

This report is **mandatory** under Public Law 93-275 and section 1015 of the Energy Policy Act of 1992 (Public Law 102-486). Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For the sanctions and the provisions concerning the confidentiality of information submitted on this form, see the instructions. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.**

## INSTRUCTIONS

### SANCTIONS

The timely submission of Form EIA-858 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275), as amended. Failure to respond may result in a penalty of not more than \$2,750 per day for each civil violation, or a fine of not more than \$5,000 per day for each criminal violation. The government may bring a civil action to prohibit reporting violations, which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements.

### CONFIDENTIALITY

**The items on Form EIA-858 to be treated as NOT confidential are: RESPONDENT IDENTIFICATION NAME, OTHER PARTY NAME (ITEM 1A), and SELLER'S NAME (ITEM 2B).** This information reported on Form EIA-858 will not be treated as confidential and may be publicly released in identifiable form. In addition to the use of the information by EIA for statistical purposes, the information may be used for any nonstatistical purposes such as administrative, regulatory, law enforcement, or adjudicatory purposes.

**All other information you provide will be used for statistical purposes only. Also, the other information the Energy Information Administration (EIA) collects for this survey about your company is confidential by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 (Title 5 of Public Law 107-347) and other applicable Federal laws, your responses will not be disclosed in identifiable form without your consent. By law, every EIA employee, as well as every agent, is subject to a jail term, a fine, or both if he or she makes public ANY identifiable information you reported.**

### REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 14 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Statistics and Methods Group, EI-70 Forrestal Building, 1000 Independence Avenue SW, Washington, D.C. 20585-0670; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503. A person is not required to respond to the collection of information unless the form displays a valid OMB number.

### EIA-858 SURVEY MANAGER AND CONTACT

Douglas Bonnar  
Telephone Number: (202) 287-1911  
FAX Number: (202) 287-1944 or (202) 287-1946  
E-mail: [douglas.bonnar@eia.doe.gov](mailto:douglas.bonnar@eia.doe.gov)

**WHO MUST RESPOND:** Firms and individuals that were involved in the (domestic) U.S. uranium industry must complete the Form EIA-858.

**WHEN TO RESPOND:** The Form EIA-858 must be filed with the EIA by March 1, 2004.

**METHODS OF FILING RESPONSE:** The recommended method for filing the Form EIA-858 is via internet EIA Secure File Transfer (SFT). It is an electronically secure option. Save the form to your hard drive and refer to the following website for further instructions:  
<https://idc.eia.doe.gov/upload/notice858.jsp>.

Or file the form by the following nonsecure methods:

- E-mail the form to [douglas.bonnar@eia.doe.gov](mailto:douglas.bonnar@eia.doe.gov).
- Fax the form to (202) 287-1944 or (202) 287-1946.
- Mail the form to: U.S. Department of Energy, Energy Information Administration, Mail Stop: BG-076 (Form EIA-858), 1000 Independence Avenue, SW, Washington, DC 20077-5651.

Note that commonly used facsimile and e-mail transmissions (including files attached to e-mail messages) travel over ordinary telephone lines and are not considered secure electronic methods of transmitting survey data. Please retain a copy of your submission for your files.

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## INSTRUCTIONS

**ITEM 1. CONTRACT** covers all *Purchase, Sale, Exchanges, Loans, Loan Repayments*, and/or *Other* transactions (transfer of title) active during the Survey Year. Exchanges include physical material, country of origin, and ownership changes of uranium. Report each contract that was active or that was completed (performed) by your firm during the Survey Year. If, during the Survey Year, your firm held or entered into other contracts, a separate Item 1 must be completed to report each such new contract, including those completed during the year. EIA must be able to account for all *transfers of title to uranium materials* during the Survey Year. Any transaction that involved a transfer of title, i.e., the Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other should be reported. A separate Item 1 must be completed to report all information requested under Items 1.A through 1.F for a transfer-of-title contract during the Survey Year. Under certain conditions, a Sale or Purchase of separate work units (SWU) in the secondary market constitutes a transfer of title to uranium. A contract that involves, in fact, a transfer of title to enriched uranium for a title to natural uranium, or vice-versa, should be reported as an Exchange.

