

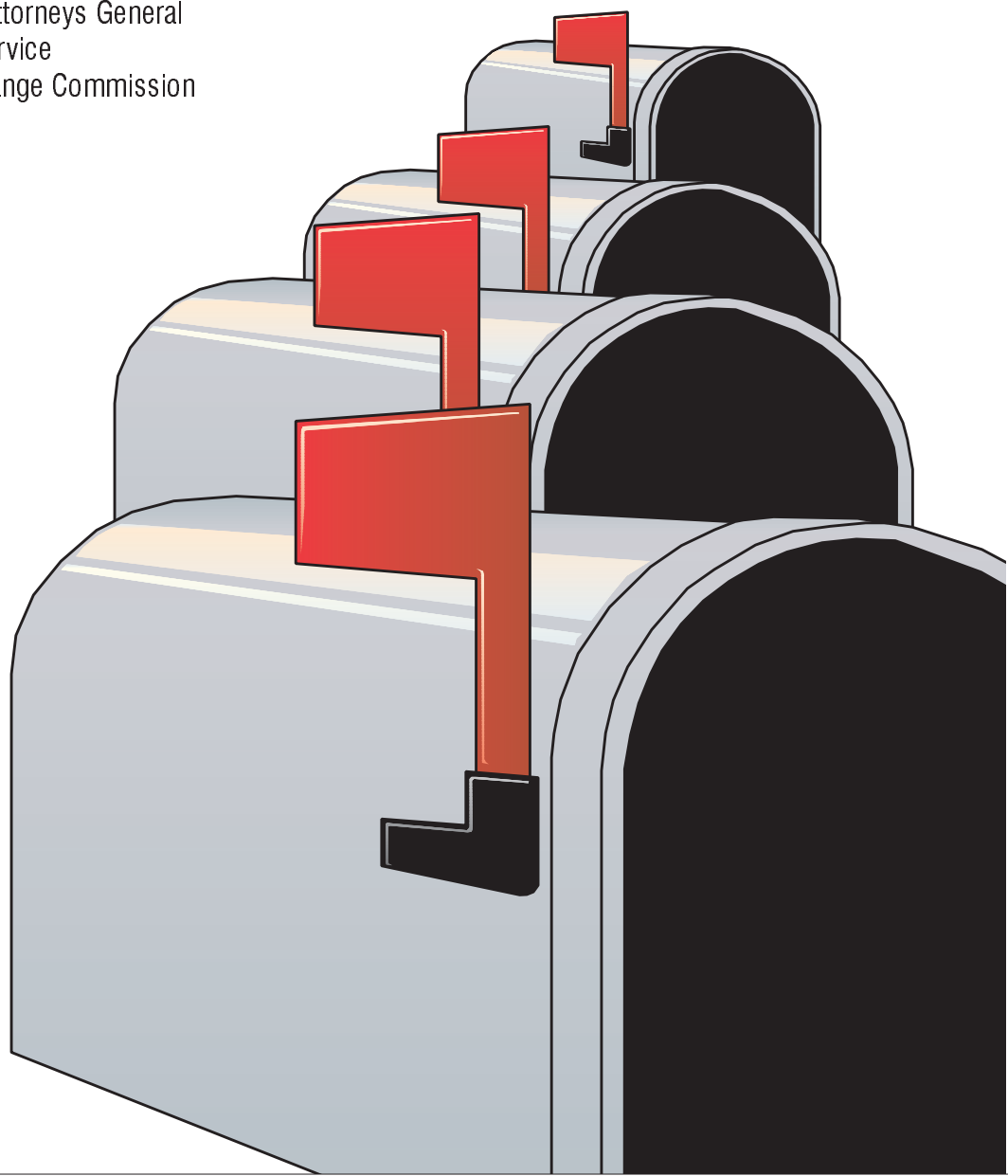
Project Mailbox IV

Report on Law Enforcement Actions
and Consumer Education Initiatives
October 1, 1999 - September 30, 2000

Federal Trade Commission

in partnership with

National Association of Attorneys General
U.S. Postal Inspection Service
U.S. Securities and Exchange Commission



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PROJECT MAILBOX IV
October 1, 1999 - September 30, 2000

FEDERAL TRADE COMMISSION

Defendant: **FTC v. PMCS; Dennis Harmon; Philip Bukowski; MBC, Inc.; Jason Harmon; Jay Lerner; Aqua Marketing Group; and Todd Deming**

Headquarters: Great Neck, NY and Hilton Head, SC

Product or Business: Direct mail solicitations for work-at-home medical billing scheme

Action: Stipulated Permanent Injunction (August 14, 2000)

Court or Agency: U.S. District Court, Eastern District of New York

Legal Contact: Gary Ivens, 202-326-2330

Press Contact: Mitchell J. Katz, 202-326-2161

Defendant: **FTC v. YP.Net, Inc., et al.***

Headquarters: Phoenix, AZ

Product or Business: Deceptive rebate check solicitation via direct mail for alleged Internet Yellow Pages services, including web site pages

Action: Complaint for Permanent Injunction and Consumer Redress (June 26, 2000)

Court or Agency: U.S. District Court, District of Arizona

Legal Contact: Tracey Brown, 202-326-2138

Press Contact: Mitchell J. Katz, 202-326-2161

Defendant: **FTC and State of Nevada v. Consumer Money Markets, Inc.; Data Tech Solutions, Inc.; Interstate Check Services, Inc.; Continental Direct Services, Inc.; William S. Kelly; Ana S. Miller; Gary Allen Balazs; and Raymond Elia**

Headquarters: Las Vegas, NV

Product or Business: Direct mail solicitations for credit lines, money market cards, and cash-on-demand or "payday loans" that failed to disclose high cash advance and membership fees of \$149.95-\$169.95, and that credit could only be used for CMM catalog shopping

Action: Complaint; Stipulated Final Judgment and Order for Permanent Injunction and Consumer Redress with requirement to forgive \$1.6 million in consumer debt (September 6, 2000)

Court or Agency: U. S. District Court, District of Nevada

Legal Contact: Jeffrey Klurfeld, 415-356-5266

Press Contact: Mitchell J. Katz, 202-326-2161

Defendant: **FTC v. Leisure Time Marketing, Inc.; Discovery Rental, Inc.; Marlin Swanson; Britt Shenkman; Edward Sebastian; and Fedeidoun "Fred" Khalilian***

Headquarters: Cocoa Beach, FL

Product or Business: Unsolicited faxes to promote travel vacation packages without adequate disclosure of various requirements, including attendance at a timeshare tour

Action: Complaint for Permanent Injunction and Consumer Redress
(August 24, 2000)

Court or Agency: U.S. District Court, Middle District of Florida

Legal Contact: Valerie Verduce, 404-656-1355

Press Contact: Mitchell J. Katz, 202-326-2161

Defendant: **FTC v. Epic Resorts, LLC; Epic Travel, LLC; Thomas Flatley; and Scott Engelkamp***

Headquarters: King of Prussia, PA.; Winter Garden and Daytona Beach, FL

Product or Business: Spam and unsolicited faxes to promote travel vacation packages without adequate disclosure of various requirements, including attendance at a timeshare tour

Action: Complaint for Permanent Injunction and Consumer Redress
(August 14, 2000)

Court or Agency: U.S. District Court, Middle District of Florida

Legal Contact: Collot Guerard; 202-326-3338

Press Contact: Mitchell J. Katz, 202-326-2161

Defendant: **FTC v. First Impressions, Inc. dba Air-Land-Sea Reservations, Inc.; Air-Land-Sea Travel, Inc.; Vacations R Us, Inc.; Vacation World, Inc.; John Mark Bundy; E. Gene Paige; and James Burns**

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail promotion of vacation travel packages without adequate disclosure of various requirements, including attendance at a timeshare tour

Action: Consent Judgment for Permanent Injunction and Restitution against all but one defendant against whom there is a Partial Summary Judgment
(July 27, 2000)

Court or Agency: U.S. District Court, Southern District of Florida

Legal Contact: David O'Toole, 312-960-5601

Press Contact: Steve Baker, 312-960-5634

Defendant: **FTC v. Jeffrey L. Landers dba Adpro, Inc.**

Headquarters: Atlanta, GA

Product or Business: Sweepstakes cash rewards and property prizes promised via certified mail after consumer sends in \$89 processing fee

Court or Agency: U.S. District Court, Northern District of Georgia

Action: Complaint for Permanent Injunction and Consumer Redress

Legal Contact: Harold Kirtz, 404-656-1359

Press Contact: Andrea Foster, 404-656-1356

Defendant: **United States of America v. A. James Black, dba AJB Publishing**

Headquarters: Longwood, FL
Product or Business: Credit repair services advertised via spam
Action: Stipulated Order for Permanent Injunction (July 2000)
Court or Agency: U.S. District Court, Middle District of Florida
Legal Contact: Steve Baker, 312-960-5634
Press Contact: Claudia Bourne Farrell, 202-326-2181

Defendant: **United States of America v. David Story dba Network Publications**
Headquarters: Dallas, TX
Product or Business: Credit repair services advertised via spam
Action: Stipulated Order for Permanent Injunction (July 2000)
Court or Agency: U.S. District Court, Northern District of Texas
Legal Contact: Steve Baker, 312- 960-5634
Press Contact: Claudia Bourne Farrell; 202-326-2181

U.S. POSTAL INSPECTION SERVICE

Defendant: **In re: Consumer Enrichment Center; American Wealth Distributors; Linear Marketing; MJ Marketing; American Media Services; John K. Muller; and Bradley S. Spicer**
Headquarters: Los Angeles and Palm Springs, CA.; Phoenix and Chandler, AZ
Product or Business: Prize promotions sent via First Class Mail to notify consumers of supposed winnings; promoters received approximately 2000 pieces of mail per day from consumer victims with payment to learn what they had won
Action: False Representation Order under 39 U.S.C. 3005; Dkt. No. 99/97 (January 10, 2000)
Court or Agency: Administrative; Judicial Officer, U.S. Postal Service
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Cash Free Grants and Joseph Zafrani**
Headquarters: East Windsor, NJ
Product or Business: Allegedly deceptive direct mail promotions promising to tell consumers about cash grants for a fee; promoters collected as much as \$30,000 per week
Action: Temporary Restraining Order (July 17, 2000)
Court or Agency: U.S. District Court, District of New Jersey (Civ.-00-3420)
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Contest Express; Woodrow, Cochran and Laylor; and Luc**

Beaudoin

Headquarters: Las Vegas, NV
Product or Business: Cash award promotion in which respondents mailed look-alike check for \$100,000, described as a “cash transfer statement,” with instructions to mail \$15 processing fee
Action: Mail Withholding Order under 39 U.S.C. 3003-3004; Dkt. No. 99-504 (November 22, 1999)
Court or Agency: Administrative, Judicial Officer, U.S. Postal Service
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Eagle Promotions, Inc.; Lexington Promotional Systems; James Bierman; and Richard Kaufman**

Headquarters: Hackensack, NJ
Product or Business: Cash award promotion in which respondents mailed notices informing consumers nationwide of a \$15,000 award, upon payment of a transferral/release fee; postal inspectors obtained order establishing a refund account for victims
Action: Cease and Desist Order under 39 U.S.C. 3005; Dkt. No. 99/236 (February 18, 2000).
Court or Agency: Administrative, Judicial Officer, U.S. Postal Service
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Defendant: **United States v. Lloyd Prudenza and David Wells**

Headquarters: Toronto, Canada
Product or Business: More than one million “renewal notices” that appeared to be invoices for Yellow Pages advertising, sent by mail by defendants to individuals, businesses, and organizations nationwide
Action: Criminal convictions; Prudenza sentenced to 36 months imprisonment (July 27, 2000); Wells sentenced to 48 months imprisonment; both subject to deportation upon release from prison (July 7, 2000)
Court or Agency: U.S. District Court, Western District of Pennsylvania
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Homestead Exemption Records; The Processing Center; and Robert L. Wilkes**

Headquarters: Santa Anna, CA
Product or Business: Homestead exemption solicitations sent via direct mail to homeowners requesting \$39.50 to receive homestead exemption to lower their property taxes; solicitation did not include required disclosure under 39 U.S.C. 3001
Action: Withholding Mail Order under 39 U.S.C. 3003-3004 (April 26, 2000)
Court or Agency: Administrative, Judicial Officer, U.S. Postal Service
Legal Contact: Peter Wheeler, 973-693-4513

Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Anthony Pantano and NBT**

Headquarters: Union and Kenilworth, NJ

Product or Business: Spam for a multi-level marketing program known as World Currency Program

Action: Cease and Desist Order under 39 U.S.C. 3005; Dkt. 00/243 (July 7, 2000)

Court or Agency: Administrative, Judicial Officer, U.S. Postal Service

Legal Contact: Peter Wheeler, 973-693-4513

Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: Joseph Pantano and EBSN**

Headquarters: Union and Roselle Park, NJ

Product or Business: Spam for a multi-level marketing program known as World Currency Program

Action: Cease and Desist Order under 39 U.S.C. 3005; Dkt. 00/244 (July 7, 2000)

Court or Agency: Administrative, Judicial Officer, U.S. Postal Service

Legal Contact: Peter Wheeler, 973-693-4513

Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: David Anthony Herrera***

Headquarters: Northridge, CA

Product or Business: Spam to AOL users for work-at-home scheme

Action: Voluntary Discontinuance Agreement; all victims received refunds (March 6, 2000)

Court or Agency: Administrative, Southern California Division of U.S. Postal Inspection Service

Legal Contact: Peter Wheeler, 973-693-4513

Press Contact: Molly McMin, 202-268-5283

Defendant: **United States v. Steve Shklovskiy; Timur Safranskiy; and Vadim Vernick**

Headquarters: Los Angeles, CA.

