and testing and evaluation of all toys to be imported by any company that Thai is associated with.
- **U.S. v. Kenneth Shearer**, No. 1:01-CR-49 (D. Ind.). Mr. Shearer operated All American Professional Fireworks, a retail fireworks business in Angola, Indiana that was not licensed by ATF. During 1999, he sold display fireworks without an ATF license. He also tampered with the markings on display fireworks by placing false labels on them. Further, he received display fireworks without an ATF license. On July 25, 2001, Mr. Shearer was indicted. He was arraigned and pled not guilty on July 27, 2001. Following a trial, the jury on June 20, 2002 returned a verdict of guilty on four counts.
- **U.S. v. STK International, Inc.,** No. CR 02-950 (C.D. Calif.). In 1997, STK International paid a civil penalty of \$80,000 for violating the Federal Hazardous Substances Act. Then, it violated the FHSA 24 additional times, including eight small parts violations and one rattle violation. On August 28, 2002, the parties signed a plea agreement. On September 3, 2002, the government filed an information specifying misdemeanor charges.
- **U.S. v. Richard T. Bogen**, No. 01-0104M-X-01 (W.D. Wisc.). Mr. Bogen sold display fireworks to a CPSC investigator. Then, the government obtained and executed a criminal search warrant and seized nine cases of display fireworks. On September 5, 2001, Mr. Bogen pled guilty to one count of illegal storage of explosive materials in violation of 18 U.S.C. § 842(j) and one count of illegal distribution of a banned hazardous substance under the Federal Hazardous Substances Act. On November 7, 2001, the court sentenced Mr. Bogen to three years probation and a fine of \$500, and ordered him to pay restitution of \$240.
- **U.S. v. Chuck Bai-Fun Chen**, Crim. No. H-01-791 (S.D. Texas). Chuck Bai-Fun Chen made false statements to a CPSC investigator in response to specific inquiries regarding his importation, sale, and current inventory of potentially hazardous extension cords and Christmas lights. After importing non-UL-approved extension cords, Mr. Chen affixed counterfeit UL labeling to the cords, and sold them as UL-approved products. Testing of the extension cords and the Christmas lights showed that they did not meet minimal applicable UL standards and could present a significant risk of death or injury to those who used them. Mr. Chen also engaged in a dual invoicing scheme in which false statements were made on import documents to understate the value of products Mr. Chen

imported and to reduce the amount of importation duties he paid. On October 24, 2001, a grand jury indicted Mr. Chen, and he was arraigned on November 8, 2001. On January 22, 2002, Mr. Chen pled guilty to one felony count of false statements to a CPSC investigator and one felony count of false statements on Customs importation forms. On June 14, 2002, the court sentenced Mr. Chen to 14 months in jail, a fine of \$30,000, and 3 years of supervised release.

- **U.S. v. Charles F. Smith**, No. 02-30023 (C.D. Ill.). In June 2001, Mr. Smith stored explosive materials in violation of Treasury Department regulations, by including flash powder explosive devices and UN0335 display fireworks in a shed that failed to meet construction and location requirements for storage magazines. On March 19, 2002, Mr. Smith pled guilty to one misdemeanor count for violating 18 U.S.C. §§ 842(j) and 844(b). The court sentenced him the same day to 36 months probation and placed restrictions on his involvement in the fireworks business.
- **U.S. v. Victor Dell**, No. 02-CR-126a (W.D. Wa.). From June through October 1999, Mr. Dell, along with co-defendant Donald Rockwell, conspired to violate Title 18, U.S.C. § 842(a)(1), by manufacturing and dealing in flash powder devices (e.g., M-100s, M-500s, M-1000s). As part of this scheme, Mr. Dell purchased more than 500 pounds of chemicals and paid more than \$4000 for paper tubes and plastic end caps. On April 24, 2002, Mr. Dell was indicted on one count of conspiracy and two counts of manufacturing explosive materials without a license. On August 9, 2002, Mr. Dell plead guilty to the conspiracy charge which carries a maximum penalty of 10 years imprisonment and fine of \$250,000.
- **U.S. v. Donald Rockwell**, No. 02-CR-126b (W.D. Wa.). From June through October 1999, Mr. Rockwell, along with co-defendant Victor Dell, conspired to violate Title 18, U.S.C. § 842(a)(1), by manufacturing and dealing in illegal flash powder devices. As part of this scheme, Mr. Rockwell stored illegal components (including flash powder, plastic end-caps, and containers of aluminum powder) in the basement of one of his houses. On October 21, 1999, the house caught on fire; fortunately, the local fire department extinguished the fire before there was an explosion. On April 24, 2002, Mr. Rockwell was indicted on one count of conspiracy and one count of manufacturing explosive materials without a license.
- **U.S. v. Leonard Wayne**, No. 02-CR-213a (W.D. Wa.). On June 29, 2001, and July 4, 2001, undercover officers purchased illegal fireworks from Mr. Wayne's fireworks stand located on an Indian reservation outside of Seattle. The devices included M-500s and an M-2000. On June 26, 2002, Mr. Wayne was indicted on one count of conspiracy, in violation of 18 U.S.C. §§ 371 and 844(n); and two counts of dealing in explosive materials without a license, in violation of 18 U.S.C. §§ 842(a)(1), 844(a) and 2. On August 27, 2002, Mr. Wayne pled guilty to one charge of dealing in explosive materials without a license.
- **U.S. v. Kevin Lobehan**, No. 02-CR-213b (W.D. Wa.). On July 4, 2002, Mr. Lobehan, in

association with Leonard Wayne, sold illegal fireworks to an undercover officer. On June 26, 2002, Mr. Lobehan was indicted on one count of conspiracy, in violation of 18 U.S.C. §§ 371 and 844(n); one count of dealing in explosive materials without a license, in violation of 18 U.S.C. §§ 842(a)(1), 844(a) and 2; and one count of receipt and delivery of a banned hazardous substance, in violation of 15 U.S.C. §§ 1263(c) and 1264(a). On August 27, 2002, Mr. Lobehan pled guilty to the count that charged him with delivery of a banned hazardous substance.

- U.S. v. Raymond Secena, Jr., No. 02-CR-5616a (W.D. Wa.). On July 1, 2001, undercover ATF agents and CPSC investigators bought illegal fireworks from Mr. Secena's fireworks stand located on an Indian reservation outside of Seattle. The devices included an M-500 and M-5000. On June 26, 2002, Mr. Secena was indicted on one count of dealing in explosive materials without a license in violation of 18 U.S.C. § 842(a)(1) and (2); unlawful storage of explosive material in violation of 18 U.S.C. § 842(j), 844 (b) and 2; and receipt and delivery of a banned hazardous substance in violation of 15 U.S.C. §§ 1263(c) and 1264(a). On September 9, 2002, Mr. Secena pled guilty to the count that charged him with dealing in explosive materials without a license.
- U.S. v. Albert Ortivez, III, No. 02-CR-5616b (W.D. Wa.). On July 1, 2001, undercover ATF agents and CPSC investigators bought illegal fireworks from Mr. Ortivez, an employee at Raymond Secena Jr.'s fireworks stand. The devices included an M-500 and M-500. On June 26, 2002, Mr. Ortivez was indicted on one count of dealing in explosive materials without a license in violation of 18 U.S.C. § 842(a)(1) and (2); and receipt and delivery of a banned hazardous substance in violation of 15 U.S.C. § 1263(c) and 1264(a). On August 27, 2002, Mr. Ortivez pled guilty to the count that charged him with receipt and delivery of a banned hazardous substance.
- **U.S. v. Sam Hugh**, No. 02-CR-274 (D. Hawaii). Beginning in March 1999, Sam Hugh, the vice president of Ham Produce Seafood, Inc., imported display fireworks without a license. On June 20, 2002, Mr. Hugh was indicted on one count of engaging in the business of importing and dealing in display fireworks without a license to do so, in violation of 18 U.S.C. §§ 842(a)(1) and 844(a); knowingly receiving explosive devices in foreign commerce, in violation of Title 18 U.S.C. §§ 842(a)(3)(A) and 844(a); and knowingly introducing and importing into commerce 1.3G display fireworks by means of false and fraudulent declarations, in violation of 18 U.S.C. § 542.

#### Seizure Case

U.S. v. Articles of Banned Hazardous Substance consisting of two boxes, each containing 50 pest control devices..., No. 01 CV 0545B(J) (N.D. Okla.). On June 29, 2001, a CPSC investigator made an undercover purchase of 10 "pest control devices" from Bobby Strickland, Jr., of Way-Low Fireworks in Hominy, Oklahoma. On July 2, 2001, the investigator returned and determined that Way-Low had some 150 additional pest control devices available for sale. The devices are banned hazardous substances under the Federal Hazardous Substances Act. On July 26, 2001, the government filed a

complaint for forfeiture, and on July 27, 2001 the court ordered a warrant of arrest. On August 7, 2001, the defendant devices were served. On November 22, 2001, the court ordered the devices forfeited since no claims or answers had been filed.

#### **Cases Against the Commission**

The Reliable Automatic Sprinkler Co., Inc. v. CPSC, No. 1:01-CV-00025 (D.D.C.). CPSC had underway an investigation of the safety of Model A Flush fire sprinklers. Reliable maintained that the products are not "consumer products" under the Consumer Product Safety Act, and therefore fall outside of CPSC's authority. On September 13, 2000, Reliable filed a complaint seeking a declaratory judgment, but did not serve the complaint on the government. On January 8, 2001, Reliable voluntarily dismissed the lawsuit without prejudice. On January 8, 2001, Reliable filed a second (identical) complaint, which it served on the government on May 7, 2001. On July 17, 2001, the government filed a motion to dismiss the case. Reliable filed an opposition on August 31, 2001, and the government filed a reply on October 12, 2001. On November 27, 2001, Judge Huvelle granted the government's motion to dismiss, based on the lack of subject matter jurisdiction over Reliable's claim. On January 1, 2002, Reliable filed a notice of appeal. Reliable filed its appellate brief on May 16, 2002, the government filed its brief on June 17, 2002, and Reliable filed its reply brief on July 1, 2002.

Sunbeam Corporation v. CPSC, No. 1:01-CV-02273 (D.D.C.). CPSC had underway an investigation of the safety of Star ME-1 fire sprinklers. On October 9, 2001, the staff filed an administrative complaint against five companies, including Sunbeam and its subsidiary Chemetron Corporation, seeking corrective action. Sunbeam contractually assumed Chemetron's Star ME-1 liability. Sunbeam maintained that it is not responsible and that CPSC lacks jurisdiction over the sprinklers at issue. On October 31, 2001, Sunbeam filed a complaint seeking a declaratory judgment ruling that the sprinklers are not consumer products and are not subject to CPSC's regulatory jurisdiction. On November 9, 2001, Sunbeam filed a motion requesting a status conference, which the government opposed on November 19. On December 11, 2001, Sunbeam filed a notice of dismissal without prejudice.