**Item 1.A. Other Party Name:** The other party or parties named in the contract.

**Item 1.B. Date Contract Signed:** Give the date the contract was originally signed. If applicable, give the latest date it was renegotiated and indicate whether this was price related.

**Item 1.C. Contract Type: Spot Contract** - A one-time delivery of the entire contract quantity to occur within one year of contract execution.

**Long-Term Contract** - One or more deliveries to occur after a year following contract execution.

**Item 1.D. Uranium Deliveries in Reporting Year**

**Item 1.D.1 Transaction Type:** Indicate whether each delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved, use the next row, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each delivery.

**Item 1.D.2 Material Type:**  $U_3O_8$  - Uranium oxide (Uranium concentrate or yellowcake) **Natural  $UF_6$**  - Uranium Hexafluoride **Enriched Uranium**

**Item 1.D.3. Country of Origin:** Enter the country in which the uranium was mined.

**Item 1.D.4. Quantity (thousand pounds):** Enter quantity of uranium oxide ( $U_3O_8$ ) and  $U_3O_8$  equivalent uranium-component quantity specified in the contract for each delivery of natural  $UF_6$  and enriched uranium during the Survey Year.

**Item 1.D.5. Price (US\$ per pound):** Enter price of uranium oxide ( $U_3O_8$ ) and  $U_3O_8$  equivalent uranium-component price specified in the contract for each delivery of natural  $UF_6$  and enriched uranium during the Survey Year. In the price, do not include the conversion service and enrichment service components. Note that prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

**Items 1.D.6. Pricing Mechanism:** Report whether the pricing arrangement under the contract is specified as base-price escalated or fixed price, or whether the price is based on a spot-market price. If the contract specifies a different pricing mechanism, mark "Other" and briefly describe. Note that pricing mechanisms prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

**Item 1.E. Future Deliveries**

**Item 1.E.1. Year:** Enter each year that deliveries stated in the contract, allowing for firm and optional quantities.

**Item 1.E.2. Transaction Type:** Indicate whether each future delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved for a future year, use the next row reporting that same year, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each future delivery.

**Item 1.E.3. Material Type:**  $U_3O_8$  - Uranium oxide (Uranium concentrate or yellowcake) **Natural  $UF_6$**  - Uranium Hexafluoride **Enriched Uranium**

**Item 1.E.4-5. Minimum and Maximum Quantity (thousand pounds):** Report the minimum and maximum quantities as stated in the contract for each year, to allow the option of either increasing or decreasing quantities. If a future delivery is firm or fixed, report that same quantity under both minimum and maximum.

**Item 1.F. Contract Completed:** Indicated if this survey year delivery is the last delivery under the terms of this contract. If a contract was completed during the Survey Year, please report YES, and be sure to return that contract with your survey form.

**Item 2.A-D. Enrichment Services Purchased by Owners and Operators of Civilian Nuclear Power Reactors:** Report purchases of enrichment services made during the Survey Year. Report individual quantities in units of separate work units (SWU), not thousand SWU, purchased by the country where the enrichment service was performed (country where enrichment plant is located) or SWU-origin, seller's name, and the appropriate type of contract (Spot or Long-Term). A purchase of SWU through assignment of an enrichment contract should be reported under this item. Owners and operators of civilian nuclear power reactors are required to report their purchases of enrichment services during the Survey Year under the provisions of Section 1015 of the Energy Policy Act of 1992 (P.L. 102-486).

**Item 3. Uranium Inventories:** State the inventory quantities, both domestic- and foreign-origin, as of the end of the Survey Year to which your firm own, held title, and/or held under financial lease. Reported quantities should agree with inventory quantities reported last year and with data provided elsewhere in Form EIA-858. That is, inventory quantities should reflect last year's amounts with adjustments for subsequent stock changes, purchases, sales, exchanges, loans, and usages, etc., reported on other parts of this form.

**Item 4. Uranium Used In Fuel Assemblies in the Survey Year (Owners and Operators of Civilian Nuclear Power Reactors only):** Report only the total of unirradiated new fuel assemblies loaded into the reactor(s) during the Survey Year and during the prior-year by domestic- and foreign-origin. Do not include uranium removed from a reactor that subsequently will be reloaded.