Product or Business: Spam for an envelope-stuffing work-at-home scheme

Action: Criminal convictions

Court or Agency: U.S. District Court, Central District of California (July 24, 2000)

Legal Contact: Peter Wheeler, 973-693-4513

Press Contact: Molly McMin, 202-268-5283

Defendant: **In re: American Inventors Corporation; Cary Bissel; Thomas Atkinson; Edward P. Piekos; Jose A. Carrion; and Jeff Rehbein**

Headquarters: Westfield, MA

Product or Business: Direct mail promotions offering to assist inventors in patenting and marketing their ideas; over 34,000 victims identified

Action: Criminal convictions; awaiting sentencing

Court or Agency: U.S. District Court, District of Massachusetts
Legal Contact: Peter Wheeler, 973-693-4513
Press Contact: Molly McMin, 202-268-5283

Summary of Actions by U.S. Postal Inspection Service (October 1, 1999 - August 31, 2000)

Mail Fraud Arrests and Conviction:

U.S. Postal Inspectors investigate and criminally prosecute mail fraud against:
1) consumers; 2) businesses; and 3) local, state and federal governments.

During the covered period, Postal Inspectors:

- Arrested 512 individuals for mail fraud against consumers
- Arrested 624 individuals for mail fraud against businesses
- Arrested 387 individuals for mail fraud against federal, state and local government
- Convicted 390 individuals for mail fraud against consumers
- Convicted 553 individuals for mail fraud against businesses
- Convicted 342 individuals for mail fraud against federal, state, and local government

Administrative Actions by the Postal Service Judicial Officer:

- Postal Service Judicial Officer issued 150 Orders to Withhold Mail under 39 USC 3003-3004
- Postal Service Judicial Officer issued 42 Cease & Desist Orders under 39 USC 3005

Voluntary Discontinuance Agreements:

- Postal Inspectors obtained 471 Voluntary Discontinuance Agreements from individuals associated with mail fraud scams directed at consumers and businesses

Foreign Lotteries:

Solicitations to participate in foreign-based lotteries are illegal.

- For the 6-month period October 1, 1999 through March 31, 2000, the Postal Service Law Department issued Orders for 58,151 pieces of foreign lottery mail to be destroyed prior to placement in the U.S. Mail.
- Since 1994, when the Inspection Service began its program to stop solicitations for foreign lotteries, the Inspection Service has destroyed a total of 10,601,556 foreign lottery solicitations.

Nigerian Bank Letters:

Many U.S. consumers and businesses have received direct mail solicitations from Nigerian-based entities requesting to open a joint bank account with the U.S. consumer or business. Those who do so find their bank accounts depleted. As a result, the U.S. Postal Service signed a Memorandum of Understanding with the Nigerian Postal Service allowing the U.S. Postal Service to destroy all letters containing counterfeit Nigerian postage.

- For the 6-month period October 1, 1999 through March 31, 2000, Postal Inspectors seized and destroyed approximately 821,150 such letters.
- From April 29, 1998, when the Postal Service began its program to stop Nigerian mail with counterfeit postage, more than 5.1 million letters have been destroyed prior to ever having entered the U.S. mail.

U.S. SECURITIES AND EXCHANGE COMMISSION

Defendant: **SEC v. James Sheret, Jr. and Glenn E. Conley***
Headquarters: NY and OR
Product or Business: Spam to manipulate the stock price of 57 thinly-traded companies prepared under the banner “AOL Investment Snapshot” to suggest endorsement by America Online, Inc.; defendants sold their shares immediately after sending the spam, realizing profits of more than \$300,000
Action: Complaint, Civ. No. 00-1411-AGS (February 24, 2000)
Court or Agency: U.S. District Court, Southern District of New York
Legal Contact: Donald Hoerl, 303-844-1060
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. New World Web Vision.com, Inc.; Capital Corp Investments International, Inc.; and Dwight D. Dubose***
Headquarters: Texas
Product or Business: Spam to sell unregistered shares of Internet stock by two “e-mails” and other Internet businesses
Action: Complaint, Civ. No. 4:00-CV-0231-Y (March 23, 2000)
Court: U.S. District Court, Northern District of Texas
Legal Contact: Spencer Barasch, 817-978-6425
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Thomas E. Loyd, individually and dba Loyd Financial Consulting**
Headquarters: TX
Product or Business: Stock touting and scalping scheme through dissemination of newsletter, Investment Alert, sent by unsolicited fax and spam that advertised securities of seven microcap companies on 14 different occasions to

millions of potential investors
Action: Complaint, Civ. No. 00-1085 (March 29, 2000)
Court or Agency: U.S. District Court, Southern District of Texas
Legal Contact: Spencer Barash, 817-978-6425
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Stephen Houmouzis and Wayne Loughnam***
Headquarters: Australia
Product or Business: Defendants, Australian residents, sent spam to U.S. investors and posted numerous messages on message boards touting the stock of Rentech, a Denver company; defendants sold their stock after sending spam

Action: Complaint, No. 00-N-905 (May 1, 2000)
Court or Agency: U.S. District Court, District of Colorado
Legal Contact: Donald Hoerl, 303-844-1060
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Y2K Highway, Inc. and Robert J. Kuntz***
Headquarters: TX
Product or Business: Spam with misleading statements to sell investments to consumers in U.S. and abroad

Action: Complaint, No. C-00-187 (May 12, 2000)
Court or Agency: U.S. District Court, Southern District of Texas
Legal Contact: Spencer Barash, 817-978-6425
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Merger Communications, Inc.; Jukka U. Tolonen; and David Drake***

Headquarters: TX
Product or Business: Unsolicited faxes and spam from defendants touting NASDAQ and OTC stocks, often without disclosing the source, nature, and amount of compensation by or on behalf of issuers; resulted in 400% increase in price of one touted stock within two days

Action: Complaint, No. H-002791 (August 15, 2000)
Court or Agency: U.S. District Court, Southern District of Texas
Legal Contact: Spencer Barasch, 817-978-6425
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Le Club Prive, S.A., et al.***
Headquarters: Worldwide
Product or Business: Pyramid scheme disguised as an Internet Investment Club and sold via direct mail, spam, and fax with members recruiting new investors by spam and web sites; \$5.6 million unregistered offering fraud involving more than 2,000 U.S. investors with funds diverted by three principals

Action: Complaint (August 24, 2000)
Court or Agency: U.S. District Court, Southern District of Texas

Legal Contact: Spencer Barasch, 817-978-6425
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Thor Equity Group, LLC and George E. Mahfouz, Jr.***
Headquarters: AZ
Product or Business: Spam to solicit investors for CancerOption.com, Inc., using false financial and stock price projections with defendants later selling stock at inflated prices
Action: Complaint, No. Civ. 00-1699 PHX-VAM (September 5, 2000)
Court or Agency: U.S. District Court, District of Arizona
Legal Contact: Donald Hoerl, 303-844-1060
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Thomas Carter**
Headquarters: CA
Product or Business: Spam purportedly from a “momentum trading service” called “Unity List” to tout stocks; recipients who purchased the profiled stocks caused the stock price and volume to rise dramatically, and defendant sold his shares in rising market
Action: Complaint, Civ. No. CV-00-09457-SHX (September 5, 2000)
Court or Agency: U.S. District Court, Central District of California
Legal Contact: Lisa Gok, 323-965-3835
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Rajiv Vohra; Sean T. Healey; et al.***
Headquarters: FL and MA
Product or Business: Spam by defendants who acquired blocks of shares of New Directions Manufacturing and used “wash sales” to create the appearance of active trading in the stock; defendants sold their shares at a profit of more than \$500,000
Action: Complaint, No. 00-7286-Civ-Seitz (September 5, 2000)
Court or Agency: U.S. District Court, Southern District of Florida
Legal Contact: Richard P. Murphy, 404-842-7665
Press Contact: Chris Ullman, 202-942-0020

Defendant: **SEC v. Lee E. Gahr and Chill Tech Industries, Inc.***
Headquarters: Nevada and Vancouver, Canada
Product or Business: Unsolicited faxes, web sites, and news articles with false and misleading statements and undisclosed material facts that caused price and volume of Chill Tech stock to increase between 15% and 94%; defendants sold stock and realized profits of more than \$270,000
Action: Complaint, No. CV-S-00-1088-KJD-RJJ (September 5, 2000)
Court or Agency: U.S. District Court, District of Nevada
Legal Contact: Donald Hoerl, 303-844-1060
Press Contact: Chris Ullman, 202-942-0020

Defendant: **In the matter of CancerOption.com Inc. and Arnold C. Takemoto***
Headquarters: AZ
Product or Business: Spam soliciting investors for CancerOption.com, Inc. used false financial and stock price projections; defendants later sold stock at inflated prices
Action: Order Instituting Proceedings (No. 3-10275) (September 6, 2000)
Court or Agency: Administrative Proceeding before the SEC
Legal Contact: Donald Hoerl, 303-844-1060
Press Contact: Chris Ullman, 202-942-0020

Defendant: **In the Matter of Scott Eskew***
Headquarters: FL
Product or Business: Via spam, respondent allowed defendants to use his name and falsely characterize him as an independent analyst who recommended stock in New Directions Manufacturing, Inc.
Action: Order Instituting Proceedings (No. 3-10276) (September 6, 2000)
Court or Agency: Administrative Proceeding before the SEC
Legal Contact: Richard P. Murphy, 404-842-7665
Press Contact: Chris Ullman, 202-942-0020

SEC Consumer Education

During fiscal year 2000, the SEC's Office of Investor Education and Assistance developed and distributed numerous hard copy and web-based publications to help investors identify and avoid investment scams, including those where the fraudsters made initial contact via direct mail, spam, and/or fax. These publications included:

- *Affinity Fraud: How to Avoid Investment Scams That Target Groups*
- *Broken Promises: Promissory Note Fraud*
- *Internet Fraud: How to Avoid Internet Investment Scams*
- *Mini-Tender Offers: Tips for Investors*
- *Prime Bank Fraud Information Center*
- *Risky Business: "Pre-IPO" Investing*

The U.S. Securities and Exchange Commission also provided several links to alerts issued by other federal agencies on subjects including Nigerian Advance Fee Fraud Schemes and Identity Theft.

