# Medicare Health Outcomes Survey 

## Applied Research Center

# Report on the Health Status of the Medicare Dual Eligible 

Cohort I Baseline Data 1998

Prepared by

Health Services Advisory Group

December 15, 2000

## TABLE OF CONTENTS

Introduction ..... 1
Methodology ..... 1
Data. ..... 2
Significant Findings ..... 4
Tables
Demographics ..... 5
Chronic Conditions ..... 6
Smoking Behavior ..... 6
Type of ADL Impairments ..... 7
Number of ADLs Impaired ..... 7
Health Status ..... 8
Depression Questions ..... 8
Depression Question Comparison ..... 9
SF-36 ${ }^{\circledR}$ ..... 9
Graphs
Marital Status ..... 10
Race and Ethnicity ..... 11
Educational Level Attained ..... 12
Annual Income Level ..... 13
Chronic Conditions ..... 14
Smoking Behavior ..... 15
Type of ADLs ..... 16
Number of ADLs Impaired ..... 17
General Health Status ..... 18
Health Transition Status ..... 19
Health Compared to Peers Status ..... 20
Depression ..... 21
SF-36 ${ }^{\circledR}$ Scores ..... 22

## INTRODUCTION

This report presents a comparison of the demographic and health characteristics of beneficiaries entitled to both Medicaid and Medicare (Dual Eligible) and those beneficiaries entitled only to Medicare (Medicare Only) in the Medicare Health Outcomes Survey (HOS) Cohort I Baseline measurement. The purpose of this report is to provide a descriptive picture of the Dual Eligible population and to identify differences between this group and the larger, Medicare Only population.

## METHODOLOGY

## Medicare Health Outcomes Survey Sample Characteristics

In 1998, the Health Care Financing Administration (HCFA) drew a random sample of 1,000 Medicare beneficiaries from each applicable Medicare contract market. In plans with fewer than 1,000 Medicare beneficiaries, the sample included the entire enrolled Medicare population who met the inclusion criteria. The sample frame for the survey comprised the enrolled Medicare membership of a contract market. Institutionalized beneficiaries were eligible for inclusion in the sample frame, as were disabled beneficiaries. Beneficiaries with end-stage renal disease were excluded from the sampling. Specifically, members were eligible for sampling if they met the following criteria:

- They were Medicare beneficiaries enrolled in a Medicare risk or cost contract with a contract effective date of January 1, 1997, or before.
- They were current health plan members who were continuously enrolled in the health plan for at least six months at the time the sample was drawn by HCFA.
- They were not included in the sample surveyed during the same calendar year for the Consumer Assessment of Health Plans Survey (CAHPS ${ }^{\circledR}$ ), unless the plan's total Medicare membership was less than 1,600 .

The data collection protocol used a combination of mail and telephone phone surveys. The methods included multiple mailings and follow-up of non-respondents for optimal response rates. The complete data collection protocols can be found in the Health Plan Employer Data and Information Set (HEDIS ${ }^{\circledR}$ ) 1998 Medicare Health Outcomes Survey Manual ${ }^{1}$.
${ }^{1}$ National Committee for Quality Assurance. (1998). HEDIS ${ }^{\circledR} 3.0 / 1998$, Volume 6, Health of Seniors Survey Manual. Washington, D.C. NCQA Publication.

Note: CAHPS ${ }^{\circledR}$ is a registered trademark of the Agency for Healthcare Research and Quality (AHRQ). HEDIS ${ }^{\oplus}$ is a registered trademark of the National Committee for Quality Assurance (NCQA).

## Cohort 1 Baseline

This report utilizes Cohort I Baseline data. This cohort is comprised of 279,135 Medicare beneficiaries from 269 Medicare + Choice Organizations (M+COs) representing 287 market areas. A total of 266,880 beneficiaries were enrolled only in Medicare, and 171,510 returned a survey for a response rate of 64.3 percent. Of the original sample, 12,255 ( 4.4 percent) were entitled to both Medicare and Medicaid (Dual Eligible). Of this group, 6,247 returned a survey, for a response rate of 51 percent.

## DATA

## Demographics

(Table 1, Figures 1, 2, 3 and 4)
Dual Eligible beneficiaries are more likely to be white, female, widowed, with less than an $8^{\text {th }}$ grade education, living below the Federal Poverty Level (FPL), and non-homeowners. Medicare Only beneficiaries are more likely to be white, female, married, high school graduates, living on incomes of $\$ 10,000$ to $\$ 19,999$, and homeowners.

As can be seen in Table 1, both groups are more likely to be female than male, but the likelihood of being female is much higher for the Dual Eligible. The median age for the Dual Eligible group is 72 (range 21-105 years), and the median age for the Medicare Only group is 73 (range 21-108 years).

Figure 1 shows that Medicare Only beneficiaries are more likely to be married, whereas the Dual Eligible beneficiaries are more likely to be widowed. Dual Eligible beneficiaries are also more likely to be divorced or to have never married than are Medicare Only beneficiaries.

The Dual Eligible population has a higher representation of minorities than the Medicare Only population (see Figure 2). Likewise, beneficiaries of Hispanic origin are more highly represented in the Dual Eligible population.

The largest proportion of Medicare Only beneficiaries own their homes, whereas the largest proportion of Dual Eligible beneficiaries rent.

Dual Eligible beneficiaries are more likely to have less than a high school education than are Medicare Only beneficiaries (Figure 3). Conversely, Medicare Only beneficiaries are more likely than Dual Eligible beneficiaries to have at least some college, and more than twice as likely to have more than 4 years of college.

Figure 4 reveals that Dual Eligible beneficiaries are more likely to live in poverty; 76 percent fall at or below 200 percent of the Federal Poverty Level (FPL), as compared to 42 percent of Medicare Only beneficiaries.

## Chronic Conditions

(Table 2, Figure 5)
As shown in Table 2, the most frequently reported chronic condition for both groups is hypertension, followed by arthritis. Dual Eligible beneficiaries are almost twice as likely to report a stroke as are the Medicare Only beneficiaries. The Dual Eligible members are also more likely to report COPD than the Medicare Only members ( 21 percent compared to 13 percent). As can be seen in Figure 5, Dual Eligible beneficiaries are more likely to report three or more chronic conditions than are Medicare Only beneficiaries (54 percent compared to 38 percent).

## Risk Factors

(Table 3, Figure 6)
Table 3 shows that one-third ( 33 percent) of the Dual Eligible respondents currently smoke, compared to 21 percent of the Medicare Only respondents. A greater proportion of the Medicare Only beneficiaries report having quit smoking, 71 percent as compared to 56 percent of Dual Eligible beneficiaries (see Figure 6).

## ADL Impairments

(Tables 4 and 5, Figures 7 and 8)
Table 4 and Figure 7 show that both groups report the highest level of inability for tasks of bathing, getting in or out of a chair, and walking. Dual Eligible beneficiaries are more impaired on Activities of Daily Living (ADLs) than are Medicare Only beneficiaries (Table 5 and Figure 8). The majority ( 59 percent) of Medicare Only beneficiaries have no ADL impairments compared to approximately one-third ( 32 percent) of the Dual Eligible beneficiaries. Over one-half ( 53 percent) of the Dual Eligible beneficiaries report two or more ADL impairments compared to just under one-quarter ( 26 percent) of the Medicare Only beneficiaries. Dual Eligible beneficiaries are more than twice as likely as are Medicare Only beneficiaries to report impairment on three or more ADLs ( 38 percent compared to 15 percent).

## Health Status

(Table 6, Figures 9, 10 and 11)
On all health status measures, the Dual Eligible members tend to rate their health in negative terms (see Table 6). Figure 9 shows that the Dual Eligible beneficiaries are twice as likely to rate their general health as fair to poor as are Medicare Only beneficiaries. One-third of Dual Eligible beneficiaries perceive their health as declining relative to last year, as compared to 19 percent of Medicare Only beneficiaries (Figure 10). As can be seen in Figure 11, over one-half of the Dual Eligible members rate their health as fair to poor relative to their peers, as compared to less than a quarter ( 24 percent) of the Medicare Only members.

## Depression <br> (Tables 7 and 8, Figure 12)

As can be seen in Table 7, the Dual Eligible beneficiaries endorse depression questions more frequently than do Medicare Only beneficiaries. On each of the three depression questions, Dual Eligible beneficiaries are twice as likely to respond affirmatively as are Medicare Only beneficiaries (see Figure 12). Table 8 shows that these beneficiaries followed a consistent response pattern based on the time frames of the questions.

## SF-36 ${ }^{\circledR}$

(Table 9, Figure 13)
As can be seen in Table 9, the Dual Eligible respondents scored significantly lower than the Medicare Only respondents on all of the SF-36 ${ }^{\circledR}$ scales, and both groups scored significantly different from the national norms on all scales. The Physical Component Summary score (PCS), was almost two standard deviations below the national norm for the Dual Eligible members, whereas it was less than one standard deviation below the national norm for the Medicare Only members.

