# **APPENDIX**

# FCC ORGANIZATIONAL STRUCTURE (5/99)

#### COMMISSIONERS

William E. Kennard, Chairman

Susan Ness Michael K. Powell Harold Furchtgott-Roth Gloria Tristani Lines of policy & judicial authority

- Lines of management & administrative authority

OFFICE OF INSPECTOR GENERAL

#### OFFICE OF ENGINEERING & TECHNOLOGY

Allocation & Standards Div.

Equipment Authorization Div.

New Technology Development Div.

Policy & Rules Div. Administrative Staff

#### OFFICE OF GENERAL COUNSEL

Administrative Law Div. Competition Div. Litigation Div.

#### OFFICE OF MANAGING DIRECTOR

Human Resources Mgmt.
Information Technology Center
Operations
Program Analysis & Records
Managment
Secretary

#### OFFICE OF PUBLIC AFFAIRS

Public Service Div. Reference Operations Div. News Media Staff Information Tech. Staff Television Staff OFFICE OF LEGISLATIVE & INTERGOVERNMENTAL AFFAIRS

OFFICE OF ADMINISTRATIVE LAW JUDGES OFFICE OF PLANS & POLICY OFFICE OF COMMUNICATIONS BUSINESS OPPORTUNITIES

OFFICE OF WORKPLACE DIVERSITY

#### COMMON CARRIER BUREAU

Enforcement Div.
Competition Pricing Div.
Accounting & Audits Div.
Network Services Div.
Policy & Program Planning
Div.
Industry Analysis Div.
Management Staff

#### WIRELESS TELECOMMUNICATIONS BUREAU

Auctions Div.
Commercial Wireless Div.
Enforcement Div.
Office of Operations
Customer Services Div.
Licensing Div.
Policy Div.
Private Wireless Div.
Management & Planning

\Staff

#### MASS MEDIA BUREAU

Audio Services Div. Enforcement Div. Policy & Rules Div. Video Services Div. Administrative & Management Staff

# COMPLIANCE & INFORMATION BUREAU

Compliance Div.
Technology Div.
Office of Info. Resources
Office of Management &
Resources
Regional Offices
Field Offices

#### INTERNATIONAL BUREAU

Planning & Negotiations
Div.
Satellite &
Radiocommunication Div.
Telecommunications Div.
Administrative &
Management Staff

#### CABLE SERVICES BUREAU

Consumer Protection & Competition Div.
Engineering & Technical Services Div.
Financial Analysis & Compliance Div.
Policy & Rules Div.
Government & Public Outreach Staff
Management Staff

# TEES AT THE FEDERAL COMMUNICATIONS COMMISSION

# REGULATORY FRES

# WHAT ARE REGULATORY FEES?

- Section 9 (a) of the Communications Act authorizes and directs the Commission to collect annual Regulatory Fees to recover the annual costs of its activities in the following areas:
  - Policy and Rulemaking



\_ Enforcement



\_ User Information





\_ International



# FEES AT THE FEDERAL COMMUNICATIONS COMMISSION

### WHAT TYPES OF FEES DOES THE FCC COLLECT?



#### APPLICATION PROCESSING FEES (Section 8 Fees)

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- Authorized in Title III, Section 3001 of the Omnibus Budget Reconciliation Act of 1989, Section 8, revising 47 U.S.C. 158.
- Applicants are required to pay a fee when they submit a request/application for a license, authorization or approval from the FCC.
- Application fees are designed to recover the FCC Costs associated with processing applications. (example: earth station applications)
- Fee amounts and filing instructions for International Bureau services can be found in the International and Satellite Services Fee Filing Guide
  - Office of Public Affairs
  - FCC internet page (http://www.fcc.gov/fees)



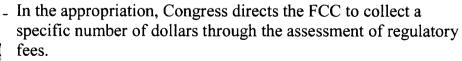
#### REGULATORY FEES (Section 9 Fees)