STATE LAW ENFORCEMENT ACTIONS

ALABAMA**Attorney General Bill Pryor**

Defendant: **Alabama v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Final Judgment Order – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: Circuit Court of Montgomery, Alabama
Legal Contact: LaVette Lyas-Brown, 334-242-7443
Press Contact: Joy Patterson, 334-242-7491

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 2, 2000)
Court or Agency: Office of the Attorney General for Alabama
Legal Contact: LaVette Lyas-Brown, 334-242-7443
Press Contact: Joy Patterson, 334-242-7491

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Office of the Attorney General for Alabama
Legal Contact: LaVette Lyas-Brown, 334-242-7443
Press Contact: Joy Patterson, 334-242-7491

Defendant: **In the Matter of: Trans-American Equities**

Headquarters: Dartmouth, Canada
Product or Business: Lottery promotion via direct mail
Action: Notice to Cease and Desist (July 20, 2000)
Court or Agency: Office of the Attorney General for Alabama
Legal Contact: Rhonda Barber, 334-242-7320
Press Contact: Joy Patterson, 334-242-7491

ALASKA**Attorney General Bruce M. Botelho**

Defendant: **Alaska v. Publishers Clearing House***

Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint and Consent Decree (August 22, 2000)
Court or Agency: Alaska Superior Court
Legal Contact: Julia Coster, 907-269-5200
Press Contact: Julia Coster, 907-269-5200

Defendant: **In the Matter of: The Attorney General's Investigation of Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotion
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Alaska Superior Court
Legal Contact: Julia Coster, 907-269-5200
Press Contact: Julia Coster, 907-269-5200

Defendant: **In the Matter of: The Attorney General's Investigation of U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Alaska Superior Court
Legal Contact: Julia Coster, 907-269-5200
Press Contact: Julia Coster, 907-269-5200

Defendant: **In the Matter of: The Attorney General's Investigation of American Express Publishing Corporation***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Alaska Superior Court
Legal Contact: Julia Coster, 907-269-5200
Press Contact: Julia Coster, 907-269-5200

ARIZONA

Attorney General Janet Napolitano

Defendant: **Arizona Department of Banking v. Patrick Morris dba Capital**

Funding Corporation and International Capital Corporation

Headquarters: Tucson, AZ
Product or Business: Advance fee loan brokering activities promoted via direct mail
Action: Cease and Desist Order (March 2000)
Court or Agency: Arizona Department of Banking
Legal Contact: Viet Le, 602-542-8889
Press Contact: Pati Urias, 602-542-8017

Defendant: **Arizona v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and fees (April 2000)
Court or Agency: Superior Court, County of Maricopa, Arizona
Legal Contact: Karen Baerst, 602-542-7726
Press Contact: Karen Baerst, 602-542-7726

Other: **Safe Seniors, Strong Communities**
Description: Town Halls in 10 urban and rural cities to inform senior citizens of dangers of sweepstakes and direct mail promotions
Date: November 1999 – April 2000
Legal Contact: Karen Baerst, 602-542-7726
Press Contact: Elvera Anselmo, 602/542-2126

ARKANSAS

Attorney General Mark Pryor

Defendant: **Arkansas v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 2000)
Court or Agency: Pulaski County Court, Arkansas
Legal Contact: Jim DePriest, 501-682-2007
Press Contact: Michael Teague, 501-682-2007

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 2000)
Court or Agency: Office of the Attorney General for Arkansas
Legal Contact: Jim DePriest, 501-682-2007

Press Contact: Michael Teague, 501-682-2007

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for Arkansas

Legal Contact: Jim DePriest, 501-682-2007

Press Contact: Michael Teague, 501-682-2007

Defendant: **In the Matter of: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (September 2000)

Court or Agency: Office of the Attorney General for Arkansas

Legal Contact: Jim DePriest, 501-682-2007

Press Contact: Michael Teague, 501-682-2007

Defendant: **Arkansas v. Florida Travel Network; Crown Plaza Resorts dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Consent Judgment and Final Order (February 8, 2000)

Court or Agency: Pulaski County Chancery Court, Arkansas

Legal Contact: Jim DePriest, 501-682-2007

Press Contact: Michael Teague, 501-682-2007

Defendant: **Arkansas v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Consent Judgment and Final Order (February 8, 2000)

Court or Agency: Pulaski County Chancery Court, Arkansas

Legal Contact: Kay Barton, 501-682-2007

Press Contact: Michael Teague, 501-682-2007

Defendant: **Arkansas v. Robin Leach dba Robin Leach Enterprises, Inc.**

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Consent Judgment (July 2000)
Court or Agency: Pulaski County Chancery Court, Arkansas
Legal Contact: Kay Barton, 501-682-2007
Press Contact: Michael Teague, 501-682-2007

CALIFORNIA Attorney General Bill Lockyer

Defendant: **California v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Final Judgment Order -- approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: San Diego Superior Court, California
Legal Contact: Al Shelden, 619-645-2089
Press Contact: Sandy Michioki, 916-324-5500

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 2, 2000)
Court or Agency: Office of the Attorney General for California
Legal Contact: Al Shelden, 619-645-2089
Press Contact: Sandy Michioki, 916-324-5500

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Office of the Attorney General for California
Legal Contact: Al Shelden, 619-645-2089
Press Contact: Sandy Michioki, 916-324-5500

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Office of the Attorney General for California
Legal Contact: Al Sheldon, 619-645-2089
Press Contact: Sandy Michioki, 916-324-5500

COLORADO

Attorney General Ken Salazar

Defendant: **Colorado v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Complaint (January 24, 2000)

Court or Agency: State District Court, Denver, Colorado

Legal Contact: Andy McCallin, 303-866-5079

Press Contact: Ken Lane, 303-866-5632

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and fees (April 2000)

Court or Agency: Office of the Attorney General for Colorado

Legal Contact: Tony Navarro, 303-866-5079

Press Contact: Ken Lane, 303-866-5632

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for Colorado

Legal Contact: Tony Navarro, 303-866-5079

Press Contact: Ken Lane, 303-866-5632

Defendant: **In the Matter of: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance (September 13, 2000)

Court or Agency: Office of the Attorney General for Colorado

Legal Contact: Tony Navarro, 303-866-5079

Press Contact: Ken Lane, 303-866-5632

Other: **Colorado Junk Email Law § 6-2.5-101-105 C.R.S. (HB 00-1309)**

Description: Legislation established restrictions and requirements for disclosures on the transmission of unsolicited commercial email messages that promote the sale or lease of goods, services, or property to state residents. Provides enforcement procedures, private monetary remedies, and other protections for email service providers and recipients of spam

Date: Effective August 3, 2000
Legal Contact: Garth Lucero, 303-866-5079
Press Contact: Ken Lane, 303-866-5632

Other: **Colorado Sweepstakes & Contests Law (HB 00-1347)**
Description: Legislation amended the Colorado Consumer Protection Act; amendment applies to sweepstakes and contests conducted by direct mail. The law prohibits a promoter from requiring payment to enter a sweepstakes or contest and prohibits certain representations, including that a recipient has won a prize or that the recipient is specially selected; requires disclosures, including the fact that no purchase is necessary, as well as the odds of winning

Date: Effective August 2, 2000
Legal Contact: Jan Michael Zavislan, 303-866-5079
Press Contact: Ken Lane, 303-866-5632

CONNECTICUT Attorney General Richard Blumenthal

Defendant: **Connecticut v. Publishers Clearing House***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 28, 2000); Consent Order (February 2000)
Court or Agency: New Britain Superior Court, Connecticut
Legal Contact: Neil G. Fishman, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

Defendant: **Connecticut v. Reader's Digest Association, Inc.**
Headquarters: Pleasantville, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (February 2000)
Court or Agency: New Britain Superior Court, Connecticut
Legal Contact: Neil G. Fishman, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

Defendant: **Connecticut v. American Family Publishers***
Headquarters: Jersey City, New Jersey
Product or Business: Direct mail sweepstakes promotions
Action: Consent Judgment (May 17, 2000)
Court or Agency: New Britain Superior Court, Connecticut
Legal Contact: Neil G. Fishman, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint
Court or Agency: Office of the Attorney General for Connecticut
Legal Contact: Neil G. Fishman, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

Defendant: **Connecticut v. Florida Travel Network; Crown Plaza Resorts dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: Hartford Superior Court, Connecticut
Legal Contact: Thomas J. Saadi, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

Defendant: **Connecticut v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: Hartford Superior Court, Connecticut
Legal Contact: Thomas J. Saadi, 860-808-5400
Press Contact: Lisa Marie Pane, 860-808-5324

DELAWARE Attorney General M. Jane Brady

Defendant: **Delaware v. Publishers Clearing House; Dorothy Addeo; Daniel Sayer; and David Doyle***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 28, 2000)
Court or Agency: Delaware Court of Chancery
Legal Contact: Olha Rybakoff, 302-577-8513
Press Contact: Todd Hallidy, 302-577-8314

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and

fees (April 10, 2000)
Court or Agency: Office of the Attorney General for Delaware
Legal Contact: Olha Rybakoff, 302-577-8513
Press Contact: Todd Hallidy, 302-577-8314

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for Delaware
Legal Contact: Olha Rybakoff, 302-577-8513
Press Contact: Todd Hallidy, 302-577-8314

Defendant: **In the Matter of: American Express Publishing Corporation***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance (September 13, 2000)

Court or Agency: Office of the Attorney General for Delaware
Legal Contact: Olha Rybakoff, 302-577-8513
Press Contact: Todd Hallidy, 302-577-8314

DISTRICT OF COLUMBIA Corporation Counsel Robert R. Rigsby

Defendant: **District of Columbia v. National Travel Services, Inc.; Plaza Resorts, Inc. d/b/a Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; and James Verrillo**

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations, including deceptive discount vouchers

Action: Consent Order (effective January 28, 2000)
Court or Agency: Superior Court of the District of Columbia
Legal Contact: Bennett Rushkoff, 202-727-5173
Press Contact: Leigh Slaughter, 202-724-5198

Defendant: **District of Columbia v. Florida Travel Network, Inc.; Crown Plaza Resorts, L.C. d/b/a Imperial Majesty Cruise Lines; Vance L. Vogel; and James M. Herron, Sr.**

Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations, including deceptive discount vouchers

Action: Consent Order (February 16, 2000)
Court or Agency: Superior Court of the District of Columbia

Legal Contact: Bennett Rushkoff, 202-727-5173
Press Contact: Leigh Slaughter, 202-724-5198

FLORIDA Attorney General Robert A. Butterworth

Defendant: **Florida v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Litigation ongoing
Court or Agency: 6th Judicial Circuit Court, Pinellas County, Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **Florida v. Florida Travel Network; Crown Plaza Resorts dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**
Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: 2nd Judicial Circuit Court, Florida
Legal Contact: Jane A. Rose, 850-414-3600
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **Florida v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach, individually and dba Leach Entertainment Enterprises, Inc.***
Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: 2nd Judicial Circuit Court, Florida
Legal Contact: Jane A. Rose, 850-414-3600
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 3, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 12, 2000)

Court or Agency: Office of the Attorney General for Florida

Legal Contact: Victoria Butler, 813-871-7255

Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (August 31, 2000)

Court or Agency: Office of the Attorney General for Florida

Legal Contact: Victoria Butler, 813-871-7255

Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Cape Canaveral Cruise Line Tour & Travel, Inc., and Promotional Travel, Inc.***

Headquarters: Cape Canaveral, FL and Winter Park, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare presentation

Action: Investigation ongoing

Court or Agency: Office of the Attorney General for Florida

Legal Contact: Jane A. Rose, 850-414-3600

Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Mellon, Astor and Fairweather aka MAF**

Headquarters: Liberty, NY

Product or Business: Direct mail sweepstakes promotions

Action: Cease and Desist Letter (February 24, 2000)

Court or Agency: Office of the Attorney General for Florida

Legal Contact: Victoria Butler, 813-871-7255

Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **Clark & Lewison (C&L) aka Independent Judging Committee (IJC) aka Win-Search Advisory Services**

Headquarters: Yorktown Heights and Scarsdale, NY

Product or Business: Direct mail sweepstakes promotions

Action: Cease and Desist Letter (February 24, 2000)

Court or Agency: Office of the Attorney General for Florida

Legal Contact: Victoria Butler, 813-871-7255

Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Accuwin Research Services aka Accounts Management aka Supervisor/Document Issuance Registrar**
Headquarters: Plainview, NY
Product or Business: Direct mail sweepstakes promotions
Action: Cease and Desist Letter (May 17, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: S.A.N. Network (Sweepstakes Advisory Network) aka CCA**
Headquarters: Bethpage, NY
Product or Business: Direct mail sweepstakes promotions
Action: Cease and Desist Letter (September 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Enwood, Pressman and Ingram (EPI) aka ASAP III/Prize Presentation Committee aka IPI Quick Bucks aka Unidentified Claimant Section/Credit Card Users Sweepstakes aka Sweepstakes Administrators Association (SAA) aka Lombard & Lanfield Prize Specialists aka Office of the Board of Directors aka Super Cash Classic aka The Executive Corporate Offices/Prize Presentation Committee**
Headquarters: Scarsdale and Mamaroneck, NY
Product or Business: Direct mail sweepstakes promotions
Action: Cease and Desist Letter (May 22, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **Special Data Processing Corporation dba National Magazine Exchange dba National Publishers Exchange**
Headquarters: Clearwater, FL
Product or Business: Direct mail sweepstakes promotions
Action: Investigation ongoing
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Michigan Bulb Company**
Headquarters: Grand Rapids, MI

Product or Business: Direct mail sweepstakes promotions
Action: Investigation ongoing
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Contest America Publishers, Inc. aka Cash Awards & Entertainment, Opportunities Unlimited, Sweepstakes Reporter, CAP & North American Awards Center**

Headquarters: Kansas City, MO
Product or Business: Direct mail sweepstakes promotions
Action: Investigation ongoing
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: The Reader's Digest Association, Inc.**

Headquarters: Pleasantville, NY
Product or Business: Direct mail sweepstakes promotions
Action: Investigation ongoing
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Victoria Butler, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Innovative Production Services**

Headquarters: Tampa, FL
Product or Business: Direct mail solicitations for work-at-home envelope stuffing
Action: Cease and Desist Letter (January 21, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Nick Cox, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **Florida v. Florida Water Systems of Pinellas Corp. aka County Water Systems**

Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations regarding water treatment
Action: Complaint (March 15, 2000); Settlement Agreement (September 9, 2000)
Court or Agency: 6th Judicial Circuit, Pinellas County, Florida
Legal Contact: Nick Cox, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: P.M.J.C. Enterprises, Inc. dba Pinellas, Hillsborough, Pasco Water Consultants**

