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# CMS Manual System

## Pub. 100-04 Medicare Claims Processing

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Department of Health &  
Human Services (DHHS)  
Centers for Medicare &  
Medicaid Services (CMS)

Transmittal 82

Date: FEBRUARY 6, 2004

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**CHANGE REQUEST 3066**

**I. SUMMARY OF CHANGES:** Carriers will be provided with a CWF auxiliary file that contains information on a beneficiary's ESRD status.

**NEW/REVISED MATERIAL - EFFECTIVE DATE: July 1, 2004**

**\*IMPLEMENTATION DATE: July 6, 2004**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to the red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will only receive the new/revised information, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:**  
(R = REVISED, N = NEW, D = DELETED)

R/N/D	CHAPTER/SECTION/SUBSECTION/TITLE
R	8/Table of Contents
N	8/200/ Utilization of REMIS for Carrier Claims Adjudication

**\*III. FUNDING:**

These instructions should be implemented within your current operating budget.

**IV. ATTACHMENTS:**

X	Business Requirements
X	Manual Instruction
	Confidential Requirements
	One-Time Notification
	Recurring Change Notification

**\*Medicare contractors only**

# Attachment - Business Requirements

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**SUBJECT: ESRD Data for Use In Adjudicating Claims**

## **I. GENERAL INFORMATION**

### **A. Background:**

When a patient is determined to have chronic kidney failure and receives treatment in a Medicare-approved dialysis center or receives a kidney transplant, the attending physician must complete an ESRD Medical Evidence Report Form, CMS-2728-U3. For persons not currently entitled to Medicare, the information on this form is used to register patients with the United States Renal Data System. For persons already entitled to Medicare, this information is used to annotate their record for Medicare program purposes.

The dialysis center is responsible for submitting the original copy of the completed Form, CMS-2728-U3, to the Social Security Office. The dialysis center also submits copies of and is responsible for verifying the information on the form and resolving any questionable items before sending the information to the ESRD Networks who transmit the information to CMS.

CMS maintains the Renal Management Information System (REMIS) to determine Medicare coverage periods for ESRD patients and to serve as the primary mechanism to store and access information in the ESRD Program Management and Medical Information System Database. REMIS is also used by CMS and the renal community to monitor the Medicare status, transplant activities, dialysis activities, and Medicare utilization of ESRD patients and their Medicare providers.

Currently, fiscal intermediaries have access to REMIS. However, carriers do not have access to this information. The implementation of the business requirements create a new CWF auxiliary file carriers may access.

### **B. Policy:**

CWF must create and maintain an auxiliary file entitled "Dialysis (DLYS)." CWF must extract the data for this auxiliary file from REMIS.

### **Implementation:**

The following fields are contained in the dialysis auxiliary file:

- ***ESRD Coverage Start Date*** (The date on which the beneficiary is entitled to Medicare, in some part, because of a diagnosis of End Stage Renal Disease.)

- **ESRD Coverage Source Code** (The source of the information that establishes Medicare-based End-Stage Renal Disease Coverage; A= Part A and Dialysis Training, B= Part A and Dialyzing (No 3 month wait), C= Part A and 3 months after Dialysis, D= Part A and Functioning Transplant, E= Part A and Month of Pre-Transplant Stay, F=Part A and ESRD (Verified Source), Blank= No ESRD Involvement.)
- **ESRD Coverage Termination Date** (The date on which the beneficiary is no longer entitled to Medicare under ESRD Provisions.)
- **ESRD Coverage Termination Reason** (A code that indicates the reason Medicare-based End-Stage Renal Disease Coverage was terminated; codes: A= Month of transplant plus 36 months, B=Last month of chronic dialysis, C= Part A termination, D=Death, E=ESRD ended: other verified source.)
- **ESRD Dialysis Start Date** (A date that indicates when ESRD dialysis started.)
- **ESRD Dialysis Stop Date** (A date that indicates when ESRD dialysis ended.)
- **ESRD Transplant Start Date** (A date that indicates when a kidney transplant operation occurred.)
- **ESRD Transplant Stop Date** (A date that indicates when a kidney transplant failed.)