**Greg Shelton, Shelton Wholesale, Inc. and The National Fireworks Association, Ltd. v. CPSC**, No. 97-6021-CV-SJ-4-6 (W. D. Mo.) and No. 99-1450WMSJ (8th Cir.). Since 1969, the Federal Hazardous Substances Act has imposed statutory restrictions on the distribution of certain fireworks devices. Under regulations of the Food and Drug Administration and CPSC, additional restrictions have existed. Under those statutory and regulatory provisions, CPSC takes enforcement actions against the products of Shelton and members of the National Fireworks Association. On February 14, 1997, the plaintiffs filed a complaint and motion for preliminary injunction, alleging that CPSC acted illegally. On March 4, 1997, the government filed a motion to dismiss. On April 10, 1997, the judge filed a Memorandum to Counsel, to which both sides responded. On May 8, 1997, the court denied the plaintiff's motion for a preliminary injunction and the government's motion to dismiss. Between July 1997 and April 1998, the parties engaged

in discovery, including depositions, interrogatories, and document production. The government moved for summary judgment on February 13, 1998, and the plaintiffs moved for summary judgment on March 3, 1998. On April 28, 1998, the court ruled on the cross motions for summary judgment and found that: (a) CPSC has jurisdiction to regulate fireworks, (b) Shelton's products were in interstate commerce, (c) the doctrine of laches does not preclude CPSC from seeking civil penalties, (d) CPSC may rely for injunctive relief on violative fireworks that it permitted Shelton to sell, (e) CPSC may use sampling to condemn a whole fireworks shipment, (f) the issue of non-random sampling is reserved for trial, (g) Shelton's and NFA's due process arguments lack merit, (h) the statistical validity of a sample that disregards the size of the shipment is reserved for trial, (i) Shelton's and NFA's claim that fuse burn values of 2.51 and higher must be rounded up to 3 is not adopted, (i) Shelton's and NFA's claim about the labeling of small festival balls is wrong, (k) Shelton's arguments about the corporate and individual distinctiveness of the three importers are reserved for trial, (1) CPSC's assertion that Shelton acted "knowingly" is reserved for trial, (m) there is jurisdiction over the NFA case, and (n) there is no evidence to support Shelton's claim that CPSC took "unjustified actions and threats" against Shelton.

From May 11-15, 1998, the parties presented evidence at a bench trial (a non-jury trial before the judge). Both sides filed post-trial briefs on August 3, 1998. The court heard oral argument on November 5, 1998. On January 6, 1999, the court denied Shelton's and NFA's remaining due process claims and entered judgment for CPSC. On February 5, 1999, Shelton and NFA filed notices of appeal. On March 31, 1999, the Court of Appeals issued an order to show cause why the appellate proceedings should not be held in abeyance pending any further appeal by the Shelton parties in the "fine" case. On April 5, 1999, the Court of Appeals suspended the briefing schedule pending resolution of its show cause order. On April 16, 1999, both sides responded to the show cause order. On April 28, 1999, the Court of Appeals ordered the appeal held in abeyance pending a final judgment in the "fine" case.

On April 2, 2001, the Eighth Circuit consolidated this case for appeal with U.S. v. Shelton Wholesale, Inc. (d/b/a Shelton Fireworks), Polaris Fireworks, Inc. and Gregory Shelton, an individual, No. 96-6131-CV-SJ-1 (W. D. Mo.) and In re: Gregory P. Shelton, petitioner, No. 99-4057WMSJ (8th Cir.), discussed above. Shelton and NFA filed their opening brief on May 4, 2001, the government filed its responsive brief on June 4, 2001, and Shelton and NFA filed their reply brief on June 18, 2001. The Eighth Circuit heard oral arguments on September 10, 2001. On January 23, 2002, the Eight Circuit affirmed the district court, 3-0, on all four issues presented on appeal: the CPSC's statutory authority to regulate fireworks; due process; the applicability of the hearsay objection to the government's evidence; and the waiver of the corporate defendants of its rights to a jury trial. On June 27, 2002, the Shelton companies petitioned the Supreme Court for certiorari.

## Part II: Civil Penalty Agreements Accepted by the Commission (Excludes OGC Settled and Litigated Cases)

*In the Matter of Honeywell Consumer Products, Inc.*, CPSC No. 02-C0001-Honeywell settled staff allegations that it failed to report defects in three electrical products by paying a civil penalty of \$800,000.

*In the Matter of MTS Products, Inc.*, CPSC No. 02-C0002-MTS settled staff allegations that it failed to report a defect in the handle of some of its infant carriers by paying a civil penalty of \$75,000.

*In the Matter of Regent International Corporation, Inc.*, CPSC No. 02-C0003- Regent settled staff allegations that it had violated the requirements of the Flammable Fabrics Act and the pertinent regulations by paying a civil penalty of \$75,000.

*In the Matter of Peg Perego USA, Inc.*, CPSC No. 02-C0004- Peg Perego settled staff allegations that it failed to report certain defects in its battery operated ride-on toys by paying a civil penalty of \$150,000.

*In the Matter of Golden Gift, LLC*, CPSC No. 02-C0005-Golden Gift settled staff allegations that it failed to comply with regulations governing children's products under the Federal Hazardous Substances Act by paying a civil penalty of \$125,000.

*In the Matter of Briggs & Stratton Corp.*, CPSC No. 02-C0006-Briggs & Stratton settled staff allegations that it failed to report defects in its fun kart engines by paying a civil penalty of \$400,000.

*In the Matter of Aerus, LLC*, CPSC No. 02-C0007-Aerus LLC (formerly Electrolux, LLC) settled staff allegations that it failed to report defects in certain vacuum cleaners by paying a civil penalty of \$250,000.

# **APPENDIX H: Index of Products Regulated** by CPSC

### **Index of Products Regulated By CPSC**

|  |              |  | Reference Source<br>Title 16 CFR           |
|--|--------------|--|--|
| Product  | Act          | Type of Regulation                                 | Part Number                                |
| Acetaminophen  | PPPA         | special packaging exemption                        | 1700.14(a)(16)<br>1700.14(a)(16)(i),(ii)   |
| Acetic acid  | HSA          | labeling   | 1500.129(g)                                |
| Acetonitrile (see glue remover)<br>Adhesives,<br>containing methyl alcohol | HSA<br>HSA   | labeling exemption from                            | 1500.133<br>1500.83(a)(34)                 |
| nitrocellulose base  | HSA          | labeling<br>exemption from                         | 1500.83(a)(10)                             |
| floor covering   | HSA          | labeling<br>exemption from<br>labeling             | 1500.83(a)(13)                             |
| extremely flammable contact  | CPSA<br>CPSA | ban<br>to be regulated<br>under CPSA               | 1302<br>1145.3                             |
| Aerosols (see self-pressurized products)                                   | HSA          | suggested labeling                                 | 1500.130                                   |
| Aluminized polyester film kite   | HSA          | ban  | 1500.18(c)(1)                              |
| Ammonia, ammonia water (house-hold), ammonium hydroxide                    | HSA          | labeling   | 1500.129(g)                                |
| Antennas<br>(CB base station and TV)<br>(Omnidirectional CB base station)  | CPSA<br>CPSA | labeling requirement performance and certification | 1402<br>1204                               |
| Antifreeze, ethylene glycol  | HSA          | labeling   | 1500.14(a)(2),                             |
| Antiquing kits   | PPPA<br>HSA  | special packaging<br>exemption from<br>labeling    | (b)(2)<br>1700.14(a)(11)<br>1500.83(a)(25) |
| Appliances, coal and wood-burning  | CPSA         | provision of perfor-<br>mance and technical        | 1406                                       |
| Architectural glazing materials  | CPSA         | data<br>safety standard                            | 1201                                       |
| Art materials  | HSA<br>HSA   | exemption from ban labeling                        | 1500.85(a)(4)<br>1500.14(b)(8)             |
| Artist's paints  | CPSA         | exemption from lead<br>limit                       | 1303.3(c)(2)                               |
| Asbestos-containing patching compounds                                     | CPSA<br>CPSA | ban<br>to be regulated<br>under the CPSA           | 1304<br>1145.4                             |
| Asbestos-containing garments for general use                               | HSA          | ban  | 1500.17(a)(7)                              |
| Asbestos-containing artificial emberizing materials                        | CPSA<br>CPSA | ban<br>to be regulated under<br>the CPSA           | 1305<br>1145.5                             |

|                                 |                    |   | Reference Source<br>Title 16 CFR                       |
|---------------------------------|--------------------|---|--|
| Product                         | Act                | Type of Regulation  | Part Number  |
| Aspirin products                | PPPA               | special packaging<br>effervescent tablet<br>exemption<br>unflavored powders | 1700.14(a)(1)<br>1700.14(a)(1)(i)<br>1700.14(a)(1)(ii) |
| Baby bouncers and walkers       | HSA                | exemption standard and ban  | 1500.18(a)(6)  |
| Balloons, plastic mixtures      | HSA                | exemption from banning exemption from banning                               | 1500.86(a)(4)<br>1500.85(a)(4)                         |
| Batteries, storage, wet-cell    | PPPA               | exemption from special  | 1700.14(a)(9)  |
| Benzene (benzol)                | HSA                | packaging<br>special labeling   | 1500.14(b)(3)(i)                                       |
| Benzene (paint solvents)        | PPPA               | special packaging   | 1700.14(a)(15)   |
| Bergamot oil                    | HSA                | labeling  | 1500.13(e)   |
| Betamethasone                   | PPPA               | exemption from special  | 170.14(a)(10)  |
| Bicycles                        | HSA                | Packaging<br>ban  | (viii)<br>1500.18(a)(12)<br>1512                       |
| Bicycle helmets                 | CPSA               | safety requirements safety requirements                                     | 1203   |
| Biological specimens, preserved | HSA                | exemption from ban  | 1500.85(a)(4)  |
| Blasting caps                   | HSA                | exemption from labeling   | 1500.83(a)(35)   |
| Bunk beds<br>Adult<br>Child     | CPSA<br>HSA        | standard<br>ban<br>safety requirements                                      | 1213<br>1500.18(a)(18)<br>1513                         |
| Butane in cigarette lighters    | HSA                | exemption from labeling   | 1500.83(a)(29)   |
| Carbolic acid (phenol)          | HSA                | labeling  | 1500.129(d)  |
| Carbon tetrachloride            | HSA                | ban   | 1500.17(a)(2)  |
| Carpets and rugs (large)        | FFA                | standard (FF 1-70)  | 1630   |
| Carpets and rugs (small)        | FFA                | standard (FF 2-70)  | 1631   |
| Caustic poisons potash          | HSA<br>HSA<br>PPPA | labeling<br>labeling<br>special packaging                                   | 1500.129<br>1500.129<br>1700.14(a)(5)                  |
| soda                            | HSA<br>PPPA        | labeling<br>special packaging   | 1500.129(j)<br>1500.14(a)(5)                           |
| Cellulose sponges               | HSA                | exemption from labeling   | 1500.83(a)(15)   |
| Cellulose insulation            | CPSA<br>CPSA       | standard<br>labeling  | 1209<br>1404   |