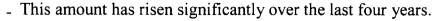
- Section 6003 (a) of the Omnibus Budget Reconciliation Act of 1993 added section 9
  (a) of the Communications Act which authorized FCC to collect regulatory fees.
- Regulatory fees are paid annually.
- Regulatory fees are designed to recover costs associated with the FCC's regulatory activities.
- Information on regulatory fee amounts and schedules is located on the FCC internet page (http://www.fcc.gov/fees/regfees.html)

### FEES AND THE BREAKOUT OF THE FCC APPROPRIATION



- Each fiscal year, Congress and the President of the United States approves an budget for the FCC.
- The FCC is given an appropriation of funding with which to operate.





- For FY 1999, 90% of the Commission's budget will be funded through the collection of regulatory fees.
- The remainder of the FCC's appropriation comes from U.S. tax payors.
  - For FY 1998, U.S. tax payors contributed less than \$0.10 each toward FCC funding.

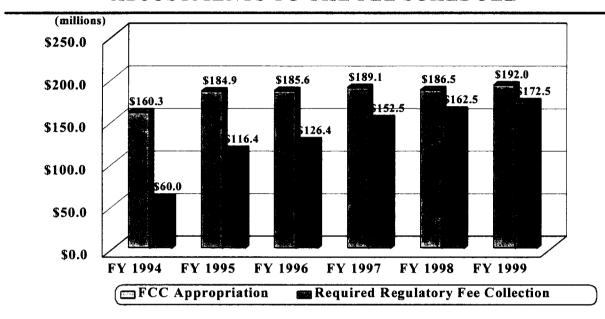






# EEES AT THE FEDERAL COMMUNICATIONS COMMISSION

# CONGRESS DETERMINES THE \$ AMOUNT THE FCC MUST COLLECT THROUGH REGULATORY FEES IN OUR ANNUAL APPROPRIATION, RESULTING IN MANDATORY ADJUSTMENTS TO THE FEE SCHEDULE



### WHERE DO THE FEES GO?

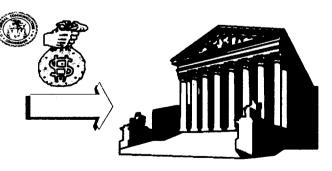
Application Fees are collected by the FCC, and deposited in the General Fund of the U.S. Treasury. These funds are then distributed by Congress to other programs and Agencies.

John Born

The FCC collects \$30 to \$40 million in application fees annually

Regulatory Fees are collected at the end of each fiscal year by the FCC, and are

considered an offsetting collection. They are deposited into an account at Treasury to offset the FCC's appropriation for that fiscal year.





# FEES AT THE FEDERAL COMMUNICATIONS COMMISSION

# **APPLICATION PROCESSING FEES**

### APPLICATION FEES: OVERVIEW

• Section 8 of the Communications Act authorizes and directs the Commission to collect fees to recover the FCC Costs associated with processing applications. (example: earth station applications)



Applicants are required to pay a fee when they submit a request/application for a license, authorization or approval from the FCC.



- The FCC is required by statute to review application fees every two years and adjust the fees to reflect changes in the Consumer Price Index.
  - Beyond this biannual adjustment, any additions, deletions or adjustments of the Application Fee Schedule must me made by Congress.



Fee amounts and filing instructions for International Bureau services can be found in the International and Satellite Services Fee Filing Guide. This guide, as well as fee filing guides for all FCC service areas are available on the internet at www.fcc.gov/fees/

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#### FCC 312 Main Form

# FORM FEDERAL COMMUNICATIONS COMMISSION Est. Avg.Burden How Per Response: 11 Hrs APPLICATION FOR SATELLITE SPACE AND EARTH STATION AUTHORIZATIONS

Approved by OMB 3060-0678	FCC Use Only
. Avg.Burden Hours	File Number:
Response: 11 Hrs.	Call Sign:
	Fee Number:

