RURAL UTILITIES SERVICE

Distance Learning and Telemedicine Program Grant Application Guide—Toolkit Fiscal Year 2004 Forms, Worksheets and Certifications

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Application Resources & Tips

- **APPLICATION GUIDE:** Please read and follow the *Distance Learning and Telemedicine Program Grant Application Guide—Fiscal Year 2004* as you fill out the forms, worksheets and certifications in this Toolkit.
- AS YOU FILL OUT OR SIGN EACH OF THE TOOLKIT ITEMS, place them under the tabs of your grant application as explained by Section V, "Putting It All Together," of the Grant Application Guide.
- **REGULATIONS:** Keep in mind that the Program's regulations govern the application process. Please refer to them, as they also govern the *Guide* and this Toolkit. The Program's regulations are part of the Code of Federal Regulations, **7 CFR 1703**, **Subparts D**, **E**, **F and GS**. You can find a copy of the regulations at the Distance Learning and Telemedicine (DLT) Branch Web page listed below.
- CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) Number: 10.855

• ONLINE RESOURCES

DLT Branch Web page	http//www.usda.gov/rus/telecom/dlt/dlt.htm
RUS Telecommunications	http://www.usda.gov/rus/telecom/staff/gfr-by-state-list.htm
General Field	
Representatives	
USDA Rural Development	http://www.rurdev.usda.gov/recd_map.html
State Directors	
EZ/EC/Champion	www.ezec.gov
Community Resources	http://www.ezec.gov/Communit/ruralezec.html
	http://www.ezec.gov/Communit/champions.html
ARC Resources	http://www.arc.gov (list of counties under "Appalachian
	Region" heading; ARC State Program Managers and States
	in the Region under "About ARC" heading)
State Single Points of	http://www.whitehouse.gov/omb/grants/spoc.html
Contact (SPOC)—	
Intergovernmental Review	
Grants.gov Information	http://www.grants/gov
Get a DUNs Number	http://www.grants.gov/RequestaDUNS

• DLT BRANCH: (202)720-0413 dltinfo@usda.gov

APPLICATION FOR					Version 7/03
FEDERAL ASSISTANC	E	2. DATE SUBMITTED		Applicant Iden	tifier
1. TYPE OF SUBMISSION: Application	Pre-application	3. DATE RECEIVED BY	STATE	State Applicati	ion Identifier
Construction	☐ Construction	4. DATE RECEIVED BY	FEDERAL AGE	NCY Federal Identif	fier
☐ Non-Construction 5. APPLICANT INFORMATIO	☐ Non-Construction				
Legal Name:	••		Organizationa	Il Unit:	
			Department:		
Organizational DUNS:			Division:		
Address: Street:					rson to be contacted on matters
Sireei.			Prefix:	First Name:	a code)
City:			Middle Name	<u> </u>	
County:			Last Name		
State:	Zip Code		Suffix:		
Country:	•		Email:		
6. EMPLOYER IDENTIFICATI	ON NUMBER (EIN):		Phone Number	r (give area code)	Fax Number (give area code)
8. TYPE OF APPLICATION:			7. TYPE OF A	PPLICANT: (See back	k of form for Application Types)
☐ Ne f Revision, enter appropriate le See back of form for description	etter(s) in box(es)	n Revision	Other (specify)		
Other (specify)			9. NAME OF F	EDERAL AGENCY:	
TITLE (Name of Program): 12. AREAS AFFECTED BY P	ROJECT (Cities, Counties	s, States, etc.):			
13. PROPOSED PROJECT			14 CONGRES	SIONAL DISTRICTS	OF:
Start Date:	Ending Date:		a. Applicant	DIGITAL DIGITATORS	b. Project
15. ESTIMATED FUNDING:			16. IS APPLIC	ATION SUBJECT TO	REVIEW BY STATE EXECUTIVE
a. Federal	\$	00	ORDER 12372		I/APPLICATION WAS MADE
	\$		a. Yes. \square AV		ATE EXECUTIVE ORDER 12372
c. State	\$	00	DA	ATE:	
d. Local	\$.00	b. No. \square PR	ROGRAM IS NOT COV	'ERED BY E. O. 12372
e. Other	\$.00	☐ OF		T BEEN SELECTED BY STATE
f. Program Income	\$.00		OR REVIEW PLICANT DELINQUE	NT ON ANY FEDERAL DEBT?
g. TOTAL	\$.00	☐ Yes If "Yes"	" attach an explanation	ı. 🗆 No
18. TO THE BEST OF MY KN DOCUMENT HAS BEEN DUL' ATTACHED ASSURANCES IF	Y AUTHORIZED BY THE	GOVERNING BODY OF	PLICATION/PRE THE APPLICAN	APPLICATION ARE T T AND THE APPLICA	RUE AND CORRECT. THE NT WILL COMPLY WITH THE
a. Authorized Representative				h	
Prefix	First Name			Middle Name	
Last Name				Suffix	
o. Title				c. Telephone Number	(give area code)
d. Signature of Authorized Rep	resentative			e. Date Signed	

