RFQ 35625
INFORMATION ASSURANCE AND COMPLIANCE AUDIT OF THE DEFENSE
PROPERTY ACCOUNTABILITY SYSTEM (DPAS)

**Questions with Government Responses.
6 July 2004**

Question 1. The offeror letter stated "The RFQ Due date (closing date) in on or before 4:30 pm
Eastern Standard Time, Thursday, I July 2004" Since we are currently on day light saving time,
due you really mean 4:30 pm Eastern Standard Time, or due you mean 4:30 pm Eastern Daylight
Saving Time?

Response: Responses are due 4:30 pm Eastern Daylight Savings Time.

Question 2. What are the anticipated contract award and contract start dates?

*Response: Contract award will be approximately 2 to 3 weeks following proposal due dates.
Start date is immediately following award.*

Question 3. Which DoD agencies or Departmental components does the offeror need to
demonstrate Organizational Conflict of Interest (OCI) independence? (e.g. IG DoD, DFAS, etc.)

Response: For this task order, recommend DFAS, DISA, and DPAS-related work.

Question 4. Section 2.0 ("Objectives") of the Statement of Work (SOW) states that the
contractor will test compliance with the Business Management Modernization Program Systems
Compliance Criteria. However, this requirement is not stated in section 5.2 ("Deliverables"). Is
it permissible to put the results of the compliance testing in the technical report?

*Response: Yes, it is permissible to put the results of the compliance testing in the technical
report.*

Question 5.   Will you provide a copy of (or a web-link to) the Business Management
Modernization Program Systems Compliance Criteria? The scope of work in section 2
("Objectives") of the SOW states that the contractor will test general and application controls.
Will the testing of application controls, such as testing application interfaces, require the
contractor to analyze the accuracy and integrity of data flowing through the application, as well
as the controls?

Response: The SOW should read as follows.

The contractor shall use the General Accounting Office (GAO) Federal Information Systems Controls Audit
Manual (FISCAM) to develop the methodology and detailed audit steps to determine DPAS compliance with
the **goals and objectives** of the Business Management Modernization Program Systems and compliance with
the DoD Information Technology Security Certification and Accreditation Process (DITSCAP), and Statement
on Auditing Standards (SAS) 70/88 criteria. The goal and objectives of the BMMP are:

GOAL 1: Provide timely, accurate, and reliable information for Business Management

OBJECTIVE 1.1   Achieve unqualified audit opinion on 2007 consolidated DoD
                       financial Statements
OBJECTIVE 1.2   By 2007, achieve total visibility and accurate valuation of assets
                       to include Operating Materials and Supplies (OM&S); Inventory;
                       and Property, Plant, and Equipment (PP&E)
OBJECTIVE 1.3   By 2007, achieve total personnel visibility to include: military
                       service members, civilian employees, military retirees, and other
                       U.S. personnel in a theater of operations (including contractors
                       and other federal employees)
OBJECTIVE 1.4   Provide DoD decision makers, timely access to business
                       information

GOAL 2: Enable improved Business Operations

OBJECTIVE 2.1   Adopt the Business Enterprise Architecture (BEA) for acquiring,
                       managing, and providing material and personnel in support of
                       the Warfighter
OBJECTIVE 2.2   Systematically enable efficiency and productivity improvements
                       to DoD business operations

Question 6. The SOW requires a SAS 70/88 Type II report as part of the final report
deliverables. Will DoD require a CPA firm to provide an opinion on the Type II report? Also,
will DoD provide the contractor with a description of the internal control environment as well as
the control objectives that will be the starting point for a SAS 70/88 report?

*Response: IG DoD as the statutory auditor for the DoD Financial statements will opine on the
SAS 70 Type II review. The contractor performing the SOW will prepare the SAS 70 Type II
report and the IG DoD will sign and issue the report.*

*To the extent that management has described their internal control environment and control
objectives, we will provide them to the contractor receiving the contract award.*

Question 7. The stated Period of Performance is from time of award through June 30, 2005. The
minimum period of review for a SAS 70 is 6 months under the AICPA standard. Thus, if
information is to be used to judge financial statements that close at the end of the fiscal year (09-
30), a usable SAS 70 review can be no later than 03-31. Does DoD have this same
understanding? If so, what 6 month period do you want covered?

*Response: Yes, we have the same understanding. The 6-month period should cover October 1,
2004 until March 31, 2005.*

Question 8. Please provide the following information to enable the offeror to determine the scope
of the DPAS work:

       - Number of servers subject to testing at the DECC Detachment, Dayton (DECC-D).
       Please advise if testing is expected at other locations, if applicable.
       - Number of operating systems reviews required for of the HP-UX 11.X operating
       system. Please advise if reviews are expected for and any other operating system.

- Number and Type of database management system software and application system software that must be reviewed.
- Other Networks subject to testing in addition to the Defense Information Systems Network (DISN)
- Will listed interfacing systems (SOW section 3.4) require testing, or only the respective interfaces with DPAS?

Response: Exact numbers can not be provided at this time. The number of servers, operating systems reviews, system software reviews, etc will be determined by the contractor, in coordination with DoDIG, to ensure adequate coverage of the general controls are tested and an opinion can be expressed. This determination should be based on prior results at the specific site and risks assigned by the contractor.

Question 9. Section 2.0 (Objective) of the SOW states that "The purpose of this audit project will be to determine whether DPAS is secure and compliant with applicable guidance to produce accurate and reliable data." Will the SAS 70/88 include DoD defined control objectives that require testing of the quality of data in addition to FISCAM based general and application control methodologies?

Response: Yes.

Question 10. Section 3.4 of the SOW lists the System Interfaces for DPAS. Please clarify whether testing of feeder systems is within scope of the DPAS application controls review and is a DoD expectation.

Response: No feeder systems review is required. However, the contractors will be required to test DPAS interfaces with feeder systems.

Question 11. Page 2 of the RFQ states that "….resumes and letters of commitment are required of all key staff." Also, section 6.3.1 ("Key Personnel") of the SOW requires the contractor to provide the Procuring Contracting Officer (PCO) with a list of key personnel at the senior level and above assigned to the contract. Our assumption is that "key staff" and "senior level" personnel mean individuals who are responsible for managing and directing the project and are not categorized as a "labor category" under the GSA Schedule. Is our assumption correct?

Response: The IG DoD is defining senior level as those individuals responsible for managing and directing the project.

Question 12. Attachment 2, "Department of Defense Contractor Security Classification Specification" (DD Form 254) for security requirements in section 10, states that "Contractor will need Top Secret Access to DoD facilities." Do all personnel being proposed by the offeror need to have a Top Secret clearance? Will the OIG DoD assist in obtaining and expediting such clearances?

Response: We have amended the DD 254 to require only a Secret facility clearance. Not all individuals will require a Top Secret Clearance. Individuals will require a SECRET clearance for unescorted access to facilities housing the audited systems.   Also, interim clearances will be

recognized. For those personnel accessing government computer systems to extract audit information, those position are designated as ADP-I and require a favorable adjudicated Single Scope Background Investigation (SSBI). The IG DoD will assist in obtaining the individual security clearances however, our ability to expedite the clearances is limited.