#### Information and Instructions

Approved by OMB 3060 -0800 Est. Avg. Burden Per Response: 1.75 Hrs

# FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control

# NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND THE PAPERWORK REDUCTION ACT OF 1995

We have estimated that each response to this collection of information will take on average 1.75 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Washington, DC 20554, Paperwork Reduction Project (3060-0800). We will also accept your comments via the Internet if you send them to jboley@fcc.gov. *Please do not send completed application forms to this address*.

You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection unless it displays a currently valid OMB control number with this notice. This collection has been assigned OMB control number 3060-0800.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of a statute, FCC regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding.

As of December 3, 2001, all parties and entities doing business with the Commission must obtain a unique identifying number called the FCC Registration Number (FRN) and supply it when doing business with the Commission. Failure to provide the FRN may delay the processing of the application. This requirement is to facilitate compliance with the Debt Collection Improvement Act of 1996 (DCIA). The FRN can be obtained electronically through the FCC webpage at <a href="http://www.fcc.gov">http://www.fcc.gov</a> or by manually submitting FCC Form 160. FCC Form 160 is available from the FCC's web site at <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a>, by calling the FCC's Forms Distribution Center 800-418-FORM (3676), or from fax-on-demand by dialing (202) 418-0177.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e)(3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.



#### **General Instructions**

#### **Purpose of Form**

Form FCC 603 is a multi-purpose form. It is used to apply for approval of assignment or transfer of control of licenses in the Wireless Radio Services. The data collected on the form is used by the FCC to determine whether the public interest would be served by approval of the requested assignment or transfer. This form is also used to notify the Commission of consummated assignments and transfers of wireless licenses that have previously been consented to by the Commission or for which notification but not prior consent is required. The services that use this form include Public Mobile Services, Personal Communications Services, General Wireless Communications Services, Private Land Mobile Radio Services, Broadcast Auxiliary Services, Fixed Microwave Services, Maritime Services (excluding ships), and Aviation Services (excluding aircraft).

#### Introduction

Form FCC 603 is a multi-part form consisting of a Main Form and several optional schedules. Each application, amendment, or other request must contain only one Main Form but may contain as few or as many of the optional schedules as necessary.

#### **Main Form**

The purpose of the Main Form is to obtain information sufficient to identify the parties to the proposed assignment or transfer, establish the parties' basic eligibility and qualifications, classify the filing, and determine the nature of the proposed service. The Main Form also contains the required certifications and signature blocks for both the assignor/transferor and the assignee/transferee. The Main Form is required for every application, amendment, and modification filed on Form FCC 603. (NOTE: The FCC Registration Number must be completed on the Main Form for all purposes.)

#### **Schedules**

The purposes of the optional schedules are as follows:

Schedule A (Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services) This schedule is used when a license to be assigned or transferred was originally awarded following an FCC auction, and the original licensee or prior assignee obtained installment payments, bidding credits, or was otherwise subject to special provisions applicable to entrepreneurs, small businesses, or rural telephone companies. For assignments of authorization, this schedule establishes whether the assignee is eligible for the same special provisions as the assignor, or whether unjust enrichment provisions or other restrictions apply. For transfers of control, this schedule establishes whether the transfer, if approved, will affect the eligibility of the licensee for special provisions associated with the license prior to the transfer.

**Schedule B** (Partition and Disaggregation Schedule) This schedule is used for assignment applications in geographically licensed services that involve partitioning of the licensing area or disaggregation of the licensee's spectrum holdings. An applicant seeking to assign a partitioned area using FCC-defined geographic boundaries (i.e., FCC licensing area boundaries or county boundaries) or to assign a disaggregated portion of its licensed spectrum must use this schedule to identify the geographic area or spectrum (or both) that is the subject of the proposed transaction.

**Schedule C** (Undefined Geographical Area Partitioning Schedule) This schedule is used for partitioning applications in geographically licensed services where the partitioned area is defined based on licensee-generated coordinates rather FCC-defined boundaries. It is used to enter the coordinates of locations that describe the periphery of a geographic area to be partitioned.

**Schedule D** (Notification of Consummation of Assignment of Authorization or Transfer of Control) This schedule is used to notify the FCC that an assignment of authorization or transfer of control has been consummated.

**Schedule E** (Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control) This schedule is used to request additional time to consummate an assignment of authorization or transfer of control that will not be consummated in the time previously allotted by the Commission.

#### Who Must File FCC Form 603 and When

#### < Assignment of Authorization

An Assignment of an Authorization must be requested from the FCC when a license is assigned to another party. An Assignment of Authorization involves a complete transfer of controlling interest in ownership in the license and generally involves the changing of the Licensee name. Refer to the applicable FCC rules for definitions of controlling interest and ownership.

For assignment of one or more authorizations, the assignor must apply for approval using this form, FCC Form 603. In the case of an involuntary assignment of authorization, the assignor must apply no later than 30 days after the event causing the assignment. Note that this form must be signed by both the assignor and assignee. In addition, the assignee must file FCC Wireless Telecommunications Bureau Ownership Form, FCC Form 602, unless current and up-to-date ownership information is already on file at the FCC.

The assignor may apply for authorization to assign either all of the facilities of a station or market or just a portion of these facilities or markets.

#### < Full Assignment of Authorization

When the authorization for all of the facilities of a station or market is assigned, this is called a *full assignment of authorization*. To apply for a full assignment, the assignor must complete the Authorizations To Be Assigned section.

#### < Partial Assignment of Authorization

When authorization for some, but not all, of the facilities of a station or market is assigned, this is called a *partial assignment of authorization*. Note the following:

If the assignment is a request to partition or disaggregate (or both) a market area in an auctionable service, also complete and attach the FCC Assignment Schedule for Auctionable Services, FCC Form 603 Schedule A; the Partition and Disaggregation Schedule, FCC Form 603, Schedule B; and, if required, the Undefined Geographic Area Schedule, FCC Form 603, Schedule C.

If the assignment is a request for a partial assignment in a site licensed service, also complete and attach the Authorizations to be Assigned or Transferred portion of FCC Form 603, using the appropriate fields to fully describe the partial assignment.

#### <Transfer of Control

A Transfer of Control must be requested from the FCC when the transfer of controlling interest in the ownership of a licensee entity to another party or parties occurs. A Transfer of Control involves a transfer of controlling interest in ownership but generally does not involve the changing of the Licensee name. Refer to the applicable FCC rules for definitions of controlling interest and ownership.

To apply for transfer of control, the licensee must use this form, FCC Form 603. The licensee must also complete and attach an FCC Wireless Telecommunications Bureau Ownership Form, FCC Form 602, with exhibits describing how the ownership structure would be changed by the transfer of control.

#### < One Hundred Eighty-Day Period

Assignments of authorization and transfers of control must be consummated within 180 days of FCC approval, unless the FCC grants an extension of time to complete a specific transaction. To request that the FCC grant an extension of time to complete the transaction, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Request for Extension of Time for Assignments of Authorization or Transfers of Control, FCC Form 603, Schedule E.

If an assignment of authorization or transfer of control is not consummated within 180 days, or within the time allowed under an extension, FCC approval of that transaction is no longer valid.

#### < Notification of Consummation

Upon consummation of any assignment of authorization, the assignee must notify the FCC of the date of consummation. Upon consummation of any transfer of control, the transferee must notify the FCC of the date of consummation. To notify the FCC that the transaction has been consummated, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Notification of Consummation of Assignment of Authorization or Transfer of Control, FCC Form 603, Schedule D.

#### **Information Current and Complete**

Information filed with the FCC must be kept current and complete. The applicant must notify the FCC regarding any substantial and significant changes in the information furnished in the application(s). See Section 1.65 of the Commission's rules.

#### **Applicable Rules and Regulations**

Applicants should obtain the relevant parts of the FCC's rules in 47 CFR. Copies of 47 CFR may be purchased from the Superintendent of Documents; Government Printing Office; Washington, DC 20402; (202) 512-1800. Refer also to the Government Printing Office's Website at http://www.access.gpo.gov. Some FCC rules require applicants to attach one or more exhibits to an application in addition to the information requested in the application form.

#### **Processing Fee and Filing Locations**

A processing fee may be required with this form. To determine the required fee amount, refer to Subpart G of Part 1 of the Code of Federal Regulations (47 CFR Part 1, Subpart G) and the current Wireless Telecommunications Bureau Fee Filing Guide **or** FCC Form 1070AT. FCC Form 1070AT provides the fee information specifically for Form 603. For assistance with fees applicable to the radio services governed by the FCC's rules, call (202) 418-0220 or 888-CALLFCC (888-225-5322). The Fee Filing Guide can be downloaded from the FCC's Internet site @ http://www.fcc.gov/fees or obtained by calling the FCC's Forms Distribution Center at (800) 418-FORM (3676).

Paper applications requiring a fee must be submitted to Federal Communications Commission, Wireless Bureau Applications, P. O. Box 358130, Pittsburgh, PA 15251-5130.

Non-feeable paper applications should be mailed to Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245. Hand-deliveries and messenger deliveries should be addressed for delivery to Federal Communications Commission, 35 York Street, Gettysburg, PA 17325.

#### **Packages**

The Main Form and the applicable schedules should be submitted as one package, stapled in the upper left corner. The Main Form should be first with the schedules attached in alphabetical order.

#### **Paper Copies**

The number of paper copies of this application required to be filed is one original. Applicants filing electronically should follow procedures contained in online help files.

#### **Exhibits**

Each document required to be filed as an exhibit should be current as of the date of filing. Each page of every exhibit must be identified with the number or letter of the exhibit, the number of the page of the exhibit, and the total number of pages of the exhibit. If material is to be incorporated by reference, see the instruction on incorporation by reference.

#### **Incorporation by Reference**

You may incorporate by reference documents, exhibits, or other lengthy showings already on file with the FCC only if: the information previously filed is more than one 8 1/2" by 11" page in length, and all information therein is current and accurate in all significant respects; the reference states specifically where the previously filed information can be found (i.e., station call sign and application file number, title of proceeding, docket number and legal citations), including exhibit and page references. Use the relevant item number followed by 'A'. Items that call for numbers, or which can be answered 'Y' or 'N' or other short answers must be answered directly without reference to a previous filing.

#### For Assistance

For assistance with this application, contact the Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245, call 888-CALLFCC (888-225-5322).

#### **Electronic Filers**

For technical assistance with filing electronically, contact the Wireless Telecommunications Bureau Technical Support line, 202-414-1250.

#### Instructions for FCC 603 Main Form

#### <Application Purpose

<u>Item 1</u> Indicate the purpose for which the application is being filed by inserting the appropriate two-letter abbreviation from the following list. (Only one purpose may be specified)

- **AA** Assignment of Authorization: To request an assignment of authorization. This purpose should only be used for initial applications.
- TC Transfer of Control: To request a transfer of control. This purpose should only be used for initial applications.
- **AM** Amendment: To amend a previously-filed, currently pending application. Use Item 2a to provide the File Number of the application. All appropriate schedules must be completed and attached, and must accurately reflect the amendment's data. See applicable Commission rules.
- **WD** Withdrawal: To withdraw a previously filed, currently pending application. Use Item 2a to provide the File Number of the application. In addition to the purpose and File Number, only the Assignor/Transferor Certification area of this form (Items 81-83) must be completed.
- **NT** Required Notifications: To notify the FCC that, within the required time period an assignment of authorization or transfer of control has been consummated, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Notification of Consummation of Assignment of Authorization or Transfer of Control, FCC Form 603, Schedule D.
- **EX** Request for Extension of Time: To request additional time to consummate an assignment of authorization or transfer of control, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Request for Extension of Time for Assignments of Authorization or Transfers of Control, FCC Form 603, Schedule E.

**NOTE:** Appropriate signatures must be provided for all purposes.

Item 2a If the filing is an amendment or withdrawal of a previously filed application, provide the File Number of the original application. This information can be obtained by contacting the FCC at 888-CALLFCC (888-225-5322).

Item 2b Enter file numbers of related pending applications currently on file with the FCC.

#### < Type of Transaction Information

<u>Item 3a</u> Enter 'Y' in this item if the application is for *pro forma* assignment of authorization or transfer of control. Also complete Item 3b. Otherwise enter 'N'.

A *pro forma* assignment of authorization is one for which the actual controlling party does not change. For example, if an authorization is assigned by one wholly-owned subsidiary of a parent corporation to another wholly-owned subsidiary of the same corporation, this would be a *pro forma* assignment of authorization.

A *pro forma* transfer of control is one for which the actual controlling party does not change. For example, a corporate restructuring that changes the chain of ownership to the controlling corporation may give rise to a *pro forma* transfer of control of a licensee.