APPLICANT IN	FORMATION			
1. Legal Name of Applicant		2. Voice Telephone Number		
3. Other Name Used for Doing Business (if any)		4. Fax Telephono	Number	
5. Mailing Street Address or P.O. Box	6. City			
ATTENTION:	7. State / Country (if	not U.S.A.)	8. Zip Code	
9. Name of Contact Representative (If other than applicant)		10. Voice Teleph	one Number	
11. Firm or Company Name		12. Fax Telephor	ne Number	
13. Mailing Street Address or P.O. Box	14. City			
ATTENTION:	15. State / Country (	if not U.S.A)	16. Zip Code	
CLASSIFICATION				
17. Place an "X" in the box next to the classification that applies to this filing for both questions a. and b. Mark of	only one box for 17a and only one box for 1	7b.		
b1. Application for License of New Station	b6. Transfer of Control of License or l	Registration		

17. Place an "X" in the box next to the classification that applies to this filing for both questions a. and b. Mark only one box for 17a and only one box for 17b.					
	b1. Application for License of New Station	b6. Transfer of Control of License or Registration			
a1. Earth Station	b2. Application for Registration of New Domestic Receive-Only Station	b7. Notification of Minor Modification			
	b3. Amendment to a Pending Application	b8. Application for License of New Receive-Only Station Using Non-U.S. Licensed Satellite			
a2. Space Station	b4. Modification of License or Registration	b9. Letter of Intent to Use Non-U.S. Licensed Satellite to Provide Service in the United States			
	b5. Assignment of License or Registration	b10. Other (Please Specify):			
18. If this filing is in reference to an existing station, enter:  19. If this filing is an amendment to a pending application enter:					
Call sign of station:		(a) Date pending application was filed: (b) File number of pending application:			

#### TYPE OF SERVICE

20. NATURE OF SERVICE: This filing is for an authorization to provide or use the following type(s) of services	***				
a. Fixed Satellite c. Radiodetermination Satellite e. Direct to Home Fixed					
b. Mobile Satellite d. Earth Exploration Satellite f. Digital Audio Radio S					
21. STATUS: Place an "X" in the box next to the applicable status. Mark only one box.	22. If earth station applicant, place an "X" in the box(es) next to all that apply.				
a. Common Carrier b. Non-Common Carrier	a. Using U.S. licensed satellites b. Using Non-U.S. licensed satellites				
23. If applicant is providing INTERNATIONAL COMMON CARRIER service, see instructions regarding Sec	c. 214 filings. Mark only one box. Are these facilities:				
a. Connected to the Public Switched Network b. Not con	nected to the Public Switched Network				
24. FREQUENCY BAND(S): Place an "X" in the box(es) next to all applicable frequency band(s).					
a. C-Band (4/6 GHz)					
b. Ku-Band (12/14 GHz) c. Other (Please specify)					
TYPE OF	STATION				
25. CLASS OF STATION: Place an "X" in the box next to the class of station that applies. Mark only one bo					
a. Fixed Earth Station b. Temporary-Fixed Earth Station c. 12/14 GHz VSAT Networ	ck d. Mobile Earth Station e. Space Station f. Other (Specify)				
If space station applicant, go to Question 27.					
26. TYPE OF EARTH STATION FACILITY Mark only one box.					
a. Transmit/Receive b. Transmit-Only c. Receive-Only					
PURPOSE OF MODIFICA	ATION OR AMENDMENT				
27. The purpose of this proposed modification or amendment is to: Place an "X" in the box(es) next to all that	t apply.				
a authorization to add new emission design					
b authorization to change emission designa c authorization to increase EIRP and EIRP					
d authorization to replace antenna	delisity				
e authorization to add antenna					
f authorization to relocate fixed station g authorization to change assigned frequence	cv(ies)				
h authorization to add Points of Communic					
i authorization to change Points of Communication (satellites & countries)					
j authorization for facilities for which environmental assessment and radiation hazard reporting is required k Other (Please Specify)					
Content (Trease specify)					
ENVIRONME	ENVIRONMENTAL POLICY				
28. Would a Commission grant of any proposal in this application or amendment have a significant environme If YES, submit the statement as required by Sections 1.1308 and 1.1311 of the Commission's rules, 47 C.F.R.	ental impact as defined by 47 CFR 1.1307?  88 1.1308 and 1.1311 as an axhibit to this application.  YES NO				
A Radiation Hazard Study must accompany all applications as an exhibit for new transmitting facilities, major					

