Medicare Intermediary Manual Part 3 - Claims Process

Department of Health & Human Services (DHHS) Centers for Medicare & Medicaid Services (CMS)

Transmittal 1890

Date: JUNE 20, 2003

CHANGE REQUEST 2532 and 2553

HEADER SECTION NUMBERS	PAGES TO INSERT	PAGES TO DELETE
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MANUALIZATION-- EFFECTIVE DATE: N/A IMPLEMENTATION DATE: N/A

Section 3668.3, Coverage and Billing of Sacral Nerve Stimulation, is manualizing, CR 2532, dated February 28, 2003, Program Memorandum AB-03-028.

Section 3668.4, Deep Brain Stimulation for Essential Tremor and Parkinson's Disease, is manualizing, CR 2553, dated February 14, 2003, Program Memorandum AB-03-023.

DISCLAIMER: The revision date and transmittal number only apply to the redlined material. All other material was previously published in the manual and is only being reprinted.

These instructions should be implemented within your current operating budget.

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3668.3 <u>Coverage and Billing of Sacral Nerve Stimulation</u>.--

A. <u>Coverage</u>.--A sacral nerve stimulator is a pulse generator that transmits electrical impulses to the sacral nerves through an implanted wire. These impulses cause the bladder muscles to contract, which gives the patient ability to void more properly.

Effective January 1, 2002, sacral nerve stimulation is covered for the treatment of urinary urge incontinence, urgency-frequency syndrome and urinary retention. Sacral nerve stimulation involves both a temporary test stimulation to determine if an implantable stimulator would be effective and a permanent implantation in appropriate candidates. Both the test and the permanent implantation are covered.

The following limitations for coverage apply to all indications:

o Patient must be refractory to conventional therapy (documented behavioral, pharmacologic and/or surgical corrective therapy) and be an appropriate surgical candidate such that implantation with anesthesia can occur.

o Patients with stress incontinence, urinary obstruction, and specific neurologic diseases (e.g., diabetes with peripheral nerve involvement) that are associated with secondary manifestations of the above three indications are excluded.

o Patient must have had a successful test stimulation in order to support subsequent implantation. Before a patient is eligible for permanent implantation, he/she must demonstrate a 50% or greater improvement through test stimulation. Improvement is measured through voiding diaries.

o Patient must be able to demonstrate adequate ability to record voiding diary data such that clinical results of the implant procedure can be properly evaluated.

B. <u>Applicable HCPCS Codes</u>.--

64561 - Percutaneous implantation of neurostimulator electrodes; sacral nerve (transforaminal placement)

64581 - Incision for implantation of neurostimulator electrodes; sacral nerve (transforaminal placement)

64585 - Revision or removal of peripheral neurostimulator electrodes

64590 - Incision and subcutaneous placement of peripheral neurostimulator pulse generator or receiver, direct or inductive coupling

64595 - Revision or removal of peripheral neurostimulator pulse generator or receiver

A4290 - Sacral nerve stimulation test lead, each

E0752 - Implantable neurostimulator electrodes, each

E0756 - Implantable neurostimulator pulse generator

C1767 - Generator, neurostimulator (implantable)

C1778 - Lead, neurostimulator (implantable)

C1883 - Adaptor/extension, pacing lead or neurostimulator lead (implantable)

C1897 - Lead, neurostimulator test kit (implantable)

NOTE: The "C" codes listed above are only applicable when billing under the hospital outpatient prospective payment system (OPPS). They should be reported in place of codes A4290, E0752 and E0756.

C. <u>Payment Requirements for Test Procedures (HCPCS codes 64585, 64590, 64595)</u>.--Payment is as follows:

o Hospital outpatient departments - OPPS

o Critical Access Hospital (CAH) - Reasonable cost

o Comprehensive Outpatient Rehabilitation Facility - Medicare physician fee schedule (MPFS)

o Rural Health Clinics/Federally Qualified Health Centers (RHCs/FQHCs) - All inclusive rate, professional component only. The technical component is outside the scope of the RHC/FQHC benefit. Therefore, the provider of that technical service bills their carrier on Form CMS-1500 and payment is made under the MPFS. For provider-based RHCs/FQHCs payment for the technical component is made as indicated above based on the type of provider the RHC/FQHC is based with.

Deductible and coinsurance apply.

D. <u>Payment Requirements for Implantation Procedures (HCPCS codes 64561, 64581)</u>.--Payment is as follows:

- o Hospital outpatient departments OPPS
- o Hospital inpatient hospital prospective payment system (PPS)
- o CAH payment is made on a reasonable cost basis

Deductible and coinsurance apply.

E. <u>Payment Requirements for Device Codes, A4290, E0752 and E0756</u>.--Payment is made on a reasonable cost basis when these devices are implanted in a CAH.

F. <u>Payment Requirements for Codes C1767, C1778, C1883 and C1897</u>.--Only hospital outpatient departments report these codes. Payment is made under OPPS.

G. <u>Applicable Bill Types</u>.--The applicable bill types for test stimulation procedures are 13X, 14X, 71X, 73X, 75X and 85X.

The RHCs and FQHCs bill you under bill type 71X and 73X for the professional component. The technical component is outside the scope of the RHC/FQHC benefit. The provider of that technical service bills their carrier on Form CMS-1500 or electronic equivalent.

The technical component for a provider-based RHC/FQHC is typically furnished by the provider. The provider of that service bills you under bill type 13X, 14X, or 85X as appropriate using their outpatient provider number (not the RHC/FQHC provider number since these services are not covered as RHC/FQHC services.)

The applicable bill types for implantation procedures and devices are 11X, 13X, and 85X.

H. <u>Applicable Revenue Codes</u>.--The applicable revenue code for the test procedures is 920 except for RHCs/FQHCs who report these procedures under revenue code 521.

Revenue codes for the implantation can be performed in a number of revenue centers within a hospital such as operating room (360) or clinic (510). Therefore, instruct your hospitals to report these implantation procedures under the revenue center where they are performed.

The applicable revenue code for the device codes C1767, C1778, C1883 and C1897, provided in a hospital outpatient department is 272, 274, 275, 276, 278, 279, 280, 289, 290 or 624 as appropriate. The applicable revenue code for device codes A4290, E0752 and E0756 provided in a CAH is 290.

I. <u>Claims Editing</u>.--Nationwide claims processing edits for pre or post payment review of claim(s) for sacral nerve stimulation are not being required at this time. Contractors may develop local medical review policy and edits for such claim(s).

3668.4 Deep Brain Stimulation for Essential Tremor and Parkinson's Disease.--

A. <u>Coverage and Background</u>.--Deep brain stimulation (DBS) refers to high-frequency electrical stimulation of anatomic regions deep within the brain utilizing neurosurgically implanted electrodes. These DBS electrodes are stereotactically placed within targeted nuclei on one (unilateral) or both (bilateral) sides of the brain. There are currently three targets for DBS -- the thalamic ventralis intermedius nucleus (VIM), subthalamic nucleus (STN) and globus pallidus interna (GPi).

Essential tremor (ET) is a progressive, disabling tremor most often affecting the hands. ET may also affect the head, voice and legs. The precise pathogenesis of ET is unknown. While it may start at any age, ET usually peaks within the second and sixth decades. Beta-adrenergic blockers and anticonvulsant medications are usually the first line treatments for reducing the severity of tremor. Many patients, however, do not adequately respond or cannot tolerate these medications. In these medically refractory ET patients, thalamic VIM DBS may be helpful for symptomatic relief of tremor.

Parkinson's disease (PD) is an age-related progressive neurodegenerative disorder involving the loss of dopaminergic cells in the substantia nigra of the midbrain. The disease is characterized by tremor, rigidity, bradykinesia and progressive postural instability. Dopaminergic medication is typically used as a first line treatment for reducing the primary symptoms of PD. However, after prolonged use, medication can become less effective and can produce significant adverse events such as dyskinesias and other motor function complications. For patients who become unresponsive to medical treatments and/or have intolerable side effects from medications, DBS for symptom relief may be considered.

Effective on or after April 1, 2003, Medicare will cover *unilateral or bilateral thalamic VIM DBS* for the treatment of ET and/or Parkinsonian tremor and *unilateral or bilateral STN or GPi DBS* for the treatment of PD only under the following conditions:

1. Medicare will only consider DBS devices to be reasonable and necessary if they are Food and Drug Administration (FDA) approved devices for DBS or devices used in accordance with FDA approved protocols governing Category B Investigational Device Exemption (IDE) DBS clinical trials.

2. For thalamic VIM DBS to be considered reasonable and necessary, patients must meet all of the following criteria:

a. Diagnosis of essential tremor (ET) based on postural or kinetic tremors of hand(s) without other neurologic signs, or diagnosis of idiopathic PD (presence of at least 2 cardinal PD features (tremor, rigidity or bradykinesia)) which is of a tremor- dominant form.

b. Marked disabling tremor of at least level 3 or 4 on the Fahn-Tolosa-Marin Clinical Tremor Rating Scale (or equivalent scale) in the extremity intended for treatment, causing significant limitation in daily activities despite optimal medical therapy.

c. Willingness and ability to cooperate during conscious operative procedure, as well as during post-surgical evaluations, adjustments of medications and stimulator settings.