**Item 5. Uranium Feed Deliveries to U.S. and Foreign Enrichers in the Survey Year:** Enter the country origins and associated quantity of uranium feed shipped to enrichment plants, indicating each country where enrichment plant is located. Do not include deliveries placed in your usage agreement account that are not intended for withdrawal until in the next calendar year or later. Withdrawals of enriched uranium from your usage agreement account in the Survey Year, however, should be included as appropriate in your response(s).

**Item 6. Projected Enrichment Feed Deliveries and Unfilled Market Requirements:** In the second column, enter your firm's total projected enrichment feed shipments to enrichment service suppliers (U.S. and foreign suppliers) for each year. Include enriched uranium to be received through all purchase and exchange contracts in effect as of the end of the Survey Year. Exclude feed deliveries of uranium scheduled for a cancelled reactor, unless the enriched product will be used in another of your firm's reactor units.

In the third column, enter your firm's total unfilled market requirements for each year.

**Note:** The total projected shipments in the second column are the contracted deliveries plus unfilled requirements reported in the third column.

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## GLOSSARY

**Base-Escalated Pricing Mechanism:** The delivery price determined when a contract is signed. It can be a fixed price or a base price escalated according to a given formula.

**Domestic:** The 50 States and the District of Columbia. *Note:* The United States has varying degrees of jurisdiction over a number of territories and other political entities outside the 50 States and the District of Columbia, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Johnston Atoll, Midway Islands, Wake Island, and the Northern Mariana Islands. EIA data programs may include data from some or all of these areas in U.S. totals. For these programs, data products will contain notes explaining the extent of geographic coverage included under the term "United States."

**Domestic purchase:** A uranium purchase from a firm located in the United States.

**Domestic sale:** A uranium sale to a firm located in the United States.

**Domestic uranium industry:** Collectively, those businesses (whether U.S. or foreign-based) that operate under the laws and regulations pertaining to the conduct of commerce within the United States and its territories and possessions and that engage in activities within the United States, its territories, and possessions specifically directed toward uranium exploration, development, mining, and milling; marketing of uranium materials; enrichment; fabrication; or acquisition and management of uranium materials for use in commercial nuclear power plants.

**EIA:** The Energy Information Administration. An independent agency within the U.S. Department of Energy that develops surveys, collects energy data, and analyzes and models energy issues. The Agency must meet the requests of Congress, other elements within the Department of Energy, Federal Energy Regulatory Commission, the Executive Branch, its own independent needs, and assist the general public, or other interest groups, without taking a policy position. See more information about EIA at <http://www.eia.doe.gov/neic/aboutEIA/aboutus.htm>

**Enriched Uranium:** Uranium in which the  $^{235}\text{U}$  isotope concentration has been increased to greater than the 0.711 percent  $^{235}\text{U}$  (by weight) present in natural uranium.

**Enrichment Services:** (See Separative Work Units).

**Enrichment feed deliveries:** Uranium that is shipped under contract to a supplier of enrichment services for use in preparing enriched uranium product to a specified  $^{235}\text{U}$  concentration and that ultimately will be used as fuel in a nuclear reactor.

**Fabricated fuel:** Fuel assemblies composed of an array of fuel rods loaded with pellets of enriched uranium dioxide.

**Fixed Pricing Mechanism:** The delivery price determined when a contract is signed. It can be a fixed price or a base price escalated according to a given formula.

**Foreign purchase:** A uranium purchase of foreign-origin uranium from a firm located outside of the United States.

**Foreign sale:** A uranium sale to a firm located outside the United States.

**Long-term contract:** One or more deliveries to occur after a year following contract execution.

**Natural uranium:** Uranium with the U-235 isotope present at a concentration of 0.711 percent (by weight), that is, uranium with its isotopic content exactly as it is found in nature.

**Nuclear electric power (nuclear power):** Electricity generated by the use of thermal energy released from the fission of nuclear fuel in a reactor.

**Nuclear reactor:** An apparatus in which a nuclear fission chain reaction can be initiated, controlled, and sustained at a specific rate. A reactor includes fuel (fissionable material), moderating material to control the rate of fission, a heavy-walled pressure vessel to house reactor components, shielding to protect personnel, a system to conduct heat away from the reactor, and instrumentation for monitoring and controlling the reactor's systems.