|  |             |   | Reference Source   |
|--|-------------|---|--|
|  |             |   | Title 16 CFR   |
| Product  | Act         | Type of Regulation  | Part Number  |
|  |             |   |  |
| Charcoal briquettes  | HSA         | classification as<br>hazardous  | 1500.12(a)(1)  |
| Chemistry sets   | HSA         | special labeling<br>exemption from labeling<br>exemption from banning | 1500.14(b)(6)<br>1500.83(a)(23)<br>1500.85(a)(1)         |
| Child-resistant packaging (see special packaging)                              | PPPA        | criteria and substances<br>subject                                    | 1700   |
| Chlorofluorocarbons, self pressurized products containing                      | CPSA        | labeling requirement  | 1401   |
| Cholestyramine, anhydrous  | PPPA        | exemption from special packaging                                      | 1700.14(a)(10)(v)  |
| Cigarette lighters   | HSA         | exemption from labeling exemption from labeling                       | 1500.83(a)(29)<br>1500.83(a)(20)                         |
| Clacker balls  | CPSA<br>HSA | standard<br>requirements  | 1500.83(a)(20)<br>1210<br>1500.18(a)(7)<br>1500.86(a)(5) |
| Cleaning products  | HSA         | exemption from labeling   | 1500.83(a)(11)   |
| Clothing (see wearing apparel, sleepwear)                                      |             |   |  |
| Coal burning appliances (see appliances)                                       |             |   |  |
| Coldwave permanent neutralizers containing sodium bromate or potassium bromate | PPPA        | special packaging   | 1700.14(a)(19)   |
| Colestipol   | PPPA        | exemption from special  | 1700.14(a)(10)(xv)                                       |
| Combustible hazardous substances   | HSA         | packaging<br>definition<br>flashpoint testing                         | 1500.3(c)(iii)<br>1500.43a                               |
| Conjugated estrogens tablets   | PPPA        | exemption from special  | 1700. 1 4(a)(10)(xvii)                                   |
| Consumer product   | CPSA        | packaging<br>reporting of sub-<br>stantial product                    | 1115   |
| Containers, consumer-owned (portable)  | HSA         | hazards<br>exemption from full<br>labeling                            | 1500.83(a)(14)   |
| Controlled drugs   | PPPA        | special packaging   | 1700.14(a)(4)  |
| Corrosive substances   | HSA         | definition  | 1500.3(b)(7),(c)(3)                                      |
| Cosmetics  | HSA         | exemption   | 1500.81(a)   |
| Cribs (full-size)  | HSA         | ban<br>safety requirements  | 1500.18(a)(13)<br>1508                                   |

|  |                     |  | Reference Source<br>Title 16 CFR                                |
|--|---------------------|--|---|
| Product  | Act                 | Type of Regulation   | Part Number   |
| Cribs (non-full-size)  | HSA                 | ban<br>safety requirements                                 | 1500.18(a)(14)<br>1509  |
| Cushions, infant   | HSA                 | ban  | 1500.18(a)(16)  |
| Cyanide salts  | HSA                 | ban  | 1500.17(a)(5)   |
| Dibucaine  | PPPA                | special packaging  | 1700.14(a)(24)  |
| Dietary supplements, iron-containing   | PPPA                | special packaging  | 1700.14(a)(13)  |
| Diethylene glycol  | HSA                 | labeling<br>special labeling<br>exemption from<br>labeling | 1500.14(a)(1)<br>1500.14(b)(1)<br>1500.83(a)(15)                |
| Diethylenetriamine   | HSA                 | labeling   | 1500.13(c)  |
| Diglycidyl ethers  | HSA                 | labeling   | 1500.13(c)  |
| Diphenhydramine preparations   | PPPA                | special packaging  | 1700.14(a)(17)  |
| Disclaimer deceptive use of  | HSA                 | misbranded   | 1500.122  |
| Dive Sticks  | HSA                 | ban<br>exemptions  | 1500.18(a)(19)<br>1500.86(a)(7)                                 |
| Drain cleaners, liquid Drugs, controlled   | HSA<br>PPPA<br>PPPA | ban<br>special packaging<br>special packaging              | 1500.17(a)(4)<br>1700.14(a)(5),(9)<br>1700.14(a)(4)             |
| Drugs, oral prescription   | PPPA                | special packaging  | 1700.14(a)(10)  |
| Except: Nitroglycerin tablets (sublingual) Isosorbide dinitrate (10 mg. sublingual and chewable tablets)   |                     |  | 1700.14(a)(10)(i)<br>1700.14(a)(10)(ii)                         |
| Erythromycin ethylsuccinate (8 gm oral suspension and granules   |                     |  | 1700.14(a)(10)(iii)   |
| for oral suspensions) Erythromycin ethylsuccinate  |                     |  | 1700.14(a)(10)(xvi)   |
| tablets (no more than 16 gm) Cyclical oral contraceptives Anhydrous cholestyramine (powder) Potassium supplements (containing not more than 50 MEq potassium |                     |  | 1700.14(a)(10)(iv)<br>1700.14(a)(10)(v)<br>1700.14(a)(10)(vi)   |
| per unit dose package) Sodium fluoride (264 mg per package   |                     |  | 1700.14(a)(10(vii)  |
| of liquid forms and tablet forms) Betamethasone (12.6 mg per package) Pancrelipase in tablet, capsule or powder form Prednisone tablets (not more than       |                     |  | 1700.14(a)(10)(viii)<br>1700.14(a)(10)(ix)<br>1700.14(a)(10)(x) |
| 105 mg per package)<br>Mebendazole (600 mg per package)  |                     |  | 1700.14(a)(10)(xiii)  |

| Product   | Act  | Type of Regulation   | Reference Source<br>Title 16 CFR<br>Part Number          |
|---|------|--|--|
| Methylprednisolone tablets (not more than 84 mg per package)                                |      |  | 1700.14(a)(10)(xiv)                                      |
| Colestipol (packages containing not   |      |  | 1700.14(a)(10)(xv)                                       |
| more than 5 grams of powder) Conjugated estrogens tablets (not more than 32 mg per package) |      |  | 1700.14(a)(10)(xvii)                                     |
| Norethindrone acetate tablets (not more than 50 mg per package)                             |      |  | 1700.14(a)(10)(xviii)                                    |
| Medroxyprogesterone acetate tablets<br>Sacrosidase (sucrase) in a solution                  |      |  | 1700.14(a)(10)(xix)                                      |
| of glycerol and water   |      |  | 1700.14(a)(10)(xx)                                       |
| Drugs, iron-containing  | PPPA | special packaging  | 1700.14(a)(12)   |
| Drugs, RX to OTC  | PPPA | special packaging  | 1700.14(a)(30)   |
| Dry-cleaning solvents   | HSA  | exemption from   | 1500.83(a)(18)   |
| Emberizing materials, artificial, containing asbestos                                       | CPSA | labeling<br>ban  | 1305   |
| Epoxy resins  | HSA  | labeling   | 1500.13(c)   |
| Erythromycin ethylsuccinate,<br>Suspension and granules for<br>suspension                   | PPPA | exemption from special packaging                                 | 1700.14(a)(10)(iii)                                      |
| Chewable tablets  |      |  | 1700.14(a)(10)(xvi)                                      |
| Ethanol-containing mouthwash  | PPPA | special packaging  | 1700.14(a)(22)   |
| Ethylenediamine   | HSA  | labeling   | 1500.13(c)   |
| Ethylene glycol in felt pads  | HSA  | exemption from labeling  | 1500.83(a)(28)   |
| Ethylene glycol   | HSA  | labeling special packaging                                       | 1500.14(a)(2),(b)(2)<br>1700.14(a)(11)                   |
| Exports (noncomplying products)   | All  | reporting requirements   | 1019   |
| Extremely flammable contents of self-pressurized containers                                 | HSA  | definition method to determine flashpoint testing exemption from | 1500.3(c)(6)(vii)<br>1500.45<br>1500.46<br>1500.83(a)(1) |
| Extremely flammable hazardous substances  | HSA  | labeling<br>definition<br>testing method                         | 1500.3(c)(6)(i)<br>1500.43a                              |
| Extremely flammable solids  | HSA  | definition   | 1500.3(c)(6)(v)<br>1500.44                               |
| Eye irritants   | HSA  | testing method testing method                                    | 1500.44<br>1500.3(b)(8),(c)(4)                           |

| Product                                | Act   | Type of Regulation  | Reference Source<br>Title 16 CFR<br>Part Number |
|--|-------|---|---|
|  |       | definition  | 1500.42   |
| Fabrics                                | FFA   | flammability  | 1602-1632                                       |
| Felt-tip marking devices               | HSA   | labeling exemption  | 1500.83(a)(9)                                   |
| Ferrous oxalate                        | HSA   | exemption from labeling   | 1500.83(a)(17)                                  |
| Fertilizers, dry granular              | HSA   | exemption from labeling   | 1500.83(a)(21)                                  |
| Fire extinguishers                     | HSA   | exemption from labeling labeling, warning                             | 1500.83(a)(24)<br>1500.15(a)(b)                 |
| Fireworks                              | HSA   | ban (more than 2 grains pyrotechnic material for audible reports)     | 1500.17(a)(3)                                   |
|  |       | ban (firecrackers<br>more than 50 mg.)                                | 1500.17(a)(8)&9                                 |
|  |       | exemption from labeling   | 1500.83(a)(27)                                  |
|  |       | agricultural exemption  | 1500.17(a)(3)                                   |
|  |       | exemption from classification   | 1500.85(a)(2)                                   |
|  |       | special labeling  | 1500.14(b)(7)                                   |
|  |       | ban reloadable tube<br>aerial shells more than<br>1.7 inches in outer | 1500.17(a)(11)                                  |
|  |       | diameter) multiple tube mines & shells; stability test                | 1500.17(a)(12)<br>1507.12                       |
|  |       | safety requirements   | 1507.12   |
| First Aid                              | HSA   | policy for emesis labeling  | 1500.134  |
| Flammable contents of self-            | HSA   | definition  | 1500.3(c)(6)(viii)                              |
| pressurized containers                 |       | method for determining  | 1500.45   |
|  |       | flashpoint testing  | 1500.46   |
| Flammable solids                       | HSA   | exemption from labeling definition                                    | 1500.83(a)(1)<br>1500.3(c)(6)(vi)               |
| Transmitted Solitas                    | 110/1 | testing method  | 1500.44   |
| Fluoride                               | PPPA  | special packaging   | 1700.14(a)(27)<br>1700.14(a)(10)(vii)           |
| Flammable substances                   | HSA   | definition<br>flashpoint testing                                      | 1500.3(c)(6)(iii)<br>1500.43a                   |
| Food                                   | HSA   | exemption from specific labeling                                      | 1500.81(a)                                      |
| Formaldehyde                           | HSA   | labeling  | 1500.13(d)                                      |
| Fuel                                   | HSA   | exemptions exemptions from banning                                    | 1500.81(b)<br>1500.85(a)(5)                     |
|  |       | special packaging   | 1700.14(a)(7)                                   |
| Fuel kits with difluorodichloromethane | HSA   | exemption from banning<br>warning label                               | 1500.85(a)(12), (13)                            |
| Furniture, painted with lead-          | CPSA  | ban   | 1303  |
|  |       |   |   |