The Mental Component Summary scores (MCS) are elevated in both groups compared to the PCS scores. As demonstrated in Figure 13, those scales that affect the MCS scores (SF, RE, VT, and MH) are also consistently higher than the scales that affect the PCS scores (PF, RP, BP, and GH).

## SIGNIFICANT FINDINGS

Compared to Medicare Only members, Dual Eligible beneficiaries in HOS Cohort I Baseline

- are more likely to be widowed
- have less education
- have lower incomes
- are more likely to have a higher number of chronic conditions
- are more likely to smoke and less likely to have quit
- are twice as likely to rate their health as fair or poor
- are more likely to be impaired on three or more ADLs
- are twice as likely to report depression
- scored lower on all SF-36 ${ }^{\circledR}$ scales

Medicare HOSARC
Health Status of the Medicare Dual Eligible
December 15, 2000

| Table 1 <br> Demographics Cohort I Baseline |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Variable | Category | Dual Eligible | Medicare Only | $\chi{ }^{2}$ value | P Value |
| Total |  | N=6,247 | N=171,510 |  |  |
| Gender | Male | 1,723 (27.6\%) | 74,865 (43.7\%) | 634.7 | <. 001 |
|  | Female | 4,524 (72.4\%) | 96,645 (56.3\%) |  |  |
|  | Missing/Total | $0 / 6,247$ | $0 / 171,510$ |  |  |
| Race | American Indian/Alaskan Native | 129 ( 2.2\%) | 1,292 ( 0.8\%) | 3,097.3 | <. 001 |
|  | Asian/Pacific Islander | 175 ( 3.0\%) | 2,748 ( 1.6\%) |  |  |
|  | Black/African American | 1,330 (22.6\%) | 10,801 ( 6.5\%) |  |  |
|  | White | 3,875 (65.7\%) | 148,225 (88.9\%) |  |  |
|  | Other/Multiracial <br> Missing/Total Hispanic or Spanish Background Missing/Total | 385 ( 6.5\%) | 3,657 ( 2.2\%) |  |  |
|  |  | 353 / 5,894 | 4,787 / 166,723 |  |  |
|  |  | 759 (12.9\%) | 7,841 ( 4.8\%) |  |  |
|  |  | 370 / 5,877 | 6,789 / 164,721 |  |  |
| Age Group | $\begin{aligned} & \hline \text { Under } 45 \\ & 45-54 \\ & 55-64 \\ & 65-74 \\ & 75-84 \\ & 85 \text { or Over } \end{aligned}$ | 399 ( 6.4\%) | 990 ( 0.6\%) | 4,498.2 | <. 001 |
|  |  | 379 ( 6.1\%) | 2,328 ( 1.4\%) |  |  |
|  |  | 560 ( 9.0\%) | 5,857 ( 3.4\%) |  |  |
|  |  | 2,372 (38.0\%) | 93,571 (54.6\%) |  |  |
|  |  | 1,772 (28.4\%) | 55,668 (32.5\%) |  |  |
|  |  | 764 (12.2\%) | 13,091 ( 7.6\%) |  |  |
|  | Missing/Total | 1/6,246 | 5/171,505 |  |  |
| Marital Status | Married <br> Divorced <br> Separated <br> Widowed <br> Never Married | 1,284 (21.2\%) | 99,867 (59.3\%) | 4,749.4 | <. 001 |
|  |  | 1,252 (20.6\%) | 14,533 ( 8.6\%) |  |  |
|  |  | 254 ( 4.2\%) | 1,467 ( 0.9\%) |  |  |
|  |  | 2,585 (42.6\%) | 47,603 (28.3\%) |  |  |
|  |  | 693 (11.4\%) | 5,020 ( 3.0\%) |  |  |
|  |  | 179 / 6,068 | 3,020 / 168,490 |  |  |
| Educational Level | 8th Grade or Less <br> Some High School <br> High School/GED <br> Some College <br> College Graduate <br> More than 4 Year Degree <br> Missing/Total | 1,772 (30.0\%) | 20,845 (12.5\%) | 2,019.6 | <. 001 |
|  |  | 1,418 (24.0\%) | 30,026 (18.0\%) |  |  |
|  |  | 1,601 (27.1\%) | 58,061 (34.8\%) |  |  |
|  |  | 814 (13.8\%) | 34,824 (20.9\%) |  |  |
|  |  | 161 ( 2.7\%) | 11,208 ( 6.7\%) |  |  |
|  |  | 135 ( 2.3\%) | 11,938 ( 7.2\%) |  |  |
|  |  | 346 / 5,901 | 4,608 / 166,902 |  |  |
| Income Level | Less than $\$ 5,000$  <br> $\$ 5,000-\$ 9,999$  <br> $\$ 10,000-\$ 19,999$  <br> $\$ 20,000-\$ 29,999$  <br> $\$ 30,000-\$ 39,999$  <br> $\$ 40,000-\$ 49,999$  <br> $\$ 50,000-\$ 79,999$  <br> $\$ 80,000-\$ 99,999$  <br> $\$ 100,000$ or more  <br> Don't know  <br>  Missing/Total | 995 (17.4\%) | 4,968 ( 3.2\%) | 9,478.5 | <. 001 |
|  |  | 2,395 (41.8\%) | 17,132 (11.1\%) |  |  |
|  |  | 964 (16.8\%) | 42,301 (27.5\%) |  |  |
|  |  | 209 ( 3.6\%) | 29,717 (19.3\%) |  |  |
|  |  | 86 ( 1.5\%) | 17,971 (11.7\%) |  |  |
|  |  | 37 ( 0.6\%) | 9,665 ( 6.3\%) |  |  |
|  |  | 33 ( 0.6\%) | 9,209 ( 6.0\%) |  |  |
|  |  | 10 ( 0.2\%) | 1,926 ( 1.3\%) |  |  |
|  |  | 6 ( 0.1\%) | 2,341 ( 1.5\%) |  |  |
|  |  | 992 (17.3\%) | 18,428 (12.0\%) |  |  |
|  |  | 520 / 5,727 | 17,852 / 153,658 |  |  |
| Homeowner Status | Owned or being bought by you Owned or being bought by someone in your family other than you Rented for money Not owned and one in which you live without payment or rent | 1,623 (29.1\%) | 125,471 (76.2\%) | 6,715.9 | <. 001 |
|  |  | 786 (14.1\%) | 10,958 ( 6.7\%) |  |  |
|  |  | 2,922 (52.5\%) | 25,099 (15.2\%) |  |  |
|  |  | 237 ( 4.3\%) | 3,169 ( 1.9\%) |  |  |
|  |  | 679 / 5,568 | 6,813 / 164,697 |  |  |
| Institutionalized | No <br> Yes | 5,995 (96.0\%) | 170,966 (99.7\%) | 1,867.8 | <. 001 |
|  |  | 252 ( 4.0\%) | 544 ( 0.3\%) |  |  |
|  |  | $0 / 6,247$ | 0 / 171,510 |  |  |