Item 3b Enter 'Y' in this item if this is a notification of a pro forma assignment or transfer of a telecommunications license that is subject to the Commission's streamlined forbearance procedures. See Federal Communications Bar Association's Petition for Forbearance from Section 310(d) of the Communications Act Regarding Non-Substantial Assignments of Licenses and Transfers of Control Involving Telecommunications Carriers Licensed by the Wireless Telecommunications Bureau, Memorandum Opinion and Order, 13 FCC Rcd. 6293 (1998). This purpose should be selected only where the assignment or transfer is a pro forma transaction that does not require prior Commission consent. Otherwise enter 'N'. This item can be answered only if 'Y' was entered in Item 3a.

<u>Item 4</u> For Assignments of Authorization only: Enter 'Y' if this application is for a partition and/or a disaggregation of market based services. Otherwise enter 'N'. Refer to Page 3 of these instructions for detailed information regarding full and partial assignments.

<u>Item 5a</u> If the filing requests a waiver of the Commission's rules, enter 'Y' and attach an exhibit that lists the rule section(s) of the affected rules and explain the circumstances. Otherwise, enter 'N'.

Item 5b Waiver requests in certain wireless services are subject to filing fees based on the number of rules for which waiver is sought multiplied by the number of stations (call signs) affected by the waiver. If the waiver request involves a service where waivers are feeable, multiply the number of stations (call signs) by the number of rule sections. Consult the Wireless Telecommunications Bureau Fee Filing Guide or FCC Form 1070AT for information on which services have feeable waivers and the required fee amounts.

Item 6 Enter 'Y' if attachments are being filed with this application. Otherwise, enter 'N'.

Note:

Section 310(d) of the Communications Act requires the Commission to determine that the public interest, convenience, and necessity would be served by the proposed transfer or assignment. To enable the Commission to make this determination, applicants may in some instances be required to provide by means of an attachment additional information not specifically requested elsewhere on Form 603 to establish the qualifications of the assignee/transferee or to otherwise demonstrate that the proposed transaction is in the public interest. The burden is on the applicant to determine whether such additional information is necessary under Section 310(d) in light of the circumstances of the particular transaction. For example, if: 1) the application involves a non-pro forma assignment or transfer of a license in a subscriber-based service, and 2) the proposed assignee or transferee, its parent or any affiliate, directly or indirectly owns, controls, or holds an attributable interest in any other entity authorized to provide FCC-regulated subscriber-based services in a geographic area that overlaps the service area of a license that is the subject of the proposed assignment or transfer, the applicant should generally file an attachment with its Form 603 setting forth the factual circumstances and describing how the proposed transaction meets the public interest standard of Section 310(d). Applicants seeking further guidance on this issue are encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

Item 7a This item requests applicants to indicate whether the proposed transaction involves the assignment or transfer of other wireless licenses not listed on the applicant's Form 603 that are held by the assignor/transferor or by affiliates of the assignor/transferor. For this purpose, "affiliates" is defined in 47 CFR §1.2110(a)(4), and includes parents, subsidiaries, or commonly controlled entities. Where a transaction involves transfer or assignment of multiple licenses held by a common licensee, a single Form 603 should be filed whenever possible. Where a transaction involves transfer or assignment of licenses by affiliated licensees, a separate Form 603 must be filed for each affiliate, and each applicant must enter 'Y' because the transaction that is the subject of its Form 603 application also involves transfer or assignment of wireless licenses held by affiliated licensees. Enter 'N' if all of the licenses to be transferred or assigned as a result of the proposed transaction are listed on the applicant's Form 603. Affiliated applicants who seek to assign or transfer licenses as part of a common transaction are strongly encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

Item 7b This item requests applicants to indicate whether the proposed transaction will result in the assignment or transfer of non-wireless as well as wireless licenses. For this purpose, "wireless" licenses are licenses in services that are covered by Form 603, and "non-wireless" licenses are licenses in any other FCC-regulated service (e.g., broadcast) for which a form other than Form 603 is used to request Commission approval of the assignment or transfer. Enter 'Y' if the transaction that is the subject of the Form 603 application also involves transfer or assignment of non-wireless licenses for which Commission approval is required (regardless of whether the applicant has filed the relevant form(s) with respect to such non-wireless licenses). Enter 'N' if all of the licenses to be transferred or assigned as a result of the proposed transaction are wireless licenses listed on Form 603. Applicants who seek to assign or transfer both wireless and non-wireless licenses are strongly encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

#### <Transaction Information

<u>Item 8</u> This item indicates how the assignment of authorization or transfer of control is to be accomplished. If the facilities are to be sold, or the stock of the licensee or the entity controlling the licensee is to be assigned or transferred to another entity, answer this item 'S'. If the assignment of authorization or transfer of control is to be accomplished by court order, answer this item 'C'. If 'O' is indicated, the assignor or transferor must attach an exhibit that explains how the transfer is to be accomplished.

Item 9 This item indicates whether the assignment of authorization or transfer of control is voluntary or involuntary.

#### <Licensee/Assignor Information

Items 10 through 21 identify the person or entity that is applying to assign the authorizations or the licensee in a transfer of control.

Item 10 This item is mandatory. You must enter the licensee/assignor's ten-digit FCC Registration Number (FRN) assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <a href="http://www.fcc.gov">http://www.fcc.gov</a> or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a> or by calling 800-418-FORM (3676).

Items 11-19 Complete either Item 11 or Item 12, depending on whether the licensee/assignor is an individual or company, respectively. You may enter a post office box number in Item 14 or a street address in Item 15, or enter information for both items. Enter the city, state, and zip code in Items 16, 17, and 18, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 19.

Items 20 and 21 Enter the applicant's fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

#### < Ethnicity, Race, and Gender question for Assignor/Licensee (Optional)

Item 22 The information is optional and is requested for informational purposes only. Responses to this item will in no way affect processing of applications.

#### <Transferor Information (for transfers of control only)</p>

Items 23 through 33 identify the person or entity that is applying for consent to transfer control of the license.

Item 23 This item is mandatory. You must enter the transferor's ten-digit FCC Registration Number (FRN) assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <a href="http://www.fcc.gov">http://www.fcc.gov</a> or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a> or by calling 800-418-FORM (3676).

Items 24-31 Complete either Item 24 or Item 25, depending on whether the transferor is an individual or company, respectively. You may enter a post office box number in Item 26 or a street address in Item 27, or enter information for both items. Enter the city, state, and zip code in Items 28, 29, and 30, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 31.

Items 32 and 33 Enter the applicant's fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

#### < Name of Transferor Contact Representative

Items 34-43 These items identify the contact representative for the transferor, if different from the transferor. This is usually the headquarters office of a large company, the law firm or other representative of the assignee, or the person or company that prepared or submitted the application on behalf of the assignee. If there is a question about the application, an FCC representative will communicate with the assignee's contact representative.