**Respondent:** A company or individual who completes and returns a report or survey form.

**Separative Work Units (SWU):** The standard measure of enrichment services. The effort expended in separating a mass  $F$  of feed of assay  $x_f$  into a mass  $P$  of product assay  $x_p$  and waste of mass  $W$  and assay  $x_w$  is expressed in terms of the number of separative work units needed, given by the expression  $\text{SWU} = WV(x_w) + PV(x_p) - FV(x_f)$ , where  $V(x)$  is the "value function," defined as  $V(x) = (1-2x) \ln((1-x)/x)$ .

**Spot contract:** A one-time delivery of the entire contract to occur within one year of contract execution.

**Spot-market price:** The price for a one-time open market transaction for immediate delivery of a specific quantity of product at a specific location where the commodity is purchased "on the spot" at current market rates.

**Unfilled requirements:** Requirements not covered by usage of inventory or supply contracts in existence as of January 1 of the survey year.

**Uranium:** A heavy, naturally radioactive, metallic element (atomic number 92). Its two principally occurring isotopes are  $^{235}\text{U}$  and  $^{238}\text{U}$ . The isotope  $^{235}\text{U}$  is indispensable to the nuclear industry because it is the only isotope existing in nature to any appreciable extent that is fissionable by thermal neutrons. The isotope  $^{238}\text{U}$  is also important because it absorbs neutrons to produce a radioactive isotope that subsequently decays to the isotope  $^{239}\text{Pu}$ , which also is fissionable by thermal neutrons.

**Uranium concentrate:** A yellow or brown powder obtained by the milling of uranium ore, processing of in situ leach mining solutions, or as a byproduct of phosphoric acid production.

**Uranium Hexafluoride:** A white solid obtained by chemical treatment of  $\text{U}_3\text{O}_8$  and which forms a vapor at temperature above 56 degrees Centigrade.  $\text{UF}_6$  is the form of uranium required for the enrichment process.

**Uranium oxide:** Uranium concentrate or yellowcake. Abbreviated as  $\text{U}_3\text{O}_8$ .

**Yellowcake:** A natural uranium concentrate that takes its name from its color and texture. Yellowcake typically contains 70 to 90 percent  $\text{U}_3\text{O}_8$  by weight. It is used as feedstock for uranium fuel enrichment and fuel pellet fabrication.

<b>U.S. Department of Energy</b> <b>Energy Information Administration</b> <b>Form EIA-858</b> <b>Survey or Reporting Year = CY2003</b>	<b>URANIUM MARKETING ANNUAL SURVEY</b>	<b>Form Approved</b> <b>OMB No. 1905-0160</b> <b>Approval Expires 11/30/2006</b>
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RESPONDENT AND CONTACT IDENTIFICATION			
RESPONDENT ID# (EIA USE ONLY):		0000000000	
Company Name:		Contact Name:	
Address:		Title:	
City:		Phone:	
State:		Fax Number:	
Zip Code:		Email Address:	
Parent Company:		Parent ID# (EIA USE ONLY):	0000000000

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ITEM 1: CONTRACT (Complete a separate Item 1 Contract for each existing contract and new contract signed in the Survey Year)

A. Other Party Name:  Other Party ID# (FOR EIA USE ONLY):

B. Date Contract Signed:  Date Contract Renegotiated:   
Was the renegotiation price related?  YES or NO

C. Contract Type:  SPOT or LONG-TERM

D. Uranium Deliveries in Reporting Year					
Transaction Type (Transfer of Title)	Material Type	Country of Origin	Quantity (1000 lbs U <sub>3</sub> O <sub>8</sub> Equivalent)	Price (US\$/lb)	Pricing Mechanism
<input type="text"/>	<input type="text"/>	<input type="text"/>	00,000.0	00.00	<input type="text"/>
PURCHASE	U3O8	AUSTRALIA			FIXED
SALE	NATURAL UF6	CANADA			BASE-ESCALATED
EXCHANGE (received)	ENRICHED URANIUM	CHINA			SPOT MARKET
EXCHANGE (sent)		FRANCE			OTHER <input type="text"/>
LOAN LENDER		GABON			
LOAN BORROWER		GERMANY			
LOAN REPAYMENT LENDER		KAZAKHSTAN			
LOAN REPAYMENT BORROWER		NAMIBIA			
OTHER <input type="text"/>		RUSSIA			
		SOUTH AFRICA			
		UNITED KINGDOM			
		UNITED STATES			
		UZBEKISTAN			
		OTHER <input type="text"/>			
Total:			00,000.0		

