



## State and Federal Corrections Information Systems

An Inventory of Data Elements and an Assessment of Reporting Capabilities

### A joint project:

Association of State Correctional Administrators Corrections Program Office, OJP Bureau of Justice Statistics National Institute of Justice



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August 1998, NCJ 170016

## Appendix A

### Data element questionnaire

## Inventory of Data Elements in State and Federal Corrections Information Systems

January 1998

A joint project of the:

Association of State Correctional Administrators Bureau of Justice Statistics Corrections Program Office National Institute of Justice



#### **General Information**

- If you need assistance in completing the questionnaire, please call **Barbara Parthasarathy at The Urban Institute**, **(202) 857-8630**.
- Please return your completed questionnaire to the Urban Institute using the enclosed envelope before **January 30, 1998**.

#### Instructions

#### What this survey covers

- This survey is about the information system in your jurisdiction that contains data on sentenced adult prisoners.
- If your jurisdiction has an integrated system that tracks both adults and juveniles, inmates in jails or prisons, or offenders while in prison and following release, respond to all items only for inmates held in your adult State/Federal prisons.
- Sections I through XII refer to your jurisdiction's offender-based information system.
   Section XIII, Facility and Program Issues, refers to information systems that contain data elements on prison programs, staffing, facility questions, and costs.

#### How to complete the questionnaire

- For each data element, you will be asked three questions.
  - If your answer to the first question is "No," check the box and proceed to the next data element.
  - If your answer to the first question is "Yes," check the box and mark the appropriate boxes for the next two questions before proceeding.
- For some data elements, you will be asked for additional details provided in a checklist. Check all of the items that apply to your system.
- Additional items, designated by an arrow (>), ask about the design of your information system and its capacity for storage and retrieval. Mark the appropriate box.

#### **Information on Persons Completing the Survey**

Name and Title of Survey Respondent	Phone Number of Respondent

## 1998 Inventory of State and Federal Corrections Information Systems

					If yes:
		Is this	data	How isit stored?	% of offenders for whom data are collected?
		element		In In	Less 50% More
S	ection I. Individual Characteristics	<u>informatio</u> Yes	n system? No	Electron- paper ically form	than to than 50% 75% 75%
			110	loany lonn	0070 1070 1070
A.	Demographic characteristics at admission				
	1. Sex	1 🗌	2	1 2	1 🗌 2 📗 3 🔲
	2. Race	1 🗌	2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	3. Hispanic origin	1 🗌	2 🗌	1 _ 2 _	1 🗌 2 🔲 3 🔲
	4. Religious affiliation	1 🗌	2 🗌	1 2	1 2 3
	5. Date of birth	1 🗌	2 🗌	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	6. Age at commitment	1 🗌	2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	7. Citizenship	1 🗌	2 🗌	1 🗌 2 📗	1 🗌 2 🔲 3 🔲
	8. If illegal alien	1 🗌	2 🗌	1 2	1 2 3 3
	9. State of birth if U.S. citizen	1 🗌	2 🗌	1 🔲 2 🔲	1 🗌 2 📗 3 📗
	10. Country of birth	1 🗌	2 🗌	1 🔲 2 🔲	1 2 3
	11. Address: city, state, country	1 🗌	2 🗌	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
B.	Family characteristics and living arrangements prior	to admissi	on		
٠.	12. Marital status	1 🗆	2 🗌	1  2	1 🗌 2 🔲 3 🔲
	13. Number of children	1 🗌	2 🗌	1 2 2	1 2 3 3
	14. Number of dependents	1 🗌	2 🗌	1	1 🗌 2 🔲 3 🗍
	15. Relationship of persons living in household	1 🗌	2 🗍	1 2	1 2 3 3
	16. Residential status (e.g., own, rent, homeless)	1 🗌	2 🗌	1 🗌 2 🔲	1 2 3 3
	,		_		
C.	Other characteristics				
	17. Education level prior to admission	1 🗌	2	1 2	1 🗌 2 🔲 3 🔲
	If yes, can you identify the offender's education as: (che	eck all that apply)			
	a. Number of years of high school completed				
	b. High school dropout				
	<ul><li>c. High school graduate</li><li>d. GED</li></ul>				
	e. Years of college or other post-secondary				
	education  f. College graduate				
	Military service				
	18. Ever served in the U.S. Armed Forces	1 🗌	2	1	1 2 3 3
	19. Branch(es) served	1 🗌	2	1 2	1 2 3 3
	20. Length of service	1 🗌	2 🗌	1 🗌 2 🔲	1 🗌 2 📗 3 📗
	21. Type of discharge (for last discharge)	1 🗌	2	1 2	1 🗌 2 📗 3 📗