#### **ALIEN OWNERSHIP**

29. Is the applicant a foreign government or the representative of any foreign government?	☐ YES	□ NO	
30. Is the applicant an alien or the representative of an alien?	YES	□ NO	
31. Is the applicant a corporation organized under the laws of any foreign government?	YES	□ NO	
32. Is the applicant a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	YES	□ NO	
33. Is the applicant a corporation directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	YES	□ NO	
34. If any answer to questions 29, 30, 31, 32 and/or 33 is Yes, attach as an exhibit, the identification of the aliens or foreign entities, their nationality, their relationship to the applicant, and the percentage of stock they own or vote.			
BASIC QUALIFICATIONS			
35. Does the applicant request any waivers or exemptions from any of the Commission's Rules? If Yes, attach as an exhibit, copies of the requests for waivers or exceptions with supporting documents.	YES	□ NO	
36. Has the applicant or any party to this application had any FCC station authorization or license revoked or had any application for an initial, modification or renewal of FCC station authorization, license, or construction permit denied by the Commission? If Yes, attach as an exhibit, an explanation of the circumstances.	☐ YES	□ NO	
37. Has the applicant, or any party to this application, or any party directly or indirectly controlling the applicant ever been convicted of a felony by any state or federal court? If Yes, attach as an exhibit, an explanation of the circumstances.	YES	□ NO	
38. Has any court finally adjudged the applicant, or any person directly or indirectly controlling the applicant, guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement or any other means or unfair methods of competition? If Yes, attach as an exhibit, an explanation of the circumstances.	YES	□ NO	
39. Is the applicant, or any person directly or indirectly controlling the applicant, currently a party in any pending matter referred to in the preceding two items? If Yes, attach as an exhibit, an explanation of the circumstances.	YES	□ NO	
40. If the applicant is a corporation and is applying for a space station license, attach as an exhibit the names, addresses, and citizenship stockholders owning of record and/or voting 10 percent or more of the Filer's voting stock and the percentages so held. In the case control, indicate the beneficiary(ies) or class of beneficiaries. Also list the names and addresses of the officers and directors of the I	of fiduciary		
41. By checking Yes, the undersigned certifies, that neither the applicant nor any other party to the application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti-Drug Act of 1988, 21 U.S.C. Section 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR 1.2002(b) for the meaning of "party to the application" for the	YES se purposes.	□ NO	
42a. Does the applicant intend to use a non-U.S. licensed satellite to provide service in the United States?  If yes, answer 42b and attach an exhibit providing the information specified in 47 C.F.R. § 25.137, as appropriate. If no, proceed to question 43.	YES	□ NO	
42b. What administration has licensed or is in the process of licensing the space station? If no license will be issued, what administration has coordinated or is in the process of coordinating the space station?			