E. Future Deliveries				
Year	Transaction Type	Material Type	Minimum (1000 lbs U <sub>3</sub> O <sub>8</sub> Equivalent)	Maximum (1000 lbs U <sub>3</sub> O <sub>8</sub> Equivalent)
<input type="text"/>	<input type="text"/>	<input type="text"/>	00,000.0	00,000.0
2004	PURCHASE	U <sub>3</sub> O <sub>8</sub>		
2005	SALE	NATURAL UF <sub>6</sub>		
2006	EXCHANGE (received)	ENRICHED URANIUM		
2007	EXCHANGE (sent)			
2008	LOAN LENDER			
2009	LOAN BORROWER			
2010	LOAN REPAYMENT LENDER			
2011	LOAN REPAYMENT BORROWER			
2012	OTHER <input type="text"/>			
2013				

F. Contract Completed:  YES or NO

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ITEM 2: ENRICHMENT SERVICES PURCHASED BY OWNERS AND OPERATORS OF CIVILIAN NUCLEAR POWER REACTORS

A. Country of Enrichment Service (SWU-origin)	B. Seller's Name	C. Contract Type	D. Quantity (SWU)
<input type="text"/>		<input type="text"/>	00,000,000.0
CHINA		SPOT	
FRANCE		LONG-TERM	
GERMANY			
NETHERLANDS			
RUSSIA			
UNITED KINGDOM			
UNITED STATES			
OTHER <input type="text"/>			
Total:			00,000,000.0

ITEM 3: URANIUM INVENTORIES

Type of Inventory	Domestic-Origin		Foreign-Origin	
	Year-end 2002	Year-end 2003	Year-end 2002	Year-end 2003
<input type="text"/>				
U <sub>3</sub> O <sub>8</sub>				
NATURAL UF <sub>6</sub>				
ENRICHED UF <sub>6</sub>				
FABRICATED FUEL (not inserted into a reactor)				
Totals:	00,000.0	00,000.0	00,000.0	00,000.0

ITEM 4: URANIUM USED IN FUEL ASSEMBLIES IN THE SURVEY YEAR

Unirradiated Uranium in Fuel Assemblies	Domestic-Origin		Foreign-Origin	
	2002	2003	2002	2003
Quantity (1000 lbs U <sub>3</sub> O <sub>8</sub> Equivalent)	00,000.0	00,000.0	00,000.0	00,000.0

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#### ITEM 5: URANIUM FEED DELIVERIES TO U.S. AND FOREIGN ENRICHERS IN THE SURVEY YEAR

Country of Origin for Uranium Feed	Quantity (1000 lbs U <sub>3</sub> O <sub>8</sub> Equivalent)	Enrichment Country
AUSTRALIA		CHINA
BELGIUM		FRANCE
BRAZIL		GERMANY
BULGARIA		NETHERLANDS
CANADA		RUSSIA
CHINA		UNITED KINGDOM
CZECH REPUBLIC		UNITED STATES
FRANCE		OTHER
GABON		
GERMANY		
KAZAKHSTAN		
KYRGYZSTAN		
MEXICO		
MONGOLIA		
NAMIBIA		
NETHERLANDS		
NIGER		
PORTUGAL		
ROMANIA		
RUSSIA		
SLOVAKIA		
SOUTH AFRICA		
SPAIN		
TAJIKISTAN		
UKRAINE		
UNITED KINGDOM		
UNITED STATES		
UZBEKISTAN		
YUGOSLAVIA		
OTHER		
Total:	0.000.0	

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ITEM 6: PROJECTED ENRICHMENT FEED DELIVERIES AND UNFILLED MARKET REQUIREMENTS

Year	Projected shipments to enrichment suppliers	Unfilled market requirements
<input type="text" value="2004"/>		
2004	00,000.0	00,000.0
2005	00,000.0	00,000.0
2006	00,000.0	00,000.0
2007	00,000.0	00,000.0
2008	00,000.0	00,000.0
2009	00,000.0	00,000.0
2010	00,000.0	00,000.0
2011	00,000.0	00,000.0
2012	00,000.0	00,000.0
2013	00,000.0	00,000.0

COMMENTS: