

5 SEARCH FOR A PROCEEDING HISTORY

A Docket History is the chronological listing of all documents received or issued by the Commission in a particular proceeding. The documents listed in the History are routine filings. They may be unrestricted or restricted for viewing purposes, and they also may be confidential, or “Not Available for Public Inspection.” Docket Histories were historically typewritten on index cards. The Cards summarizing the histories of proceedings for the period 1934 through 1984 are available for inspection in the Reference Information Center, CY-A257, 445 12th Street, SW, Washington, DC (The Portals).

The ECFS History for several proceedings lists a document type called “Other.” When “Other History Cards” is the document type on a History, it is an indication that an index card was scanned into the ECFS system and is available as an image. When “Other History Cards” is the document type, you may view the entire record by going to the query form to search for filed comments. The records will also indicate two other Docket History document subsets of “Other.” A second subset of “Other” is called “History.”

The “History” subset of “Other” indicates a history which was initiated before 1992 and was formerly known as “Pre-RIPS” before the ECFS system was put into production. These have been scanned into ECFS. The Document type for these histories is shown as “Other History.” These records may also be viewed by navigating to the query form, and searching for filed comments.

To search for ECFS Proceeding History Reports click on the [Search for a Proceeding History](#) link located in the **ECFS Main Links** section.


5.1 LOCATING A PROCEEDING NUMBER

If you do not know the proceeding number, you can locate a proceeding number in just a few minutes from the **ECFS Proceeding History Report** page.

1. Click on the [Proceeding](#) link in field #1.

The system will navigate you to a search screen to locate a docket or RM number. Follow the directions provided after accessing the **Search for Proceedings** screen.

2. You may confirm the accuracy of the Docket or RM number. Type in a numeral, RM, or a combination of both that represents the number of the targeted proceeding (e.g. 99, 217, RM-88, etc.)

 By typing an asterisk (*) in the box, the system will retrieve all proceedings stored in ECFS. You may search the list to determine the subject by using the **Find In Page** Search window from the toolbar. Select the drop down menu from **Edit**.


3. Click the “Search For Proceedings” button.

The system will display all dockets and RMs associated with the data entered in Step 2. The docket/RM numbers will be represented as a link and will include a description of each. Browse through the list to locate your desired proceeding.

4. Click on the desired proceeding link.

5.2 RETRIEVING PROCEEDING HISTORY REPORTS

1. Click in the first text box and type in the docket or rulemaking number. Enter only the digits for a docketed proceeding, i.e. 00-221. For a Rulemaking Number use the uppercase RM- followed by the digits, i.e. RM-9920.
2. Click the “Retrieve” button. The system will list all records for the proceeding in a result set.

 Generally the Viewing Status indicated for each document listed on the history is Unrestricted (10). However, you will also find Viewing Status for documents as Correspondence (20), Confidential (30), and Sunshine (40). The confidential filings (30) are not available for public inspection.

-OR-

3. If you are interested in a particular date range, tab to the second text box and enter a start date and tab to the third text box and enter an ending date. Enter the date as specified (mm/dd/yyyy). Then click the “Retrieve” button. The system will list the number of records for that date range found of the total number of records for the proceeding.

The result set will include all consolidated proceedings. If a docket is associated with a RM and that docket is queried, the result set will display document information for both the docket and the consolidated RMs.

If a query is performed on a closed and consolidated RM, the system will inform the user that the RM is close and any docket(s) under which it was consolidated will be listed.