If this section is used, a name (Item 34) and telephone number (Item 41) are required at a minimum. If the address items are completed, you may enter a post office box number in Item 36 or a street address in Item 37, or enter information for both items.

#### < Assignee/Transferee Information

Items 44 through 58 identify the person or entity that is applying to become or control the licensee of the authorizations listed in this application. For an assignment of authorization, the information provided in these items will become the licensee's name, address, and telephone number of record, and the authorization will be sent to this address. The assignee is the party that will become the new licensee if the application is granted. In an application for consent to transfer control of the license, the transferee is the party that will have controlling interest in ownership of the license.

<u>Item 44</u> This item indicates the legal entity type of the applicant, whether assignee or transferee. Enter 'I' for Individual, 'U' for Unincorporated Association, 'T' for Trust, 'G' for Government Entity, 'J' for Joint Venture, 'C' for Corporation, 'L' for Limited Liability Corporation, 'P' for Partnership, or 'O' for Consortium.

<u>Item 45</u> This item is mandatory. You must enter the assignee/transferee's ten-digit FCC Registration Number (FRN) assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <a href="http://www.fcc.gov">http://www.fcc.gov</a> or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a> or by calling 800-418-FORM (3676).

Items 46-47 Complete either Item 46 or Item 47, depending on whether the licensee/transferee is an individual or company, respectively.

Item 48 For assignments of authorization only: Assignees must identify a real party (parties) in interest if different from the applicant. If the applicant is also the real party in interest, enter the applicant's name in this item. If a party other than the applicant is the real party in interest (e.g., a parent or other controlling entity), enter that party's name in this item. If there is more than one real party in interest, attach an exhibit detailing all parties in interest.

The Real Party in Interest is defined as a person who "has an ownership interest, or will be in a position to actually or potentially control the operation of the station". Astroline Communications Company Limited Partner v. FCC, 857 F.2d 1556, 1564 (D.C. Cir. 1988); see also In Re Applications of Georgia Public Telecommunications Commission, et al., MM Docket No. 89-337, 7 FCC Rcd 7996 (rel. Dec. 9, 1992); In Re Applications of Madalina Broadcasting, et al., MM Docket No. 91-100, 8 FCC Rcd 6344 (rel. September 3, 1993).

<u>Item 49</u> For assignments of authorization only: For individuals, enter the Social Security Number (SSN) as the Taxpayer Identification Number. For all other filers, enter the Employer Identification Number (EIN). This data is required to comply with the Debt Collection Improvement Act of 1996. This information will not be made available for public inspection.

Items 50-56 Enter the name, address, and telephone number of the person to whom the FCC should send correspondence. You may enter a post office box number in Item 51 or a street address in Item 52, or enter information for both items. Enter the city, state, and zip code in Items 53, 54, and 55, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 56.

Items 57 and 58 Enter the assignee/transferee fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

#### <Assignee/Transferee Contact Representative

Items 59-68 These items identify the contact representative for the assignee/transferee, if different from the assignee/transferee. This is usually the headquarters office of a large company, the law firm or other representative of the assignee/transferee, or the person or company that prepared or submitted the application on behalf of the assignee/transferee. If there is a question about the application, an FCC representative will communicate with the assignee's/transferee's contact representative.

If this section is used, a name (Item 59) and telephone number (Item 66) are required at a minimum. If the address items are completed, you may enter a post office box number in Item 61 or a street address in Item 62, or enter information for both items.

#### < Alien Ownership Questions

These items enable the FCC to determine whether an applicant is eligible under Section 310(a) and (b) of the Communications Act of 1934, as amended, to hold or have ownership interest in a station license. Assignees/transferees are required to answer these questions only if they are filing Form 603 for one of the following purposes indicated in Item 1: Assignment of Authorization or Transfer of Control. Assignees/transferees using Form 603 for any other purpose are not required to answer these questions.

<u>Item 69</u> All assignees/transferees filing Form 603 for one of the purposes indicated above must answer Item 69. The FCC cannot grant an authorization to a foreign government or the representative of a foreign government. Therefore, if the true and correct answer to Item 69 is 'Yes', the assignee/transferee is not eligible for a license and the FCC will dismiss the application, if filed, without further consideration.

<u>Items 70-73</u> Only applicants to provide or have ownership interest in common carrier services must answer Items 70-73. The FCC cannot grant an authorization to provide common carrier service to any applicant for which the true and correct answer to any of Items 70, 71, or 72 is 'Yes'. The FCC will dismiss the application, if filed, without further consideration.

If the true and correct answer to Item 73 is 'Yes', the assignee/transferee must attach an exhibit explaining the circumstances. In the exhibit, the assignee/transferee must demonstrate how allowing the assignee/transferee to hold or have ownership interest in the requested license is consistent with the Commission's policies pursuant to Section 310(b)(4) of the Communications Act of 1934. In general, the Commission has indicated that there is a strong presumption that indirect foreign ownership of common carrier radio licensees by entities whose home markets are in countries that are members of the World Trade Organization (WTO) serves the public interest. If more than 25 percent of the ownership of an entity that controls a common carrier radio licensee is attributable to parties whose home markets are in non-WTO member countries, the Commission will evaluate whether those markets offer effective competitive opportunities to U.S. investors in the same service sector. See Rules and Policies on Foreign Participation in the U.S. Telecommunications Market, I Docket No. 97-142, Report and Order and Order on Reconsideration, FCC 97-398, 12 FCC Rcd 23,891, ¶¶ 97-118, 131 (1997).

#### < Basic Qualification Questions

Items 74-77 These items enable the FCC to determine whether an assignee/transferee is eligible under Section 310(a) and (b) of the Communications Act of 1934, as amended, to hold or have ownership interest in a station license. Assignees/transferees are required to answer these questions only if they are filing Form 603 for one of the following purposes indicated in Item 1: Assignment of Authorization or Transfer of Control. Assignees/transferees using Form 603 for any other purpose are not required to answer these questions. If the answer to any one or more of Items 74-77 is 'Yes', attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the assignee/transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct. If the assignee or transferee responds 'Yes' to any question and has previously provided a statement and explanation regarding the circumstances as an attachment to a prior application filed in ULS, and the facts and circumstances are unchanged, the applicant may refer to the previous application by identifying the application file number and indicating the disposition of the prior application.

#### < Ethnicity, Race, and Gender question for Assignee/Transferee (Optional)

<u>Item 78</u> The information is optional and is requested for informational purposes only. Responses to this item will in no way affect processing of applications.