Headquarters: Clearwater, FL
Product or Business: Direct mail solicitations regarding water treatment
Action: Assurance of Voluntary Compliance (December 6, 1999)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Nick Cox, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: U.S. Water Treatment, Inc.**
Headquarters: Clearwater, FL
Product or Business: Direct mail solicitations regarding water treatment
Action: Assurance of Voluntary Compliance (January 12, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Nick Cox, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

Defendant: **In re: Lucky Dog Enterprises, Inc. dba Southwest Florida Water Consultants**
Headquarters: Sarasota, FL
Product or Business: Direct mail solicitations regarding water treatment
Action: Assurance of Voluntary Compliance (June 21, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Nick Cox, 813-871-7255
Press Contact: Joe Bizzaro, 850-487-0984

GEORGIA **Attorney General Thurbert E. Baker**

Defendant: **Georgia ex rel Barry W. Reid, Administrator of the Fair Business Practices Act v. Jeffrey Lee Landers dba Premier Incentives and Savoy Group**
Headquarters: Atlanta, GA
Product or Business: Mass mailings nationwide announcing that consumers had won \$10,000 and were required to send in \$65 or \$70 to claim this prize
Action: Litigation ongoing
Court or Agency: Dekalb Superior Court, Georgia
Legal Contact: Leigh Braslow, 404-656-0667
Press Contact: Daryl Robinson, 404-651-6194

HAWAII **Office of Consumer Protection – Acting Executive Director Stephen H. Levins**

Defendant: **Hawaii v. Publishers Clearing House***
Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 2000); Stipulated Judgment -- approximately \$20.4

million in nationwide restitution and fees (September 29, 2000)

Court or Agency: First Circuit Court, Honolulu
Legal Contact: Stephen H. Levins, 808-586-2636
Press Contact: Stephen H. Levins, 808-586-2636

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$5 million in nationwide restitution and fees (August 2000)

Court or Agency: Hawaii Office of Consumer Protection
Legal Contact: Stephen H. Levins, 808-586-2636
Press Contact: Stephen H. Levins, 808-586-2636

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Compliance -- \$30 million in nationwide restitution and fees (April 2000)

Court or Agency: Hawaii Office of Consumer Protection
Legal Contact: Stephen H. Levins, 808-586-2636
Press Contact: Stephen H. Levins, 808-586-2636

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (August 2000)
Court or Agency: Hawaii Office of Consumer Protection
Legal Contact: Stephen H. Levins, 808-586-2636
Press Contact: Stephen H. Levins, 808-586-2636

IDAHO

Attorney General Alan G. Lance

Defendant: **Idaho v. Publishers Clearing House***
 Port Washington, NY

Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)

Court or Agency: Fourth District Court, Idaho
Legal Contact: Michele Butts, 208-334-2424
Press Contact: Bob Cooper, 208-334-2400

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Fourth District Court, Idaho

Legal Contact: Michele Butts, 208-334-2424

Press Contact: Bob Cooper, 208-334-2400

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 2000)

Court or Agency: Fourth District Court, Idaho

Legal Contact: Michele Butts, 208-334-2424

Press Contact: Bob Cooper, 208-334-2400

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (September 13, 2000)

Court or Agency: Fourth District Court, Idaho

Legal Contact: Michele Butts, 208-334-2424

Press Contact: Bob Cooper, 208-334-2400

ILLINOIS

Attorney General James E. Ryan

Defendant: **Illinois v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James H. Verrillo; and Robin Leach dba Leach Entertainment Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations offering discount vacations without adequate disclosure about conditions of the offer, including attendance at a timeshare sales presentation

Action: Final Judgment and Consent Decree (all defendants except Leach)

Court or Agency: (February 8, 2000); Leach Consent Decree (June 19, 2000)
Circuit Court of the 7th Judicial Circuit, Sangamon County, Illinois
Legal Contact: Avonne M. Seals, 217-782-4436
Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Florida Travel Network, Inc.**
Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Modified Final Judgment and Consent Decree (February 8, 2000)
Court or Agency: Circuit Court of the 7th Judicial Circuit, Sangamon County, Illinois
Legal Contact: Avonne M. Seals, 217-782-4436
Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Crown Plaza Resorts, L.C. dba Imperial Majesty Cruise Lines and Vance L. Vogel**
Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Complaint with simultaneous Final Judgment and Consent Decree (February 8, 2000)
Court or Agency: Circuit Court of the 7th Judicial Circuit, Sangamon County, Illinois
Legal Contact: Avonne M. Seals, 217-782-4436
Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Great Escapes Marketing, Inc.**
Headquarters: Bradenton, FL
Product or Business: Unsolicited faxes promoting Orlando/Disney Theme Parks vacations without disclosing that consumers were required to attend a timeshare sales presentation
Action: Complaint (August 23, 2000); Amended Complaint (August 31, 2000)
Court or Agency: U.S. District Court, Central District of Illinois
Legal Contact: Avonne M. Seals, 217-782-4436
Press Contact: Scott Mulford, 217-524-4173

Defendant: **In the Matter of: Vacation Station, Inc.**
Headquarters: Daytona Beach, FL
Product or Business: Unsolicited faxes offering discount vacations, without disclosing the source of the fax and the full terms and conditions of the offer
Action: Assurance of Voluntary Compliance (August 25, 2000)
Court or Agency: Office of the Attorney General of Illinois
Legal Contact: Avonne M. Seals, 217-782-4436
Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Diamond Voice, LLC dba Diamond Voice Messaging and**

Information and Escape Holiday Corporation

Headquarters: Diamond Voice: Cedar Hill, TX; Escape Holiday:
Winter Park, FL

Product or Business: Unsolicited faxes offering discount vacations without disclosing the full terms and conditions of the offer

Action: Final Judgment and Consent Decree entered against Diamond Voice (April 5, 2000); default judgment against Escape Holiday Corporation (February 22, 2000)

Court or Agency: U.S. District Court, Central District of Illinois

Legal Contact: Avonne M. Seals, 217-782-4436

Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Discovery Marketing, Inc. and Source Marketing, Inc. dba Fax Source**

Headquarters: Discovery Marketing: Longwood, FL; Source Marketing:
Littleton, CO

Product or Business: Unsolicited faxes offering discount vacations without disclosing the full terms and conditions of the offer

Action: Final Judgment and Consent Decree (April 6, 2000)

Court or Agency: U.S. District Court, Central District of Illinois

Legal Contact: Elizabeth Blackston, 217-782-4436

Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Victor Hubbert dba Highland Services and Coleman Franklin dba Highland Services**

Headquarters: Westchester, IL

Product or Business: Direct mail solicitations offering advance fee loans

Action: Complaint (August 15, 2000)

Court or Agency: Circuit Court of Cook County, Illinois

Legal Contact: Janice Parker, 312-814-3945

Press Contact: Lori Corral, 312-814-2532

Defendant: **Illinois v. Victor Hubbert aka Michael Ross dba New Development dba Peachtree Financial Services**

Headquarters: Westchester, Illinois

Product or Business: Direct mail solicitations offering advance fee loans

Action: Litigation ongoing

Court or Agency: United States District Court, Northern District of Illinois

Legal Contact: Ray Threlkeld, 312-814-3789

Press Contact: Scott Mulford, 217-524-4173

Defendant: **Illinois v. Jerome Hubbert aka Michael Ross dba Tri-Star Financial Services**

Headquarters: Oak Park, Illinois

Product or Business: Direct mail solicitations offering advance fee loans

Action: Complaint (August 10, 2000)
Court or Agency: United States District Court, Northern District of Illinois
Legal Contact: Ray Threlkeld, 312-814-3789
Press Contact: Lori Corral, 312-814-2532

Defendant: **Illinois v. Yes Enterprises**
Headquarters: Las Vegas, NV
Product or Business: Unsolicited faxes marketing estate planning software
Action: Complaint (July 6, 2000)
Court or Agency: Circuit Court of Cook County, Illinois
Legal Contact: Amy Fletcher, 312-814-3740
Press Contact: Lori Corral, 312-814-2532

INDIANA

Attorney General Karen M. Freeman-Wilson

Defendant: **Indiana v. Bailey and Associates of Illinois, Inc., and National Resorts Corporation**
Headquarters: IL
Product or Business: Direct mail promotions for vacation club memberships
Action: Complaint (August 23, 2000)
Court or Agency: Marion County Superior Court 13, Indianapolis, Indiana
Legal Contact: David A. Paetzmann, 317-232-6201
Press Contact: Charlene Crowell, 317-232-6351

Defendant: **Indiana v. Bluegreen Vacations Unlimited, Inc.**
Headquarters: Boca Raton, FL
Product or Business: Direct mail promotions for vacation club memberships
Action: Complaint (August 23, 2000)
Court or Agency: Marion County Superior Court 5, Indianapolis, Indiana
Legal Contact: David A. Paetzmann, 317-232-6201
Press Contact: Charlene Crowell, 317-232-6351

Defendant: **Indiana v. Kevin B. Raines and Great Destinations, Inc.**
Headquarters: Schererville and Dyer, IN
Product or Business: Direct mail promotions for vacation club memberships
Action: Complaint (August 30, 2000)
Court or Agency: Lake County Superior Court 1, Crown Point, Indiana
Legal Contact: David A. Paetzmann, 317-232-6201
Press Contact: Charlene Crowell, 317-232-6351

Defendant: **In re: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Marion County Circuit Court, Indianapolis, Indiana

Legal Contact: David A. Paetzmann, 317-232-6201
Press Contact: Charlene Crowell, 317-232-6351

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Marion County Circuit Court, Indianapolis, Indiana

Legal Contact: David A. Paetzmann, 317-232-6201

Press Contact: Charlene Crowell, 317-232-6351

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 2000)

Court or Agency: Marion County Circuit Court, Indianapolis, Indiana

Legal Contact: David A. Paetzmann, 317-232-6201

Press Contact: Charlene Crowell, 317-232-6351

IOWA

Attorney General Tom Miller

Defendant: **Iowa v. American Family Publishers***

Headquarters: New Jersey

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance, with \$250,000 in restitution for Iowa consumers (May 17, 2000)

Court or Agency: Office of the Attorney General for Iowa

Legal Contact: Bill Brauch, 515-281-8772

Press Contact: Bob Brammer, 515-281-6699

Defendant: **Iowa v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes solicitations

Action: Application to enforce subpoena on appeal (January 2000)

Court or Agency: Iowa Supreme Court

Legal Contact: Bill Brauch, 515-281-8772

Press Contact: Bob Brammer, 515-281-6699

Other: **Credit-Related Consumer Education for Students**

Description: Consumer Advisories about credit card solicitations and “shopping for credit cards” sent to all college and university newspapers.
Date: August - September 2000
Legal Contact: Bill Brauch, 515-281-8772
Press Contact: Bob Brammer, 515-281-6699

KANSAS Attorney General Carla J. Stovall

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Office of the Attorney General for Kansas
Legal Contact: Gail Bright, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Office of the Attorney General for Kansas
Legal Contact: Gail Bright, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **Kansas v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes solicitations
Action: Complaint (January 2000)
Court or Agency: Shawnee County District Court, Kansas

Legal Contact: Gail Bright, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **Kansas v. Florida Travel Network, Inc.**
Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent (February 8, 2000)
Court or Agency: Shawnee County District Court, Kansas
Legal Contact: Terry Hamblin, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **Kansas v. National Travel Services, Inc.; Plaza Resorts, Inc. dba**

Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach dba Leach Entertainment Enterprises, Inc.*

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent (February 8, 2000)
Court or Agency: Shawnee County District Court, Kansas
Legal Contact: Terry Hamblin, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **In the Matter of: Cape Canaveral Cruise Line Tour & Travel, Inc. and Promotional Travel, Inc.**

Headquarters: Altamonte Springs, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Investigation ongoing
Court or Agency: Office of the Attorney General for Kansas
Legal Contact: Terry Hamblin, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

Defendant: **Kansas v. Vacation Break**

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent (November 30, 1999)
Court or Agency: Shawnee County District Court, Kansas
Legal Contact: Terry Hamblin, 785-296-3751
Press Contact: Mary Tritsch, 785-296-2215

KENTUCKY Attorney General Albert B. Chandler III

Defendant: **Kentucky v. Publishers Clearing House***

Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotion
Action: Complaint alleging deceptive sweepstakes solicitations (January 26, 2000)
Court or Agency: Franklin Circuit Court, Frankfort, Kentucky
Legal Contact: Todd Leatherman, 502-696-5389
Press Contact: Corey Bellamy, 502-696-5642

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution

and fees (August 24, 2000)
Court or Agency: Franklin Circuit Court, Frankfort, Kentucky
Legal Contact: Todd Leatherman, 502-696-5389
Press Contact: Corey Bellamy, 502-696-5642

