## **INSTRUCTIONS FOR FCC 382**

## DIGITAL CHANNEL ELECTION FOR TELEVISION BROADCAST STATION: FIRST ROUND ELECTION

#### GENERAL INSTRUCTIONS

- A. This FCC Form is to be used by full power TV broadcast licensees and permittees (hereinafter referred to collectively as "licensees") participating in the channel election process in order to identify an in-core Digital Television (DTV) Channel for post-transition DTV operation. Specifically, the purpose of this FCC Form 382, First Round Election Form, is for all licensees currently assigned at least one incore channel (*i.e.*, channels 2-51) to make a channel election for their final DTV operation. A separate form should be filed for every full power TV broadcast station either licensed or with a construction permit. No fee is required for submission of this form.
- B. This FCC Form must be filed by [60 days from publication of the 2<sup>nd</sup> DTV Periodic Review R&O in the FR insert date.] All TV broadcast licensees required to participate in the first round of elections of the digital channel election process must file this FCC Form. Licensees that do not submit this FCC Form by the deadline will be assigned a channel by the Commission for post-transition DTV operation. (Such assignment may be made at the end of the channel election process.)
- C. This form makes references to FCC rules. Licensees should have on hand and be familiar with current broadcast rules in Title 47 of the Code of Federal Regulations (C.F.R.) Part 73 "Television Broadcast Services".

FCC Rules may be purchased from the Government Printing Office. Current prices may be obtained from the GPO Customer Service Desk at (202) 512-1803. For payment by credit card, call (202) 512-1800, M-F, 8 a.m. to 4 p.m. e.s.t; facsimile orders may be placed by dialing (202) 518-2233, 24 hours a day. Payment by check may be made to the Superintendent of Documents, Attn: New Orders, P.O. Box 371954, Pittsburgh, PA 15250-7954.

- D. The licensee must ensure that the Facility ID Number, Call Sign, and the Channel information are accurate.
- E. This form must be filed electronically through the Media Bureau's Consolidated Database System (CDBS) online electronic forms system. Instructions for use of the electronic filing system are available in the CDBS User's Guide, which can be accessed from the electronic filing

web site at: <u>http://www.fcc.gov/mb/elecfile.html</u>. For assistance with electronic filing, call the Video Services Division Help Desk at 418-2662.

- F. The licensee must sign the form. Depending on the nature of the licensee, the form should be signed as follows: if a sole proprietorship, personally; if a partnership, by a general partner; if a corporation, by an officer; for an unincorporated association, by a member who is an officer; if a governmental entity, by such duly elected or appointed official as is competent under the laws of the particular jurisdiction. Counsel may sign the form for his or her client, but only in cases of the licensee's disability or absence from the United States. *See* 47 C.F.R. § 73.3513. Because the form is filed electronically, the signature will consist of the electronic equivalent of the typed name of the individual. *See* Report and Order in MM Docket No. 98-43, 13 FCC Rcd 23056, 23064 (1998).
- G. The licensee should provide all information requested by this form. Defective or incomplete forms will not be accepted. Inadvertently accepted forms are also subject to dismissal. *See* 47 C.F.R. § 73.3564(b).

#### INSTRUCTIONS FOR SECTION I: GENERAL INFORMATION

#### Licensee/Permittee Information.

1. <u>Item 1: Licensee or Permittee Name.</u> The name of the licensee or permittee must be stated exactly in Item 1. If the licensee is a corporation, the exact corporate name; if a partnership, the name under which the partnership does business; if an unincorporated association, the name of an executive officer, his/her office, and the name of the association; and, if an individual applicant, the person's full legal name.

Licensees should use only those state abbreviations approved by the U.S. Postal Service.

#### **Station/Facility Information.**

2. <u>Item 2: FCC Registration Number (FRN).</u> To comply with the Debt Collection Improvement Act of 1996, the licensee must enter its FRN number, a ten-digit unique entity identifier for anyone doing business with the Commission. The FRN can be obtained through the FCC webpage at <u>http://www.fcc.gov</u> or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from <u>http://www.fcc.gov/formpage.</u> <u>html</u> or by calling 1-800-418-3676. Questions concerning the FCC Registration Number can be directed to the Commission's Registration System help desk at <u>http://www.CORES@fcc.gov</u> or by calling 1-877-480-3201.

<u>Call Sign.</u> Licensee must enter the current call sign for its NTSC (analog) station or, if the licensee does not have an NTSC station, for its DTV (digital) station.

<u>Facility ID Number.</u> TV Facility ID Numbers can be obtained at the FCC's Internet Website at <u>www.fcc.gov/mb</u>. Once at this website, scroll down and select CDBS Public Access. You can obtain your TV Facility ID Number by calling: (202) 418-1600. Further, the Facility ID Number is now included on all TV authorizations and postcards. In addition, provide the name of the community of license and the state where the station is providing service.

<u>Community of License.</u> Licensee must provide the City and State for its station's community of license.

3. <u>Item 3: Currently Assigned Channels (Digital and Analog).</u> For "3.a.," stations must indicate the number of their currently assigned DTV channel. For "3.b.," stations must indicate the number of their currently assigned NTSC (analog) channel. Single channel broadcasters should indicate "Not Applicable," where appropriate.

#### **Contact Information.**

4. **Item 4: Contact Representative**. If the licensee is represented by a third party (for example, legal counsel), that person's name, firm or company, mailing address and telephone/electronic mail address may be specified here.

#### Purpose of Form.

5. **Item 5: Purpose of filing**. Licensees making a channel election must select "5.a." Licensees filing an amendment to their channel election must select "5.b." This FCC Form, whether as a channel election or amendment, will not be accepted after the filing deadline.

#### INSTRUCTIONS FOR SECTION II: CHANNEL ELECTION

## First Round Channel Election.

1. <u>Item 1: Channel Election.</u> Licensees currently assigned an in-core channel (*i.e.*, licensees now assigned at least one channel between 2-51) have up to three choices. The licensee may (a) elect a channel from its currently assigned (DTV or NTSC) in-core channels; (b) elect a negotiated

channel pursuant to an agreement with another licensee(s); or (c) (for certain licensees only) make no election at this time and instead elect to participate in the second round of elections. If making an election, licensee must input its desired channel number, where indicated.

*Two-in-core licensees*. All licensees currently assigned two in-core channels (*i.e.*, licensees now assigned a DTV and NTSC channel in 2 through 51) must make a channel election in this round to indicate which of their currently assigned in-core channels (DTV or NTSC) they elect to use for post-transition DTV operations. Two-in-core licensees electing one of their assigned channels must select "1.a." Two-in-core licensees may also make a channel election through a proposed negotiated channel election arrangement. These licensees must select "1.b." Posttransition rights to in-core channel(s) assigned to the licensee for this station but not elected will be released if the Commission tentatively designates the channel elected.

Two-in-core licensees with two currently assigned low VHF channels (*i.e.*, licensees now assigned a DTV and NTSC channel in 2 through 6) may decline to elect either of their assigned in-core channels and choose to make no election at this time. Such low VHF licensees must indicate that they make no channel election in the first round by selecting "1.c." By doing so, low VHF licensees immediately release post-transition rights to both of their low VHF channels for this station in order to participate in the second round of elections.

One-in-core licensees. Licensees currently assigned one in-core channel (i.e., licensees now assigned either a DTV or NTSC channel in 2 through 51) must elect in this round whether to use their in-core channel for their final DTV operation. One-in-core licensees electing their assigned incore channel must select "1.a." One-in-core licensees may also make a channel election through a proposed negotiated channel election arrangement. These licensees must select "1.b." Post-transition rights to the licensee's currently assigned in-core channel for this station will be released if the Commission tentatively designates the channel elected. One-in-core licensees may decline to elect their assigned in-core channel and choose to make no election at this time. Such licensees must indicate that they make no channel election by selecting "1.c." By doing so, these licensees immediately release post-transition rights to their assigned in-core channel for this station in order to participate in the second round of elections.

*Negotiated Channel Election Arrangements.* Licensees making a channel election pursuant to a Negotiated Channel Election Arrangement must choose "1.b." on the form. Licensees must accordingly indicate the negotiated channel number on the form. Note that the negotiated channel election is valid only upon Commission approval.

Licensees must also complete Schedule A for negotiated channel election arrangements. Licensees participating in a negotiated channel election arrangement must provide on Schedule A the name(s) and call sign(s) of all parties (licensees/permittees) to the negotiated arrangement. Submission of Schedule A constitutes certification that all parties listed have agreed to the negotiated channel election arrangement. The Commission may request submission of a copy of the Negotiated Channel Election Arrangement signed by all parties, if needed. The Commission will review the negotiated arrangement for anti-competitive effects. The negotiated arrangement must also comply with 47 C.F.R. § 73.623(g). All parties to the Negotiated Channel Election Arrangement must supply their proposed facility's engineering information upon request.

The licensee must indicate an alternate channel number to be elected for its final DTV operation in case its negotiated channel election arrangement is not approved by the Commission.

*Pending Channel Change Requests.* Licensees for which the Commission has issued a Notice of Proposed Rulemaking with respect to a channel change request may elect the new channel proposed in the NPRM.

#### **International Coordination.**

2. Item 2: International Coordination Pending Issue. Licensee must answer whether it is electing a channel that is subject to a pending international coordination issue. This is <u>not</u> a broad inquiry as to whether the channel is subject to coordination, but rather a narrow question to determine whether an international coordination issue is preventing the licensee from obtaining its desired facilities. If yes, licensee must attach as an Exhibit to this form an explanation about its pending international coordination issue.

# FCC NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT AND THE PAPERWORK REDUCTION ACT

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information provided in the form to determine the ultimate channel to be awarded for post-transition DTV operation. If we believe there may be a violation or potential violation of a FCC statute, regulation, rule or order, your form may be referred to the Federal, state or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your form may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; (b) any employee of the FCC; or (c) the United States

Government is a party to a proceeding before the body or has an interest in the proceeding. In addition, all information provided in this form will be available for public inspection.

If you owe a past due debt to the federal government, any information you provide may also be disclosed to the Department of Treasury Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized.

If you file an incomplete form, the form may be returned without action having been taken upon it. Your response is required to participate in the digital channel election process.

We have estimated that each response to this collection of information will take from **minutes to hours**. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Paperwork Reduction Project (3060-####), Washington, DC 20554. We will also accept your comments via the Internet if you send them to Leslie.Smith@fcc.gov. Please DO NOT SEND COMPLETED FORMS TO THIS ADDRESS. Remember - you are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-####.

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31, 1974, 5 U.S.C. 552a(e)(3), AND THE PAPERWORK REDUCTION ACT OF 1995, P.L. 104-13, OCTOBER 1, 1995, 44 U.S.C. 3507.

## **INSTRUCTIONS FOR FCC 382 SCHEDULE A**

## SCHEDULE A FOR NEGOTIATED CHANNEL ELECTION ARRANGEMENTS:

#### SCHEDULE A INSTRUCTIONS

- A. This Schedule A is to be used with FCC Form 382, the Digital Channel Election for Television Broadcast Station: First Round Election. Complete this Schedule if involved in a negotiated channel election arrangement.
- B. Submission of this Schedule constitutes certifications that all parties listed in Item 1 have agreed to the negotiated channel election arrangement.
- 1. <u>Item 1: List of Parties to Arrangement</u>. Licensee/permittee must provide the name(s), call sign(s) and facility ID number(s) of all parties involved in the negotiated channel election arrangement.
- 2. <u>Item 2: Additional Submissions</u>. Licensee/permittee must provide a copy of the Negotiated Channel Election Arrangement and/or engineering information to the FCC upon request.

<u>NOTE</u>: The negotiated channel election is valid only upon Commission approval. The Commission will review the negotiated arrangement for anti-competitive effects and significant levels of interference that would affect service to viewers. The negotiated arrangement must also comply with 47 C.F.R. § 73.623(g).

See the FCC Form 382 Main Form Instructions for public burden estimate.

## **FCC 382**

## **DIGITAL CHANNEL ELECTION FORM** FIRST ROUND ELECTION Must Be Filed by \_\_\_\_\_[DATE]

## PLEASE READ INSTRUCTIONS BEFORE **COMPLETING THIS FORM**

## **SECTION I - GENERAL INFORMATION**

Licensee/Permittee Information

FOR FCC	
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FOR COMMISSION USE ONLY FILE NO.