| Dua la sa   | A -4               | Towns of Decorless's an                                 | Reference Source<br>Title 16 CFR                           |
|---|--------------------|---|--|
| Product   | Act                | Type of Regulation                                      | Part Number  |
| containing paint  |                    |   |  |
| Furniture polish, liquid                                  | PPPA               | special packaging                                       | 1700.14(a)(2)  |
| Furniture polish, paste                                   | HSA                | exemption from labeling                                 | 1500.83(a)(8)  |
| Garage Door Openers, automatic residential                | CPSA               | requirements for  | 1211   |
| Gasoline  | HSA                | exemption from labeling special labeling                | 1500.83(a)(14)<br>1500.14(b)(3)                            |
| Glazing compounds   | HSA                | labeling exemption from labeling                        | 1500.14(a)(3)<br>1500.83(a)(13)                            |
| Glue remover containing acetonitrile (household)          | PPPA               | special packaging                                       | 1700.14(a)(18)   |
| Hartshorn   | HSA                | labeling  | 1500.129(1)  |
| Heaters (see appliances)                                  |                    |   |  |
| Highly toxic substances                                   | HSA                | definition testing method                               | 1500.3(e)<br>1500.40                                       |
| Household substances<br>Hydrocarbons<br>Hydrochloric acid | HSA<br>PPPA<br>HSA | labeling<br>definition<br>special packaging<br>labeling | 1500.121<br>1500.3(c)(10)<br>1700.14(a)(31)<br>1500.129(a) |
| Hypochlorous acid   | HSA                | labeling  | 1500.129(h)  |
| Ibuprofen   | PPPA               | special packaging                                       | 1700.14(a)(20)   |
| Imported products & importers                             | ALL                | policy  | 1009.3   |
| Imports   | HSA                | various   | 1500.265-272   |
| Industrial supplies                                       | HSA                | exemption   | 1500.3(c)(10)(i)   |
| Infant cushions   | HSA                | ban   | 1500.18(a)(16)   |
| Ink, cartridges dry concentrate containers                | HSA<br>HSA         | exemption from labeling exemption from labeling         | 1500.83(a)(7),(38)<br>1500.83(a)(12)                       |
| Ink-marking devices                                       | HSA                | exemption   | 1500.83(a)(9)  |
| Insulation, cellulose                                     | CPSA               | standard  | 1209   |
| Iron preparations Irritant substances                     | PPPA<br>HSA        | special packaging definition                            | 1700.14(a)(12),(13)<br>1500.3(g)                           |
| Isobutane in cigarette lighters                           | HSA                | testing method exemption from labeling                  | 1500.41<br>1500.83(a)(29)                                  |

| Product  | Act         | Type of Regulation   | Reference Source<br>Title 16 CFR<br>Part Number   |
|--|-------------|--|---|
| Isosorbide dinitrate, pre-<br>scription sublingual and<br>chewable forms | PPPA        | exemption from special packaging   | 1700.14(a)(10)(ii)                                |
| Ketoprofen   | PPPA        | special packaging  | 1700.14(a)(26)                                    |
| Kerosene   | HSA         | labeling<br>special labeling<br>exemption from labeling                        | 1500.14(a)(3)<br>1500.14(b)(3)<br>1500.83(a)(14)  |
| Kindling and illuminating preparations                                   | PPPA        | special packaging special packaging  | 1700.14(a)(7)<br>1700.14(a)(7)                    |
| Kites, aluminized polyester film   | HSA         | ban  | 1500.18(c)(1)                                     |
| Labels   | HSA         | requirements<br>condensation<br>informal comment on<br>exemption from labeling | 1500.121<br>1500.123<br>1500.128<br>1500.83(a)(5) |
| Laboratory chemicals, if educational                                     | HSA         | exemption from banning and labeling  | 1500.85(a)(5)<br>1500.85(a)(4)<br>1500.85(a)(5)   |
| Lacquers   | HSA         | exemption from labeling  | 1500.83(a)(13)                                    |
| Lawn darts   | HSA<br>CPSA | ban<br>ban   | 1500.18(a)(4)<br>1306                             |
| Lawnmowers, power  | CPSA        | performance standard,<br>labeling rule, and<br>certification rule              | 1205  |
| Lead in paint  | HSA         | ban over 0.5% (pre-<br>1978)   | 1500.17(a)(6)                                     |
|  | CPSA        | ban over 0.06% (1978 and later)  | 1303  |
| Lidocaine  | PPPA        | special packaging  | 1700.14(a)(23)                                    |
| Lighter fluid, etc.  | PPPA        | special packaging  | 1700.14(a)(7)                                     |
| Lunar caustic (silver nitrate)   | HSA         | labeling   | 1500.129(k)                                       |
| Lye  | HSA<br>PPPA | labeling   | 1500.129(j)                                       |
| Matchbooks   | CPSA        | special packaging standard   | 1700.14(a)(5)<br>1202                             |
| Matches  | HSA         | exemption from flamma-   | 1500.83(a)(2)                                     |
| Mattresses   | FFA         | bility labeling<br>standard (FF 4-72)  | 1632  |
| Mebendazole  | PPPA        | exemption from special packaging   | 1700.14(a)(10)(xiii)                              |

|                                     |              |  | Reference Source<br>Title 16 CFR   |
|-------------------------------------|--------------|--|--|
| Product                             | Act          | Type of Regulation   | Part Number  |
| Medroxyprogesterone acetate tablets | PPPA         | exemption from special packaging   | 1700.14(a)(10)(xix)  |
| Methacrylic acid                    | PPPA         | special packaging  | 1700.14(a)(29)   |
| Methyl alcohol (methanol)           | HSA          | exemption from labeling labeling exemption from labeling exemption from labeling | 1500.83(a)(10)<br>1500.14(a)(4),(b)(4)<br>1500.83(a)(19)<br>1500.83(a)(34) |
| Methylprednisolone                  | PPPA<br>PPPA | special packaging exemption from special   | 1700.14(a)(8)<br>1700.14(a)(10)(xiv)                                       |
| Methyl salicylate                   | PPPA         | packaging<br>special packaging   | 1700.14(a)(3)  |
| Mineral oil (in toys)               | HSA          | exemption from labeling  | 1500.83(a)(33)   |
| Mineral seal oil                    | HSA          | labeling   | 1500.14(a)(3)  |
| Mineral spirits                     | PPPA<br>HSA  | special labeling<br>special packaging<br>labeling<br>special labeling            | 1500.14(b)(3)<br>1700.14(a)(2)<br>1500.14(a)(3)<br>1500.14(b)(3)           |
| Minoxidil                           | PPPA         | special packaging special packaging  | 1700.14(a)(15)<br>1700.14(a)(28)   |
| Mirrors                             | CPSA         | exemption from lead limit  | 1303.3(c)(2)   |
| Mixtures of hazardous substances    | HSA          | labeling   | 1500.5   |
| Mouthwash with ethanol              | PPPA         | special packaging  | 1700.14(a)(22)   |
| Multiple hazard, substances with    | HSA          | labeling   | 1500.127   |
| Multi-purpose lighters              | CPSA         | standard   | 1212   |
| Naphtha                             | HSA          | transfer to CPSA labeling  | 1145.17<br>1500.14(a)(3)   |
| Naproxen                            | PPPA         | special labeling special packaging   | 1500.14(b)(3)<br>1700.14(a)(25)  |
| Nitric acid                         | HSA          | labeling   | 1500.129(c)  |
| Nitroglycerine, prescription,       | PPPA         | exemption from special   | 1700.14(a)(10)(i)  |
| Norethindrone acetate tablets       | PPPA         | sublingual dosage packaging exemption from                                       | 1700.14(a)(10)(xviii)  |
| Oral contraceptives                 | PPPA         | packaging exemption from special   | 1700.14(a)(10)(iv)   |
| Orris root, powdered                | HSA          | cyclical packaging labeling  | 1500.13(b)   |
| Oxalic acid and salts               | HSA          | labeling   | 1500.129(e),(f)  |
| Packages, child-resistant(see       |              |  |  |

|   |              |  | Reference Source  |
|---|--------------|--|---|
|   |              |  | Title 16 CFR  |
| Product                                     | Act          | Type of Regulation                                 | Part Number   |
| child-resistant packaging)                  |              |  |   |
| Packages, sample                            | PPPA         | submission   | 1700.14(b)  |
| Paint, lead in                              | HSA          | ban over 0.5% (pre-                                | 1500.17(a)(6)   |
|   | CPSA         | 1978)<br>ban over 0.06%                            | 1303  |
|   | CPSA         | (1978 and later) to be regulated under the CPSA    | 1145.2  |
| Paint solvents                              | PPPA         | special packaging                                  | 1700.14(a)(15)  |
| Painting kits                               | HSA          | exemption  | 1500.83(a)(25)  |
| Pancrelipase                                | PPPA         | exemption from special packaging                   | 1700.14(a)(10)(ix)  |
| Paper items                                 | HSA          | exemption from labeling                            | 1500.83(a)(3)   |
| Paraphenylenediamine                        | HSA          | list<br>labeling                                   | 1500.13(a)  |
| Patching compounds, containing asbestos     | CPSA         | ban  | 1304  |
| Perchloroethylene in visual novelty devices | HSA          | exemption from labeling                            | 1500.83(a)(31)  |
| Percussion explosives                       | HSA          | definition   | 1500.3(c)(7)(i)(a)  |
| Petroleum distillates                       | HSA          | labeling special labeling                          | 1500.14(a)(3)<br>1500.14(b)(3)                              |
| Phenol (carbolic acid)                      | PPPA<br>HSA  | exemption from labeling special packaging labeling | 1500.83(a)(8),(9),<br>1700.14(a)(7),(2),(15)<br>1500.129(d) |
| Photodynamic sensitizer                     | HSA          | definition   | 1500.3(b)(9)  |
| Photographic color processing kits          | HSA          | exemption from labeling                            | 1500.83(a)(25)  |
| Plant foods, dry granular                   | HSA          | exemption from labeling                            | 1500.83(a)(21)  |
| Poisons, caustic                            | HSA          | labeling requirements                              | 1500.129  |
| Polishing products                          | HSA          | exemption from labeling                            | 1500.83(a)(11)  |
| Potash, caustic                             | HSA          | labeling   | 1500.129(i)   |
| Potassium hydroxide                         | HSA          | special packaging labeling                         | 1700.14(a)(5)<br>1500.129(i)                                |
| Potassium supplements, effervescent         | PPPA<br>PPPA | special packaging exemption from special packaging | 1700.14(a)(5)<br>1700.14(a)(10)(vi)                         |
| Prednisone                                  | PPPA         | exemption from                                     | 1700.14(a)(10)(x)   |

| D. 1. 4   |             | T CD 14  | Reference Source<br>Title 16 CFR |
|---|-------------|--|----------------------------------|
| Product   | Act         | Type of Regulation   | Part Number                      |
| Pressure-generating substances                            | HSA         | special packaging definition   | 1500.3(c)(7)(i)                  |
| Primary irritant  | HSA         | definition   | 1500.3(g)(2)                     |
| Propellant devices for model rockets                      | HSA         | testing method exemption from banning  | 1500.41<br>1500.85(a)(8),(9),    |
| Radiator cleaners   | HSA         | as household substance   | (12), (13)<br>1500.3(c)(10)(i)   |
| Radioactive substances                                    | HSA         | defined exemption  | 1500.3(b)(11),(c)(8)             |
| Refrigerator doors  | RSA         | standard to permit opening from inside   | 1750                             |
| Refuse bins, unstable                                     | CPSA        | ban  | 1301                             |
| Roof coatings   | HSA         | exemption from labeling  | 1500.83(a)(13), (34)             |
| Rope, cord, string, etc.                                  | HSA         | exemption from labeling  | 1500.83(a)(4)                    |
| Rugs (see Carpets)  |             |  |                                  |
| Rubber vulcanizing  | HSA         | exemption from labeling  | 1500.83(a)(13)                   |
| Sacrosidase (sucrase) in a solution of glycerol and water | PPPA        | exemption from special packaging   | 1700.14(a)(10)(xx)               |
| Salt (sodium chloride)                                    | HSA         | exemption from labeling  | 1500.83(a)(16)                   |
| Self-pressurized products                                 | HSA         | exemption from full  | 1500.83(a)(1)                    |
|   |             | labeling<br>testing method for<br>flammable or extremely<br>flammable contents | 1500.45,46                       |
|   |             | information statements<br>required   | 1500.130                         |
| containing chlorofluorocarbons containing vinyl chloride  | CPSA<br>HSA | labeling requirement<br>ban  | 1401<br>1500.17(a)(10)           |
| Sensitizers   | HSA         | definitions  | 1500.3(b)(9), (c)(5)             |
| Signal words  | HSA         | labeling requirements  | 1500.121                         |
| Silver nitrate (lunar caustic)                            | HSA         | labeling   | 1500.129(k)                      |
| Sleepwear, children's sizes 0-6x, sizes 7-14              | FFA         | FFA standard (FF 3-71) standard (FF 5-74)                                      | 1615<br>1616                     |
| Sodium arsenite   | HSA         | labeling   | 1500.132(b)                      |
| Sodium fluoride (prescription aqueous solutions)          | PPPA        | exempting from special packaging   | 1700.14(a)(10)(vii)              |