					If		If yes:		
			La di Sanda Ca	How i		% of off			
			Is this data element in your	it store	it stored? whom data are In Less 50			More	
			information system?	Electron-		than	to	than	
			Yes No	ically	form	50%	75%	75%	
	-	ployment							
	22.	Employment status prior to arrest leading to current commitment	1 2	1	2 🗌	1 🗌 2	□ 3		
		If yes, can you identify offenders who were: (check all that apply)  a. Employed full time  b. Employed part time  c. Unemployed  d. Not employed but not looking for work							
		Length of employment prior to current commitment	1 2	1 🗌 2	2 🗌	1 🗌 2	<u> </u>		
	24.	Length of unemployment prior to current commitment	1 2	1 2	2 🗌	1 🗌 2	3		
	25.	Occupation prior to current commitment	1 2	1 🗌 2	2 🗌	1 🗌 2	<u> </u>		
	Crin	ninal justice status							
	26.	Criminal justice status at the time of arrest leading to current commitment	1 2 0	1 🗌 2	2	1 🗌 2	<u> </u>		
		If yes, can you identify offenders who were: (check all that apply)  a. First time offender  b. On parole c. On probation d. On other form of supervision e. Currently in prison f. Escapee/Absconder							
D.		ome and financial obligations prior to arrest leading current admission							
		Sources of income	1 2	1 📗 2	2 🗌	1 2	<u> </u>		
		If yes, can you identify offenders receiving:  a. Earned income (e.g., salary, wages)  b. Alimony, child support  c. Public assistance (e.g., AFDC, food stamps, SSI)  d. Illegal income  e. Other	Il that apply)						
	28.	Amount of income	1 2	1 🗌 2	2 🗌	1 🗌 2	<u> </u>		
	29.	Types of financial obligations (e.g., child support, alimony)	1	1 📗 2	2 🗌	1 🗌 2	<u> </u>		
	30.	Amount of financial obligations	1 🗌 2 🔲	1 🔲 2	2 🗌	1 🗌 2	□ 3		
		<u>C</u>		_				_	

		Is this data element in your				whom data are collected Less 50% More than to than		
		Yes	No No	ically	form	tnan 50%	75%	
S	ection II. Current Commitment							
Α.	Reason for commitment							
	31. Type of commitment	1 🗌	2	1	2	1 🗌 2	<u> </u>	
	If yes, can you identify offenders who were: (check all that apply)  a. New court commitment  b. Returned from bond or appeal c. Transferred from another jurisdiction d. Parole violator e. Probation violator f. Returned escapee g. Returned AWOL/absconder h. Detained before sentencing or transfer to another jurisdiction							
	32. Date of current commitment	1 🗌	2 🗌	1	2	1 🗌 2	☐ 3	
	33. Agency having the authority to release the offender		_					
	from custody	1 🗌	2	1	2	1 🗌 2	3	
> >	For offenders who have multiple commitments for a single sentencing, such as parole violators, do you maintain all records of their commitments on-line?  Do you archive records of offenders' multiple	1 <u></u> Y€	es 2 🗌 No					
	commitments?	1 Y	es 2 No	)				
	If yes, continue; if no, skip to question 34.							
>	Can you electronically retrieve archived records?	1 Y	es 2 🗌 No	)				
В.	Sentencing court							
	34. County in which the sentencing court was located	1 🗌	2 🗌	1	2	1 🗌 2	□ з	
	35. Sentencing judge	1 🗌	2	1 🗌	2	1 🗌 2	<u> </u>	
_	Conviction offenses							
٠.	36. The number of conviction offenses	1 🔲	2 🗌	1 🗌	۰ 🗆	1 🗌 2		
	37. The type of conviction offense	_						_
	• •	1 🗌	2 🗌	1 🗌		1 2		
	38. Title and section of the state or Federal penal code	1 🗆	2 📙	1 🗌	2	1 2		
	39. Written description of the offense	1 🗌	2	1	2	1  2		
	40. Charges as specified on the indictment	1 🗌	2 🗌	1 🗌	2	1 🗌 2		
	41. Charges as specified on the commitment order	1 🗌	2 📙		2 📙	1 🗌 2		
	42. Offense severity level (e.g., felony, misdemeanor)	1	2	1	2	1 🗌 2		
D.	Criminal incident leading to the conviction offense 43. Date of incident	,	• <u> </u>	, $\Box$	. $\Box$	, 🗆 -		
		1 🗌	2 🗌	1 🗌		1 🗌 2	_	
	44. Location of incident	1 📙	2 📙	1 🗌		1 📙 2		
	45. Number of victims	1 🗌	2	1	2	1 🗌 2	∐ 3	

