

NAV09 - CONTROL OF SUPPLIERS/SUBCONTRACTORS FLOW-DOWN OF CUSTOMER REQUIREMENTS

NOTE - ALL QUESTIONS ARE PRIORITY CODE "A"

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| 1. | Do the supplier's procedures contain requirements that materials and workmanship forming part of this or any order, may be inspected and tested at all times and places before, during and after manufacture by Quality Control and/or Government Inspection including items from suppliers and subcontractors? | ___Yes ___No |
| 2. | Do the supplier's procedures contain requirements that the Contract or purchase order takes precedence in conflicts and disputes, including existing specification requirements, including items from suppliers and subcontractors? | ___Yes ___No |
| 3. | Do the supplier's procedures contain requirements that assure disposition of Waiver Requests or Vendor Information Requests (VIR) for interpretations, drawing or spec changes, nonconformance acceptance and repair welding authorization, including items from suppliers and subcontractors? | ___Yes ___No |
| 4. | Do the supplier's procedures require that special processes (e.g. welding and NDT procedures and radiographic film) require Customer approval (when required) including items from suppliers and subcontractors? | ___Yes ___No |
| 5. | Do the supplier's procedures contain requirements that assure timely and effective corrective action responses, including items from suppliers and subcontractors? | ___Yes ___No |
| 6. | Do the supplier's procedures contain controls to prohibit contact with free and functional mercury for any component supplied to the Navy or Private Shipyard including items from suppliers and subcontractors? (NAVSEA INST 5100.3) | ___Yes ___No |
| 7. | Do the supplier's procedures contain requirements that prohibit the usage of seamed pipe from suppliers and subcontractors? | ___Yes ___No ___N/A |

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| 8. | a. Do the supplier's procedures contain requirements with regards to malpractice prevention? | ___ Yes ___ No ___ N/A |
| | b. How is this requirement imposed on subcontractors? | ___ Yes ___ No ___ N/A |
| | c. Do the supplier's rules specifically address malpractice as a condition of personnel employment? | ___ Yes ___ No ___ N/A |
| | d. Are shop floor employees familiar with the requirements of malpractice prevention and understand the consequences? | ___ Yes ___ No ___ N/A |
| 9. | a. Do the supplier's procedures contain requirements with regards to security awareness and control of defense sensitive/ controlled information and technology from suppliers and subcontractors? | ___ Yes ___ No ___ N/A |
| | b. Are shop floor employees familiar with the requirements of security awareness and understand the consequences? | ___ Yes ___ No ___ N/A |
| 10. | Do the supplier's procedures address material control, segregation of material, nonconforming material and material traceability for items from suppliers and subcontractors? | ___ Yes ___ No ___ N/A |
| 11. | Do the supplier's procedures address performance of generic alloy identity testing to assure material is a specified metallurgical group from suppliers and subcontractors? | ___ Yes ___ No ___ N/A |

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| 12 | a. Review and identify a sample of purchase orders to suppliers and subcontractors to ensure the applicable above attributes are met: | ___ Yes ___ No ___ N/A |
| | b. In addition, verify the following: | ___ Yes ___ No ___ N/A |
| | (a) Correct specification and drawing | ___ Yes ___ No ___ N/A |
| | (b) Revision control - Spec effectivity | ___ Yes ___ No ___ N/A |
| | (c) Quality level | ___ Yes ___ No ___ N/A |
| | (d) Required certifications | ___ Yes ___ No ___ N/A |
| | (e) Record retention | ___ Yes ___ No ___ N/A |
| 13. | Does a Vendor evaluation system exist? | ___ Yes ___ No ___ N/A |
| 14 | a. Are performance data, cost data or other indicators used in determining contract award? | ___ Yes ___ No ___ N/A |

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| | b. Identify criteria used: | |
| | c. Review and Record a sample: | |
| 15. | Is Onsite assessments part of the vendor evaluation? | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |

Additional Comments/Concerns: