

U.S. Department of the Interior

CERTIFICATION REGARDING PROPOSAL SUBMISSION

The Recipient certifies that this agreement is for the support and stimulation of a recipient's project and that the request for financial assistance and related proposal have not been submitted in response to a request from the Government to undertake work to support a specific Government need.

CERTIFICATION REGARDING LOBBYING

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit a Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with instructions.

Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CERTIFICATION REGARDING CONFLICT OF INTEREST

The recipient certifies that there are no relevant facts or circumstances which could give rise to either an individual or organizational conflict of interest. Such conflict of interest could involve such things as Government employees assisting in the preparation of the proposal or Government employees being associated with or a member of the requesting organization and being in a position to influence the awarding of a grant or cooperative agreement. The recipient agrees that if an actual or potential conflict of interest is discovered the recipient shall make a full disclosure in writing to the Contracting Officer. This disclosure shall include a description of actions which the recipient has taken or proposes to take, after consultation with the Contracting Officer, to avoid, mitigate or neutralize the actual or potential conflict.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY & VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

1. The prospective lower tier participant certifies, by submission of proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

DRUG-FREE WORKPLACE REQUIREMENTS

NOTE: This certification is a material representation of fact upon which reliance was placed when the agency determined to award the agreement. If it is later determined that the recipient knowingly rendered a false certification or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

FOR RECIPIENTS OTHER THAN INDIVIDUALS, ALTERNATE I APPLIES
FOR RECIPIENT WHO ARE INDIVIDUALS, ALTERNATE II APPLIES

ALTERNATE I

A. The grantee/cooperator certifies that it will provide a drug-free workplace:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing a drug-free awareness program to inform employees about
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace
 - (3) Any available drug counselling, rehab, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violation occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the agreement be given a copy of the statement required by paragraph(a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- (e) Notifying the agency within 10 days after receiving notice under subparagraph (d)(2) from an employee

otherwise receiving actual notice of such conviction;

(f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted-

or

- (1) Taking appropriate personnel action against such an employee, up to and including termination;
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehab program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs(a),(b),(c),(e) and (f).

B. The grantee shall insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state & zip)

ALTERNATE II

The Grantee/Cooperator certifies that as a condition of the agreement, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance in conducting any activity with the Agreement.

CERTIFICATION: THE ABOVE CERTIFICATIONS ARE TRUE TO THE BEST OF MY KNOWLEDGE.

NAME & TITLE OF AUTHORIZED REPRESENTATIVE

Taxpayer Identification Number or Social Security Number: _____

SIGNATURE _____

DATE _____