| Product  | Act          | Type of Regulation   | Reference Source<br>Title 16 CFR<br>Part Number                |
|--|--------------|--|--|
| Sodium hydroxide                               | HSA          | labeling   | 1500.129(j)  |
| Sodium/potassium hydroxide                     | PPPA         | special packaging  | 1700.14(a)(5)  |
| Solder kit                                     | HSA          | exemption from labeling  | 1500.83(a)(30)   |
| Solder paste                                   | HSA          | exemption from labeling  | 1500.83(a)(22)   |
| Special packaging                              | PPPA         | standards re-use prohibited test procedure restricted flow requirement | 1700.15<br>1700.15(c)<br>1700.20<br>1700.15(d)                 |
| Spot removers, single-use                      | HSA          | exemption from labeling  | 1500.83(a)(18),(19),(26)                                       |
| Spot removing kits                             | HSA          | exemption from labeling  | 1500.83(a)(25)   |
| Sponges, cellulose                             | HSA          | exemption from labeling  | 1500.83(a)(15)   |
| Stoddard solvent                               | HSA          | labeling   | 1500.14(a)(4)  |
| Stoves, coal and wood burning (see appliances) |              | special labeling   | 1500.14(b)(3)(ii)  |
| Strong sensitizers                             | HSA          | definition list of   | 1500.3(b)(9)<br>1500.13  |
| Sulfuric acid                                  | HSA          | labeling   | 1500.129(b)  |
| Swimming pool slides                           | PPPA<br>CPSA | special packaging standard   | 1700.14(a)(9)<br>1207  |
| Tank coatings                                  | HSA          | exemption from labeling  | 1500.83(a)(34)   |
| Thread, string, twine, etc.                    | HSA          | exemption from labeling  | 1500.83(a)(4)  |
| Toluene (toluol)                               | HSA          | labeling<br>special labeling<br>exemption from labeling                | 1500.14(a)(3)<br>1500.14(b)(3)(ii),(iii)<br>1500.83(a)(8),(9), |
| Toluene (paint solvents)                       | PPPA         | special packaging  | (11),(13)<br>1700.14(a)(15)                                    |
| Toxic substances                               | HSA          | definition<br>labeling<br>test method                                  | 1500.3(b)(5),(c)(2)<br>1500.121,129<br>1500.40                 |
| Toys   |              | test method  | 1300.40  |
| balloons, latex                                | HSA          | labeling   | 1500.19  |
| balls, small                                   | HSA          | ban  | 1500.18(a)(17)   |
| caps and toy guns producing impulse-type sound | HSA          | labeling<br>ban<br>testing method                                      | 1500.19<br>1500.18(a)(5)<br>1500.47                            |

| Product   | Act         | Type of Regulation   | Reference Source<br>Title 16 CFR<br>Part Number |
|---|-------------|--|---|
|   |             | exemption from banning   | 1500.85(a)(2)<br>1500.86(a)(6)                  |
| Toys (continued) clacker balls                    | HSA         | ban  | 1500.18(a)(7)                                   |
| dolls presenting hazards                          | HSA         | exemption from banning ban                                     | 1500.85(a)(5)<br>1500.18(a)(3)                  |
| electrically-operated toys and children's article | HSA         | exemption from banning<br>ban<br>requirements<br>labeling      | 1500.86(a)(2)<br>1500.18(b)<br>1505<br>1505.3   |
| games, self-pressurized hollow plastic toys games | HSA<br>HSA  | performance<br>exemption from banning<br>labeling              | 1505.6<br>1500.85(a)(7)<br>1500.19              |
| marbles   | HSA         | labeling   | 1500.19   |
| mineral oil                                       | HSA         | exemption from banning   | 1500.83(a)(32)                                  |
| mechanical hazards (various)                      | HSA         | ban  | 1500.18(a)                                      |
| pacifiers   | HSA         | ban  | 1500.18(a)(8)<br>1511                           |
| noisemaking toys                                  | HSA         | safety requirements<br>ban                                     | 1511<br>1500.18(a)(2)                           |
| painted with lead                                 | HSA<br>CPSA | ban, over 0.5% (pre-1978)<br>ban, over 0.06%<br>(1978 & later) | 1500.17(a)(6)<br>1303                           |
| rattles   | HSA         | bans<br>exemption from banning                                 | 1500.18(a)(1) & (15)<br>1500.86(a)(1)           |
| sharp edges                                       | HSA         | safety requirements<br>technical requirements                  | 1510<br>1500.49                                 |
| sharp points                                      | HSA         | technical requirements   | 1500.48   |
| small parts                                       | HSA         | ban<br>safety requirements                                     | 1500.18(a)(9)<br>1501                           |
| model rockets                                     | HSA         | exemption from labeling  | 1501<br>1500.83(a)(36)                          |
| model rockets, propellant                         | HSA         | exemption from labeling  | 1500.85(a)(8),(9),(10),<br>(11),(12),(13)       |
| sewing machines                                   | HSA         | requirements   | 1505.4(h)(3)                                    |
| stuffed animals with laceration hazard toys       | HSA<br>HSA  | ban<br>labeling  | 1500.18(a)(3)<br>1500.19                        |
| train smoke                                       | HSA         | exemption from labeling  | 1500.83(a)(33)                                  |
| Use and abuse tests                               | HSA         | testing procedures labeling exemption                          | 1500.50.53<br>1500.14(a)(5)<br>1500.83(a)(8)    |

| Product   | Act                | Type of Regulation                                      | Reference Source<br>Title 16 CFR<br>Part Number                     |
|---|--------------------|---|---|
| Tubes, collapsible metal<br>Unpackaged hazardous substances | PPPA<br>HSA<br>HSA | special packaging<br>labeling<br>labeling requirements  | 1700.14(a)(6)<br>1500.121(e)<br>1500.121(f)                         |
| Turpentine  | HSA                | special labeling  | 1500.14(b)(5)   |
| Varnish   | HSA                | exemption from labeling                                 | 1500.83(a)(13)  |
| Video games   | CPSA               | exemption from electrically-                            | 1505  |
| Vienna paste  | HSA                | operated toy requirements labeling                      | 1500.129(i)   |
| Vinyl chloride  | HSA                | ban   | 1500.17(a)(10)  |
| Vinyl plastic film  | FFA                | standard (CS 192-53)                                    | 1611  |
| Visual novelty devices containing perchloroethylene         | HSA                | exemption   | 1500.83(a)(31)  |
| Volatile flammable materials                                | HSA                | flashpoint testing method                               | 1500.43   |
| Walker-jumper Water-repellent mixtures, masonry             | HSA<br>HSA         | ban<br>exemption from classification<br>ban             | 1500.18(a)(6)<br>1500.86(a)(4)<br>1500.17(a)(1)                     |
| Wax containers  | HSA                | exemption from labeling                                 | 1500.83(a)(8)   |
| Waxes, paste for autos, furniture, floors, shoes            | HSA                | exemption from labeling                                 | 1500.83(a)(8)   |
| Wearing apparel   | FFA                | standard (CS 191-53)                                    | 1610  |
| Wood burning appliances (see appliances)                    |                    |   |   |
| Writing instruments   | HSA                | exemption from labeling                                 | 1500.83(a)(38)  |
| Xylene (xylol)  | HSA                | labeling<br>special labeling<br>exemption from labeling | 1500.14(a)(3)<br>1500.14(b)(3)(ii),(iii)<br>1500.83(a)(8),(9),(11), |
| Xylene (paint solvents)                                     | PPPA               | special packaging                                       | (13)<br>1700.14(a)(15)  |

# **APPENDIX I: Federal-State Cooperative Program**

#### **Federal-State Cooperative Program**

The commission is required by Section 29 of the Consumer Product Safety Act to establish "a program to promote Federal-State cooperation for purposes of carrying out the Act."

Federal, state and local cooperative programs are one key to CPSC's success in reducing unreasonable risks of injury to consumers. State and local professionals bring the commission's programs "alive" when they deliver CPSC information, education and services to the public they serve at the local level. The public resides in counties, cities and towns served by dedicated state and local health, consumer and safety professionals. These professionals know the needs of their communities and know best how to meet their needs. Resources invested in providing information, contracts and materials to state and local professionals returns many times that amount in consumer product safety programs delivered to people where they live, work and play.

The Directorate for Field Operations plans, organizes, directs and controls the commission's Federal-State Cooperative Program. This program receives overall direction through the field operating plan, which is formulated directly from the commission's yearly operating plan. The Federal-State plan provides direction to the three regional centers, which provide operational day-to-day contact with the states.

State designees are the commission's primary liaison with state and local officials. The governor of each state appoints state designees, at the commission's request. This individual serves as a liaison for product safety efforts within the state.

State designees are selected after analyzing each state's structure. Each represents the department of state government most compatible with the CPSC mission. In most states it is the Department of Health. In others, it may be the Office of the Attorney General or the Department of Agriculture or Consumer Protection.

In addition to working with state designees, CPSC regional centers regularly cooperate with local government officials, as well. Keeping up with designees in 50 states and territories is demanding, but working with thousands of local officials is most difficult. To solve this problem, CPSC always works with state designees and selectively works with local officials. "Selectively" is defined as meaning the proper official at the proper place at the proper time.

The Federal State Cooperative Plan consists of four major components:

- 1. Contracts. Cost effective state and local contracts allow the commission to accomplish product safety work in places across our nation that CPSC would not get to. Because CPSC contracts are usually small, state and local governments often match the commission's funds with its own to accomplish the objective.
- 2. Memoranda of Understanding (MOU) Partnership Agreements. MOUs and partnership agreements with states extend overall consumer protection through more effective use of collective resources. Partnering is a no cost arrangement between CPSC and state

agencies wherein both parties agree to share the responsibility for certain product safety activities.

- 3. Commissioning. Commissioning has the effect of making a state or local employee a federal officer for the purposes of conducting investigations, inspections, recalls and sample collections. State or local officials are qualified for and capable of functioning as an official of the CPSC. CPSC trains state and local officials to qualify for a commission.
- 4. States' Information-Sharing Program. CPSC routinely transmits information on commission programs and activities to states in order that designees, their staff and others may respond to media inquiries and be kept current on commission developments.

The funded work done by the states is subject to the same quality assurance and is held to the same high standards as work done by the CPSC field staff. The progress and direction of the state work is monitored through weekly conference calls, quarterly reports and a final report at the end of the fiscal year. This enables the regional centers to assure that the state contract work is proceeding in accordance with the commission's operating plan and is of adequate quality to meet the commission's needs. The states report on other product safety work, not funded by CPSC, by providing highlights and summaries to CPSC field offices for input into their required program reports.