43. Description. (St	ummarize the nature of the application and the services to be provided).		
Exhibit No.	Identify all exhibits that are attached to this application.		
	CERTIFICATI	ON	
the previous use of application would and are incorpora	aives any claim to the use of any particular frequency or of the electromagne of the same, whether by license or otherwise, and requests an authorization in a not cause the applicant to be in violation of the spectrum aggregation limit that the herein as if set out in full in this application. The undersigned, individual all attached exhibits are true, complete and correct to the best of his or her	n accordance with this application. T in 47 CFR Part 20. All statements mally and for the applicant, hereby cert	The applicant certifies that grant of this tade in exhibits are a material part hereof ifies that all statements made in this
44. Applicant is a (a	nn): (Place an "X" in the box next to applicable response.)		
a. Individual	b. Unincorporated Association c. Partnership d. Corporation		Other Please specify)
45. Typed Name of Perso	on Signing	46. Title of Person Signing	1 7/
47. Signature			48. Date
47. Signature			40. Date
WILLFUI	FALSE STATEMENTS MADE ON THIS FORM ARE PU	JNISHABLE BY FINE AND	OR IMPRISONMENT
(U.S. Code	, Title 18, Section 1001), AND/OR REVOCATION OF ANY (2(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 1001)	STATION AUTHORIZATI	

FCC 312 Schedule B		FEDERAL CO ATELLITE EA (Technica	ARTH STAT		ORIZATIONS ription)	S	Pag	ge 1: Location
License of New Station	Registration of New Domestic Receive-Only Statio	Amandma	nt to a Pending A		Modification of L	icense/Registr	ation Notification of M	Inor Modification
B1. Location of Earth Sta	tion Site. If temporary-fixed, For VSAT networks Location, Points of	mobile, or VSA7 attach individua Communications	Γ remote facilital Schedule B, I and Destination	y, specify area Page 1 sheets for on Points for ea	of operation and or each hub stati ach hub and rem	l point of con on and each of	tact. If VSAT hub station remote station. Individual	, give its location. ly provide the
B1a. Station Call Sign	B1b. Site Identifier (HUB, REMOTI		,	B1c. Telephone			raphic Coordinates N/S, Deg Min Sec E/W	B1k. Lat./Lon. Coordinates are:
B1d. Street Address of Station or A	Lrea of Operation	B1e	. Name of Contact	Person		Lat		NAD-27
B1f. City	B1g. (	County		B1h. State	B1i. Zip Code		B1l. Site Elevation (AMSL)	meters
<b>B2. Points of Communica</b>	tions: List the names and orbi	t locations of all ocations of all sa	satellites with	which this earth s licensed by th	n station will conte U.S. All non-	mmunicate. ' U.S. licensed	The entry "ALSAT" is suf I satellites must be listed i	ficient to ndividually.
Satellite Name and Orb	it Location	Satellite Nar	me and Orbit	Location		Satellite Na	ame and Orbit Location	
B3. Destination points for	communications using non-l des sate	J.S. licensed sat tination point(s) ellite system. Us	<b>cellites.</b> For each (countries) whose additional sh	ch non-U.S. lice ere the services eets as needed.	ensed satellite fa s will be provide	cility identified by this eart	ied in section B2 above, sp ith station via each non-U.	pecify the S. licensed
Satellite Name	List of Destination							

# FEDERAL COMMUNICATIONS COMMISSION SATELLITE EARTH STATION AUTHORIZATIONS

FCC Form 312 - Schedule B: (Technical and Operational Description)

B4. Earth Station Antenna Facilities: Use additional pages as needed.

(a) Site ID*	(b) Antenna ID**	(c) Quantity	(d) Manufacturer	(e) Model	(f) Antenna Size (meters)	(g) Antenna Gain Transmit and/or Receive (dBi atGHz)

#### B5. Antenna Heights and Maximum Power Limits: (The corresponding Antenna ID in tables B4 and B5 applies to the same antenna)

(a) Antenna ID**	(b) Antenna Structure Registration No.	Maximum Ai (c) Above Ground Level (meters)	ntenna Height (d) Above Mean Sea Level (meters)	(e) Building Height Above Ground Level (meters)***	(f) Maximum Antenna Height Above Rooftop (meters)***	(g) Total Input Power at antenna flange (Watts)	(h) Total EIRP for all carriers (dBW)

Notes: \* If this is an application for a VSAT network, identify the site (Item B1b, Schedule B, Page 1) where each antenna is located. Also include this Site-ID on Schedule B, Page 5.