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Franklin Circuit Court, Frankfort, Kentucky
Legal Contact: Todd Leatherman, 502-696-5389
Press Contact: Corey Bellamy, 502-696-5642

LOUISIANA

Attorney General Richard P. Ieyoub

Defendant: **In Re: Steve Fata and Mandatory Poster Agency**

Headquarters: Lansing, MI
Product or Business: Direct mail marketing of posters for placement in businesses, implying that company is affiliated with the State of Louisiana
Action: Notice of Unfair Trade Practice Violation (September 28, 2000)
Court or Agency: Office of the Attorney General for Louisiana
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

Defendant: **Louisiana v. Southern Estate Services, Billie W. Buck, Brett Buck, Southern Planning and Estate Services of Louisiana, Inc.**

Headquarters: LA
Product or Business: Direct mail postcards promoting living trusts to senior consumers followed up by personal sales visits in their homes
Action: Petition for Injunctive Relief and Restitution; Temporary Restraining Order
Court or Agency: Jefferson Parish District Court, Louisiana
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

Defendant: **In the Matter of: American Express Publishing Corporation***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance/Discontinuance (September 13, 2000)
Court or Agency: 19th Judicial Court, Baton Rouge, Louisiana
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

Defendant: **Louisiana v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotion
Action: Complaint (January 26, 2000)
Court or Agency: 19th Judicial Court, Baton Rouge, Louisiana
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: 19th Judicial Court, Baton Rouge, Louisiana
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

Other: **Consumer Education for Seniors**
Description: Published and distributed a booklet, "Protecting Your Piggybank," for elderly consumers regarding all types of consumer scams, including those initiated by direct mail
Date: Ongoing
Legal Contact: Deborah H. Baer, 225-342-2753
Press Contact: Pam Laborde, 225-339-5133

MAINE Attorney General Andrew Ketterer

Defendant: **Maine v. Gerald Nelson, Jr.**
Headquarters: Freedom, ME
Product or Business: Direct mail targeted at wood-harvesting lot owners
Action: Preliminary Injunction (June 2000)
Court or Agency: Kennebec County Superior Court, Maine
Legal Contact: Linda Conti, 207-626-8591
Press Contact: Bob Way, 207-626-8800

Defendant: **In re: Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotion
Action: Civil Investigative Demand (February 2000); investigation ongoing
Court or Agency: Kennebec County Superior Court, Maine
Legal Contact: Linda Conti, 207-626-8591
Press Contact: Bob Way, 207-626-8800

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and fees (April 3, 2000)
Court or Agency: Kennebec County Superior Court, Maine
Legal Contact: Laurie Simpson, 207-626-8864
Press Contact: Bob Way, 207-626-8800

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 17, 2000)
Court or Agency: Kennebec County Superior Court, Maine
Legal Contact: Laurie Simpson, 207-626-8864
Press Contact: Bob Way, 207-626-8800

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance/Discontinuance (September 13, 2000)
Court or Agency: Kennebec County Superior Court, Maine
Legal Contact: Laurie Simpson, 207-626-8864
Press Contact: Bob Way, 207-626-8800
MARYLAND **Attorney General J. Joseph Curran, Jr.**

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and fees (April 3, 2000)
Court or Agency: Office of the Attorney General for Maryland
Legal Contact: Kathleen Dachille, 410-576-7902
Press Contact: Sean Caine, 410-576-6357

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in

Court or Agency: nationwide restitution and fees (August 17, 2000)
Legal Contact: Office of the Attorney General for Maryland
Press Contact: Steve Sakamoto-Wengel, 410-576-6307
Sean Caine, 410-576-6357

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance/Discontinuance (September 13, 2000)
Court or Agency: Office of the Attorney General for Maryland
Legal Contact: Steve Sakamoto-Wengel, 410-576-6307
Press Contact: Sean Caine, 410-576-6357

Defendant: **Maryland v. Creative Financial & Housing Services, Inc.; Beecher H. W. Brown; and Danny Blue**
Headquarters: Baltimore, MD
Product or Business: Direct mail promotions falsely promising consumers whose homes were in foreclosure that the company could stop or delay the foreclosure, improve the consumer's credit, and put the consumer in another home; company did not provide promised services
Action: Cease and Desist Order (September 19, 2000)
Court or Agency: Consumer Protection Division of the Office of the Attorney General for Maryland
Legal Contact: Phil Ziperman, 410-576-6374
Press Contact: Sean Caine, 410-576-6357

MASSACHUSETTS Attorney General Tom Reilly

Defendant: **Massachusetts v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Suffolk County Superior Court, Massachusetts
Legal Contact: Chi Chi Wu, 617-727-2200 ext. 2571
Press Contact: Press Officer, 617-727-2543

Defendant: **Massachusetts v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in

Court or Agency: nationwide restitution and fees (August 24, 2000)
Legal Contact: Suffolk County Superior Court, Massachusetts
Press Contact: Jack Christin, Jr., 617-727-2200 ext. 2912
Press Officer, 617-727-2543

Defendant: **Massachusetts v. American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Suffolk County Superior Court, Massachusetts
Legal Contact: Jack Christin, Jr., 617-727-2200 ext 2912
Press Contact: Press Officer, 617-727-2543

MICHIGAN

Attorney General Jennifer M. Granholm

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Stewart H. Freeman, 517-373-7780
Press Contact: Chris DeWitt, 517-373-8060

Defendant: **Michigan v. Publishers Clearing House***

Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (October 12, 1999)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Stewart H. Freeman, 517-373-7780
Press Contact: Chris DeWitt, 517-373-8060

Defendant: **Michigan v. American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance (September 13, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Stewart H. Freeman, 517-373-7780
Press Contact: Chris DeWitt, 517-373-8060

Defendant: **Michigan v. Florida Travel Network; and Crown Plaza Resort, dba Imperial Majesty Cruise Lines**
Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent Judgment (February 8, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: Resorts Exchange International of America, Inc.**
Headquarters: Orlando, FL
Product or Business: Unsolicited faxes for discount vacations, without disclosing the source of the fax and the full terms and conditions of the purchase
Action: Assurance of Discontinuance (February 18, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: Discovery Rental, Inc.**
Headquarters: Cocoa Beach, FL
Product or Business: Unsolicited faxes for discount vacations, suggesting that the source of the fax is the recipient's employer
Action: Assurance of Discontinuance (March 10, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: Vacation Station, Inc.**
Headquarters: Ormond Beach, FL
Product or Business: Unsolicited faxes for discount vacations, without disclosing the source of the fax and the full terms and conditions of the purchase
Action: Assurance of Discontinuance (July 13, 2000)
Court or Agency: Ingham County Circuit Court, Michigan
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: Win Management, Inc. dba The Brake Shop**

Headquarters: Fraser, MI
Product or Business: Direct mail promotions for brake repairs; consumers subjected to deceptive sales tactics
Action: Notice of Intended Action (March 27, 2000)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: John Szezubelek, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

Defendant: **In the Matter of: Epic Resorts Marketing, LLC, dba Epic Resorts and Consumer Magic Travel**

Headquarters: Winter Garden, FL
Product or Business: Unsolicited faxes for discount vacations, suggesting that fax is from recipient's employer and without disclosing all the terms and conditions
Action: Notice of Intended Action and Opportunity to Cease and Desist (November 5, 1999)
Court or Agency: Office of the Attorney General for Florida
Legal Contact: Kathy Fitzgerald, 517-335-0855
Press Contact: Christopher DeWitt, 517-373-8060

MINNESOTA Attorney General Mike Hatch

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 17, 2000)
Court or Agency: Ramsey County District Court, Minnesota
Legal Contact: David Woodward, 631-296-4023
Press Contact: Leslie Sandberg, 631-276-2069

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Ramsey County District Court, Minnesota
Legal Contact: David Woodward, 631-296-4023
Press Contact: Leslie Sandberg, 631-276-2069

MISSISSIPPI Attorney General Mike Moore

Defendant: **In re: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance – \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Office of the Attorney General for Mississippi
Legal Contact: Michael D. Rhodes, 601-359-4230
Press Contact: Michael D. Rhodes, 601-359-4230

Defendant: **In re: Mississippi v. American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Office of the Attorney General for Mississippi
Legal Contact: Michael D. Rhodes, 601-359-4230
Press Contact: Michael D. Rhodes, 601-359-4230

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Office of the Attorney General for Mississippi
Legal Contact: Michael D. Rhodes, 601-359-4230
Press Contact: Michael D. Rhodes, 601-359-4230

Defendant: **Mississippi v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Final Judgment Order (August 22, 2000) -- approximately \$20.4 million in nationwide restitution and fees
Court or Agency: Hinds County Chancery Court, Mississippi
Legal Contact: Michael D. Rhodes, 601-359-4230
Press Contact: Michael D. Rhodes, 601-359-4230

MISSOURI Attorney General Jay Nixon

Defendant: **Missouri v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Cole County Circuit Court, Missouri
Legal Contact: Anne Schneider, 573-751-8455
Press Contact: Scott Holste, 573-751-8844

Defendant: **Missouri v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Cole County Circuit Court, Missouri

Legal Contact: Anne Schneider, 573-751-8455

Press Contact: Scott Holste, 573-751-8844

Defendant: **Missouri v. American Blast Fax, Inc.**

Headquarters: Addison, TX

Product or Business: Advertising via unsolicited fax

Action: Complaint (June 8, 2000)

Court or Agency: U.S. District Court, Eastern District of Missouri

Legal Contact: Jill LaHue, 573-751-8455

Press Contact: Scott Holste, 573-751-8844

Defendant: **Missouri v. Fax.Com, Inc.**

Headquarters: Aliso Viejo, CA

Product or Business: Advertising via unsolicited fax

Action: Complaint (August 7, 2000)

Court or Agency: U.S. District Court, Eastern District of Missouri

Legal Contact: Jill LaHue, 573-751-8455

Press Contact: Scott Holste, 573-751-8844

MONTANA

Montana Department of Commerce -- Annie M. Bartos, Chief Legal Counsel

Defendant: **Montana v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$30 million in nationwide restitution and fees (April 7, 2000)

Court or Agency: First Judicial District Court, Lewis and Clark County, Montana

Legal Contact: Peter Ohman, 406-444-3553
Press Contact: Vivian Manuel, 406-444-3797

Defendant: **Montana v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$30 million in nationwide restitution and fees (August 30, 2000)

Court or Agency: First Judicial District Court, Lewis and Clark County, Montana

Legal Contact: Peter Ohman, 406-444-3553

Press Contact: Vivian Manuel, 406-444-3797

Defendant: **Montana v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Agreed Entry and Final Judgment – approximately \$20.4 million in nationwide restitution and fees (August 25, 2000)

Court or Agency: First Judicial District Court, Lewis and Clark County, Montana

Legal Contact: Peter Ohman, 406-444-3553

Press Contact: Vivian Manuel, 406-444-3797

Defendant: **Montana v. American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (September 20, 2000)

Court or Agency: First Judicial District Court, Lewis and Clark County, Montana

Legal Contact: Peter Ohman, 406-444-3553

Press Contact: Vivian Manuel, 406-444-3797

NEBRASKA

Attorney General Don Stenberg

Defendant: **Nebraska v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$30 million in nationwide consumer restitution and fees (April 5, 2000)

Court or Agency: Lancaster County District Court, Nebraska

Legal Contact: Jason W. Hayes, 402- 471-6294

Press Contact: Jessica Flanagan, 402-471-2834

Defendant: **Nebraska v. American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 30, 2000)
Court or Agency: Lancaster County District Court, Nebraska
Legal Contact: Jason W. Hayes, 402-471-6294
Press Contact: Jessica Flanagain, 402-471-2834

Defendant: **Nebraska v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: Lancaster County District Court, Nebraska
Legal Contact: Jason W. Hayes, 402- 471-6294
Press Contact: Jessica Flanagain, 402-471-2834

Defendant: **Nebraska v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Lancaster County District Court, Nebraska
Legal Contact: Jason W. Hayes, 402- 471-6294
Press Contact: Jessica Flanagain, 402-471-2834

Other: **Consumer Education**
Description: Speakers Bureau and Consumer Protection Booth at County Fairs and Husker Harvest Days
Date: Ongoing
Legal Contact: Jason W. Hayes, 402- 471-6294
Press Contact: Jessica Flanagain, 402-471-2834

Other: **Media-Related Consumer Education**
Description: Radio call-in show on diverse consumer protection issues
Date: Quarterly
Legal Contact: Jason W. Hayes, 402- 471-6294
Press Contact: Jessica Flanagain, 402-471-2834

Other: **Consumer Education – Publication**
Description: *Consumer Bulletin* sent to 1400 state locations
Date: Twice monthly
Legal Contact: Jason W. Hayes, 402- 471-6294
Press Contact: Jessica Flanagain, 402-471-2834

NEVADA**Attorney General Frankie Sue Del Papa**

Defendant: **Nevada v. Publishers Clearing House; Dorothy Addeo; David Sayer; and Does 1-100**

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Complaint (January 31, 2000); Consent Judgment -- approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)

Court or Agency: Clark County 8th Judicial District Court, Las Vegas, Nevada

Legal Contact: Jo Ann Gibbs, 702-486-3782

Press Contact: Steve George, 775, 684-1114

Defendant: **Nevada v. Brian C. Michaels; David Sergi; and Douglas Savage**

Headquarters: Las Vegas, NV

Product or Business: Direct mail solicitations selling unregistered securities that promoted “Advantage Plans” investment opportunities and membership shares in a pyramid scheme

Action: Criminal Indictment (October 8, 1999)

Court or Agency: Clark County 8th Judicial District Court

Legal Contact: Gianna Orlandi, 702-486-3788

Press Contact: Steve George, 775-684-1114

NEW HAMPSHIRE**Attorney General Philip T. McLaughlin**

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance – \$30 million in nationwide restitution and fees (March 2000)

Court or Agency: New Hampshire Superior Court

Legal Contact: M. Kristin Spath, 603-271-3643

Defendant: **In Re: Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance -- approximately \$20.4 million in nationwide restitution and fees (September 2000)

Court or Agency: New Hampshire Superior Court

Legal Contact: M. Kristin Spath, 603-271-3643

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in nationwide restitution and fees (August 2000)

Court or Agency: New Hampshire Superior Court

Legal Contact: M. Kristin Spath, 603-271-3643

Defendant: **In the Matter of: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance (September 2000)

Court or Agency: New Hampshire Superior Court

Legal Contact: M. Kristin Spath, 603-271-3643

NEW JERSEY

Attorney General John J. Farmer, Jr.