#### **State and Local Contracts Program**

Cost-effective contracts with state and local agencies for needed services provide a basis upon which greater cooperation can grow. Because the missions are compatible, state and local governments usually match CPSC funds with their own money to accomplish the objective. In addition, state and local contracts allow the commission to accomplish product safety work in places across our nation that CPSC would not get to otherwise.

In FY 2002, the commission supported the state and local contracts program in the amount of \$169,000 for Compliance, Hazard Identification and Reduction, and Consumer Information activities.

States or local agencies that participated in the FY 2002 CPSC contract's program:

#### **Eastern Region**

Alabama

Department of Public Health **ATV Monitoring** 

Connecticut

Department of Consumer Protection

Recall Checks

Poison Prevention Packaging **Child Care Consultations** 

Florida

Department of Agriculture and

Consumer Services

Recall Checks

In-depth Investigations **ATV Monitoring** 

Florida (continued)

Agency for Health Care Administration Poison Prevention Packaging

Georgia

Office of Consumer Affairs Recall Checks

Maryland

Department of Health and Mental

Hygiene

Recall Checks

In-depth Investigations

Massachusetts

Massachusetts Consumer's Council

Recall Checks

Massachusetts Board of Registration

Poison Prevention Packaging

#### Eastern Region (continued

New Jersey (continued) Maine

Department of Human Services Somerset County Consumer Affairs Recall Checks Recall Checks

Mississippi Cape May County Consumer Affairs Recall Checks

State Board of Health Recall Checks Camden County Consumer Affairs **ATV Monitoring** Recall Checks

Mercer County Consumer Affairs Board of Pharmacy Poison Prevention Packaging Recall Checks

New Hampshire Bergenfield Fire Training Center Lighter Screening Program

Department of Health and Human

Services New York Recall Checks

State Education Department of Professional Discipline New Jersey Poison Prevention Packaging Division of Consumer Affairs

Recall Checks North Carolina Poison Prevention Packaging

**Board of Pharmacy** Monmouth County Consumer Affairs Poison Prevention Packaging Recall Checks

Pennsylvania

Puerto Rico

Ocean County Consumer Affairs Recall Checks **Bucks County Consumer Protection** 

Recall Checks Passaic County Consumer Affairs Recall Checks

**Cumberland County Consumer Affairs** Department of Consumer Affairs Recall Checks In-depth Investigations

Recall Checks Union County Consumer Affairs Recall Checks South Carolina

> Department of Consumer Affairs Recall Checks

Eastern Region (continued)

Virgin Islands

Vermont

Department of Licensing and

Department of Health Recall Checks Consumer Affairs Recall Checks

Office of Professional Regulation Poison Prevention Packaging West Virginia

Virginia

Office of the Attorney General Recall Checks

Department of Health Professionals Poison Prevention Packaging Board of Pharmacy

Poison Prevention Packaging

Department of Health and Environment Bureau of Food, Drug and Lodging

#### **Central Region**

<u>Arkansas</u>

**Kansas** 

Department of Health,

Division of Environmental Health

Protection

Recall Checks ATV Monitoring

ATV Monitoring

Altheimer Fire Department

Fire Compliance Support

Gould Fire Department

Fire Compliance Support

<u>Kentucky</u>

Department for Public Health Bureau of Health Services Recall Checks ATV Monitoring

Recall Checks

Illinois

Board of Pharmacy

Poison Prevention Packaging

Department of Professional Regulation Poison Prevention Packaging

Department of Public Health

Recall Checks ATV Monitoring Louisiana

Board of Pharmacy

Poison Prevention Packaging

Iowa

Poison Control Center Incident Reports

Department of Public Health Recall Checks Central Region (continued)

Michigan

Board of Pharmacy

Poison Prevention Packaging

<u>Nebraska</u>

State Health Department Recall Checks

North Dakota

Department of Health Recall Checks

<u>Ohio</u>

Attorney General's Office Recall Checks

Oklahoma

Department of Health
Consumer Protection Division
Recall Checks
In-depth Investigations
ATV Monitoring

South Dakota

Board of Pharmacy

Poison Prevention Packaging

<u>Texas</u>

Department of Health Bureau of Consumer Health Recall Checks

In-depth Investigations

Wisconsin

Department of Agriculture, Trade and Consumer Protection In-depth Investigations Recall Checks

#### Western Region

Arizona

Board of Pharmacy

Poison Prevention Packaging

California

Department of Health Services Recall Checks In-depth Investigations Colorado

Department of Health
Recall Checks
In-depth Investigations

Tri-County Health Department In-depth Investigations

Western Region (continued)

<u>Montana</u>

<u>Guam</u>

Department of Health and Human

Services

Recall Checks

Department of Health Recall Checks

New Mexico

<u>Hawaii</u>

University of New Mexico

Community Based Fire Program

Department of Human Services Child Care Consultations

Oregon

<u>Idaho</u>

State Fire Marshal

Lighter Screening Program

Central District Health Department Recall Checks Idaho (continued)

Washington

S.E. District Health Department Recall Checks Department of Health Recall Checks

S.W. District Health Department Recall Checks

Board of Pharmacy

Poison Prevention Packaging

University of Washington Child Care Safety Program

## Federal-State-Local Cooperative Program Memorandum of Understanding (MOU)-Partnership Agreements

It is the policy of the CPSC to initiate and enter into MOU's with agencies of state or local governments. Such cooperation creates a partnership, extending overall consumer protection through more effective use of collective resources. Partnering creates arrangements between CPSC and state or local agencies wherein both parties agree to share, at no cost to the other party, responsibility for certain product safety activities. These agreements are usually with agencies having responsibilities that are similar to CPSC's.

Partnership agreements result in a free exchange of information on inspections, recalls, enforcement actions, and outreach programs. It provides cross training and an increase in state expertise in product safety.

| State or Commonwealth | Department  |
|-----------------------|---|
| Eastern Region        |   |
| Alabama               | Department of Public Health   |
| Connecticut           | Office of Chief Medical Examiner<br>Drug Control Division<br>Department of Consumer Protection                |
| Delaware              | Division of Public Health<br>Department of Community Affairs<br>and Economic Development<br>Board of Pharmacy |
| District of Columbia  | Department of Consumer and<br>Regulatory Affairs  |
| Florida               | Department of Agriculture and Consumer Services   |
| Maine                 | Division of Health Engineering<br>Home Economic Resource Center<br>Northeast Combat                           |

#### State or Commonwealth Department

Eastern Region (continued)

Maryland Department of Health and Mental

Hygiene

**Drug Control Administration** 

Massachusetts Department of Public Health

Mississippi State Board of Health

Board of Registration in Pharmacy

New Hampshire Safety Council

New Jersey Division of Consumer Affairs

Office of Consumer Protection Drug and Poison Information Center

New York Education Department/Office

of Professional Discipline (OPD

North Carolina State Board of Pharmacy

Pennsylvania Injury Prevention Program/Division

Of Health Risk Reduction

Allegheny County Health Department

**Bureau of Consumer Protection** 

State Police

State Department of Health

Puerto Rico Department of Consumer Affairs

Board of Pharmacy

Rhode Island Consumers' Council

Division of Drug Control

South Carolina Department of Health and

Environmental Control Board of Pharmacy State or Commonwealth Department

Eastern Region (continued)

Virgin Islands Consumer Services Administration

Board of Pharmacy

Virginia Department of Agriculture and

Consumer Services Board of Pharmacy

West Virginia Department of Labor

Board of Pharmacy

Central Region

Arkansas Department of Health

Board of Pharmacy

Illinois Department of Public Health

Indiana Department of Health

Iowa Department of Public Health

Kansas Department of Health and

Environment

Kentucky Cabinet for Health Services

Louisiana Board of Pharmacy

Michigan Department of Health

Minnesota Attorney General's Office

Missouri Department of Health

Nebraska Department of Health

North Dakota Department of Health and Consolidated

Laboratories

State or Commonwealth Department Central Region (continued) Ohio Department of Health South Dakota Department of Health Texas Department of Health Board of Pharmacy Wisconsin Department of Agriculture Trade and Consumer Division Western Region California Department of Health Food and Drug Section Department of Consumer Affairs/Bureau of Home **Furnishings** Colorado Department of Health Hawaii Department of Health, Injury Prevention Coordinator Honolulu Fire Department Idaho Idaho Central Health District Daycare Inspection Program Montana Department of Health and **Environmental Services** New Mexico Health and Environment Department Safer New Mexico Now Resource Center

Nevada

New Mexico Poison Center

Board of Pharmacy

# State or Commonwealth Western Region (continued) Oregon Office of State Fire Marshal Board of Pharmacy Utah Department of Commerce Washington State Department of Health Recall Outreach Program Wyoming Board of Pharmacy

Department of Health

# Federal-State-Local Cooperative Program Commissioning Program

Section 29(a)(2) of the Consumer Product Safety Act provides the commission authority to commission state and local officers for conducting any of three activities on behalf of the CPSC: investigations, inspections, and sample collections.

In furtherance of the congressional mandate to establish a program to promote federal and state cooperation, the commission assists in training state and local officials to qualify for a commission. After commissioning, those officials are knowledgeable in CPSC procedures for inspections, investigations, sample collection, and preparation and submission of reports.

Currently 321 officials in 44 states, Puerto Rico, The Virgin Islands, and Guam are commissioned by the CPSC.

| State or Commonwea      | lth Department  | Commissioned Official   |
|-------------------------|---|---|
| Eastern Region          |   |   |
| Alabama                 | Department of Health  | Lynn B. Williams Carol F. Gaddis-Mysinger Zahid Khawaja James Mckvay Amanda Calhoun   |
| Connecticut             | Department of Consumer<br>Protection                                  | Herbert C. Strickland, Jr. Vincent J. Pugliese, Jr. Jack Kornacki Joan C. Jordan Lionel C Roberge, Jr. Michelle Sylvestra Richard Maloney John Gadea, Jr. |
| District of<br>Columbia | Department of Health<br>Bureau of Injury and Disability<br>Prevention | Nigel Scott<br>LaVerne H. Jones<br>Kimberly A. Turner<br>John Pitts<br>Agueda Maradiaga   |
| Delaware                | Office of Emergency Medical<br>Service                                | Sinial M. McGlaughlin   |
|                         | Board of Pharmacy   | William H. Knotts   |

| State or Commonweal   | th Department                                      | Commissioned Official   |
|-----------------------|--|---|
| Eastern Region (conti | inued)   |   |
| Delaware (continued)  | Board of Pharmacy                                  | Robert S. Jameson<br>James I. Kaminski<br>David W. Dryden<br>Bonnie Wallner<br>Calvin M. Campbell<br>Sandra S. Robinson   |
| Florida               | Department of Agriculture<br>And Consumer Services | Robert L. Lastinger Douglas W. Jennings Paul N. Driggers Joseph P. Nicolosi James R. Kelly James Kady Gregory Foutz Barb C. Miller Deborah Martin Debra McCall Mark Kagy Richard Strong John Rosbury  |
|                       | Agency for Health Care<br>Administration           | Evelyn Garrido<br>Wayne Rowe  |
| Georgia               | Office of Consumer Affairs                         | Alma F. Washington Charles F. Mills Michael A. Kaiser Brenda D. Womack Calvin Cash II Carolyn Mills Monty D. Mohr Sondra Sue Pitts Ruth J. Olmond Russell F. Laurens Queen C. Acree Perry Charles Lunsford Kenneth Cooper Ondray T. Jennings Connie P. Spearmen |

