

Federal Branch and Agency Relocation Application

For OCC Use Only

Control No. _____

Date Received _____

Filing Fee Amount _____

Date Receipt _____

Acknowledged _____

Foreign Bank Identifying Information

Foreign Bank Name _____
(exact corporate title of bank)

Address _____
(headquarters office address of foreign bank, city, state, country)

Federal Branch or Agency Identifying Information

OCC License No. _____ A fee of \$_____ is enclosed.

Present Location _____
(street address of federal branch, city, county, state, ZIP Code)

Proposed Location _____
(street address of federal branch, city, county, state, ZIP Code)

Desired Relocation Date _____

Application Identifying Information

Application is hereby made for permission to relocate a federal branch or agency (*within the same state/to another state*).

Does the proposed relocation meet the distance criteria to qualify for a "short-distance" relocation pursuant to 12 CFR 5.3(l)? Yes **G** No **G**

Distance between present and proposed locations _____ (*in feet or miles*)

Does this application qualify for or has it been approved for expedited review?

Yes **G** No **G**

Representative Information

Name _____

Address _____

City _____ State _____ ZIP Code _____

Phone No. _____ Fax No. _____ (*include country code and area code as applicable*)

Publication Information

Public notice is being published in _____
(*name and address of newspaper*)

on _____, _____.

A copy and certification of publication **G** is enclosed **G** will be forwarded.

Requests for additional Information or other communications about this proposal should be directed to:

_____ (Name) _____ (Title)

(Mailing Address)

(Telephone Number)

Statutory and Regulatory Factors

1. Legality

- a. Do any federal and state legal requirements exist that will affect the planned relocation?

Yes **G** No **G**

If "yes," does this filing comply with state law requirements?

Yes **G** No **G**

If the preceding answer is "no," or if approval of this application would be precedential under federal and/or state laws, list applicable law citations and provide a legal analysis from counsel in support of the application.

- b. Are there any other legal issues involved with this proposal?

Yes **G** No **G**

If "yes," provide a legal analysis and fully discuss the legal issue(s).

- c. Is notice/application required by any other regulatory authority?

Yes **G** No **G**

If "yes," submit a copy of the notification/application.

2. Business

- a. Will the relocation alter the types of business conducted by the federal branch or agency?

Yes **G** No **G**

If "yes," discuss management's strategic plan for the relocated federal branch or agency.

3. Community Reinvestment Act (CRA)

Is the federal branch insured by the Federal Deposit Insurance Corporation (FDIC)?

Yes **G** No **G**

If "yes," CRA applies and the applicant should discuss how the relocation will affect the assessment areas and the provision of banking services to the community.

4. Environment

Will the proposed action affect significantly the quality of the human environment, (e.g., air quality, water quality, noise levels, energy consumption, congestion of population, solid waste disposal, or environmental integrity of private land) within the meaning of the National Environmental Policy Act (NEPA), 42 USC 4321, *et seq.*?

Yes **G** No **G**

If "yes," discuss plans to comply with NEPA's requirements.

5. Historic Properties

Does the proposed relocation affect any district, site, building or structure listed in, or eligible for listing in, the *National Register of Historic Places* (National Register), pursuant to the National Historic Preservation Act (NHPA), 16 USC 470? Yes **G** No **G**

If "yes," has the State Historic Preservation Office (SHPO) communicated its determination of the effects of the relocation? Yes **G** No **G**

If "yes," please provide a copy of the letter. If "no," discuss the status.

Desired Action Date

I/we desire OCC action on this application no later than (date). I hereby certify that senior management of the foreign bank has authorized the filing of this application (certified authorization enclosed), and that to the best of my knowledge, it contains no misrepresentations or omissions of material fact. In addition, I agree to notify the OCC if the facts described in the filing materially change prior to receiving a decision.

Signature of Authorized Officer

Typed Name

Title

Date

Enclosures: (As applicable)

- G Filing fee
- G Proof of publication
- G Legal opinion
- G Other regulatory applications/notices
- G Reason for relocation
- G CRA discussion
- G SHPO letter