3. For STN or GPi DBS to be considered reasonable and necessary, patients must meet all of the following criteria:

a. Diagnosis of PD based on the presence of at least 2 cardinal PD features (tremor, rigidity or bradykinesia).

b. Advanced idiopathic PD as determined by the use of Hoehn and Yahr stage or Unified Parkinson's Disease Rating Scale (UPDRS) part III motor subscale.

c. L-dopa responsive with clearly defined "on" periods.

d. Persistent disabling Parkinson's symptoms or drug side effects (e.g., dyskinesias, motor fluctuations, or disabling "off" periods) despite optimal medical therapy.

e. Willingness and ability to cooperate during conscious operative procedure, as well as during post-surgical evaluations, adjustments of medications and stimulator settings.

The DBS is not reasonable and necessary and is not covered for ET or PD patients with any of the following:

1. Non-idiopathic Parkinson's disease or "Parkinson's Plus" syndromes.

2. Cognitive impairment, dementia or depression which would be worsened by or would interfere with the patient's ability to benefit from DBS.

3. Current psychosis, alcohol abuse or other drug abuse.

4. Structural lesions such as basal ganglionic stroke, tumor or vascular malformation as etiology of the movement disorder.

5. Previous movement disorder surgery within the affected basal ganglion.

6. Significant medical, surgical, neurologic or orthopedic co-morbidities contraindicating DBS surgery or stimulation.

Patients who undergo DBS implantation should not be exposed to diathermy (deep heat treatment including shortwave diathermy, microwave diathermy and ultrasound diathermy) or any type of MRI which may adversely affect the DBS system or adversely affect the brain around the implanted electrodes.

DBS should be performed with extreme caution in patients with cardiac pacemakers or other electronically controlled implants which may adversely affect or be affected by the DBS system.

For DBS lead implantation to be considered reasonable and necessary, providers and facilities must meet all of the following criteria:

1. Neurosurgeons must: (a) be properly trained in the procedure; (b) have experience with the surgical management of movement disorders, including DBS therapy; and (c) have experience performing stereotactic neurosurgical procedures.

2. Operative teams must have training and experience with DBS systems, including knowledge of anatomical and neurophysiological characteristics for localizing the targeted nucleus, surgical and/or implantation techniques for the DBS system, and operational and functional characteristics of the device.

3. Physicians specializing in movement disorders must be involved in both patient selection and post-procedure care.

4. Hospital medical centers must have: (a) brain imaging equipment (MRI and/or CT) for pre-operative stereotactic localization and targeting of the surgical site(s); (b) operating rooms with all necessary equipment for stereotactic surgery; and (c) support services necessary for care of patients undergoing this procedure and any potential complications arising intraoperatively or postoperatively.

B. <u>Part A Intermediary Billing Procedures</u>.--This procedure can be two fold. Implantation of the electrodes is performed in a hospital inpatient setting. Implantation of the pulse generator can be performed in an outpatient department.

C. <u>Part A Payment Methods</u>.--Payment for the inpatient procedure is under Diagnostic Related Group (DRG). The outpatient procedure is outpatient prospective payment system. For critical access hospitals (CAH), the inpatient stay is on reasonable cost and the outpatient procedures are also based on reasonable cost.

D. Applicable Bill Types.--11X, 12X, 13X, 83X, 85X

E. <u>Applicable Revenue Codes</u>.--Revenue codes for implementation can be performed in a number of revenue centers within a hospital such as operating room (360) or clinic (510). The codes to report the pulse generator and/or electrodes are 270, 278, 279.

For CAHs that choose method II, use revenue code 98X for the professional component only.

F. <u>Allowable Covered Diagnosis Codes</u>.--Deep brain stimulation is covered for the following ICD-9-CM diagnosis codes:

332.0 - Parkinson's disease, with paralysis agitans

333.1 – Essential and other specified forms of tremor

G. <u>Allowable Covered Procedure Codes</u>.--The following procedure codes may be present:

02.93 – Implantation of intracranial neurostimulator, encompasses the component parts of the surgery that include tunneling to protect the wiring and the initial creation of a pocket for the insertion of the electrical unit into the chest wall

86.09 – Other incision of skin and subcutaneous tissue, to reflect the creation of a pocket for the battery device

86.99- Other operations on skin and subcutaneous tissue, for the tunneling of the wire connectors

