



**REVISION OF THE
NATIONAL SCIENCE FOUNDATION (NSF)
GRANT GENERAL CONDITIONS (GC-1)
EFFECTIVE JULY 01, 2002**

Effective July 01, 2002, new NSF grants and funding amendments to existing NSF grants¹ will begin referencing and are subject to the Grant General Conditions (GC-1) dated 07/01/02. The complete text of the GC-1 (as well as other NSF grant policy issuances) is available electronically on the NSF website.

A comprehensive summary of the significant changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Grants and Agreements, on (703) 292-8243 or by e-mail to policy@nsf.gov.

Significant Changes to the Grant General Conditions (GC-1) Dated 07/01/02

- ◆ **Awardee Responsibilities and Federal Requirements, Article 1**, has been supplemented with language to remind awardees of the availability of award letters electronically via the NSF FastLane system.
- ◆ **Prior Approval Requirements, Article 2**, has been revised to indicate that changes in the amount of cost sharing reflected on Line M of the award budget require the written prior approval of the NSF Grants Officer.
- ◆ **Pre-award Costs, Article 3**, has been amended to indicate that requests for pre-award costs in excess of 90 days must be submitted electronically via the NSF FastLane system.
- ◆ **Consultant Services, Article 5**, has been supplemented with a website address that contains the current, as well as prior, archived consultant rates.
- ◆ **Equipment, Article 6**, has been revised to remind commercial organizations of the OMB Circular A-110 requirement to submit annual inventory listings of Federally owned property to the NSF Property Administrator. In addition, the awardee is to report such property immediately upon expiration of the award as well as when the property is no longer needed for the project.
- ◆ **Participant Support Costs, Article 7**, is a new Article that has been added to reiterate the definition of participant support costs as well as the requirement to separately account for such costs. The requirement to obtain the prior written approval of the NSF program officer prior to rebudgeting costs from this category is restated. All subsequent articles have been renumbered to account for this addition.
- ◆ **Significant Project Changes, Article 8**, has been updated to require submission of requests to transfer the project effort (subawards) via the NSF FastLane system. In addition, a revised listing of flowdown articles is provided, consistent with these new Grant General Conditions.

¹The NSF Grant General Conditions do not apply to organizations participating in the Federal Demonstration Partnership.

- ◆ **Annual Project Report Requirements, Article 15**, has been modified to delete the reference to submission of cost sharing certifications over \$500,000. While the certification requirement remains intact (see Article 22.d.), it is no longer submitted by the PI **as part of** the annual project report.
- ◆ **Cost Sharing and Cost Sharing Records, Article 22**, has been revised to indicate that NSF modifications to agreed upon cost sharing amounts are made on a case-by-case basis as well as to specify in paragraph d, a more complete listing of options available to NSF should the organization fail to notify NSF of its inability to meet the requisite cost sharing requirements. Finally, paragraph d. has been clarified to indicate that annual and final cost sharing certifications are required when the cost sharing amount identified on Line M of the cumulative award budget is \$500,000 or more as well as to specify the timeframes when such reports are due to the cognizant NSF program officer.
- ◆ **National Security: Classifiable Results Originating Under NSF Grants, Article 28**, has been updated to reflect new reference citations as well as the new organizational designation for the Information Security Oversight Office in the General Services Administration.
- ◆ **Animal Welfare, Article 29**, has been changed to:
 - formally extend the application of the requirements of the Article to rats, mice and birds;
 - update the reference to the *PHS Policy on Humane Care and Use of Laboratory Animals* and the *U.S. Government Principles Regarding the Care and Use of Animals*;
 - require awardees to immediately notify the NSF Grants Officer in the event the awardee's multi-project Assurance is cancelled or lapses; and
 - indicate how to obtain the location of the nearest APHIS Regional Office, as well as information concerning other APHIS activities.
- ◆ **Activities Abroad, Article 37**, has been renamed, "*Government Permits and Activities Abroad*" and is now Article 38. The Article has been expanded to cover the need for coordination with appropriate US and foreign government authorities and obtaining any necessary permits, licenses or approvals, prior to undertaking proposed activities. All subsequent Articles have been renumbered accordingly.