Previous Edition Usable Authorized for Local Reproduction Standard Form 424 (Rev.9-2003) Prescribed by OMB Circular A-102

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).
3.	State use only (if applicable).	13	Enter the proposed start date and end date of the project.
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, email and fax of the person to contact on matters related to this application.		Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.
7.	Select the appropriate letter in the space provided. A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School District State Controlled Institution of Higher Learning Learning Learning Linstitution of Higher Learning Le	17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
8.	Select the type from the following list: "New" means a new assistance award. "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter: A. Increase Award C. Increase Duration D. Decrease Duration	18	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)
9.	Name of Federal agency from which assistance is being requested with this application.		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.		

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Objective Scoring Worksheet

RURALITY	Total number of points from Rural Calculation Table. (Page T5 of the Toolkit.)	(up to 45 pts.)
NATIONAL SCHOOL LUNCH PROGRAM (NSLP)	Average NSLP percentage from NSLP Calculation Table:%. (Page T6 of the Toolkit.) Assign the appropriate number of points based on the NSLP average for the project: Average NSLP % Points Less than 25% Opts. Greater than or = 25%, but less than 50% 15 pts. Greater than or = 75% 35 pts.	pts (up to 35 pts.)
LEVERAGING	Place leveraging documentation indicating available matching funds that will be used for approved purposes of the project under <i>Tab R</i> of your application. Total RUS financial assistance:	pts (up to 35 pts.)
EZ/EC AND CHAMPION COMMUNITY PARTICIPATION	Award 10 points if at least 1 end-user site is in an EZ/EC . Award 5 points if at least 1 end-user site is in a Champion Community . List locations and provide supporting evidence under <i>Tab R</i> of your application.	pts (up to 15 pts.)

Rural Calculation Table

Use the following guidelines to determine the rurality of each end-user site.

Rurality Description	Points
(Based on 2000 Census Data)	Awarded
Exceptionally Rural Area—Any area of the United States not included	
within the boundaries of any incorporated or unincorporated city, village,	45
or borough having a population in excess of 5,000 inhabitants.	
Rural Area —Any area of the United States included within the boundaries of	
any incorporated or unincorporated city, village, or borough having a	30
population over 5,000 and not in excess of 10,000 inhabitants.	
Mid-Rural Area—Any area of the United States included within the	
boundaries of any incorporated or unincorporated city, village, or borough	15
having a population over 10,000 and not in excess of 20,000	13
inhabitants.	
Urban Area —Any area of the United States included within the boundaries	
of any incorporated or unincorporated city, village, or borough having a	0
population in excess of 20,000 inhabitants.	

Assign points to each end-user site based the above guidelines listed above. If a hub also serves as an end-user site, the hub will be considered as an end-user site for the rurality criterion.

End-User Site Location	Rurality (Exceptionally Rural, Rural, Mid-Rural, or Urban)	<u>Points</u>
1.		
2.		
3.		
4.		
5.		
Average score of all end-user sites (Total Poi	ints / # of end-user sites)	

Note: This table is representative of the information required and does not restrict you to only 5 sites. For more than 5 sites, make a similar table that will contain all proposed sites.

National School Lunch Program Calculation Table

Use the following guidelines to determine the applicable average National School Lunch Program (NSLP) eligibility percentage for the proposed project.

- 1. Public schools or non-profit private schools of high school grade or below should use the actual eligibility percentage for that particular school.
- 2. Schools and institutions of higher learning ineligible to participate in the NSLP, and non-school end-user sites (medical facilities, libraries, etc.), should use the eligibility percentage of all students in the school district where the end-user is located.

Based on the above guidelines, provide the applicable percentage of students <u>eligible</u> for free or reduced-price lunch (<u>may be different from the actual participation percentage</u>) under the NSLP in the locations of the end-user sites comprising the project.

End- User Site #	Specific Location (city, village, town, etc.) Coun	County & State	NSLP Information Total Number of Students, % of Those Students Eligible, Information Source*		
			#	%	Source
1					
2					
3					,
4					
5					

*Include the name of state or local organization administering the program as well as the name, title, and phone number of person providing the information.

Equal Opportunity and Nondiscrimination Certification

All grants made under 7 CFR 1703 are subject to the nondiscrimination provisions of Title VI of the Civil Rights Act of 1964, as amended, (7 CFR 15); Section 504 of the Rehabilitation Act of 1973, as amended, (29 U.S.C. 901 *et seq.*; 7 CFR 15b); and the Age Discrimination of 1975, as amended (42 U.S.C. 6101 *et seq.*; 45 CFR 90), and as amended by Executive Order 11375 Amending Executive Order 11246, Relating to Equal Employment Opportunity (3 CFR, 1966, 1970 Comp., p. 684).

As a prospective primary participant recipient of financial assistance from RUS, this organization commits to carry out RUS' established policy to comply with the requirements of the above laws and executive orders to the effect that no person in the United States shall, "on the basis of race, color, national origin, handicap, or age, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under the RUS Distance Learning and Telemedicine Loan and Grant Programs."

The(Grantee)				
hereby certifies that, as a prospective recipient under the said Distance Learning and				
Telemedicine Loan and Grant Program, regulations and Executive Orders.	, it will comply with the above referenced laws,			
	Signature			
	Type or Print Name			

Certificate Regarding Architectural Barriers

All facilities financed with RUS grants that are open to the public, or in which physically handicapped persons may be employed or reside, must be designed, constructed, and/or altered to be readily accessible to and usable by handicapped persons. Standards for these facilities must comply with the Architectural Barriers Act of 1968, as amended (42 U.S.C. 4151 *et seq.*), and with the Uniform Federal Accessibility Standards (UFAS), (Appendix A to 41 CFR subpart 101-19.6).

As a prospective primary participant recipient of financial assistance from RUS, this organization commits to carry out RUS' established policy to comply with the requirements of the above referenced law to the effect that all facilities must be readily accessible to and usable by handicapped persons.				
	(Grantee) hereby certifies, that, as a tearning and Telemedicine Grant and Loan Program, it upon completion of the project, with the above			
Date	Signature			
	Type or Print Name			

Certificate Regarding Flood Hazard Area Precautions

In accordance with 7 CFR 1788, if the project is in an area subject to flooding, flood insurance must be provided to the extent available and required under the National Flood Insurance Act of 1968, as amended by the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. 4001-4128). If applicable, the insurance must cover, in addition to the buildings, any machinery, equipment, fixtures, and furnishings contained in the buildings. RUS will comply with Executive Order 11988, Floodplain Management (3 CFR, 1977 Comp., p. 117), and 7 CFR 1794.41, of this chapter in considering the application for the project.