#### <Fee Status

<u>Items 79-80</u> These items allow the applicant to apply for exemption from FCC application fees and regulatory fees. See the Wireless Telecommunications Bureau Fee Filing Guide, FCC Form 1070AT or call the Consumer and Governmental Affairs Bureau at 888-CALLFCC (888-225-5322).

#### < Assignor/Transferor Certification Statements

By signing this form, the assignor/transferor certifies that the statements listed in this section are true, complete, correct, and made in good faith.

Items 81-83 These items must be completed. To be acceptable for filing, applications and amendments must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable. For filers filing electronically via ULS, the electronic signature shall consist of the name of the applicant typed on the application as a signature.

#### < Assignee/Transferee Certification Statements

By signing this form, the assignee/transferee certifies that the statements listed in this section are true, complete, correct, and made in good faith.

Items 84-86 These items must be completed. To be acceptable for filing, applications and amendments must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable. For filers filing electronically via ULS, the electronic signature shall consist of the name of the applicant typed on the application as a signature.

#### < Authorizations To Be Assigned

If this is an application for full assignment of authorizations, use this section to identify the authorizations to be assigned (complete only Items 87 (Call Sign), 88 (Radio Service) and 93 (Constructed)). Complete at least one entry of this section and use a separate entry for each authorization. If necessary, attach additional copies to list more authorizations.

If this is an application for a partial assignment of authorization in a site-licensed service, use this section to identify the authorizations to be assigned (complete Items 87-93, as appropriate). Complete at least one entry of this section and use a separate entry for each authorization. If necessary, attach additional copies to list more authorizations.

Item 87 In this column list the Call Signs of the authorizations to be assigned. Call signs are located on FCC Authorizations.

Note:

Assigning call signs without specifying which specific locations, paths (Microwave Services only), or frequencies are to be assigned for that call sign will result in all locations, paths, and frequencies for that call sign being assigned. Use Item 89 to specify which (if any) specific locations are to be assigned. Use Item 90 to specify which (if any) specific paths (Microwave Services only) are to be assigned. Use Items 91 and 92 to specify which (if any) specific frequencies are to be assigned.

Item 88 In this column list the Radio Service of the Call Sign authorized to be assigned.

Item 89 In this item list the location numbers to be assigned. Location numbers are located on FCC Authorizations.

Note:

Assigning locations without specifying which specific frequencies are to be assigned for that location will result in all frequencies at that location being assigned. Use Items 91 and 92 to specify which (if any) specific frequencies are to be assigned.

Item 90 In this item list the path numbers to be assigned (Microwave Services only). Path numbers are located on FCC Authorizations.

**Note:** Assigning paths without specifying which specific frequencies are to be assigned for that path will result in all frequencies on that path being assigned. Use Items 91 and 92 to specify which (if any) specific frequencies are to be assigned.

<u>Item 91 and 92</u> In this item list the frequencies, in Megahertz (MHz), to be assigned. Enter the center frequency, or the lower frequency of a frequency band, in Item 91. If applicable, enter the upper frequency of a frequency band in Item 92. Frequencies are located on FCC Authorizations.

Item 93 This column indicates whether the facilities listed have been constructed.

# Instructions for Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services, FCC Form 603, Schedule A

FCC Form 603, Schedule A, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. Complete this schedule when the authorizations to be assigned or transferred are geographically licensed services and have been won in an FCC auction by either the assignor or a previous owner (assignments of authorization) or by the licensee (transfers of control).

#### < Assignee Eligibility for Installment Payments

<u>Item 1</u> Refer to the appropriate FCC Auction rules and to the FCC's rules in Title 47 of the Code of Federal Regulations (47 CFR). If the assignee is claiming the same category or a smaller category of eligibility for installment payments, enter 'Y', then answer the additional question about installment payments.

#### < Gross Revenues and Total Assets Information

<u>Item 2</u> Refer to the FCC's rules in 47 CFR. If gross revenues and total assets information is required, 47 CFR describes the methods by which this information should be computed.

#### < Certifications

By signing the Main Form, FCC Form 603, the assignee certifies that the applicable statements listed in this section are true, complete, correct, and made in good faith.

#### <Licensee Eligibility

Item 4 Refer to the appropriate FCC Auction rules and to the FCC's rules in Title 47 of the Code of Federal Regulations (47 CFR). If the licensee must, as a result of the transfer of control, now claim a larger or higher category of eligibility for installment payments, enter 'Y' and enter the new category of eligibility.

#### < Certifications

By signing the main form, FCC Form 603, the transferee certifies that the statement listed in this section is true, complete, correct, and made in good faith.

# Instructions for Partition and Disaggregation Schedule FCC Form 603, Schedule B

FCC Form 603, Schedule B, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. Use this schedule to identify the portions of a market that are to be assigned. Make additional copies of this schedule, if necessary. The assigned portions can comprise a portion of the market area or the spectrum authorized within the market, or both.

If the market is to be partitioned, complete Items 2-4 as applicable. If the market is to be disaggregated, complete Item 5. If the market is to be both partitioned and disaggregated, complete all items as applicable.

Item 1 Enter the Call Sign of the station that is to be partitioned or disaggregated (or both).

#### < Geographic Area Partitioned

<u>Item 2</u> If the area to be partitioned is defined according to the FCC rules, enter its description under Item 2. To obtain a list and description of defined areas, refer to the FCC Public Notice for the auction.

In some cases, more than one geographic area exists with the same name in the same state (*i.e.*, an independent city with the same name as a county in the same state). To partition these independent cities, you must enter its 5 digit FIPS code (2-digit state FIPS code + 3-digit county FIPS code). For example, in Virginia there is a Fairfax County and a Fairfax City. Fairfax City is an independent city and is not affiliated with Fairfax County. To partition the city of Fairfax, you must enter its FIPS code, 51600, as shown in the table below. To partition the county of Fairfax, you could enter its FIPS code or 'Fairfax, VA'. For a listing of FIPS codes, refer to "www.census.gov/datamap/fipslist/AllSt.txt".

INDEPENDENT CITY NAME	FIPS CODE
Baltimore MD	24510
St. Louis, MO	29510
Bedford, VA	51515
Fairfax, VA	51600
Franklin, VA	51620
Richmond, VA	51760
Roanoke, VA	51770

<u>Item 3</u> If the area to be partitioned is undefined, describe its periphery by completing the Undefined Geographic Area Schedule, FCC Form 603, Schedule C. Assign a unique number to each copy of Schedule C that is filed. Enter this number in both the Number field at the top of Schedule C and the Schedule C Attached field of Item 3 on Schedule B.