3

How is

% of offenders for

		Is this data	How is it stored?	% of offenders for whom data are collected?
		element in your information system?  Yes No	In	Less 50% More than to than 50% 75% 75%
46.	Sex of victim	1 2 0	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
47.	Race of victim	1 2	1 2	1 🗌 2 🗎 3 🗍
48.	Age of victim	1 2	1 2 2	1 🗌 2 🗎 3 🗍
49.	If victim knew offender	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
50.	Relationship to offender (e.g., spouse, friend)	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
51.	Weapon involved	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
52.	If so, type of weapon	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
53.	Victim injury information	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
54.	Extent of property damage/loss by victim	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🗍
55.	Amount of restitution due victim	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
56.	Address of victim	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
E. Ser	ntences imposed			
57.	The date of sentencing	1 2 0	1 2	1 🗌 2 🔲 3 🔲
58.	The number of sentences imposed	1 2	1 2	1 🗌 2 🗌 3 📗
59.	Whether sentences are concurrent or consecutive	1 2	1 2	1 🗌 2 🗌 3 🗌
60.	The total length of sentence imposed	1 2	1 2	1 🗌 2 🗌 3 🗌
61.	The length of sentence imposed for each offense	1 2	1 2 2	1 🗌 2 🗌 3 🔲
62.	Whether a sentence was a mandatory minimum sentence	1 🗌 2 🔲	1 🗌 2 🔲	1 🗆 2 🗆 3 🗆
63.	The reason for the mandatory sentence (e.g., drugs, weapon involvement)	1 🗌 2 🔲	1 🗌 2 🔲	1 🗆 2 🗆 3 🗆
64.	Whether the sentence is a split or mixed sentence (a combination of prison plus supervision in the community)	1 _ 2 _	1 🗌 2 📗	1 🗌 2 📗 3 🗍
	The length of the community supervision component of the sentence	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
66.	Whether monetary sanctions were imposed in addition to the prison term	1 🗆 2 🗆	1 🗌 2 🔲	1 🗆 2 🗆 3 🗆
67.	If so, the amount of the monetary sanction	1 2 0	1 2	1 🗌 2 🔲 3 🗍
	nfinement characteristics			
68.	Type of facility housing the offender	1 2	1 2 2	1 🗌 2 🗌 3 🗍
	If yes, can you identify offenders in:  a. Adult correctional facility, prison  b. Youthful offender facility  c. Juvenile facility  d. Federal prison  e. County jail  f. Boot camp  g. Pre-release center  h. Halfway house			

If yes:

				f yes:
			How is	% of offenders for
		Is this data element in your information system?		whom data are collected?  Less 50% More than to than
	Type of facility housing the offender (continued)  i. Treatment facility □  j. Hospital □	Yes No	ically form	50% 75% 75%
60	<del>-</del>	. 🗆 . 🗆		
69.	Type of housing unit in which offender is placed	1 2	1 📙 2 📙	1 📙 2 📙 3 📙
	If yes, can you identify offenders in: (check all that a a. a dormitory	pply)		
	b. a unit with cells/rooms			
	<ul><li>c. an area not originally intended for housing (e.g., gym, hallway, classroom)</li></ul>			
70.	Special unit housing the offender	1 2	1 2 0	1 🗌 2 📗 3 🗍
	If yes, can you identify offenders in: (check all that appl a. medical unit	ly)		
	<ul><li>b. special treatment unit (e.g., drug/ alcohol program)</li></ul>			
	c. disciplinary segregation			
	d. administrative segregation			
	e. protective custody			
	f. pre-release/work release			
S4:	ion III. Expected Time To Be Car			
The data e  A. Expo	ion III. Expected Time To Be Ser elements in this section refer to the offender's expected length of stay in p ected length of stay Expected date of release	nrison.	1	1
The data e  A. Expo 71. 72.	ected length of stay  Expected date of release  Expected parole release	orison.	1	1
A. Expe 71. 72. 73.	elements in this section refer to the offender's expected length of stay in pected length of stay  Expected date of release	nrison.		
A. Expo 71. 72. 73.	ected length of stay Expected date of release Expected parole release date Date of expiration of sentence, if a full term were	1	1 🗌 2 🔲	1 🗌 2 🗍 3 🗍
71. 72. 73.	ected length of stay Expected date of release Expected parole release date Date of expiration of sentence, if a full term were to be served	1	1	1
71. 72. 73.	ected length of stay Expected date of release Expected parole release date Date of expiration of sentence, if a full term were to be served Change in sentence length due to modifications	1	1	1
71. 72. 73. 74. 75.	ected length of stay  Expected date of release  Expected parole release date  Date of expiration of sentence, if a full term were to be served  Change in sentence length due to modifications  Reason for change in the sentence length  If yes, can you identify offenders who: (check all that applications)  a. Committed a new crime  b. Were re-sentenced  c. Had a sentence correction  d. Had a change because of appeal  e. Received executive clemency	1	1	1

78. Total amount of good time credit available

1 2

1 🗌 2 🔲

1 🗌 2 🔲 3 🔲

				If yes:		fyes:	es:	
		eleme	is data nt in your tion system? No	How it stor	ed? In	% of of whom data Less than 50%		llected?
79.	Actual amount of good time credit accrued	1 🗌	2	1 🗌	2 🗌	1 🗌 2	2 🗌 3	
80.	Special credits (e.g., housing credits)	1 🗌	2	1 🗌	2	1 🗌 2	2 🗌 з	
81.	Change in available good time credits	1 🗌	2	1 🗌	2	1 🗌 2	2 🗌 з	
82.	Date of good time credit calculation	1 🗌	2 🗌	1 🗌	2	1 🗌 2	2 🗌 з	
83.	Reason for change in good time credits (e.g., infraction, new crime)	1 🗌	2 🗌	1 🔲	2 🗌	1 🗌 2	· 🗌 3	