Please check the appropriate line below:	
a) The project is not located in a 100-required.	year flood plain; therefore, no Flood Insurance is
b) The project is located in a 100-yea provided by:	r flood plain and the required insurance is or will be
	Learning and Telemedicine Loan and Grant Program, it during construction and/or installation of equipment
	Signature
	Type or Print Name
	Title

Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 Certification

Гhe	(Grantee) assures
1 0	Relocation Assistance and Real Property Acquisition s amended, 42 U.S.C. 4601-4655, and with implementing 7 CFR 21.
Specifically, thehat:	(Grantee) assures
Whenever Federal financial assistance project which will result in the dis	ance is used to pay for any part of the cost of a program or placement of any person;
	payments and assistance shall be provided to or for ce with sections 202, 203, and 204 of the Uniform
(b) Relocation assistance programs Uniform Act shall be provided	s offering the services described in section 205 of the to displaced persons, and
	time prior to displacement, comparable replacement displaced persons in accordance with section 205(c)
Date	Signature of President or Authorized Official of Ultimate Recipient

Certification Regarding Drug-Free Workplace Requirements for Grantees Other than Individuals

This certification is required by the regulations implementing Sections 5151-5160 of the Drug-Free Workplace Act of 1988 (P.L. 100-690, Title V, Subtitle D; 41 U.S.C. 701 *et seq.*), 7 CFR 3017.600.

- A. The grantee certifies that it will or will continue to provide a drug-free workplace by:
 - (a) Publishing a statement notifying employees that unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - (b) Establishing an ongoing drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than 5 calendar days after such conviction;
 - (e) Notifying the Agency in writing, within 10 calendar days after receiving notice under subparagraph (d) (2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

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- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance:				
Street Address		City		
County	 State	Zip Code		
		•		
Check if there are workpla	aces on file that are not	identified here.		
	Organization Name			
Name and Title of Authoriz	ed Representative			

(This is not an official Government form. It has been prepared to assist and expedite the application process and is only intended for use in the Distance Learning and Telemedicine Loan and Grant Program.)

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Certification Regarding Debarment, Suspension, and Other Responsibility Matters—Primary Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR 3017.510.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this

ertification, such prospective participant shall att	ach an explanation to this proposal.
Organization Name	
Name and Title of Authorized Representative	
Signature	

Certification Regarding Lobbying for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. (Copies of this form may be obtained from RUS.)
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization Name	
me and Title of Authorized Representative	
	Date

Non-Duplication of Services Certificate

Environmental Impact Certification

Environmental	Project Summary:	
---------------	------------------	--

(This description should encompass all construction in the project, no matter the source of funding. It should provide details of how the project will affect the environment (wetlands, farmlands, floodplain, cultural environment, endangered species, environmental quality, and historic preservation). If additional space is needed, continue on white bond paper and attach to this certification.)

CERTIFICATION I hereby certify that the constru	action proposed in this application will not adversely
impact the environment or historic pre	
	(Signature and Date)
	(Print or Type Title)

Federal Obligations Certification on Delinquent Debt

	llowing statement and certification of the Rural Utilities Service.	-	your application of	currently on file
IS THE	APPLICANT DELINQUENT ON ANY F	TEDERAL DEBT?	YES	NO
Note:	Examples of debts include, but a direct government loans (more the debts.		_ , _	
If Yes	, attach explanatory information.			
<u>FEDE</u>	RAL COLLECTION POLICIES	FOR COMMERCI	AL DEBT	
event (1) Re and pe addition (4) Of debt to income	ederal Government is authorized by that a borrower's loan payments becomen the borrower's delinquent accommand the charges for the period of time onal administrative costs incurred by fiset amounts owed to the borrower up the Internal Revenue Service for othe tax refund; (6) Refer the borrower at due; and (7) Refer the borrower's curts.	come delinquent or the unt to a credit bureau that payment is not not the Government to sander other Federal parties offset against any among saccount to a private	e borrower default (2) Assess additi- nade; (3) Assess cl- ervice the borrow- rograms; (5) Refer- unt owed to the bo- e collection agency	ts on its loan: onal interest harges to cover er's account; the borrower's orrower as an y to collect the
	these actions can and will be used to interest of the Government to do so.	•	wed when it is det	ermined to be
<u>Certif</u>	<u>ication</u>			
	read and understand the actions o meet my scheduled payments in nents.			
	Date			Signature
				Company

(This is not an official Government form. It has been prepared to assist and expedite the application process and is only intended for use in the Distance Learning and Telemedicine Loan and Grant Program.)

Title