

UNITED STATES DEPARTMENT OF THE INTERIOR
CONFIDENTIAL STATEMENT OF EMPLOYMENT AND FINANCIAL INTERESTS
(SUPPLEMENT TO FINANCIAL DISCLOSURE REPORT SF-278)

1. NAME (Last, first, initial)	2. BUREAU OR OFFICE
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THIS SUPPLEMENT REQUESTS ONLY THAT INFORMATION THAT IS NOT ALREADY PROVIDED ON THE SF-278 (FINANCIAL DISCLOSURE REPORT). This information is needed to permit application of Interior Department, Bureau and Office regulations relating to conflict of interest.

GENERAL INSTRUCTIONS. All employees filing SF-278 must complete both A and B under Part I below. If the box by Part II is checked, employee must complete C, D and E, for he/she is performing duties or functions under the Surface Mining Control and Reclamation Act of 1978. Identify ownerships : employee (E); joint (J); sole ownership of spouse (S); sole ownership of children (C); sole ownership of other relatives who are fulltime residents in employee's household (R). See additional instructions and Privacy Act Notice on reverse side of this form.

PART I.

A. Financial Interests. List the names of all business entities, nonprofit organizations, and educational and other institutions in which you, your spouse, minor children or other relatives who are fulltime residents of your immediate household have (1) a continuing financial interest, through a pension or retirement plan, shared income, salary or other income arrangement as a result of any current or prior employment or business or professional association; or (2) any financial interest through ownership of stock, stock options, bonds, securities or other arrangements including trusts. List only those items which fall below the categories of value shown on the related portion of the SF-278. If none, write NONE.

NAME OF ORGANIZATION	KIND OF ORGANIZATION AND ADDRESS FOR OTHER THAN LISTED CORPORATIONS--Those traded on major U.S. stock exchanges	NATURE OF FINANCIAL INTEREST. For stock-holdings of listed corporations, show number of shares. For all other financial interests show value and annual return, if applicable.

B. Real Property. List interests in real property or rights (e.g., lease, royalty, mineral, grazing) in lands or minerals in which you, your spouse, minor children, or other relatives who are fulltime residents of your immediate household, have an interest. List only those items which fall below the categories of value shown on the related portion of the SF-278. Do not report property occupied as a personal residence. If none, write NONE.

NATURE OF INTEREST, e.g., Ownership, Mortgage, Lien, Investment Trust, Mineral or Grazing Rights	TYPE AND PURPOSE OF INVESTMENT, e.g., Residence, Hotel, Apartment, Farm, Undeveloped Land, Recreation	ADDRESS AND PROXIMITY TO FEDERAL LANDS. If Interior developed, so indicate (if rural give RFD, specify county, state)

If Part II is not checked for completion, please sign and date the certification.

<i>I certify that the statements I have made are true, correct, and complete to the best of my knowledge and belief.</i>	Review completed and determination made that no conflict of interest exists.
_____ <i>Signature of employee</i>	_____ Ethics Counselor
_____ <i>Date</i>	_____ Date

PART II. Employees having any functions or duties under the Surface Mining Control and Reclamation Act of 1977 must complete C, D and E.

C. Employment. List the names of business entities, nonprofit organizations, and other institutions with which *your spouse, minor children or other relatives who are fulltime residents of your immediate household* have an interest as an employee, officer, owner, director, member, trustee, partner, advisor, or consultant. *You do not need to repeat the information concerning your own employment reported on the SF-278.* If none, write NONE.

NAME AND KIND OF ORGANIZATION	ADDRESS	POSITION IN ORGANIZATION

Part II (Continued)

D. **Creditors.** List the name of creditors to whom *you, your spouse, minor children or other relatives who are fulltime residents of your immediate household* are indebted. *You need not repeat the information concerning your creditors provided on the SF-278.* Exclude debts for a mortgage on property occupied as a personal residence or debts for current and ordinary household and living expenses. If none, write NONE.

NAME AND ADDRESS OF CREDITOR	CHARACTER OF INDEBTEDNESS, e.g., Personal Loan, Note, Security

E. **Employee Certification.** To the best of my knowledge, none of the financial, real property, employment or creditor interests listed in SF-278, or Parts I and II of this supplement represent an interest in an underground or surface coal mining operation, except as follows. (List exceptions. If none, write NONE.)

INTEREST	NUMBER OF SHARES, ESTIMATED VALUE OR ANNUAL INCOME AND THE BENEFICIARY OF THE FINANCIAL INTEREST

I CERTIFY that the statements I have made are true, correct, and complete to the best of my knowledge and belief.

Signature of employee _____
Date

Reviewer's comments, if any, to show basis for decision:

REVIEWER'S CERTIFICATION: Review completed, prohibited interests, if any, have been resolved, and determination made that no other prohibited interests have been identified from the statement.

Ethics Counselor _____
Date

ADDITIONAL INSTRUCTIONS

You are not required to submit any information relating to any connection with, or interest in, a professional society, a charitable, religious, social, fraternal, recreational, public service, civil, or political organization or any similar organization not conducted as a business enterprise and which is not engaged in the ownership or conduct of a business enterprise. Educational and other institutions doing research and development or related work involving grants of money from, or contracts with, the Government are deemed to be "business enterprises" for purposes of this report and should be included.

You may exclude: (1) Holdings in widely diversified mutual funds, investment clubs, or regulated investment companies not specializing in a particular industry or underground or surface coal mining operations, (2) Savings or deposits in banks, credit unions, building and loan associations, or insurance companies, (3) Debts owed to financial institutions (banks, savings and loan associations, credit unions and the like) which are chartered to provide commercial or personal credit, and (4) Retirement plans from which you, your spouse, or other resident relative received a guaranteed income.

In the event any of the required information, including holdings placed in trust, is not known to you but is known to another person, you should request the other person to submit the information on your behalf and should report such request in your statement. This requirement may be waived for holdings in a qualified blind trust.

If your official duties may bring you in contact with business entities, nonprofit organizations, or other institutions with which your spouse, minor children or other relatives have either an employment or creditor relationship you should provide enough information about the situation to allow the reviewer to determine the potential for an indirect financial interest.

PRIVACY ACT NOTICE

For Interior Department employees, Section 402 of Executive Order 11222, 5 CFR 735.401, Section 201(f) of Public Law 95-87 and 30 CFR 706 constitute the authority for collecting the personal data on this confidential supplement. Federal employees required to file this form must furnish the data required; failure to do so could be cause for imposing the penalty in 30 CFR 706.6(b) or for other appropriate disciplinary action.

The information gathered from the statement will be used to determine whether there are any direct or indirect financial interests in violation of Section 201(f) of Public Law 95-87. In addition, for Interior Department employees, the information gathered from the statement will be used to determine whether there are any real or apparent conflicts of interest in violation of 18 U.S.C. §201 et seq., Executive Order 11222 and 43 CFR 20.735 (Department of the Interior Employee Responsibilities and Conduct.)

This Confidential Supplement of Employment and Financial Interests, will be filed in the Department of the Interior system of records identified as "Financial Interest Statements and Ethics Counselor Decisions--Interior, Office of the Secretary." The system location in the Department is the Office of Inspector General and offices of Bureau and Office Ethics Counselors, Deputy Ethics Counselors, and Assistant Ethics Counselors. The U.S. Department of the Interior may make routine disclosures (1) to a Congressional office from the record of an individual in response to an inquiry made at the request of that individual; (2) to authorized auditors; (3) to the Office of Personnel Management; (4) to the Department of Justice when related to litigation or anticipated litigation; and (5) to appropriate law enforcement agencies.