<u>Item 4</u> Enter the population of the partitioned area.

#### <Spectrum Disaggregated</p>

<u>Item 5</u> Authorized spectrum blocks can be disaggregated by assigning one or more frequency blocks. To assign a frequency, enter its lower value in MHz in the Lower Frequency field and enter its upper value in MHz in the Upper Frequency field. In this table, complete as many entries as you need to define the disaggregation completely.

#### < Coverage Requirements - Partitioning

Item 6 Check the box that indicates which coverage requirements have been agreed upon by the partitioner and partitionee.

#### < Coverage Requirements - Disaggregation

Item 7 Check the box that indicates which coverage requirements have been agreed upon by the disaggregator and disaggregatee.

# Instructions for Undefined Geographical Area Partitioning Schedule FCC Form 603, Schedule C

FCC Form 603, Schedule C, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. This schedule must be completed and attached if an undefined area is to be partitioned, as specified by Item 3 on the Partition and Disaggregation Schedule, FCC Form 603, Schedule B. Complete and attach a copy of Schedule C for *each* Call Sign that is being partitioned or disaggregated. If multiple undefined areas are requested, *each* undefined area should have a corresponding Schedule C.

This schedule further defines geographic areas that have not been defined by the FCC. It is used to enter the coordinates of locations that describe the periphery of a geographic area to be partitioned. Specifically, enter up to 120 pairs of latitude/longitude coordinates, one pair for every 3 degrees azimuth around the periphery. Coordinates of the specified locations must be referenced to the North American Datum of 1983 (NAD83).

Note:

If the area is a defined geographic shape, the applicant can provide fewer than 120 coordinates, in which case the FCC will assume that these coordinate points are joined by straight lines. For example, a rectangle can be described by four coordinates, a hexagon by six coordinates, and so on.

Number: At the top of this schedule, enter the same number that has been specified in Item 3 of the Partition and Disaggregation Schedule, FCC Form 603, Schedule B.

<u>Latitude:</u> In this column, enter the latitudes of locations using the format *DD-MM-SS.S-D*, where the degrees (*DD*) term can have a value in the range of 0 to 90, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either N for North or S for South.

<u>Longitude:</u> In this column, enter the corresponding longitudes of locations using the format *DDD-MM-SS.S-D*, where the degrees (*DDD*) term can have a value in the range of 0 to 180, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either E for East or W for West.

## Appendix II

### **STATE TABLE**

### Abbreviations for States, Jurisdictions, and Areas

AL AZ AR CO CT DC FL GM HID IL IN IN KY A MD MA	Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Gulf of Mexico Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts	NJM NY ND OK OR PA SD TX TVT WW WIY SG II	New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah Vermont Virginia Washington West Virginia Wisconsin Wyoming American Samoa
LA	Louisiana	WI	Wisconsin
MD MA	Maryland Massachusetts	AS GU	American Samoa Guam
MI MN MS	Michigan Minnesota Mississippi	UM MP PR	Midway Island Northern Mariana Islands Puerto Rico
MO MT NE NV NH	Missouri Montana Nebraska Nevada New Hampshire	VI UM	Virgin Islands Wake Islands

FCC 603 Main Form

# FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control

Approved by OMB 3060 - 0800 See instructions for public burden estimate

1) Application Purpose (Select only one) (	)						pub	iic buiu	en estimate
AA - Assignment of Authorization TC - Transfer of Control WD - Withdra					otification (for Consu Extension of Time (				
2a) If this request is for an Amendment or Withdrawa on file with the FCC.	al, enter	the Fil	e Numl	oer of the	pending application	currently	File Number	r:	
2b) File numbers of related pending applications curr	rently or	n file w	ith the f	FCC:			l		
Type of Transaction									
3a) Is this a <i>pro forma</i> assignment of authorization o	r transfe	er of co	ntrol?				(	) <u>Y</u> es	. <u>N</u> o
3b) If the answer to Item 3a is 'yes', is this a notificat Commission's forbearance procedures for telec					peing filed under the		(	) <u>Y</u> (	es <u>N</u> o
4) For assignments of authorization only, is this a p	artition a	and/or	disagg	regation?			(	) <u>Y</u> es	<u> </u>
5a) Does this filing request a waiver of the Commiss If 'Yes', attach an exhibit providing rule numbers			g circur	mstances			(	) <u>Y</u> es	. <u>N</u> о
5b) If a feeable waiver request is attached, multiply sections and enter the results.	the num	ber of	stations	s (call sig	ns) times the number	r of the rule			
6) Are attachments being filed with this application?							(	) <u><b>Y</b></u> es	<u>N</u> o
7a) Does the transaction that is the subject of this applicenses held by the assignor/transferor or affiliate or commonly controlled entities) that are not include	es of the	assigr	nor/tran	sferor (e.	g., parents, subsidiar	ies,	(	) <u>Y</u> es	<u>N</u> o
7b) Does the transaction that is the subject of this approximation non-wireless licenses that are not included on this						ed?	(	) <u>Y</u> es	<u>N</u> o
Transaction Information									
8) How will the assignment of authorization or transfe	er of con	trol be	accom	plished?	Select One: ( )				
<u>S</u> ale or other assignment or transfer of stock <u>C</u> our	t Order								
Other (voting trust agreement, management contra	act, etc.)	:							
If required by applicable rule, attach as an exhibit a pertinent contracts, agreements, instruments, certi	a statem fied copi	ent on ies of (	how co	ontrol is to	be assigned or tran	sferred, along v	with copies of	any	
9) The assignment of authorization or transfer of cont	trol of lic	ense is	s:				( ) <u>V</u> oluntary	y <u>I</u> nv	oluntary
Licensee/Assignor Information									
10) FCC Registration Number (FRN):									
11) First Name (if individual):			MI:	Last Na	ime:				Suffix:
12) Entity Name (if not an individual):									
13) Attention To:									
14) P.O. Box:	And /Or	15) \$	Street A	Address:					
16) City:	<u> </u>				17) State:	18) Zip	o Code:		
19) Telephone Number:				20) FAX	Number:	I			
21) E-Mail Address:									

22) <b>Race, E</b>	thnicity, and Gender of As	ssignor/Li	cense	e (Opti	ional	):					
Race:	American Indian or Alaska Native:	Asian:			Blac	ck or Africa erican:	an-	Native Hawaiia Pacific Islande		White:	
Ethnicity:	Hispanic or Latino:	Not Hisp Latino:	anic or								
Gender:	Female:	Male:									
Transferor l	Information (for transfers of	f control o	nly)		J						
23) FCC Re	gistration Number (FRN):										
24) First Name (if individual):					MI:	Last Na	ıme:				Suffix:
25) Entity Na	ame (if other than individual):										
26) P.O. Bo	x:		And /Or	27) S	treet /	Address:					
28) City:							29) Sta	te:	30) Zip Coo	de:	
31) Telepho	one Number:					32) FAX	( Number:				
33) E-Mail	Address:				•						
Name of Tra	ansferor Contact Represe	ntative (if	other t	han Tra	ansfe	ror) (for	transfers	s of control only)			
34) First Na	me:				MI:	Last Na	ıme:				Suffix:
35) Compai	ny Name:										
36) P.O. Bo	x:		And /Or	37) S	treet /	Address:					
38) City:						39) State: 40) Zip Code:					
41) Telepho	one Number:					42) FAX Number:					
43) E-Mail A	Address:				<u>.</u>						
Assignee/T	ransferee Information										
44) Assigne	e is a(n): ( ) <u>I</u> ndividual <u>C</u> orporati			orated <i>i</i>			<u>T</u> rust <u>P</u> artr		rnment Entity ortium	<u>J</u> oint	Venture
45) FCC Re	gistration Number (FRN):										
46) First Nar	me (if individual):				MI:	Last Na	ıme:				Suffix:
47) Entity Na	ame (if other than individual):				<u> </u>	1					
48) Name of Real Party in Interest:							49	) Taxpayer Identif	ication Numbe	er:	
50) Attentio	n To:						<u> </u>				

51) F	P.O. Box:	And /Or	52) St	) Street Address:						
53) (	City:					54) State:	55) Zip Code:			
56)	Telephone Number:				57) FAX	( Number:				
58) I	E-Mail Address:									
Name	e of Assignee/Transferee Contact Represe	entativ	e (if oth	er th	nan Assig	nee/Transferee)				
59) First Name: MI: Last Name:							Suffix	:		
60) (	Company Name:		•		•					
61) F	P.O. Box:	And /Or	62) St	reet	Address:					
63) (	City:					64) State:	65) Zip Code:			
66)	Telephone Number:				67) FAX	( Number:				
68) I	E-Mail Address:			U						
Alien	Ownership Questions									
69) I	Is the Assignee or Transferee a foreign governme	nt or the	e represe	entat	ive of any	foreign government?		(	) <u>Y</u> es	<u>N</u> o
70) I	Is the Assignee or Transferee an alien or the repre	esentati	ve of an	alier	1?			(	) <u>Y</u> es	<u>N</u> o
71) I	Is the Assignee or Transferee a corporation organ	ized un	der the la	aws	of any fore	eign government?		(	) <u>Y</u> es	<u>N</u> o
ĺ	Is the Assignee or Transferee a corporation of whi voted by aliens or their representatives or by a forogranized under the laws of a foreign country?					•		(	) <u>Y</u> es	<u>N</u> o
(	Is the Assignee or Transferee directly or indirectly of the capital stock is owned of record or voted by or representative thereof, or by any corporation or If 'Yes', attach exhibit explaining nature and exte	aliens, ganized	their rep I under th	rese ne la	ntatives, o ws of a for	r by a foreign government reign country?	e-fourth	(	) <u>Y</u> es	<u>N</u> o
Basic	Qualification Questions									
·	Has the Assignee or Transferee or any party to thi construction permit revoked or had any application license, construction permit denied by the Commis If 'Yes', attach exhibit explaining circumstances	n for an ssion?						(	) <u>Y</u> es	<u>N</u> o
, A	Has the Assignee or Transferee or any party to thi Assignee or Transferee, or any party to this applic If 'Yes', attach exhibit explaining circumstances.							(	) <u>Y</u> es	<u>N</u> o
i	76) Has any court finally adjudged the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition?  If 'Yes', attach exhibit explaining circumstances.					) <u>Y</u> es	<u>N</u> o			
í	( ) <u>Yes No</u> in any pending matter referred to in the preceding two items?  If 'Yes', attach exhibit explaining circumstances.							<u>N</u> o		

'8) <b>Race, E</b>	thnicity, and Gender of A	ssignee/Transferee	(Optio	nal):				
Race:	American Indian or Alaska Native:	Asian:		ack or African- nerican:	Native Hawaiian or Other Pacific Islander:	White:		
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:						
Gender:	Female:	Male:						
ee Status 79) Is the a	pplicant exempt from FCC appl	ication fees?				(	<u>)<b>Y</b></u> es	<u>N</u> o
80) Is the a	pplicant exempt from FCC regu	latory fees?				(	) <u>Y</u> es	<u>N</u> o
Assignor/T	ransferor Certification Sta	tements						
the	Federal Communications Commissification procedures for <i>pro forma</i> as	ion has been given, or (2)	that prior	Commission consent	ontrol of the license will not be transfe is not required because the transaction See Memorandum Opinion and Orde	on is subject	to strea	mlined
,	Assignor or Transferor certifies that part of this application, and are true				attachments, or documents incorporate	ed by referen	ice are n	nateria
Typed or	Printed Name of Party Aut	horized to Sign						
81) First Na	me:		MI:	Last Name:		Suf	fix:	
82) Title:								
Signature:					83	3) Date:		

Assignee/Transferee Certification Statements The Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for pro forma assignments and transfers by telecommunications carriers. See Memorandum Opinion and Order, 13 FCC Rcd. 6293 (1998). The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application. The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule.\* \*If the applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request. The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment. The Assignee or Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith. The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.

Typed or Printed Name of Party Authorized to Sign

with this application, or (3) is not required to file Form 602 under the Commission's rules.

	Typed or Printed Name of Party Authorized to Sign								
1	84) First Name:	MI:	Last Name:		Suffix:				
I	,								
Į									
I	85) Title:								
I									
l									
1	Signature:			86) Dat	te:				
I				1					
I				l					
1	WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S.								
I	Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section								
I	312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).								
ı	0:=(4)(1)), 7::12:011:011:011=(0:0:000; 1:::0:11; 0:00:0:11								

The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously

**Authorizations To Be Assigned or Transferred** 

87) Call Sign	88) Radio Service	89) Location Number	90) Path Number (Microwave only)	91) Lower or Center Frequency (MHz)	92) Upper Frequency (MHz)	93) Constructed <u>Y</u> es / <u>N</u> o

#### FCC 603 Schedule A

## Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services

Approved by OMB 3060 - 0800 See instructions for public burden estimate

#### **Assignments of Authorization**

Assignee Eligibility for Installment Payments (for assignments of authorization only)			
Is the Assignee claiming the same category or a smaller category of eligibility for installment payments as the Assignor (as determined by the applicable rules governing the licenses issued to the Assignor)?	(	) <u>Y</u> es	<u>N</u> o
If 'Yes', is the Assignee applying for installment payments?	(	) <u>Y</u> es	<u>N</u> o

2) **Gross Revenues and Total Assets Information** (if required) (for assignments of authorization only) Refer to applicable auction rules for method to determine required gross revenues and total assets information

Year 1 Gross Revenues (current)	Year 2 Gross Revenues	Year 3 Gross Revenues	Total Assets:

#### 3) Certification Statements

#### For Assignees Claiming Eligibility as an Entrepreneur Under the General Rule

Assignee certifies that they are eligible to obtain the licenses for which they apply.

#### For Assignees Claiming Eligibility as a Publicly Traded Corporation

Assignee certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

#### For Assignees Claiming Eligibility Using a Control Group Structure

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

## For Assignees Claiming Eligibility as a Very Small Business, Very Small Business Consortium, Small Business, or as a Small Business Consortium

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

#### For Assignees Claiming Eligibility as a Rural Telephone Company

Assignee certifies that they meet the definition of a Rural Telephone Company as set out in the applicable FCC rules, and must disclose all parties to agreement(s) to partition licenses won in this auction. See applicable FCC rules.

#### **Transfers of Control**

#### 4) Licensee Eligibility (for transfers of control)

As a result of the transfer of control, must the licensee now claim a larger or higher category of eligibility than was originally declared?	(	) <u>Y</u> es	<u>N</u> o
If 'Yes', the new category of eligibility of the licensee is:			

#### Certification Statement for Transferees

Transferee certifies that the answers provided in Item 4 are true and correct.

#### FCC 603 Schedule B

## Partition and Disaggregation Schedule

Approved by OMB 3060 - 0800 See instructions for public burden estimate

1) Partitioner/Disag	ggregator Call Sign:						
Geographic Area Pa	rtitioned						
Defined	2) Area to be itioned	Undefined Area (Complete undefin	3)  to be Partitioned ned geographic area hment)	4) Population of Partitioned Area			
		Schedule C # Atta	ached:				
5) <b>Spectrum Disagg</b>	regated (in MHZ)						
Spectrum D	Disaggregated	Spectrum D	isaggregated	Spectrum Dis	saggregated		
Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency		
_							
6) Coverage Require	ements - Partitioning						
		ertify that they will be subj	ect to the same coverage	e requirements for their resp	pective partitioned		
	ner certifies that the 5 year for the entire license area.		nave been or will be met a	and that the 10 year coverage	ge requirements must		
	nee certifies that only the s the 10 year license term.	substantial service require	ment for renewal expecta	ncy for the partitioned area	must be met by the		
7) Coverage Require	ements - Disaggregati	ion					
	regator and Disaggregatee ments for the entire license		aggregator will maintain re	esponsibility for meeting the	e applicable coverage		
	Option 2 Disaggregator and Disaggregatee each certify that the Disaggregatee will maintain responsibility for meeting the applicable coverage requirements for the entire license area.						

)Option 3 Disaggregator and Disaggregatee each certify that they will share responsibility for meeting the applicable coverage requirements for the entire license area.

#### FCC 603 Schedule C Number: \_\_\_\_

### **Undefined Geographical Area Partitioning Schedule**

Approved by OMB 3060 - 0800 See instructions for public burden estimate

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

Latitude (DD-MM-SS.S-D)	ea, complete up to 120 Latitude/Longitude (DDD-MM-SS.S-D)	Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)
1.		31.	
2.		32.	
3.		33.	
4.		34.	
5.		35.	
6.		36.	
7.		37.	
8.		38.	
9.		39.	
10.		40.	
11.		41.	
12.		42.	
13.		43.	
14.		44.	
15.		45.	
16.		46.	
17.		47.	
18.		48.	
19.		49.	
20.		50.	
21.		51.	
22.		52.	
23.		53.	
24.		54.	
25.		55.	
26.		56.	
27.		57.	
28.		58.	
29.		59.	
30.		60.	

#### FCC 603 Schedule C Number: \_\_\_\_\_

#### **Undefined Geographical Area Partitioning Schedule**

Approved by OMB 3060 - 0800 See instructions for public burden estimate

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)	Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)
61.		91.	
62.		92.	
63.		93.	
64.		94.	
65.		95.	
66.		96.	
67.		97.	
68.		98.	
69.		99.	
70.		100.	
71.		101.	
72.		102.	
73.		103.	
74.		104.	
75.		105.	
76.		106.	
77.		107.	
78.		108.	
79.		109.	
80.		110.	
81.		111.	
82.		112.	
83.		113.	
84.		114.	
85.		115.	
86.		116.	
87.		117.	
88.		118.	
89.		119.	
90.		120.	

FCC 603 Schedule D

## Schedule for Notification of Consummation of Assignment of Authorization or Transfer of Control

Approved by OMB 3060 - 0800 See Main Form instructions for public burden estimate

for public burden estimate

Notification of Consummation of Assignment of Authorization or Transfer of Control					
File Number of Assignment of Authorization or Transfer of Control:		Date of Consummation:			
	Do not separate form from	instructions - Send in Entire page			
FCC 603 Schedule D			Approved by OMB 3060 - 0800 See Main Form instructions		

Form FCC 603 Schedule D is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to notify the FCC that, within the required time period, either an assignment of authorization or transfer of control has been consummated. The FCC 603 Main Form must be filed in conjunction with this schedule.

## Schedule D Instructions

In this section, enter the file number of the application for Assignment of Authorization or Transfer of Control, and the date that the transaction was consummated.

FCC 603 Schedule E

# Schedule for Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control

Approved by OMB 3060 - 0800 See Main Form instructions for public burden estimate

Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control				
File Number of Assignment of Authorization or Transfer of Control:	New Consummation expiration date requested:			
Attach exhibit describing circumstances/justification.				

Do not separate form from instructions - Send in Entire page

FCC 603 Schedule E Schedule for Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control Approved by OMB 3060 - 0800 See Main Form Instructions for public burden estimate

Form FCC 603, Schedule E, is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to request additional time to consummate an assignment of authorization or transfer of control. The FCC 603 Main Form must be filed in conjunction with this schedule.

#### Schedule E Instructions

Enter the file number of the application for Assignment of Authorization or Transfer of Control, and the requested expiration date for the consummation. You must also attach an exhibit describing the circumstances and justification for this extension of time request.