This section as	sks about m	novements an	d transfers	of sentencea	l prisoners aft	er they
have been con	nmitted. The	e data elemer	ts refer to t	he most rece	nt movement	

This s	ection IV. Post-Commitment M section asks about movements and transfers of sentenced prison been committed. The data elements refer to the most recent mov	ers after they	S		
8	<ol> <li>Transfer to another correctional facility wi jurisdiction</li> </ol>	thin your	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🗍
8	<ol> <li>Who authorized the transfer to another fa your jurisdiction (e.g., administrator, medi policy directive)</li> </ol>	•	1 2 2	1 🗌 2 🔲	1 2 3 0
8	86. Date of transfer		1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
8	87. Movements within a facility (e.g., betweer	n units)	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
8	88. Who authorized the internal movement (e.g., administrator, warden, medical auth	ority)	1  2	1  2	1 🗌 2 🔲 3 🗍
8	89. Date of internal movement		1 2 0	1 2 0	1 🗌 2 🔲 3 🗍
ç	90. Reason for transfer/internal movement		1 🗌 2 🔲	1	1 🗌 2 🔲 3 🔲
	If yes, can you identify offenders who were trans or moved because of:  a. Parole board hearing  b. Court order (e.g., overcrowding)  c. Change in security level  d. Temporary transfer  e. Protective administrative segregation  f. HIV/AIDS  g. Other medical condition  h. Psychiatric referral  i. Request by offender  j. Other	sferred ck all that apply)			
>	Does your system maintain an on-line history offender's transfers and internal movements?		1 Yes 2 No		
>	Do you archive records of offender transfers movements?	and internal	1 Yes 2 No		
	If yes, continue; if no, skip to Section V.				

					ıı yes.
				How is	% of offenders for
			Is this data	<u>it stored?</u>	whom data are collected?
			element in your information system?	In Electron- paper	Less 50% More than to than
			Yes No	ically form	50% 75% 75%
>	rec	n you retrieve and link electronically the archived cords with the current transfer and internal movement cords?	1 ☐ Yes 2 ☐ No	)	
The	data e	ion V. Classification Issues elements in this section refer to the classification of offenders poses of placement and treatment.			
A.	Risk	cassessment at admission			
	91.	Weapon used during offense	1 2 _	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	92.	History of violence	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	93.	Gang membership	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	94.	History of escape/AWOL	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
		•			
B.	Nee	ds assessment at admission			
	95.	Type of need	1 2	1 2	1 🗌 2 🗌 3 🔲
		If yes, can you identify offenders who are: (check all that apply)			
		a. Sex offenders			
		b. Drug users			
		c. Alcohol abusers			
		<ul><li>d. Special needs (e.g., youth, medical, psychiatric)</li></ul>			
	96.	Psychological history	1 2	1	1 🗌 2 🔲 3 🦳
		Medical conditions at admission	1 2 0	1	1 2 3 3
	57.	If yes, can you identify offenders with: (check all that apply)		1 📙 2 📙	1 2 3
		a. HIV/AIDS			
		b. Tuberculosis   c. Hepatitis			
		d. Physical disabilities			
		e. Mental/emotional conditions			
		f. Specialized medical conditions			
	98.	Participation in programs prior to commitment (e.g.,			
		drug/alcohol treatment, education, training)	1 2	1 🗌 2 🔲	1 🗌 2 📗 3 📗
	99.	Tested for drug use at admission	1 2 2	1 🔲 2 🔲	1 🗌 2 🔲 3 🔲
		. If yes, results of drug test at admission	1 2 0	1 🗌 2 🗍	1 🗌 2 🔲 3 🗍
			· <u>-</u> - <u>-</u>		• _
C.	Clas	ssification at admission			
	101	. Security level at admission	1 2	1 _ 2 _	1 🗌 2 📗 3 📗
	102	. Agency responsible for classification of offenders	1 2	1 2	1 🗌 2 📗 3 📗
	103	. Date of initial classification	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	104	. Classification index or score	1 2 2	1	1 🗌 2 🔲 3 🦳

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				f yes: % of offenders for
		Is this data	How is <u>it stored?</u>	whom data are collected?
		element in your information system?	In Flectron- paper	Less 50% More than to than
		Yes No	ically form	50% 75% 75%
105	. Risk assessment index or score	1 2 0	1 🗌 2 🗌	1 🗌 2 🔲 3 🗍
106	. Psychological index or score	1 2 0	1 2	1 🗌 2 🔲 3 🗌
107	. Medical classification index or score	1 2 0	1 2	1 🗌 2 🔲 3 🔲
If cl	lassification changes:			
108	. Reason for change of classification	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🗌
109	. Date of classification change	1 2	1 _ 2 _	1 2 3
Sect	ion VI. Offender Criminal History			
110	. Offender's record of prior arrests and convictions	1	1  2	1 🗌 2 🔲 3 🔲
	If yes, can you identify offenders with a			
	history of: (check all that apply)			
	a. Drug offenses			
	b. Weapons offenses			
	c. Violent offenses			
111				
111	. Severity level of prior offenses (e.g., felony, misdemeanor)	1 2	1  2	1 🗌 2 🔲 3 🗍
112	. Number of prior arrests	1  2	1	1
	. Number of prior convictions	1 2 0	1	1 🗌 2 🔲 3 🔲
	. Date of prior arrests	1 🔲 2 🔲	1	1 🗌 2 🔲 3 🗍
	. Date of prior convictions	1 2 0	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	. Habitual offender	1 2 0	1 🗌 2 🔲	1 2 3 3
Sect	ion VII. Behavior in Custody			
	a elements describe offenders' behavior while in custody and the response			
to miscond	duct or infractions.			
A. Mis	conduct/Infractions			
_	. Tested for drug use since admission	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
	. If yes, date of last drug test	1 2 0	1 2	1 2 3
	. If yes, results of last drug test	1 2 0	1 🗌 2 🔲	1 2 3 3
	. For the most recent infraction, type of			_
	misconduct/infraction	1 2	1 _ 2 _	1 2 3 3
	If yes, can you identify offenders who: (check all that apply)			
	a. Committed an assault (non-sexual)			
	b. Committed a sexual assault			
	c. Committed homicide			

		If	ves:
		How is	% of offenders for
	Is this data element in your information system? Yes No	In	whom data are collected?  Less 50% More than to than 50% 75% 75%
For the most recent infraction, type of miscond infraction (continued)	duct/	•	
d. Attempted suicide			
e. Escaped			
f. Had possession of drugs g. Had possession of a weapon	]		
h. Were involved in gang-related activity	1		
i. Other			
121. Date of event	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🗌 3 🔲
122. Location of event	1 2	1 2	1 🗌 2 🔲 3 🔲
123. People involved in event (e.g., inmates, sta	ff) 1 2	1 _ 2 _	1 2 3 3
124. Whom the injury was sustained by	1 2 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
125. Type of injury sustained	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
126. If injury sustained, were drugs/alcohol invol-	ved 1 🗌 2 🗌	1 🗌 2 🔲	1 🗌 2 🔲 3 🗍
127. If injury sustained, were weapons involved	1 2 2	1 🗌 2 🗌	1 🗌 2 🔲 3 🔲
128. Property damage in dollars	1 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
<ol> <li>History of behavior in custody, including no misconduct/infractions</li> </ol>	1 _ 2 _	1 _ 2 _	1 🗌 2 📗 3 🗍
Official response to misconduct in custody			
130. Type of immediate response (e.g., shakedo lockdown, restraint)	wn,	1 🗌 2 📗	1 🗌 2 🔲 3 🗍
131. Date of immediate response	1 2 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
132. Result of response (e.g., restriction of privile segregation, transfer)	eges,	1 🗌 2 🔲	1 🗌 2 📗 3 🗍
Legal proceedings against offenders as a result misconduct in custody	of		
133. Type of legal procedure (e.g., grievance,			
investigation, hearing)	1 2	1 2	1 🗌 2 🔲 3 🔲
134. Entity/person that initiated the legal procedu	ıre 1 🗌 2 🗌	1 🗌 2 🔲	1 🗌 2 🔲 3 🗍
135. Date of legal procedure	1 🗌 2 🔲	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
136. Charges filed	1 2 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
137. Disposition of charges	1 2 2	1 🗌 2 🔲	1 🗌 2 🔲 3 🔲
If yes, can you identify offenders who: (check all a. Received detention	that apply)		
b. Received a new sentence	]		
c. Received a sentence modification			
d. Had good time modified			
e. Had forfeitures imposed			
f. Had a change in classification g. Had a grievance upheld or denied			
g. That a griovation aprior of defiled	_		

B.

C.