| State or Commonweal    | th Department   | Commissioned Official  |
|------------------------|---|--|
| Eastern Region (conti  | inued)  |  |
| Georgia<br>(continued) | Office of Consumer Affairs  | Kenneth Cooper David R. Boucher Marian R. Collins Edmund J. Bazar Eddie Gara Daniel Vogt Pamela Y. Wells |
| Maryland               | Department of Health and<br>Mental Hygiene<br>Office of Food Protection and<br>Consumer Health Services | Jennifer Pruitt Patricia A. Schwaniger Linda Rule David Roberts Bruce Wilhelm Jack Freedman Kirk Engle   |
|                        | Division of Drug Control  | Robert Chang Peter Smith Louis Friedman Harold B. Jones Catherine Putz                                   |
| Maine                  | Department of Human Services<br>Division of Maternal and Child<br>Health                                | Staci Sevigny  |
| Massachusetts          | Board of Pharmacy   | Daniel Warren<br>Leslie Doyle<br>James D. Coffey<br>James Emery<br>Alan Van Tassel                       |
|                        | Worchester Community Action Council Inc.  | Diane C. Reilly  |
| Mississippi            | State Board of Health   | Willie L. Brown<br>George Keith Mananger   |

| State or Commonwea      | lth Department   | Commissioned Official   |  |
|-------------------------|--|---|--|
| Eastern Region (cont    | inued)   |   |  |
| Mississippi (continued) | Board of Pharmacy  | Cheri Atwo  |  |
| New Hampshire           | Department of Health and<br>And Human Services                         | Rhonda Siegel<br>Marie Kiely  |  |
| New Jersey              | Department of Law and Public<br>Safety Division of Consumer<br>Affairs | Anthony J. Brennan<br>Terry L. Smith<br>Richard A. Zitelli<br>William L. Walters  |  |
|                         | Enforcement Bureau   | Robert Lake Wayne Nastase Nancy Paterson Clinton Spaar Agatha Perez Richard L. Perry Robert F. Rokosz Robert Elker Joseph M. Mraw |  |
|                         | Bergenfield Fire Department  | Kenneth Pfannen<br>Robert Byrnes, Jr.   |  |
|                         | Camden County  | Thomas J. DiNunzio<br>James L. Wickner<br>Patricia Tuck-Davis   |  |
|                         | Cape May County  | Edward J. Rodgers E. Robert Spiegel Dolores Keenan Thomas Neary   |  |
|                         | Cumberland County  | Louis G. Moreno, Jr.  |  |
|                         | Monmouth County  | Dorothy H. Avallone   |  |

| State or Commonweal    | th Department        | Commissioned Official  |
|------------------------|----------------------|--|
| State of Commonwear    | п Беригинен          | Commissioned Official  |
| Eastern Region (conti  | nued)                |  |
| New Jersey (continued) | Monmouth             | Dorothy H. Avallone<br>Jane Jennings<br>Mary D. Flaherty<br>Beverly Cohen  |
|                        | Ocean County         | Kenneth J. Leake<br>Kent E. Madsen<br>Edwin J. Olson<br>Barbara O'Neil<br>Edward Mcbride, Jr.<br>Richard Vacante   |
|                        | Passaic County       | Ernest Salerno<br>Barbara Anne Mekita<br>Carol McLeallan<br>Rosalia Sperati  |
|                        | Somerset County      | Virginia G. Mastrogiovanni<br>Eileen V. Popovich<br>Diane C. Vivona<br>Xiomara J. Piercey  |
|                        | Union County         | Ollie Boone<br>Patric Morris<br>Dondria Newton   |
| New York               | Education Department | Michael Colon Donald Dawson Dydilines Dixon Shawn Dudley Robert Gilbert David Greenberg Jan MacFhearguis Christo Nicola William Sachs Robert Stonehill Vincent Vollaro |

| State or Commonwea   | lth Department                                       | Commissioned Official   |  |  |  |
|----------------------|--|---|--|--|--|
| Eastern Region (cont | Eastern Region (continued)                           |   |  |  |  |
| Pennsylvania         | Bucks County<br>Department of Consumer<br>Protection | A. Courtney Alley<br>Steven R. Reilly   |  |  |  |
| Puerto Rico          | Department of Consumer Affairs                       | Carlos Mateo Alers Jose Rodriguez Aida Liz Murphy Lugo Enrique V. Irigoyen Francisco F. Aponte Francisco J. Correa Arias Hector Rivera Aponte Evenly Rabelo Dones |  |  |  |
| Rhode Island         | Board of Pharmacy                                    | Richard A. Yacino<br>Catherine Cordy  |  |  |  |
| South Carolina       | Department of Consumer Affairs                       | Herbert Walker, Jr. William D. Kadlowec William E. Margee Regina Gatewood George Bynum Jr.  |  |  |  |
|                      | Department of Pyrotechnic Safety                     | Gerard W. Wingard   |  |  |  |
| Virginia             | Department of Health                                 | James Johnson<br>Vicki Garrison   |  |  |  |
| Vermont              | Board of Pharmacy                                    | Michael R. Colgan<br>Reginald B. Bragg<br>Stephen Kennedy   |  |  |  |
|                      | Department of Health                                 | Sara Villeneve  |  |  |  |
| West Virginia        | Board of Pharmacy                                    | Thomas D. Gerkin<br>Robert G. Davis<br>Irvin Van Meter, Jr.<br>Arlie Winters, Jr.   |  |  |  |

| State or Commonwea        | lth Department                              | Commissioned Official   |  |
|---------------------------|---|---|--|
| Eastern Region (cont      | inued)                                      |   |  |
| West Virginia (continued) | Attorney Generals' Office                   | Debra Whagner   |  |
| Central Region            |   |   |  |
| Arkansas                  | Department of Health                        | Sandra Lancaster Robert Q. Friday Kathy Graves Tamara Alamand Randy Carter Jennings B. Ivy, Jr.   |  |
| Illinois                  | Department of<br>Professional<br>Regulation | Robert L. Crawford<br>William Gaffney<br>Carl R. Jordan   |  |
| Indiana                   | Office of the Attorney<br>General           | Allen K. Pope<br>Curtis Lee Hill, Jr.   |  |
| Iowa                      | Department of Public<br>Health              | Debra L. Cooper<br>Thomas W. Schlife<br>Michael Magnant   |  |
| Kansas                    | Department of Health and Environment        | Gary Magathan Jeff Walker Stephen N. Paige Roger E. Ozias Melissa D. Lill Melinda L. Rice Mary T. Glassburner Mark Lackey Lana Osbourn Gerald E. Vornholt Earnest E. Barnes Don Parsons |  |

| State or Commonwea    | lth Department  | Commissioned Official   |
|-----------------------|---|---|
| Central Region (cont  | inued)  |   |
| Kansas<br>(continued) | Department of Health<br>And Environment                       | David R. Bustos Daryl W. Meieroff Daniel S. Hutchinson Amy S. Peters Angela Kohls Gregory O. Willis David Bustos Lesa Roberts |
| Kentucky              | Department of Human<br>Resources<br>Bureau of Health Services | Michael B. Cavanah<br>Douglas L. Jackson<br>Maxine M. Brown   |
|                       | Eastern Kentucky University<br>Fire and Safety                | Bill M. Abney<br>Stephen E. Meyers<br>Robert Asel<br>Beverly G. Hart<br>Ronald L. Hopkins<br>James T. Thurman                 |
|                       | Board of Pharmacy   | Nancy K. Busroe<br>Jeffery L. Osman<br>Maxine Snively<br>Michael Mone   |
|                       | Department for Public Health                                  | Tammy Lynn Warford  |
| Louisiana             | Board of Pharmacy   | Stephen L. Collins H. Jerome Foti Jerry Johnson Kathleen V. Gaudet Malcolm J. Broussard Huey J. Savoie Rayland M. Trisler     |
|                       | Office of Public Health                                       | Louis Tractman, MD  |
|                       | Department of Justice<br>Attorney General's Office            | Isabel Wingerter  |
|                       | 1 22  |   |

| State or Commonwea   | alth Department   | Commissioned Official   |  |  |  |
|----------------------|---|---|--|--|--|
| Central Region (cont | Central Region (continued)                                    |   |  |  |  |
| Michigan             | Office of Health Services                                     | Carol A. Haynes-Hall  |  |  |  |
|                      | Lansing Fire Department                                       | Brain Gaukel<br>Barry Gaukel  |  |  |  |
| Nebraska             | Health and Human Services<br>Division of Health and Education | Keith F. Hasen<br>Jean Schumaker<br>Peggy Prusa-Ogea                                      |  |  |  |
| Ohio                 | Department of Public Health                                   | Michael Moser   |  |  |  |
| Oklahoma             | Department of Health  | John Lamb<br>Kelly Carl Ely<br>Ted Evans  |  |  |  |
| South Dakota         | Board of Pharmacy   | Dennis M. Jones<br>James H. Hones<br>Clifford W. Thomas                                   |  |  |  |
| Texas                | Department of Health<br>Austin                                | Joel Ortiz Terry W. Johnson Marcia A. Roberts Brad Genzer Annabelle Dillard Kelley Waller |  |  |  |
|                      | Department of Health<br>El Paso                               | Jose A. Rangel, R.S.  |  |  |  |
|                      | Department of Health<br>Houston                               | Robert H. Lance   |  |  |  |
|                      | Department of Health<br>Arlington                             | Glen Dunlap<br>Richard Lerro  |  |  |  |
|                      | Department of Health<br>San Antonio                           | Richard Boykin  |  |  |  |
|                      | Department of Health<br>Texas City                            | Turonda Jackson   |  |  |  |

| State or Commonwea | lth Department  | Commissioned Official  |
|--------------------|---|--|
| Western Region     |   |  |
| Arizona            | Board of Pharmacy   | Dean Wright L.A. Lloyd Harlan F. Wand Dennis Waggoner  |
| California         | Department of Health<br>Services<br>Food and Drug Branch<br>Sacramento  | Bruce R. Hillard Tamara Jackson Richard Ko John Wallace Allen Davidson Peter Baldridge Mary Kate Miller Phillip Terrazas Raymond D. Wilson Edwin W. Lindquist, Jr. June Nakagawa |
|                    | Department of Health<br>Services  | Sally O. Lunn  |
|                    | Poison Control Center   | Linda J. Pope<br>Elise C. Stone  |
|                    | Bureau of Home Furnishings<br>and Thermal Insulation,<br>North Highland | Leo D. Virata Terry D. Lee David E. Whipple Byron R. Lasiter Terry L. McClellan Pamela J. Ayo  |
| Colorado           | Department of Health  | Clark Wilson Victoria Smith Lori Gerzina Daniel M. Rifken Roberta L. Boitano Linda Cherry Therese Pilonetti Jacalyn Whelan   |

| State or Commonwea      | alth Department                                 | Commissioned Official   |
|-------------------------|---|---|
| Western Region (cor     | ntinued)  |   |
| Colorado<br>(continued) | Department of Public Health and Environment     | Jon C. Strauss Rick Colonno Michele R. Mitchell Susan S. Parachini Patrick Harmel       |
|                         | Tri-Country Health Department                   | Nicole Haubert<br>Melody Mascarenaz<br>Meredith J. Kinshella                            |
| Guam                    | Department of Public Health and Social Services | Rosanna Y. Rabago<br>Dennis G. Rodriguez<br>Joe R. San Augstin<br>Mastomo Thomas Nadeau |
| Hawaii                  | Child Care Connection                           | Marie Jacinto-Kawabata<br>Terry Tamate  |
| Idaho                   | Health Department<br>Southeast District         | John Fletcher<br>Becky Brekke<br>Craig Madson<br>Dennis Morrison                        |
|                         | Health Department<br>Central District           | Tom Turco<br>Martin O. Jones  |
| New Mexico              | Board of Pharmacy                               | Ben B. Kesner<br>Joseph G. Montoya<br>Larry Loring<br>William Harvey                    |
| Oregon                  | Office of the Attorney<br>General               | Jocelyn H. Wagner   |
|                         | Office of State Fire Marshall                   | Judith Okulitch   |
| Utah                    | Bureau of Health Promotion and Education        | Dan Kinnersley  |
| Washington              | Department of Health                            | Larry D. Kirchner   |

| State or Commonwea     | lth Department            | Commissioned Official   | _ |
|------------------------|---------------------------|---|---|
| Western Region (con    | tinued)                   |   |   |
| Washington (continued) | Board of Pharmacy         | Cheryl Adams<br>Joseph Honda  |   |
| Wyoming                | Department of Agriculture | Shawn Moore Doug Krogman Gerald W. Olson Harold D. Anderson Nancy Rieke |   |

# **List of State Designees**

State designees are the commission's primary liaison with state and local officials. The governor of each state appoints state designees, at the commission's request. This individual serves as a liaison for product safety efforts within the state.