<sup>\*\*</sup> Identify each antenna in VSAT network or multi-antenna station with a unique identifier, such as HUB, REMOTE1, A1, A2, 10M, 12M, 7M, etc. Use this same antenna ID throughout tables B4, B5, B6, and B7 when referring to the same antenna.

<sup>\*\*\*</sup> Attach sketch of site or exemption, See 47 CFR Part 17.

# FEDERAL COMMUNICATIONS COMMISSION SATELLITE EARTH STATION AUTHORIZATIONS FCC Form 312 - Schedule B: (Technical and Operational Description)

**B6.** Frequency Coordination Limits: Use additional pages as needed.

(a) Antenna ID*	(b) Frequency Limits (MHz)	(c) Range of Satellite Arc Eastern Limit**	(d) Range of Satellite Arc Western Limit**	(e) Antenna Elevation Angle Eastern Limit	(f) Antenna Elevation Angle Western Limit	(g) Earth Station Azimuth Angle Eastern Limit	(h) Earth Station Azimuth Angle Western Limit	(i) Maximum EIRP Density toward the Horizon (dBW/4kHz)

Notes: \* Provide the ANTENNA-ID from table B4 to identify the antenna to which each frequency band and orbital arc range is associated.

<sup>\*\*</sup> If operating with geostationary satellites, give the orbital arc limits and the associated elevation and azimuth angles. If operating with non-geostationary satellites, give the notation "NON-GEO" for the satellite arc and give the minimum operational elevation angle and the maximum azimuth angle range.

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B7. Particulars of Operation (Full particulars are required for each r.f. carrier): Use additional pages as needed.

(a) Antenna ID*	(b) Frequency Bands (MHz)		(f) Maximum EIRP per Carrier (dBW)	(h) Description of Modulation and Services
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Notes: \* Provide the ANTENNA-ID from table B4 to identify the antenna to which each frequency band and emission is associated. For VSAT networks, include frequencies and emissions for all HUB and REMOTE units.

\*\* Indicate whether the earth station transmits or receives in each frequency band.

#### **Page 5: Questions**

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If VSAT Network, provide the SITE-ID (Item B1b) of the station that B8-B13 are in response to (HUB, REMOTE1, etc.):

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comply wit	88. If the proposed antenna(s) operate in the Fixed Satellite Service (FSS) with <b>geostationary</b> satellites, do(es) the proposed antenna(s) comply with the antenna gain patterns specified in Section 25.209(a) and (b) as demonstrated by the manufacturer's qualification measurements? If NO, provide as an exhibit, a technical analysis showing compliance with two-degree spacing policy.								
(FSS) with	39. If the proposed antenna(s) do not operate in the Fixed Satellite Service (FSS), or if they operate in the Fixed Satellite Service (FSS) with <b>non-geostationary</b> satellites, do(es) the proposed antenna(s) comply with the antenna gain patterns specified in Section 25.209(a2) and (b) as demonstrated by the manufacturer's qualification measurements?								
	lity operated by remote control? If	YES, provide the location and telep	hone number of the control p	oint.	☐ YES	□ NO			
	reet Address								
B10b. C	ity	B10c. County		B10d. State / Country	B10e. Zip C	ode			
B10f. T	elephone Number	1	B10g. Call Sign of Control Stati	on (if appropriate)					
B11. Is frequen	cy coordination required? If YES,	attach a frequency coordination rep	ort as an exhibit.		YES	□ NO			
	312. Is coordination with another country required? If YES, attach the name of the country(ies)  and plot of coordination contours as an exhibit.								
Where F	B13. FAA Notification - (See 47 CFR Part 17 and 47 CFR Part 25.113(c)) Where FAA notification is required, have you attached a copy of a completed FCC Form 854 and/or the FAA's study regarding the potential hazard of the structure to aviation?								
FAILURE TO COMPLY WITH 47 CFR PARTS 17 AND 25 WILL RESULT IN THE RETURN OF THIS APPLICATION.									