			Is this data element in your				<u>it stored?</u> whom data are c			are co			
			information	system?		paper	than	to	than				
>		es your system maintain an on-line history of an ender's misconduct/infractions?	Yes 1 Yes	No 2 No	ically	form	50%	15%	75%				
>		you archive records of an offender's misconduct/ actions?	1□ Yes	2 🗌 No	1								
	If y	es, continue; if no, skip to Section VIII.											
>		n you electronically retrieve and link the archived ords with the current misconduct/infraction records?	1□ Yes	2 🗌 No	,								
		on VIII. Program Participation											
	138.	Offender is eligible to participate in prison programs	1 2		1 🗌	2 🗌	1 🗌	2 🗌 3	3 🗌				
	139.	Type of program in which the offender participated	1 2		1 🗌	2 🗌	1 🗌	2 🗌 3	3				
		If yes, can you identify offenders in: a. Occupational/Vocational training b. Prison employment c. Employment outside prison d. Education program e. Substance abuse treatment f. Violence reduction treatment g. Mental health program h. Counseling											
	140.	Reason for program participation	1 2		1 🔲	2 🗌	1 🗌 :	2 🗌 3					
	141.	Authority that placed offender in the program	1 2		1 🗌 :	2 🗌	1 🗌 2	3					
	142.	Regular ongoing in-prison program	1 2		1 🗌 :	2 🗌	1 🗌 2	: 🗌 з					
	143.	Location of program	1 2		1 🗌 :	2 🗌	1 🗌 2	3					
	144.	Program intensity (e.g., number of hours per week, days per week, month)	1  2		1 🔲 :	2 🗌	1 🗌 2	2 🗌 3					
	145.	Length of program	1 2		1 🔲 :	2 🗌	1 🗌 2	2 🗌 3					
	146.	Date offender began program	1 2		1 🗌 :	2 🗌	1 🗌 2	2 🗌 3					
	147.	Date offender ended program	1 2		1 🗌 :	2 🗌	1 🗌 2	2 🗌 з					
	148.	Outcome of offender's participation	1 2		1 🗌	2 🗌	1 🗌 :	2 🗌 3					
		If yes, can you identify offenders who:  a. Completed the program  b. Quit the program  c. Failed the program  d. Violated program rules  e. Escaped during program  f. Terminated for medical reasons											

		f yes:	
	How is	% of offenders for	
Is this data	it stored?	whom data are collected?	,
element in your	In	Less 50% More	
information system?	Electron- paper	than to than	
Yes No	ically form	50% 75% 75%	

S	ecti	on IX. Releases				
A.	Relea	ses from custody				
	149.	Type of release from custody	1 🗌	2 🗌	1 🗌 2 🔲	1 🗌 2 🗌 3 🗌
		If yes, can you identify offenders released by: (check all that apply) a. Expiration of sentence b. Commutation/pardon c. Court order/consent decree d. Probation e. Parole f. Supervised mandatory release g. Execution h. Illness/natural death i. AIDS j. Suicide k. Accidental injury to self l. Homicide m. Work release n. Absconder/AWOL o. Escape p. Transfer to another jurisdiction q. Released on appeal/bond r. Temporary release				
	150.	Release date	1 🗆	2 🗌	1	1 🗌 2 🔲 3 🗍
		Time served in custody	1 🔲	2 🗌	1 2 2	1 2 3 7
		Agency gaining jurisdiction of offender when released		2 🗌	1 2 2	1
		Type of facility released to (e.g., community corrections facility, work release center, treatment facility)	1 🗌	2 🗌	1	1 2 3
В.		nder registry Offender is required to register as a sex offender under Megan's Law or a similar statute	1 🗌	2 🗌	1	1 🗌 2 📗 3 🗍
	155.	Offender <u>actually</u> registered as a sex offender under Megan's Law or a similar statute	1 🗌	2 🗌	1 2	1
	156.	Victim notification is required when offender is to be released	1 🗌	2 🗌	1	1 🗌 2 🔲 3 🗌
C.	Previ	ously released offenders				
>	Doe	s your system maintain the records of previously ased offenders?	1 🗆 Y	∕es 2 ☐ No	o	
	If y	es, continue; if no, skip to Section X.				

		Is this data		How it stor		% of of whom data		
		element i	element in your		In	Less	50%	More
		information Yes	No No	ically	paper form	than 50%	to 75%	than 75%
>	Are these records permanently available on-line?	1☐ Yes	2 🗌 No	)				
>	Do you archive records of previously released offenders?	1☐ Yes	2 🗌 No	)				
	If yes, continue; if no, skip to Section X.							
>	Can you electronically retrieve archived records?	1 🗌 Yes	2 🗌 No	)				
	If yes, continue; if no, skip to Section X.							
>	Can you electronically link archived records with current records?	1 ☐ Yes	2 🗌 No	)				
Se	ection X. Behavior on Release							
	e data elements describe offenders' behavior upon their release from custody, ding employment, residential status, length of supervision, behavior, and results of violations							
>	In your correction information system, do you maintain data elements about offenders after they are released from prison? (This includes offenders returned for violations, or committing new crimes.)	1 ∐ Yes	2 □ No	)				
	If yes, continue; if no, skip to Section XI.							
>	Do you maintain these records for all offenders released into the community?	1 🗌 Yes	2 🗌 No	)				
>	Do you maintain these records for offenders returned to prison for parole violations?	1□ Yes	2 🗌 No	)				
Δ.	Please indicate for which types of released offenders you collect these data elements: (check all that apply)  a. All released offenders  b. Released when sentence expired  c. Commuted sentence/pardoned  d. Released by court order  e. On probation  f. On parole  g. On supervised release  h. Work release  i. Transferred to another jurisdiction  j. Released on appeal/bond  k. On temporary release  l. Released sex offenders							
H.	157. Offender had a job arranged upon release	1  2		1 🖂	2 🗌	1 🗌 2	,	
	158. Type of employment			1 🔲		1 🔲 2		
	100. Type of employment	' Ш 2		'		· L 4	3	

12

					If		f ves:		
					How		% of offenders	ers for	
			eleme	is data nt in your tion system? No	it store	In	whom data are collected Less 50% than to 50% 75%	More than	
	159.	Date employment was to begin	1 🗍	2 🗍		2 🗍	1 2 3		
		Employer notified of offender's record	1 🗌	2 🗌	_	2 🗌	1 🗌 2 🔲 3		
R		onal information while on release							
		Address while on release	1 🗌	2 🗌	1 🗆	2 🗍	1 🗌 2 🔲 3		
		Residence status on release (e.g., offender							
	. •	owns, rents)	1 🗌	2	1 🔲	2 🗌	1 🗌 2 📗 3		
		Living arrangements on release (e.g., lives alone, with family, friends)	1 🗌	2 🗌	1 🗌	2 🗌	1 🗌 2 📗 3		
C.	Com	pletion of release supervision							
	164.	Type of supervision	1 🗌	2 🗌	1 🔲	2 🗌	1 🗌 2 🔲 3		
	165.	Termination of supervision	1 🗌	2	1 🔲	2 🗌	1 🗌 2 🔲 3		
		If yes, can you identify offenders who: a. Completed with no violation b. Committed violation c. Committed new crime d. Died e. Discharged due to medical condition f. Other							
	166.	Actual length of time in supervision	1 🗌	2	1 🔲	2 🗌	1 🗌 2 🔲 3		
	167.	Date supervision was completed	1 🗌	2 🗌	1 🔲	2 🗌	1 🗌 2 🔲 3		
D.	Viola	tion of release							
	168.	Type of technical release violation	1 🗌	2 🗌	1 📗	2 🗌	1 🗌 2 📗 3		
	169.	Type of new crime committed	1 🗌	2	1 🗌	2 🗌	1 🗆 2 🗀 3		
	170.	Administrative closure due to health, death, etc.	1 🗌	2	1 🗌	2 🗌	1 🗌 2 🔲 3		
	171.	Absconded while on release	1 🗌	2 🗌	1 🔲	2 🗌	1 🗌 2 🔲 3		
	172.	Date of technical release violation	1 🗌	2	1 🔲	2 🗌	1 🗌 2 🔲 3		
	173.	Date of new crime	1 🗌	2 🗌	1 🔲	2 🗌	1 🗌 2 🔲 3		
	174.	Date of administrative closure	1 🗆	2 🗌	1 🗌	2 🗌	1 🗆 2 🗀 3		
	175.	Date absconded	1 🗌	2	1 🔲	2 🗌	1 🗌 2 🔲 3		
_	_								
Ė.	•	onses to release violations							
		Offender arrested for violation/new crime	1 📙	2 🗌		2 📙	1 2 3	_	
		Violation/new crime adjudicated	1 🗌	2 📙		2 📙	1 📙 2 📙 3		
		Offender convicted for violation/new crime	1 🗆	2 🗌		2 📙	1 2 3		
		Offender sentenced for violation/new crime	1 🗌	2 🗌		2 🗌	1 2 3		
		Date offender arrested	1 🗌	2		2 🗌	1 2 3		
		Date violation/new crime adjudicated	1 📙	2 📙		2 📙	1 \[ 2 \[ 3 \]	_	
		Date offender convicted for violation/new crime	1 🗌	2 🗌		2 🗌	1 📙 2 📙 3		
	183.	Date offender sentenced for violation/new crime	1 🗌	2	1 📗	2	1 🗌 2 🔲 3		

			If yes:					
		Is this data element in your information system? Yes No	How is  it stored?  In  Electron- pa ically for	Less 50% More aper than to than				
18	34. Offender's release status changed as a result of violation/new crime	1	1 2					
18	<ol> <li>Date offender returned to prison for violation/ new crime</li> </ol>	1 🗌 2 🔲	1 2	1 2 3 3				
These of caused	tion XI. Public Safety  lata elements address public safety issues, in particular, the harm by offenders supervised in the community.  Do you collect data on crimes committed by offenders							
	nder supervision in the community?	1 ☐ Yes 2 ☐ N	No					
If	yes, continue; if no, skip to Section XII.							
	When do you obtain these data on the crimes committed y these offenders: (check all that apply)  a. Upon their arrest b. Upon their conviction c. Upon their return to prison d. Other							
Pleas	e indicate which of the following data elements you mair	ntain.						
	oout the criminal incident 36. Date of incident	1 2	1 🗌 2 [	] 1 ] 2 ] 3 ]				
18	37. Location of incident	1 2	1 2	1 2 3 3				
18	88. Type of offense (e.g., rape, murder)	1 2	1 2	1 2 3 3				
18	9. Number of victims in criminal incident	1 2	1 _ 2 _	1 2 3				
19	0. Victim impact statement or summary	1 2	1 2	1 2 3				
19	1. Victim injury information	1 2	1 2	1 2 3				
	2. Extent of property damage/loss by victim	1 2	1 2	1 2 3 3				
19	3. Amount of restitution due victim	1 2	1 2	1 2 3 3				
B. Ak	oout the victim							
19	94. Sex of victim	1 2	1 2	1 2 3				
19	5. Race of victim	1 2	1 2	1 2 3				
19	6. Age of victim	1 🗌 2 🔲	1 2	1 2 3 3				
19	7. If victim was a child	1 2	1 2	1 2 3				
19	8. If vicitm knew offender	1 2	1 2	1 2 3 3				
19	9. Relationship to offender (e.g., spouse, friend)	1 2	1 🗌 2 🛭	1 2 3 3				
20	00. Address of victim	1 🗌 2 🔲	1 🗌 2 🛭	1 2 3 3				

					If yes:						
			lo 41-:	c data	How is % of off it stored? whom data				nders for		
				s data nt in your	IL SIOFE	In	Less		More		
			informat Yes	tion system? No	Electron- ically	paper form	than 50%	to 75%	than 75%		
					•						
C. About the offender											
201. If offender was	under criminal just	ice supervision	1 🗌	2	1 🗌 :	2 🗌	1 🗌 2	: 🗌 з			
	dentify offenders:	(check all that apply)									
a. On parole											
b. On probation											
c. On supervis											
d. On work re											
e. In commun f. Other	ity corrections										
Section XII. Me	edical Care o	f Offenders									
202. Type of medica	al treatment given i	n custody	1 🗌	2 🗌	1 🗌 :	2	1 🗌 2	_ 3			
203. Date medical to	reatment started		1 🗌	2	1 🔲 :	2	1 🗌 2	_ з			
204. Date medical to	reatment ended		1 🗌	2 🗌	1 🗌 :	2 🗌	1 🗌 2	: 🗌 з			
205. Cost of medica	l treatment		1 🗌	2 🗌	1 🔲 :	2 🗌	1 🗌 2	. 🗌 з			
206. Current medica	al condition status		1 🗌	2 🗌	1 🗆 :	2	1 🗌 2	: 🗌 з			
a. Acquired b	identify conditions: pefore commitment after commitment	(check all that apply)									
207. Conditions dev	eloped in custody		1 🗌	2 🗌	1 🔲 :	2 🗌	1 🗌 2	3	. 🗆		
If yes, can you		(check all that apply)		_							
a. HIV/AIDS											
b. Tuberculos	sis cases										
c. Hepatitis c											
d. Chronic m	edical conditions										
Section XIII. Fa	ecility and Pr	rogram Issue	26								
This section requests information a prison system. In responding to quesystems that include data on programmers.	about data elements related uestions about each data e	d to the overall operation of lement, refer to any informa	your								
A. Program issues											
208. Types of progr	ams offered to offe	nders	1 🗌	2 🗌	1 🗌 :	2 🗌					
b. Number o	f offenders in prograr f program staff i is accredited or cert										
·	program funds										

			Is this data _ element in your			ı	% of offenders for whom data are collected?				
					How						
					<u>it store</u>	ed? In	whom data Less				
			informa	tion system?		paper	than	to	than		
	000	Dua suna sa a a a a a a a a a a a a a a a a a	Yes_	No	ically	form	50%	75%	75%		
	209.	Program assessment	1 📙	2	1	2 📙					
		If yes, can you identify: (check all that apply)									
		a. Number of offenders completing program									
		<ul><li>b. Number of offenders entering but not completing program</li></ul>									
		c. Impact on in-prison behavior									
		d. Impact on post-prison behavior									
	210	Date of post-program assessment	1 🗆	2 🗌	1 🗆	<b>.</b> □					
		Number of medical staff									
			1 🔲	2 📗		2 📗					
		Qualifications of medical staff (e.g., certified, licensed)	1 📋	2	1 🗌	2					
	213.	Availability of staff (e.g., hours per week, days	1 🗌	. $\square$	. $\square$						
		per week)	1 📋	2 📙	1	2 📋					
B.	Facili	ity issues									
	214.	Number of facilities	1 🗌	2	1 🔲	2 🗌					
	215.	Number of beds in each facility	1 🗌	2	1 🗌	2					
	216.	Number of total staff in each facility	1 🗌	2 🗌	1 🗌	2					
	217.	Number of custodial staff in each facility	1 🗌	2	1 🗌	2					
	218.	Facilities that generate revenue	1 🗌	2	1 🔲	2 🗌					
	219.	Facility-generated revenue	1 🗌	2	1 🗌	2					
		If yes, can you identify revenue from: (check all that apply)									
		a. Beds leased									
		b. Labor contracts									
		c. Room and board									
		d. Other									
	220.	Amount of facility-generated revenue	1 🗌	2	1 🗌	2					
	221.	Annual cost to operate facilities	1	2	1 🗌	2					
	222.	Annual capital costs	1 🗌	2	1 🗌	2					

#### Thank you for completing this questionnaire.

Your information will be used to define a common core of data elements among state and Federal information systems. If you have any questions or comments, please send them to us along with your completed questionnaire.