Defendant: **New Jersey v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$30 million in nationwide restitution and fees (March 10, 2000)

Court or Agency: Office of the Attorney General for New Jersey

Legal Contact: William E. Graves, 973-648-2500

Press Contact: Gene Morris, 973-504-6327

Defendant: **New Jersey v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Consent Judgment – approximately \$20.4 million in nationwide restitution and fees (January 2000)

Court or Agency: Superior Court of New Jersey, Chancery Division, Bergen County

Legal Contact: William Graves, 973-648-2500

Press Contact: Gene Morris, 973-504-6327

Defendant: **New Jersey v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$5 million in nationwide restitution and fees (August 2000)

Court or Agency: Office of the Attorney General for New Jersey
Legal Contact: Jodi Krugman, 973-648-2500
Press Contact: Genee Morris, 973-504-6327

Defendant: **New Jersey v. American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (August 2000)
Court or Agency: Office of the Attorney General for New Jersey
Legal Contact: Jodi Krugman, 973-648-2500
Press Contact: Genee Morris, 973-504-6327

Defendant: **New Jersey v. Brownstone Holdings, Inc. et al.**
Headquarters: Teaneck, NJ
Product or Business: Direct mail promotions for mail order catalog business
Action: Consent Order (May 25, 2000)
Court or Agency: Superior Court of New Jersey, Chancery Division, Bergen County
Legal Contact: Carol G. Jacobson, 973-648-3453
Press Contact: Genee Morris, 973-504-6327

Defendant: **New Jersey v. Eagle Promotions et al.**
Headquarters: Huntington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Consent Order (March 3, 2000) – \$50,000 in penalties
Court or Agency: Office of the Attorney General for New Jersey
Legal Contact: Elizabeth Fligner, 973-648-3070
Press Contact: Genee Morris, 973-504-6327

Defendant: **New Jersey v. George Ippolito dba GCI**
Headquarters: Hoboken, NJ
Product or Business: Direct mail lottery
Action: Consent Order (March 15, 2000)
Court or Agency: New Jersey Division of Consumer Affairs
Legal Contact: Carol G. Jacobson, 973-648-3453
Press Contact: Genee Morris, 973-504-6327

NEW MEXICO

Attorney General Patricia A. Madrid

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Discontinuance – \$30 million in nationwide restitution and fees (April 30, 2000)
Court or Agency: Office of the Attorney General for New Mexico
Legal Contact: Carol Baca, 505-827-7481

Press Contact: Sam Thompson, 505-827-6792

Defendant: **New Mexico v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Consent Judgment – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)

Court or Agency: 1st Judicial District Court, Santa Fe, New Mexico

Legal Contact: Carol Baca, 505-827-7481

Press Contact: Sam Thompson, 505-827-6792

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in nationwide restitution and fees (August 17, 2000)

Court or Agency: Office of the Attorney General for New Mexico

Legal Contact: Carol Baca, 505-827-7481

Press Contact: Sam Thompson, 505-827-6792

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance (August 31, 2000)

Court or Agency: Office of the Attorney General for New Mexico

Legal Contact: Carol Baca, 505-827-7481

Press Contact: Sam Thompson, 505-827-6792

Defendant: **New Mexico v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail promotions for discount vacations without adequate disclosure of various requirements, including a timeshare tour requirement

Action: Consent Judgment (February 8, 2000)

Court or Agency: State District Court, New Mexico

Legal Contact: Carol Baca, 505-827-7481

Press Contact: Sam Thompson, 505-827-6792

Defendant: **New Mexico v. Florida Travel Network; Crown Plaza Resorts dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure

Action: about requirements, including attendance at a timeshare sales presentation
Consent Judgment (February 8, 2000)
Court or Agency: State District Court, New Mexico
Legal Contact: Carol Baca, 505-827-7481
Press Contact: Sam Thompson, 505-827-6792

NEW YORK

Attorney General Eliot Spitzer

Defendant: **New York v. U.S. Sales Corp. dba United States Purchasing Exchange
aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$30 million in
nationwide restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for New York

Legal Contact: Shirley Stark, 212-416-8295

Press Contact: Scott Brown, 212-416-8060

Defendant: **New York v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Consent Judgment – approximately \$20.4 million in nationwide restitution
and fees (August 2000)

Court or Agency: New York Supreme Court

Legal Contact: Shirley Stark, 212-416-8295

Press Contact: Scott Brown, 212-416-8060

Defendant: **New York v. Time, Inc.; Entertainment Weekly, Inc.; Time
Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time
Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in
nationwide restitution and fees (August 2000)

Court or Agency: Office of the Attorney General for New York

Legal Contact: Shirley Stark, 212-416-8295

Press Contact: Scott Brown, 212-416-8060

Defendant: **New York v. American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance (September 2000)

Court or Agency: Office of the Attorney General for New York

Legal Contact: Shirley Stark, 212-416-8295

Press Contact: Scott Brown, 212-416-8060

Defendant: **New York v. Tri State Carpet and Uphostery Care, Inc. (formerly Master-Clean International)**

Headquarters: Teaneck, NJ

Product or Business: Direct mail promotions with discount coupons

Action: Assurance of Discontinuance (June 14, 2000)

Court or Agency: Office of the Attorney General for New York

Legal Contact: Deborah Scalise, 914-422-8755

Press Contact: Darren Dopp, 518-473-5525

Defendant: **New York v. Green Island Tree Spray, Inc. dba Green Island Tree and Lawn Care**

Headquarters: Huntington, NY

Product or Business: Direct mail promotions to new home owners falsely threatening encephalitic mosquito outbreak, and misrepresenting that company had serviced prior owners and that product was 100% organic

Action: Consent Judgment and Order (August 1, 2000)

Court or Agency: Supreme Court, State of New York, County of Suffolk

Legal Contact: Ricardo Montano, 631-231-2181

Press Contact: Darren Dopp, 518-473-5525

Defendant: **In the Matter of: Marc Goldstein aka John Krantz; Mark Jason, Inc., dba Lottery Service Bureau; LSB Technologies; and NLS Enterprises**

Headquarters: Deer Park, NY

Product or Business: Direct mail notification resembling official NY lottery mailing, which required consumers to send \$15-\$18 to claim ultimately worthless prizes

Action: Assurance of Discontinuance (January 2000)

Court or Agency: Office of the Attorney General for New York

Legal Contact: Juan Merchan, 516-248-3303

Press Contact: Christine Pritchard, 518-473-5525

Defendant: **New York v. BBC Properties Portfolio Corporation and Cathy Martino, et al.**

Headquarters: Long Island, NY

Product or Business: Direct mail solicitations offering low home equity loans sent by promoter who forged homeowners' signatures on quit claim deeds and transferred property to defendant's company

Action: Order and Judgment -- granted restitution, penalties, and costs of \$215,565 (December 22, 1999); also criminal prosecution by three District Attorneys

Court or Agency: Supreme Court, Westchester County, New York

Legal Contact: Gary Brown, 914-422-8755

Press Contact: Darren Dopp, 518-473-5525

Defendant: **Financial Services Network USA dba Resource Card Services and**

Financial Services Capital Group; Paul Navestad aka Paul R. Navestad; Eric Navestad; and Vincent J. Sansalone

Headquarters: Elmira, NY
Product or Business: Direct mail offer for a cash grant or loan through the “Borrowing Made Easier” program
Action: Contempt action filed against defendants – case in litigation
Court or Agency: U.S. District Court, Western District of New York
Legal Contact: Michael J. Danaher, 607-721-8796
Press Contact: Christine Pritchard, 518-473-5525

NORTH CAROLINA Attorney General Michael F. Easley

Defendant: **In the Matter of: Cyberspace.com, LLC and Essex Enterprises, LLC**
Headquarters: TX, CA, WA
Product or Business: Direct mail promotions which did not adequately disclose that endorsing and cashing or depositing the enclosed check for \$3.50 would sign recipients up for Internet-related services at \$29.95 per month
Action: Settlement Agreement (March 29, 2000)
Court or Agency: Office of the Attorney General for North Carolina
Legal Contact: Kevin Anderson, 919-716-6052
Press Contact: Cari Hepp, 919-716-6400

Defendant: **North Carolina v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 2000)
Court or Agency: Wake County Superior Court, North Carolina
Legal Contact: Barbara Shaw, 919-716-6000
Press Contact: Cari Hepp, 919-716-6400

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance – \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Office of the Attorney General for North Carolina
Legal Contact: Barbara Shaw, 919-716-6000
Press Contact: Cari Hepp, 919-716-6400

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in nationwide restitution and fees (August 2000)
Court or Agency: Office of the Attorney General for North Carolina
Legal Contact: Barbara Shaw, 919-716-6000
Press Contact: Cari Hepp, 919-716-6400

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in nationwide restitution and fees (September 2000)
Court or Agency: Office of the Attorney General for North Carolina
Legal Contact: Barbara Shaw, 919-716-6000
Press Contact: Cari Hepp, 919-716-6400

Defendant: **North Carolina v. Federal Record Service Corp.; Darrin Gleeman aka Darrin Chase; and Jay Seinfeld**
Headquarters: Washington, DC
Product or Business: Direct mail soliciting fee to register just-born children for Social Security Number, without disclosing that SSNs can be obtained for free from the government and failing to disclose that senders were unaffiliated with the federal government
Action: Final Consent Judgment with Permanent Injunction (January 7, 2000)
Court or Agency: Wake County Superior Court, North Carolina
Legal Contact: David Kirkman, 919-716-6000
Press Contact: Cari Hepp, 919-716-6400

Defendant: **North Carolina v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***
Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail promotions for discount vacations without adequate disclosure of various requirements, including a timeshare tour requirement
Action: Consent Judgment (February 8, 2000)
Court or Agency: Wake County Superior Court, North Carolina
Legal Contact: Harriet Worley, 919-716-6014
Press Contact: Cari Hepp, 919-716-6400

Defendant: **North Carolina v. Robin Leach dba Robin Leach Enterprises, Inc.**
Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations and product endorsement for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent Judgment (June 19, 2000)
Court or Agency: Wake County Superior Court, North Carolina

Legal Contact: Harriet Worley, 919-716-6014
Press Contact: Cari Hepp, 919-716-6400

Defendant: **North Carolina v. Florida Travel Network; Crown Plaza Resorts dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Consent Judgment (February 8, 2000)

Court or Agency: Wake County Superior Court, North Carolina

Legal Contact: Harriet Worley, 919-716-6014

Press Contact: Cari Hepp, 919-716-6400

NORTH DAKOTA Attorney General Heidi Heitkamp

Defendant: **North Dakota v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Final Judgment Order – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)

Court or Agency: Office of the Attorney General for North Dakota

Legal Contact: Parrell D. Grossman 701-328-2811

Press Contact: June Veit, 701-328-2210

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for North Dakota

Legal Contact: Parrell D. Grossman 701-328-2811

Press Contact: June Veit, 701-328-2210

Defendant: **In the Matter of: The Attorney General's Investigation of Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotion

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for North Dakota

Legal Contact: Parrell D. Grossman 701-328-2811

Press Contact: June Veit, 701-328-2210

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance (August 2, 2000)
Court or Agency: Office of the Attorney General for North Dakota
Legal Contact: Parrell D. Grossman 701-328-2811
Press Contact: June Veit, 701-328-2210