# **Eastern Region**

#### Alabama

Mr. James J. McVay Office of Health Promotion and Information Department of Public Health 201 Monroe St. Rm. 900 Montgomery, Alabama 36130-1701 (334) 206-5300

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#### Connecticut

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# Delaware

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Injury Prevention Program Secretary
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# District of Columbia

(vacant)
Department of Health
Bureau of Injury & Disability Prevention
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# Florida

Mr. J. R. Kelly, Director Consumer Services Division Department of Agriculture and Consumer Services 407 South Calhoun Street Mayo Building, Room 233 Tallahassee, Florida 32399-0800 (850) 922-2966 FAX: (850) 487-4177 kellyir@doacs.state.fl.us

#### Georgia

Mr. John S. Smith, III Administrator Office of Consumer Affairs 2 Martin Luther King Dr. Plaza Level East Atlanta, Georgia 30334 (404) 656-3790 FAX: (404) 651-9018 complaints@oca.state.ga.us

# Eastern Region (Continued)

#### Maine

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Department of Human Services
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# Maryland

Alan Taylor, Director Office of Food Protection & Consumer Health Services 6 St. Paul Street, Suite 1301 Baltimore, MD 21202-1606 (410) 767-8402 (410) 333-8931 FAX pamelae@dhmh.state.md.us

## Massachusetts

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and Control Program
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# <u>Mississippi</u>

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# New Hampshire

Mr. Neil Twitchell
Chief
Injury Prevention Program
Bureau of Maternal and Child Health
Department of Health and Human Services
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# New Jersey

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Director, Division of Consumer Affairs
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# Eastern Region (Continued)

# New York

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New York State Consumer Protection Board
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# North Carolina

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Injury & Violence Prevention Unit
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FAX: (919) 733-9575
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# Pennsylvania

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Injury Prevention Program Manager
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Department of Health
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FAX: (717) 783-5498

# Puerto Rico

Mr. Fernando Torres Ramirez
Secretary
Department of Consumer Affairs (DACO)
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Santurce, Puerto Rico 00940
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# Rhode Island

Ms. Ann Thacher, Director
Injury Prevention Program
Division of Prevention & Health Services
Department of Health
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# South Carolina

Ms. Brandolyn Pinkston
Administrator/Consumer Advocate
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FAX: (803) 734-4287
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# Eastern Region (Continued)

# <u>Tennessee</u>

Mr. Jimmy Hopper
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Ellington Agriculture Center
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# Virginia

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#### Vermont

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Health Promotion Specialist
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Disease Prevention
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FAX: (802) 863-7425
ddamero@vdh.state.vt.us

# Virgin Islands

Andrew Rutnik, Commissioner Department of Licensing and Consumer Affairs Golden Rock Shopping Center Christiansted, St. Croix, Virgin Islands 00820 (340) 773-2226 FAX: (340) 778-8250 commissioner@dlca.gov.vi

# West Virginia

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consumer@wvnet.edu

# **Central Region**

# **Arkansas**

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Protection
Department of Health
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FAX: (501) 661-2572
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# Illinois

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Administrator
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And Health Promotion
Department of Public Health
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# Indiana

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#### Iowa

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#### Kansas

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Director, Bureau of Consumer Health
Department of Health and Environment
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FAX: (785) 296-6522
spaige@kdhe.state.ks.us

# **Kentucky**

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Health Program Administrator
Department for Public Health/
Environmental Management Branch
Cabinet for Human Resources
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FAX: (502) 564-6533
mike.cavanah@mail.state.ky.us

# Central Region (Continued)

# Louisiana

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Assistant State Health Officer
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# **APPENDIX J: Organizational Structure and Functions**

# **Organizational Structure and Functions**

As provided in the Consumer Product Safety Act of 1972, the chairman and commissioners are the key policy makers of the U.S. Consumer Product Safety Commission. The staff carries out their decisions and policies.

The Consumer Product Safety Act provides for five commissioners but only three commissioners are currently funded. The chairman and commissioners are appointed by the president and confirmed by the Senate. The commission annually elects a vice chairman.

The chairman is the principal executive officer of the commission. The vice chairman acts in the absence of the chairman.

# The following offices report directly to the chairman:

Office of Congressional Relations acts as the commission's liaison with Congress. It provides information and assistance to congressional committees, responds to inquiries by legislators, and coordinates written and oral testimony by commissioners and agency staff.

Office of Equal Opportunity and Minority Enterprise monitors compliance with all laws, regulations, rules, and internal policies relating to equal employment opportunity. It also ensures compliance with relevant provisions of the Small Business Act, and conducts the Upward Mobility Program.

Office of the Executive Director, under the broad directions of the chairman and in accordance with commission policy, acts as the chief operating manager of the agency, supporting the development of the agency's budget and operating plan before and after commission approval, and managing the execution of those plans.

Office of the General Counsel is the legal arm of the commission. Staffed by lawyers, it provides advice and counsel to the agency and staff on all legal matters, including contracting, personnel issues, legal review of all reports, memoranda, press releases, and publications. It advises the commission on matters of standards development, rules, petitions, interpretations of prevailing regulations, and federal court litigation concerning compliance matters. It also defends court challenges to rules and other commission actions.

Office of the Inspector General is an independent office established under the provisions of the Inspector General Act, as amended. Reporting only to the chairman, this office independently initiates, conducts, and supervises audits, operations reviews, inspections, and investigations of commission programs, activities, and operations to prevent and detect waste, fraud, and abuse. It makes recommendations to promote economy, efficiency, and effectiveness within the commission's programs and operations. The office also receives and investigates complaints or information concerning possible violations of law or regulations, mismanagement, abuse of authority, and waste of funds.

**Office of the Secretary** prepares the agendas for official agency meetings, maintains official records of commission actions, manages the docket of adjudicative proceedings, and responds to requests for documents under the Freedom of Information Act (FOIA) and the Privacy Act. It issues the agency's official documents, including *Federal Register* notices, prepares and publishes the Public Calendar of Commission Meetings, and supervises the operations of CPSC's public reading room and the electronic reading room.

# The following offices report to the executive director:

Office of the Budget is responsible for overseeing the development of the commission's budget. The office, in consultation with other offices and directorates prepares, for the commission's approval, the annual budget requests to Congress and the Office of Management and Budget and the operating plans for each fiscal year. It manages execution of the commission's budget. The office recommends to the Office of the Executive Director actions to enhance effectiveness of the commission's programs and activities.

Office of Information and Public Affairs disseminates information to consumers and the media. It prepares and publishes brochures, booklets, fact sheets, and safety alerts recommending the safe ways for consumers to purchase and use products in the home environment. Press releases are prepared and circulated to the media on commission actions, product recalls, and seasonal events such as toy safety, fireworks, baby safety, grass cutting, residential pool use, and National Poison Prevention Week.

Office of Planning and Evaluation is responsible for overseeing the development of the commission's strategic plan, annual performance plans and annual performance reports under the Government Performance and Results Act. The office also is responsible for short-term and long-term planning and evaluating of agency programs and accomplishments. Evaluation studies are conducted to determine how well the commission fulfills its mission. The office also manages the commission's information collection budget and obtains Office of Management and Budget clearance for information collections.

Office of Compliance directs the administrative enforcement of commission rules and regulations. The office, staffed by lawyers and compliance specialists and working with field staff, initiates investigations on safety hazards of products already in the consumer marketplace or being offered for import. It enforces the requirement that firms identify and report product defects which could present possible substantial hazards, violations of consumer product safety rules, and violations of standards relied upon by the commission. It negotiates and subsequently monitors corrective action plans designed to give public notice of hazards and recall defective or non-complying products. In addition, it provides advice and guidance to regulated industries on complying with commission rules and regulations.

Office of Hazard Identification and Reduction manages the commission's Hazard Identification and Analysis Program and its Hazard Assessment and Reduction Program. The office develops strategies for and implements the agency's operating plan for these two hazard

programs. The office reports to the executive director, and has line authority over the Directorates for Epidemiology, Health Sciences, Economic Analysis, Engineering Sciences, and Laboratory Sciences. The office's responsibilities include the collecting and analyzing of data to identify hazards and hazard patterns, the carrying out of the commission's regulatory and voluntary standards development projects, and providing coordination of international activities related to consumer product safety. This office also provides technical and economic support for the commission's compliance activities. The office assures that relevant technical, environmental and economic impacts of projects are comprehensively and objectively presented to the commission for decision. The office also evaluates rulemaking petitions received by the commission.

Office of Human Resources Management provides human resources management support to the commission in the areas of recruitment and placement, position classification, training and executive development, employee and labor relations, employee benefits and retirement assistance, employee assistance programs, drug testing, leave administration, disciplinary and adverse actions, grievances and appeals and performance management.

Office of Information Services is responsible for policy and operations relating to agency collection, use, and dissemination of information. The office provides information technology support for data collection, information retrieval, report generation, and statistical and mathematical operations of the agency. The office maintains the agency's local area networks and develops and supports other network applications such as electronic mail. The office manages the agency's toll-free Hotline, Internet and fax-on-demand services. The office is responsible for the agency's injury information clearinghouse, information resources management (including records management), and managing the agency's directive system.

**Directorate for Field Operations** is responsible for all commission field operations. The commission's 136 member field staff, located in 52 cities across the country, supports the full range of CPSC programs. The field staff conduct in-depth investigations of injuries and deaths, provide local support for the hospitals that report through the National Electronic Injury Surveillance System, and collect reports of product-related incidents. Field investigators inspect manufacturers, importers, distributors, and retailers and collect product samples for testing or evaluation to determine violations of safety regulations and possible substantial product hazards. The field staff insure that appropriate action is taken to correct any violations. They also negotiate and monitor recalls of hazardous products and provide advice and guidance to industry. The field staff implement national safety education campaigns, respond to inquiries, and work with the press and local organizations to bring product safety information to the consumer. The field staff also work with state and local officials and private organizations to inform the public about the safe use of consumer products and to obtain compliance with CPSC laws and regulations.

**Directorate for Administration** is responsible for formulating and executing general administrative policies in the areas of financial management, procurement, property and space management, security equipment maintenance, printing, and warehousing, mail, duplication, labor services and physical security.