Medicare HOSARC
Health Status of the Medicare Dual Eligible
December 15, 2000

| Table 2 <br> Chronic Conditions Cohort I Baseline |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Condition | Dual Eligible | Medicare Only | $\chi^{2}$ value | P Value |
| Angina Pectoris | 1,185 (20.1\%) | 27,022 (16.3\%) | 61.9 | <. 001 |
| Arthritis of the hand/wrist | 2,811 (47.0\%) | 57,090 (34.2\%) | 416.7 | <. 001 |
| Arthritis of the hip/knee | 3,142 (52.1\%) | 63,677 (38.0\%) | 486.3 | <. 001 |
| Cancer | 710 (11.8\%) | 22,034 (13.1\%) | 9.1 | . 003 |
| Diabetes | 1,552 (25.9\%) | 27,799 (16.6\%) | 355.4 | <. 001 |
| Emphysema/Asthma/COPD | 1,228 (20.7\%) | 21,549 (12.9\%) | 304.3 | <. 001 |
| Hypertension | 3,633 (60.1\%) | 88,588 (52.7\%) | 128.6 | <. 001 |
| Myocardial Infarction | 852 (14.5\%) | 17,756 (10.7\%) | 84.7 | <. 001 |
| Other Heart Conditions | 1,622 (27.4\%) | 35,410 (21.3\%) | 127.1 | <. 001 |
| Stroke | 990 (16.6\%) | 13,424 ( 8.1\%) | 552.2 | <. 001 |
| Number of Chronic Conditions <br> No Chronic Conditions | 692 (11.3\%) | 25,763 (15.2\%) | 1057.9 | <. 001 |
| One Chronic Condition | 938 (15.3\%) | 39,694 (23.4\%) |  |  |
| Two Chronic Conditions | 1,157 (18.9\%) | 39,221 (23.2\%) |  |  |
| Three Chronic Conditions | 1,216 (19.8\%) | 29,786 (17.6\%) |  |  |
| Four-Five Chronic Conditions | 1,482 (24.2\%) | 27,792 (16.4\%) |  |  |
| Six or More Chronic Conditions | 651 (10.6\%) | 7,112 ( 4.2\%) |  |  |