OHIO

Attorney General Betty D. Montgomery

Defendant: **Ohio v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 24, 2000); Agreed Entry and Final Judgment Order – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: Franklin County, Ohio Common Pleas Court
Legal Contact: Michael S. Ziegler, 614-644-9618
Press Contact: Stephanie Beougher, 614-466-3840

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance and Discontinuance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Office of Attorney General for Ohio
Legal Contact: Michael S. Ziegler, 614-644-9618
Press Contact: Stephanie Beougher, 614-466-3840

Defendant: **Ohio v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***
Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation and any cancellation rates
Action: Agreed Entry and Final Judgment Order (February 8, 2000)

Court or Agency: Common Pleas Court of Franklin County, Ohio
Legal Contact: Cheryl R. Hawkinson, 614-644-9628
Press Contact: Stephanie K. Beougher, 614-466-3840

Defendant: **Ohio v. Florida Travel Network; Crown Plaza Resorts; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation and any cancellation rates

Action: Agreed Entry and Final Judgment Order (February 8, 2000)

Court or Agency: Common Pleas Court of Franklin County, Ohio
Legal Contact: Cheryl R. Hawkinson, 614-644-9628
Press Contact: Stephanie K. Beougher, 614-466-3840

OKLAHOMA

Attorney General W.A. Drew Edmondson

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for Oklahoma
Legal Contact: Tom Bates, 405-521-4274
Press Contact: Charlie Price, 405-522-4400

Defendant: **In the Matter of: Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Complaint (January 24, 2000); Consent Judgment, with restitution (August 23, 2000)

Court or Agency: Oklahoma County District Court
Legal Contact: Tom Bates, 405-521-4272
Press Contact: Gerald Adams, 405-522-4422

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance – \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for Oklahoma
Legal Contact: Tom Bates, 405-521-4272

Press Contact: Gerald Adams, 405-522-4422

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance (August 29, 2000)
Court or Agency: Office of the Attorney General for Oklahoma
Legal Contact: Tom Bates, 405-521-4272
Press Contact: Gerald Adams, 405-522-4422

Other: **Tuff Customer**
Description: Internet-based scavenger hunt to teach teenagers about fraudulent promotions, including direct mail
Date: Ongoing
Legal Contact: Jane Wheeler, 405-522-1015
Press Contact: Charlie Price, 405-522-4400

Other: **Oklahoma Seniors Against Fraud**
Description: Call-in television program about various types of fraud, including direct mail fraud
Date: Ongoing
Legal Contact: Jane Wheeler, 405-522-1015
Press Contact: Charlie Price 405-522-4400

OREGON

Attorney General Hardy Myers

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Marion County Circuit Court, Oregon
Legal Contact: Cheryl Pellegrini, 503-378-4732
Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Marion County Circuit Court, Oregon
Legal Contact: Cheryl Pellegrini, 503-378-4732
Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Marion County Circuit Court, Oregon

Legal Contact: Cheryl Pellegrini, 503-378-4732

Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: Florida Travel Network, Inc.**

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Assurance of Voluntary Compliance (February 8, 2000)

Court or Agency: Marion County Circuit Court, Oregon

Legal Contact: Cheryl Pellegrini, 503-378-4732

Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; and James Verrillo***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Assurance of Voluntary Compliance (February 8, 2000)

Court or Agency: Marion County Circuit Court, Oregon

Legal Contact: Cheryl Pellegrini, 503-378-4732

Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: Robin Leach dba Leach Entertainment Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about endorsement and requirements

Action: Assurance of Voluntary Compliance (June 19, 2000)

Court or Agency: Marion County Circuit Court, Oregon

Legal Contact: Cheryl Pellegrini, 503-378-4732

Press Contact: Jan Margosian, 503-378-4732

Defendant: **In the Matter of: Non-Profit Telemedia, Inc.**

Headquarters: North Little Rock, AK

Product or Business: Direct mail post cards soliciting donations for charitable organizations

Action: Assurance of Voluntary Compliance (June 29, 2000)

Court or Agency: Multnomah County Circuit Court, Oregon
Legal Contact: Cheryl Pellegrini, 503-378-4732
Press Contact: Jan Margosian, 503-378-4732

PENNSYLVANIA Attorney General D. Michael Fisher

Defendant: **In Re: Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 24, 2000)
Court or Agency: Commonwealth Court of Pennsylvania
Legal Contact: J.P. McGowan, 570-963-4913
Press Contact: Barbara Petito, 717-787-5211

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: Lackawanna County Court of Common Pleas, Pennsylvania
Legal Contact: J.P. McGowan, 570-963-4913
Press Contact: Barbara Petito, 717-787-5211

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: Lackawanna County Court of Common Pleas, Pennsylvania
Legal Contact: J.P. McGowan, 570-963-4913
Press Contact: Barbara Petito, 717-787-5211

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: Lackawanna County Court of Common Pleas, Pennsylvania
Legal Contact: J.P. McGowan, 570-963-4913
Press Contact: Barbara Petito, 717-787-5211

Defendant: **Pennsylvania v. Florida Travel Network; Crown Plaza Resorts dba**

Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.

Headquarters: Treasure Island, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent Judgment and Final Order (February 8, 2000)
Court or Agency: Cambria County Court of Common Pleas, Pennsylvania
Legal Contact: E. Barry Creany, 814-949-7900
Press Contact: Barbara Petito, 717-787-5211

Defendant: **Pennsylvania v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent Judgment and Final Order (February 8, 2000)
Court or Agency: Cambria County Court of Common Pleas, Pennsylvania
Legal Contact: E. Barry Creany, 814-949-7900
Press Contact: Barbara Petito, 717-787-5211

Defendant: **Pennsylvania v. Robin Leach dba Robin Leach Enterprises, Inc.**

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Consent Judgment (June 19, 2000)
Court or Agency: Cambria County Court of Common Pleas, Pennsylvania
Legal Contact: E. Barry Creany, 814-949-7900
Press Contact: Barbara Petito, 717-787-5211

RHODE ISLAND

Attorney General Sheldon Whitehouse

Defendant: **In re: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Voluntary Assurance – \$5 million in nationwide restitution and fees
Court or Agency: Superior Court, Providence County, Rhode Island
Legal Contact: Jametta Alston, 401-274-4400, ext. 2401
Press Contact: Jim Martin, 401-274-4400, ext. 2336

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Voluntary Assurance – \$30 million in nationwide restitution and fees
Court or Agency: Superior Court, Providence County, Rhode Island
Legal Contact: Jametta Alston, 401-274-4400, ext. 2401
Press Contact: Jim Martin, 401-274-4400, ext. 2336

SOUTH CAROLINA Attorney General Charlie Condon

Defendant: **South Carolina v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Consent Order of Final Judgment – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: Richland County Court of Common Pleas, 5th Judicial Circuit, South Carolina
Legal Contact: Christie N. Barrett, 803-734-3736
Press Contact: Robb McBurney, 803-734-3970

Defendant: **South Carolina v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance – \$5 million in nationwide restitution and fees (September 1, 2000)
Court or Agency: Richland County Court of Common Pleas, 5th Judicial Circuit, South Carolina
Legal Contact: Christie N. Barrett, 803-734-3736
Press Contact: Robb McBurney, 803-734-3970

Defendant: **South Carolina v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotion
Action: Assurance of Voluntary Compliance – \$30 million in nationwide restitution and fees (April 11, 2000)
Court or Agency: Richland County Court of Common Pleas, 5th Judicial Circuit, South Carolina
Legal Contact: Christie N. Barrett, 803-734-3736
Press Contact: Robb McBurney, 803-734-3970

SOUTH DAKOTA Attorney General Mike Barnett

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance
Court or Agency: 6th Judicial Circuit Court, Pierre, South Dakota
Legal Contact: Delane Smith, 605-773-4400
Press Contact: Bob Gray, 605-773-3215

Defendant: **South Dakota v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Consent Order of Final Judgment – approximately \$20.4 million in nationwide restitution and fees
Court or Agency: 6th Judicial Circuit Court, Pierre, South Dakota
Legal Contact: Delane Smith, 605-773-4400
Press Contact: Bob Gray, 605-773-3215

Defendant: **South Dakota v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance – \$5 million in nationwide restitution and fees
Court or Agency: 6th Judicial Circuit Court, Pierre, South Dakota
Legal Contact: Delane Smith, 605-773-4400
Press Contact: Bob Gray, 605-773-3215

Defendant: **South Dakota v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotion
Action: Assurance of Voluntary Compliance – \$30 million in nationwide restitution and fees
Court or Agency: 6th Judicial Circuit Court, Pierre, South Dakota
Legal Contact: Delane Smith, 605-773-4400
Press Contact: Bob Gray, 605-773-3215

TENNESSEE Attorney General Paul G. Summers

Defendant: **Tennessee v. Bill Heard Chevrolet**

Headquarters: Nashville, TN
Product or Business: Direct mail solicitations in Spanish with disclaimers in English on reverse sent to consumers with Spanish surnames
Action: Agreed Order and Assurance of Voluntary Compliance (May 9, 2000)
Court or Agency: Chancery Court of Davidson County, Tennessee
Legal Contact: Michelle Poland, 615-741-1020
Press Contact: Sharon Curtis-Flair, 615-741-5860

TEXAS

Attorney General John Cornyn

Defendant: **In the Matter of: Long Distance Wholesale Club***
Headquarters: Chantilly, VA
Product or Business: Direct mail promotion that misrepresented the wholesale club's rates
Action: Assurance of Voluntary Compliance (January 28, 2000)
Court or Agency: Travis County District Court, Texas
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. College Planning Resource Center**
Headquarters: Castroville, TX
Product or Business: Direct mail promotion for scholarships and financial aid
Action: Petition (November 18, 1999)
Court or Agency: Travis County District Court, TX
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. American Blast Fax, Inc.**
Headquarters: Dallas, TX
Product or Business: Unsolicited faxes sent on behalf of the sender and other businesses
Action: Complaint (February 7, 2000)
Court or Agency: United States District Court, Western District of Texas
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. The Kaplan Group, Inc. dba Cash Awards Bureau dba Disbursement Center**
Headquarters: Pompano Beach, FL
Product or Business: Direct mail sweepstakes solicitations and fraudulent invoices
Action: Agreed Final Judgment and Permanent Injunction (January 27, 2000)
Court or Agency: Travis County District Court, Texas
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 25, 2000)

Court or Agency: Travis County District Court, Texas

Legal Contact: C. Brad Schuelke, 512-463-1269

Press Contact: Mark Heckmann, 512-463-2050

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance -- \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Travis County District Court, Texas

Legal Contact: C. Brad Schuelke, 512-463-1269

Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. Warranty USA**

Headquarters: Seattle, WA

Product or Business: Direct mail offers that appeared to be automobile manufacturers' recall notices but which led to a telephone call where consumers received solicitations for extended service contracts

Action: Permanent Injunction (August 31, 2000)

Court or Agency: Bexar County District Court, Texas

Legal Contact: C. Brad Schuelke, 512-463-1269

Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v: Publishers Clearing House***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Petition (October 18, 1999)

Court or Agency: Travis County District Court, Texas

Legal Contact: C. Brad Schuelke, 512-463-1269

Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. Professional Savings Network of Texas, Asif Shamsi, and Concept 2000**

Headquarters: Houston, TX

Product or Business: Direct mail prize promotion by a buyers' club without disclosing all requirements, including attendance at a sales presentation

Action: Agreed Final Judgment and Permanent Injunction (August 29, 2000)

Court or Agency: Harris County District Court, Texas
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

Defendant: **Texas v. The Lead Connection dba Seniors Security Services**
Headquarters: Austin, TX
Product or Business: Direct mailings to seniors claiming that government programs will be unable to provide for their long-term care; the mailings appear to be from a government agency, but are actually from a company that sells long-term care insurance
Action: Petition (April 10, 2000)
Court or Agency: Travis County District Court, Texas
Legal Contact: C. Brad Schuelke, 512-463-1269
Press Contact: Mark Heckmann, 512-463-2050

UTAH Attorney General Jan Graham

Defendant: **In the Matter of: American Express Publishing Corporation***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance (September 13, 2000)
Court or Agency: 3rd District Court, Utah
Legal Contact: Don Hansen, 801-366-0310
Press Contact: Tracey Tabet, 801-538-9600

Defendant: **Utah v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Consent Order of Final Judgment – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: 3rd District Court, Utah
Legal Contact: Don Hansen, 801-366-0310
Press Contact: Tracey Tabet, 801-538-9600

Defendant: **Utah v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance – \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: 3rd District Court, Utah
Legal Contact: Don Hansen, 801-366-0310
Press Contact: Tracey Tabet, 801-538-9600

VIRGINIA

Attorney General Mark L. Earley

Defendant: **Virginia v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$30 million nationwide in restitution and fees (April 3, 2000)

Court or Agency: Circuit Court of the City of Richmond, Virginia

Legal Contact: Gregory C. Fleming, 804-786-2116

Press Contact: Randy Davis, 804-786-4596

Defendant: **Virginia v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance – \$5 million nationwide in restitution and fees (August 24, 2000)

Court or Agency: Circuit Court of the City of Richmond, Virginia

Legal Contact: Gregory C. Fleming, 804-786-2116

Press Contact: Randy Davis, 804-786-4596

Defendant: **Virginia v. American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (September 13, 2000)

Court or Agency: Circuit Court of the City of Richmond, Virginia

Legal Contact: Gregory C. Fleming, 804-786-2116

Press Contact: Randy Davis, 804-786-4596

Defendant: **Virginia v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Consent Injunction and Final Judgment – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)

Court or Agency: Circuit Court of the City of Richmond, Virginia

Legal Contact: Gregory C. Fleming, 804-786-2116

Press Contact: Randy Davis, 804-786-4596

Other: **Virginia Consumer Guide**

Description: Consumer publication that includes sections on Mail Order Shopping and Prizes and Gifts/Sweepstakes

Date: June 2000

Legal Contact: Judith G. Jesse, 804-786-2116

Press Contact: Randy Davis, 804-786-4596

WASHINGTON

Attorney General Christine Gregoire

Defendant: **Washington v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Final Judgment Order – approximately \$20.4 million in nationwide restitution and fees (August 22, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Regina Cullen, 206-464-6261
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***
Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Doug Walsh, 253-593-2256
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Doug Walsh, 253-593-2256
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. American Family Enterprises dba American Family Publishers***
Headquarters: Jersey City, NJ
Product or Business: Direct mail sweepstakes promotions
Action: Stipulated Order – \$800,000 in statewide restitution and fees (August 24, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Bill Nicholson, 206-464-7015
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. Florida Travel Network; Crown Plaza Resorts dba**

Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.

