DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE
WASHINGTON, D.C. 20224

APR 2 2 2003

MEMORANDUM FOR   Jack Barry, Chief
E-Learning Service and Support
M:I:W:E

FROM: Charlene W. Thomas   *Charlene W. Thomas*
Acting Privacy Advocate CL:PA

SUBJECT: ELS-RD
Privacy Impact Assessment (PIA)

The Office of the Privacy Advocate has reviewed the Privacy Impact Assessment for the ELS-RD. Based on the information you provided, we do not have any privacy concerns that would preclude this system from operating. However, a revised PIA is required when considering any future upgrades or modifications to the system.

We will forward a copy of the PIA to the Information Technology Services Security and Certification Program Office to be included in the Security Accreditation Package for formal acceptance for operation. The Office of Security Evaluation and Oversight, which has security oversight responsibility, may request information concerning the statements contained in the PIA to ascertain compliance with applicable requirements.

If you have any questions, please contact me at 202-927-5170 or Priscilla Hopkins at 202-927-9758.

Attachment

cc: Director, Information Technology Services Security and Certification
Program Office M:S:C:C
Director, Office of Security Evaluation and Oversight M:S:S

MEMORANDUM FOR MARGARET ANN IRVING
PRIVACY ADVOCATE CL:PA

FROM: Jack Barry, Chief, E-Learning Services and Support (ELS),Austin
                  Campus, Web Services M:I:W:E

SUBJECT: Request for Privacy Impact Assessment (PIA) –
                  ELS-RD2

Name of Request Contact:
        Name: Linda Buckner
        Organization Name & Symbols: E-Learning Services and Support (ELS), Austin
        Campus, M:I:W:
        Mailing Address: IRS, 3651 South IH 35, Stop 6211 AUSC, Austin, TX 78741
        Phone Number (with area code): 512-460-8636

Name of Business System Owner: (Operating or Functional Div; Modernization or Shared
Services)
        Name: Jimi Stricklin
        Organization Name & Symbols: Chief, Web Services M:I:W
        Mailing Address: New Carrollton Federal Building 5000 Ellin Road
        Lanham, MD 20706
        Phone Number (with area code): 202-622-0879

Requested Operational Date: July 15, 2002

Category:
        New: _____
        Existing System:
                Recertification, (with no change, date of last certification)_____
                With Significant Modification: ____X_____
                National Standard Application (NSA): _____
        Modernization Project or System:
                Check Milestone: one___ two___ three___ four ___ five ___

System of Record Number(s) (SORN) #:
Treasury/IRS 38.001 General Training Records
Treasury/IRS 34.037 Audit Trail & Security Records
Privacy Act Notification - Privacy Act Handbook Chapter 5

Purpose of the System: The purpose of this server is to be backup domain controller of user
accounts.

Data in the System

1. Describe the information (data elements and fields) available in the system in the following categories:
 	
   A. Taxpayer
   B. Employee
   C. Audit Trail Information (including employee log-in info)
   D. Other (Describe)
	A. No taxpayer data will be maintained.
	B. None
	C. The Audit Trail information elements gathered are:
	     Account Logon – Failed Attempts
	     Logon – Failed Attempts
	D. The ELS-RD and RD2 servers will keep information on user accounts created by users and/or system administrators. RD is the primary domain controller and RD2 is the backup domain controller.

2. Describe/identify which data elements are obtained from files, databases, individuals, or any other sources.
 	
   A. IRS
   B. Taxpayer
   C. Employee
   D. Other Federal Agencies (List agency)
   E. State and Local Agencies (List agency)
   F. Other third party sources (Describe)
	A. None
	B. None
	C. None
	D. None
	E. None
	F. None

3. Is each data item required for the business purpose of the system? Explain.	Yes, the information required for logging on to the server for developing, reviewing or testing content.
4. How will each data item be verified for accuracy, timeliness, and completeness?	NA
5. Is there another source for the data? Explain how that source is or is not used.	No. Individuals are responsible for the accuracy of **their own** data they use to create an account.
6. Generally, how will data be retrieved by the user?	Each employee can only access their account by login and password.

| 7. Is the data retrievable by a personal identifier such as name, SSN, or other unique identifier? | Yes, To access content a user must have a profile that includes login and password. |

Access to the Data

8. Who will have access to the data in the system (Users, Managers, System Administrators, Developers, Others)?	Users have access to their own data. System Administrators, Course Administrators, and Web Masters have access.
9. How is access to the data by a user determined and by whom?	Users can only access their own account information. System administrators set the rights/permissions.
10. Do other IRS systems provide, receive, or share data in the system? If YES, list the system(s) and describe which data is shared. If NO, continue to Question 12.	No
11. Have the IRS systems described in Item 10 received an approved Security Certification and Privacy Impact Assessment?	No
12. Will other agencies provide, receive, or share data in any form with this system?	NO

Administrative Controls of Data

13. What are the procedures for eliminating the data at the end of the retention period?	User accounts will be maintained indefinitely as long as that user is an employee. If accounts have been used within the last 120 days the account will be marked inactive. If the user is on longer an employee that account will be deleted.
14. Will this system use technology in a new way? If "YES" describe. If "NO" go to Question 15.	No

15. Will this system be used to identify or locate individuals or groups? If so, describe the business purpose for this capability.	No
16. Will this system provide the capability to monitor individuals or groups? If yes, describe the business purpose for this capability and the controls established to prevent unauthorized monitoring.	No
17. Can use of the system allow IRS to treat taxpayers, employees, or others, differently? Explain.	No.
18. Does the system ensure "due process" by allowing affected parties to respond to any negative determination, prior to final action?	NA
19. If the system is web-based, does it use persistent cookies or other tracking devices to identify web visitors?	No