H. <u>HCPCS Coding</u>.--The following HCPCS codes are available for use when billing for covered deep brain stimulation:

- E0752 Implantable Neurostimulator Electrode, Each
- E0756 Implantable Neurostimulator Pulse Generator
- 61862 Twist drill, burr hole, craniectomy for stereotactic implantation of one neurostimulator array in subcortical site (e.g., thalamus, globus pallidus, subthalamic nucleus, periventricular, periaqueductal gray)
- 61880 Revision or removal of intracranial neurostimulator electrodes
- 61885 Incision and subcutaneous placement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to a single electrode array
- 61886 Incision and subcutaneous placement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to two or more electrode arrays
- 61888 Revision or removal of cranial neurstimulator pulse generator or receiver
- 95961 Functional cortical and subcortical mapping by stimulation and/or recording of electrodes on brain surface, or of depth electrodes, to provoke seizures or identify vital brain structures; initial hour of physician attendance
- 95962 Functional cortical and subcortical mapping by stimulation and/or recording of electrodes on brain surface, or of depth electrodes, to provoke seizures or identify vital brain structures; each additional hour of physician attendance (List separately in addition to code for primary procedure) (Use 95962 in conjunction with code 95961)
- 95970 Electronic analysis of implanted neurostimulator pulse generator system (e.g., rate, pulse amplitude and duration, configuration of wave form, battery status, electrode selectability, output modulation, cycling, impedance and patient compliance measurements); simple or complex brain, spinal cord, or peripheral (i.e., cranial nerve, peripheral nerve, autonomic nerve, neuromuscular) neurostimulator pulse generator/transmitter, without reprogramming
- 95971 Electronic analysis of implanted neurostimulator pulse generator system (e.g., rate, pulse amplitude and duration, configuration of wave form, battery status, electrode selectability, output modulation, cycling, impedance and patient compliance measurements); simple brain, spinal cord, or peripheral (i.e., peripheral nerve, autonomic nerve, neuromuscular) neurostimulator pulse generator/transmitter, with intraoperative or subsequent programming

06-03	BILL REVIEW	3668.4 (Cont.)
95972	Electronic analysis of implanted neurostimulator pulse generator system amplitude and duration, configuration of wave form, battery status, elect output modulation, cycling, impedance and patient compliance measure brain, spinal cord, or peripheral (except cranial nerve) neuro generator/transmitter, with intraoperative or subsequent programming,	rode selectability, ements); complex stimulator pulse
95973	Electronic analysis of implanted neurostimulator pulse generator system amplitude and duration, configuration of wave form, battery status, elect output modulation, cycling, impedance and patient compliance measur- brain, spinal cord, or peripheral (except cranial nerve); complex brain peripheral (except cranial nerve) neurostimulator pulse generator/ intraoperative or subsequent programming, additional 30 minutes separately in addition to code for primary procedure) (Use 95973 in conj 95972)	rode selectability, ements); complex n, spinal cord, or transmitter, with
I. Ambulate	<u>Ambulatory Surgical Centers</u> The following HCPCS codes are appropry Surgical Centers:	oved for billing in
61885	Incision and subcutaneous placement of cranial neurostimulator pureceiver, direct or inductive coupling; with connection to a single elect Payment Group 02	ulse generator or rode array - ASC
61888	Revision or removal of cranial neurostimulator pulse generator or receiv Group 01	er - ASC Payment
NOTE:	Pulse generator is payable in an ASC; implantation of electrodes are n	ot.
processin	<u>Claims Editing for Intermediaries</u> We do not require nationwide stand g edits for pre and post payment review of claim(s) at this time. How iaries may create local claims processing edits for the requirements liste	ever, carriers and
DBS. If	<u>Remittance Advice Notice for Intermediaries</u> Use appropriate existing d remark codes at the line level to express the specific reason if you denying services as furnished before April 1, 2003, use existing ANS nt reason code 26 "Expenses incurred prior to coverage" at the line level	I X 12-835 claim
L. messages	Medicare Summary Notice (MSN) Messages for IntermediariesUse the where appropriate:	e following MSN
If a claim message:	for DBS is denied because the service was performed prior to April 1, 2	003, use the MSN
"Thi	s service was not covered by Medicare at the time you received it." (MS	N Message 21.11)
The Spar	ish version of the MSN message should read:	
"Est	e servicio no estaba cubierto por Medicare cuando usted lo recibió." (MS	N Message 21.11)
in their n	<u>Provider Notification</u> Contractors should notify providers of this new next regularly scheduled bulletin, on their Web site within 2 weeks, d training sessions.	national coverage and in routinely