1.	Legal Name of the Licensee/Permittee						
	Mailing Address						
	City	Stat	ate or Country (if foreign address) ZIP Code				
	Telephone Number (include area code)		E-Mail Address (if available)				

#### **Station/Facility Information**

2.	FCC Registration Number			
	Call Sign Facility ID Nu		mber	
	Community of License: City		State	

## 3. Currently Assigned Channels:

a.	DTV Channel		Not Applicable
b.	NTSC Channel		Not Applicable

## **Contact Information (if different from licensee/permittee)**

Contact Representative	Firm or Company Name			
Mailing Address				
City State		te or Country (if foreign address) ZIP Code		
Telephone Number (include area code)		E-Mail Address (if availab	ole)	
	Mailing Address	Mailing Address City State o	Mailing Address       City       State or Country (if foreign address)	

## **Purpose of Form:**

a.

5. The purpose of the channel election process is for television broadcast licensees and permittees to select an in-core channel (i.e., channels 2-51) for their post-transition DTV operation. The purpose of the First Round Election Form is for all licensees/permittees currently assigned at least one in-core channel to make a channel election. (SELECT ONE)

## SECTION II -- CHANNEL ELECTION

All broadcast television licensees and permittees participating in the digital channel election process are required to file a channel election form. Licensees/Permittees that do not submit a channel election form by the deadline on page one will be assigned a channel by the Commission for post-transition DTVoperation.

## **First Round Channel Election:**

## 1. Channel Election: (SELECT ONE)

a.		Licensee/permittee makes the following channel election from its currently assigned (DTV or NTSC) channels:
		(indicate in-core channel number for final DTV operation here).
		NOTE: Post-transition rights to other in-core channel(s) currently assigned to the licensee/permittee for this station are released upon licensee/permittee receipt of a Commission tentative channel designation.
b.		Licensee/permitteee has entered into a Negotiated Channel Election Arrangement and, accordingly, makes the following channel election, subject to Commission approval:
		(indicate in-core channel number for final DTV operation here).
		Licensee/permittee must complete <u>Schedule A</u> .
		If the Commission does not approve licensee/permittee's negotiated channel election arrangement, licensee/permittee makes the following channel election from its currently assigned (DTV or NTSC) channels:
		(indicate alternate in-core channel number for final DTV operation here).
		NOTE: Post-transition rights to other in-core channel(s) currently assigned to the licensee/permittee for this station are released upon licensee/permittee receipt of a Commission tentative channel designation.
c.		Licensee/permittee makes no channel election; accordingly, licensee/permittee elects to participate in the second round of elections. This option may be selected only by licensees/permittees with only one in-core channel or with two low VHF channels (2-6).
		NOTE: Post-transition rights to in-core channel(s) currently assigned to the licensee/permittee for this station are released.
Interna	tional Coo	rdination:
<b>2.</b> Is the	e licensee/pe	rmittee electing a channel that is subject to a pending YES NO

If yes, licensee/permittee must attach an explanation as an  $\underline{\text{Exhibit}}$  to this form.

international coordination issue?

YES	N
Exhibit No.	

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## SECTION III

I certify that the statements in this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge that all certifications and attached Exhibits are considered material representations. I hereby waive any claim to the use of any particular frequency as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this election form. (See Section 304 of the Communications Act of 1934, as amended.)

Typed or Printed Name of Person Signing	Typed or Printed Title of Person Signing
Signature	Date

WILLFUL FALSE STATEMENTS ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001), AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. CODE, TITLE 47, SECTION 312(a)(1)), AND/OR FORFEITURE (U.S. CODE, TITLE 47, SECTION 503).

## FCC 382

## ELECTION FORM SCHEDULE A

## SCHEDULE FOR NEGOTIATED CHANNEL ELECTION

Licensees/permittees involved in a negotiated channel election arrangement must complete this Schedule. The purpose of this Schedule is for licensees/permittees to provide details concerning their negotiated channel election arrangements.

**1.** Licensee/permittee has entered into a negotiated channel election arrangement with the following licensee(s)/permittee(s): (provide name(s) and call sign(s) as necessary)

	Licensee/Permittee Name	Call Sign	Facility I.D. No.
a.			
b.			
c.			
d.			
e.			
f.			
g.			

2. Licensee/permittee must provide a copy of the Negotiated Channel Election Arrangement and/or engineering information to the FCC upon request.