Headquarters: Treasure Island, FL
Product or Business: Direct mail promotions for discount vacation packages, without clear disclosure of the costs and a timeshare tour requirement
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Regina Cullen, 206-464-6261
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James Verrillo; and Robin Leach Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail promotions for discount vacation packages, without clear disclosure of the costs and a timeshare tour requirement
Action: Agreed Judgment and Final Order (February 8, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Regina Cullen, 206-464-6261
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington v. Ultima Systems, Inc.; William Hunter; and Michael Vasey**

Headquarters: Bothell, Washington
Product or Business: Direct mail solicitations, in violation of a 1997 Consent Decree, for travel club membership, without clear disclosure of the costs and required attendance at sales presentations
Action: Stipulated Agreed Judgment (March 17, 2000)
Court or Agency: King County Superior Court, Washington
Legal Contact: Regina Cullen, 206-464-6261
Press Contact: Chris Jarvis, 206- 464-6432

Defendant: **Washington and Arkansas v. Tri-Star Marketing, Inc. and Dary Riedlinger**

Headquarters: Everett, WA
Product or Business: Unsolicited faxes for get-rich-quick scheme called "The Instant Millionaire" program
Action: Final Judgment awarding \$2,089,000 to Washington and \$32,000 to Arkansas (September 13, 2000)
Court or Agency: U.S. District Court, Western District of Washington
Legal Contact: Bob Lipson, 206-389-2513
Press Contact: Chris Jarvis, 206-464-6432

Defendant: **Washington v. Stellar Network; Elite Marketing; and Dean Tolzman**

Headquarters: Signal Hill and Long Beach, CA
Product or Business: "Fax-blasting" of unsolicited faxes

Action: Stipulated Judgment (June 2000)
Court or Agency: U.S. District Court, Western District of Washington
Legal Contact: Bob Lipson, 206-389-2513
Press Contact: Chris Jarvis, 206-464-6432

WEST VIRGINIA Attorney General Darrell V. McGraw, Jr.

Defendant: **West Virginia v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Ft. Lauderdale Vacations; Daniel Lambert; James H. Verrillo; and Robin Leach dba Leach Entertainment Enterprises, Inc.***

Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Agreed Order (February 15, 2000)
Court or Agency: Circuit Court of Kanawha County, Charleston, West Virginia
Legal Contact: Jill Miles, 304-558-8986
Press Contact: Jill Miles, 304-558-8986

Defendant: **West Virginia v. Florida Travel Network; Crown Plaza Resorts; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL
Product or Business: Direct mail promotions for discount vacation packages, without clear disclosure of the costs and a timeshare tour requirement
Action: Complaint and Consent Judgment (February 8, 2000)
Court or Agency: Circuit Court of Kanawha County, Charleston, West Virginia
Legal Contact: Jill Miles, 304-558-8986
Press Contact: Jill Miles, 304-558-8986

Defendant: **In the Matter of: Cape Canaveral Cruise Line Tour & Travel, Inc. and Promotional Travel, Inc.**

Headquarters: Altamonte Springs, FL
Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation
Action: Litigation ongoing
Court or Agency: Office of the Attorney General for West Virginia
Legal Contact: Jill Miles, 304-558-8986
Press Contact: Jill Miles, 304-558-8986

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA
Product or Business: Direct mail sweepstakes promotions
Action: Assurance and Settlement Agreement -- \$30 million in nationwide

restitution and fees (April 3, 2000)
Court or Agency: Office of the Attorney General for West Virginia
Legal Contact: Jill Miles, 304-558-8986
Press Contact: Jill Miles, 304-558-8986

Defendant: **In the Matter of: Vacation Network, Inc.**
Headquarters: Ft. Lauderdale, FL
Product or Business: Direct mail marketing of campground membership resale contracts without data supporting the existence of the resale market
Action: Assurance of Discontinuance (June 5, 2000)
Court or Agency: Office of the Attorney General for West Virginia
Legal Contact: Norman Googel, 304-558-8986, ext. 115
Press Contact: Norman Googel, 304-558-8986, ext. 115

Defendant: **West Virginia v. Publishers Clearing House***
Headquarters: Port Washington, NY
Product or Business: Direct mail sweepstakes promotions
Action: Complaint (January 24, 2000)
Court or Agency: Circuit Court of Kanawha County, Charleston, West Virginia

Legal Contact: Charli Fulton, 304-558-8986
Press Contact: Charli Fulton, 304-558-8986

Defendant: **In the Matter of: American Express Publishing Corporation**
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance and Discontinuance (August 30, 2000)
Court or Agency: Office of the Attorney General of West Virginia
Legal Contact: Greg Skinner, 304-558-8986
Press Contact: Greg Skinner, 304-558-8986

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***
Headquarters: New York, NY
Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance or Discontinuance -- \$5 million in nationwide restitution and fees (August 16, 2000)
Court or Agency: Office of the Attorney General of West Virginia
Legal Contact: Greg Skinner, 304-558-8986
Press Contact: Greg Skinner, 304-558-8986

WISCONSIN Attorney General James E. Doyle

Defendant: **Federal Trade Commission and State of Wisconsin v. First Impressions, Inc. dba Air-Land-Sea Reservations, Inc.; Air-Land-Sea Travel, Inc.; Vacations R Us, Inc.; Vacation World, Inc.; John Mark Bundy; E. Gene Paige; and James Burns**

Headquarters: First Impressions in DE; the others in FL

Product or Business: Direct mail promotion of vacation and travel products and services

Action: Consent Judgment for Permanent Injunction and Restitution (July 27, 2000)

Court or Agency: U.S. District Court, Southern District of Florida

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (August 2000)

Court or Agency: Office of the Attorney General for Wisconsin

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

Defendant: **Wisconsin v. Florida Travel Network; Crown Plaza Resorts, L.C. dba Imperial Majesty Cruise Lines; Vance Vogel; and James Herron, Sr.**

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure about requirements, including attendance at a timeshare sales presentation

Action: Consent Judgment (February 8, 2000)

Court or Agency: Kenosha County Circuit Court, Wisconsin

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

Defendant: **Wisconsin v. Robin Leach dba Leach Entertainment Enterprises, Inc.***

Headquarters: Treasure Island, FL

Product or Business: Direct mail solicitations for and endorsement of discount vacations without adequate disclosure about terms and conditions, including attendance at a timeshare sales presentation

Action: Consent Judgment (June 19, 2000)

Court or Agency: Winnebago County Circuit Court, Wisconsin

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

Defendant: **Wisconsin v. National Travel Services, Inc.; Plaza Resorts, Inc. dba Ramada Plaza Resorts and dba Ramada Plaza Resorts Orlando/Fort Lauderdale Vacations; Daniel Lambert; and James H. Verrillo***

Headquarters: Ft. Lauderdale, FL

Product or Business: Direct mail solicitations for discount vacations without adequate disclosure

Action: about requirements, including attendance at a timeshare sales presentation
Consent Judgment (February 8, 2000)
Court or Agency: Winnebago County Circuit Court, Wisconsin
Legal Contact: Jim Jeffries, 608-267-8901
Press Contact: Randy Romanski, 608-266-1221

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance -- \$30 million nationwide in restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for Wisconsin

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

Defendant: **Wisconsin v. Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Discontinuance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for Wisconsin

Legal Contact: Jim Jeffries, 608-267-8901

Press Contact: Randy Romanski, 608-266-1221

WYOMING

Attorney General Gay Woodhouse

Defendant: **In the Matter of: U.S. Sales Corp. dba United States Purchasing Exchange aka USPE***

Headquarters: Northridge, CA

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance or Discontinuance -- \$30 million in nationwide restitution and fees (April 5, 2000)

Court or Agency: Office of the Attorney General for Wyoming

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Defendant: **In the Matter of: Time, Inc.; Entertainment Weekly, Inc.; Time Publishing Ventures, Inc.; Time, Inc. Home Entertainment; Time Life, Inc.; and Time Consumer Marketing, Inc.***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions
Action: Assurance of Voluntary Compliance -- \$5 million in nationwide restitution and fees (August 24, 2000)

Court or Agency: Office of the Attorney General for Wyoming

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Defendant: **In re: American Express Publishing Corporation***

Headquarters: New York, NY

Product or Business: Direct mail sweepstakes promotions

Action: Assurance of Voluntary Compliance (August 31, 2000)

Court or Agency: Office of the Attorney General for Wyoming

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Defendant: **Wyoming v. Publishers Clearing House***

Headquarters: Port Washington, NY

Product or Business: Direct mail sweepstakes promotions

Action: Settlement Agreement – approximately \$20.4 million in nationwide restitution and fees (August 25, 2000)

Court or Agency: District Court for the First Judicial District, Wyoming

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Other: **Legislation**

Description: Support for amendments to the Wyoming Consumer Protection Act that 1) added civil penalties of up to \$10,000 per unfair/deceptive act or practice, and enhanced civil penalties of up to \$15,000 per violation if elderly or disabled individuals are targeted; and 2) granted investigative subpoena power to the Office of the Attorney General

Date: Effective July 2000

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Other: **Consumer Education for Seniors**

Description: *Wyoming Retirement Services Newsletter*, distributed to state retirement system participants, featuring articles on avoiding fraud in the electronic marketplace and involvement with fraudulent charities and pyramid schemes; presentations for senior citizens and public housing residents focusing on common scams directed at seniors

Date: Ongoing

Legal Contact: Christopher Petrie, 307-777-7874

Press Contact: Christopher Petrie, 307-777-7874

Other: **Consumer Education for Students**

Description: Presentation at the University of Wyoming addressing fraud promoted by

Legal Contact: direct mail, email, and telemarketers
Christopher Petrie, 307-777-7874
Press Contact: Christopher Petrie, 307-777-7874

Other: **Continuing Legal Education (CLE)**
Description: CLE presentation at Wyoming State Bar Convention to educate practitioners about the Wyoming Consumer Protection Act and other statutes that provide remedies for consumer fraud victims
Legal Contact: Christopher Petrie, 307-777-7874
Press Contact: Christopher Petrie, 307-777-7874

The Federal Trade Commission's Bureau of Consumer Protection wishes to express its appreciation for the work of the Yellow Pages Publishers Association (YPPA) on behalf of the federal-state/public-private activity, *Project Mailbox*. Over the last few years, YPPA has been instrumental in alerting its membership -- as well as other businesses and organizations -- to recognize the many scams that contact potential victims through direct mail, unsolicited faxes, or email. Moreover, YPPA has enlisted many business and trade associations to help publicize the *Project Mailbox* public service campaign that warns consumers and businesses nationwide about fraud perpetrated through these media. The now-familiar *Project Mailbox* logo and slogans appear not only in the hard copy directories of many businesses and other organizations recruited by YPPA, but also on their web sites, with an appropriate message and a hyperlink to the FTC's web site, *FTC.GOV*. Through these and other efforts, YPPA has extended the reach of *Project Mailbox* through innumerable businesses, trade associations, and other organizations that otherwise might not have been included.