C. **Provider Education:** None.

## II. BUSINESS REQUIREMENTS

*"Shall" denotes a mandatory requirement*

*"Should" denotes an optional requirement*

Requirement #	Requirements	Responsibility
3066.1	CWF shall create a new Dialysis auxiliary file and extract data from REMIS to populate the elements in the Dialysis auxiliary file.	CWF
3066.2	CWF shall add the EDB element " <b>ESRD Coverage Start Date</b> " to the CWF Dialysis auxiliary file.	CWF
3066.3	CWF shall add the EDB element " <b>ESRD Coverage Source Code</b> " to the CWF Dialysis auxiliary file.	CWF
3066.4	CWF shall add the EDB element " <b>ESRD Coverage Termination Date</b> " to the CWF Dialysis auxiliary file.	CWF
3066.5	CWF shall add the EDB element " <b>ESRD Coverage Termination Reason</b> " to the CWF Dialysis auxiliary file.	CWF

3066.6	CWF shall add the EDB element “ <b>ESRD Dialysis Start Date</b> ” to the CWF Dialysis auxiliary file.	CWF
3066.7	CWF shall add the EDB element “ <b>ESRD Dialysis Stop Date</b> ” to the CWF Dialysis auxiliary file.	CWF
3066.8	CWF shall add the EDB element “ <b>ESRD Transplant Start Date</b> ” to the CWF Dialysis auxiliary file.	CWF
3066.9	CWF shall add the EDB element “ <b>ESRD Transplant Stop Date</b> ” to the CWF Dialysis auxiliary file.	CWF
3066.10	Local carriers and DMERCs should access the data elements contained in the CWF Dialysis auxiliary file to assist in adjudicating claims.	Carrier/DMERCs

**III. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS**

**A. Other Instructions: N/A**

<b>X-Ref Requirement #</b>	<b>Instructions</b>
N/A	

**B. Design Considerations: N/A**

<b>X-Ref Requirement #</b>	<b>Recommendation for Medicare System Requirements</b>
N/A	

**C. Interfaces: N/A**

**D. Contractor Financial Reporting /Workload Impact: N/A**

**E. Dependencies: N/A**

**F. Testing Considerations: N/A**

**IV. SCHEDULE, CONTACTS, AND FUNDING**

<p><b>Effective Date: July 1, 2004</b></p> <p><b>Implementation Date: July 6, 2004</b></p> <p><b>Pre-Implementation Contact(s): Tracey Hemphill, (410) 786-7169</b></p> <p><b>Post-Implementation Contact(s): Regional Offices</b></p>	<p><b>These instructions should be implemented within your current operating budget.</b></p>
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# Medicare Claims Processing Manual

## Chapter 8 - Outpatient ESRD Hospital, Independent Facility, and Physician/Supplier Claims

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*(Rev. 82, 02-06-04)*

*200 - Utilization of REMIS for Carrier Claims Adjudication*

## ***200 – Utilization of REMIS for Carrier Claims Adjudication***

***(Rev. 82, 02-06-04)***

*Renal Management Information System (REMIS) determines the Medicare coverage periods for ESRD patients and serves as the primary mechanism to store and access information in the ESRD Program Management and Medical Information System Database. REMIS is used by CMS and the renal community to monitor the Medicare status, transplant activities, dialysis activities, and Medicare utilization of ESRD patients and their Medicare provider.*

*The following fields are contained in the (Dialysis) auxiliary file:*

- ***ESRD Coverage Start Date*** *(The date on which the beneficiary is entitled to Medicare, in some part, because of a diagnosis of End Stage Renal Disease.)*
- ***ESRD Coverage Source Code*** *(The source of the information that establishes Medicare-based End-Stage Renal Disease Coverage; A= Part A and Dialysis Training, B= Part A and Dialyzing (No 3 month wait), C= Part A and 3 months after Dialysis, D= Part A and Functioning Transplant, E= Part A and Month of Pre-Transplant Stay, F= Part A and ESRD (Verified Source), Blank= No ESRD Involvement.)*
- ***ESRD Coverage Termination Date*** *(The date on which the beneficiary is no longer entitled to Medicare under ESRD Provisions.)*
- ***ESRD Coverage Termination Reason*** *(A code that indicates the reason Medicare-based End-Stage Renal Disease Coverage was terminated; codes: A= Month of transplant plus 36 months, B=Last month of chronic dialysis, C= Part A termination, D=Death, E=ESRD ended: other verified source.)*
- ***ESRD Dialysis Start Date*** *(A date that indicates when ESRD dialysis started.)*
- ***ESRD Dialysis Stop Date*** *(A date that indicates when ESRD dialysis ended.)*
- ***ESRD Transplant Start Date*** *(A date that indicates when a kidney transplant operation occurred.)*
- ***ESRD Transplant Stop Date*** *(A date that indicates when a kidney transplant failed.)*

*The above data elements are in CWF and stored in the dialysis auxiliary file, which can be used to identify a beneficiary's ESRD eligibility. This data source will assist carriers in reviewing overpayment determination and accurately processing claims.*