| Table 3 <br> Smoking Behavior <br> Cohort I Baseline |  |  |  |  |
| :--- | ---: | ---: | :--- | :--- |
| Variable | Dual Eligible | Medicare Only | $\chi^{2}$ value | P Value |
| Ever Smoked 100 Cigarettes* | $2987(52.3 \%)$ | $92695(57.1 \%)$ | 51.8 | $<.001$ |
| Yes | $2724(47.7 \%)$ | $69645(42.9 \%)$ |  |  |
| No | 5,711 | 162,340 |  |  |
| Total | $915(26.2 \%)$ | $16802(16.5 \%)$ | 306.0 | $<.001$ |
| Current Smoking Frequency* | $246(7.0 \%)$ | $4463(4.4 \%)$ |  |  |
| Every Day | $2331(66.8 \%)$ | $80400(79.1 \%)$ |  |  |
| Some Days | 2,577 | 84,863 |  |  |
| Not at All | $143(8.6 \%)$ | $2765(4.2 \%)$ | 75.9 | $<.001$ |
| Total | $1524(91.4 \%)$ | $63146(95.8 \%)$ |  |  |
| Quit Smoking* | 1,667 | 65,911 |  |  |
| 12 Months or Less |  |  |  |  |
| More than 12 Months |  |  |  |  |
| Total |  |  |  |  |

*Response option of "Don't know" excluded from calculations.

Medicare HOSARC
Health Status of the Medicare Dual Eligible
December 15, 2000

| Table 4 <br> Type of ADL Impairments Cohort I Baseline |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Activity of Daily Living | Response Category | Dual Eligible | Medicare Only | $\chi^{2}$ value | P Value |
| Using Toilet | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $330(5.5 \%)$ $1,117(18.5 \%)$ $4,604(76.1 \%)$ $196 / 6,051$ | $2,017$ ( $1.2 \%)$ $11,867(7.0 \%)$ $154,609(91.8 \%)$ 3,017 / 168,493 | 1967.9 | <. 001 |
| Eating | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $209(3.5 \%)$ $876(14.5 \%)$ $4,942(82.0 \%)$ $220 / 6,027$ | 1,660 $(1.0 \%)$ <br> 7,964 $(4.7 \%)$ <br> 158,609 $(94.3 \%)$ <br> 3,277 / 168,233 | 1529.2 | <. 001 |
| Bathing | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $646(10.7 \%)$ $1,664(27.4 \%)$ $3,754(61.9 \%)$ $183 / 6,064$ | $4,035(2.4 \%)$ $19,756(11.7 \%)$ $144,838(85.9 \%)$ $2,881 / 168,629$ | 3066.9 | <. 001 |
| Getting In or Out of Chairs | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $403(6.7 \%)$ $2,511(41.5 \%)$ $3,137(51.8 \%)$ $196 / 6,051$ | $2,278$ ( $1.4 \%)$ $42,387(25.2 \%)$ $123,623(73.5 \%)$ 3,222 / 168,288 | 2048.2 | <. 001 |
| Dressing | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $499(8.3 \%)$ $1,424(23.6 \%)$ $4,122(68.2 \%)$ $202 / 6,045$ | $2,849(1.7 \%)$ $16,938(10.0 \%)$ $148,777(88.3 \%)$ $2,946 / 168,564$ | 2592.7 | <. 001 |
| Walking | Unable To Do Have Difficulty Do Not Have Difficulty Missing/Total | $596(9.8 \%)$ $3,096(51.0 \%)$ $2,376(39.2 \%)$ $179 / 6,068$ | $4,300(2.6 \%)$ $53,915(32.0 \%)$ $110,111(65.4 \%)$ $3,184 / 168,326$ | 2374.2 | <. 001 |


| Table 5 <br> Number of ADLs Impaired <br> Cohort I Baseline |  |  |  |  |
| :---: | ---: | ---: | :---: | :---: |
| Number of <br> ADLs Impaired | Dual Eligible | Medicare Only | $\chi^{2}$ value | P Value |
| $\mathbf{0}$ | $1,975(32.2 \%)$ | $99,918(59.0 \%)$ | 3294.1 | $<.001$ |
| $\mathbf{1}$ | $929(15.2 \%)$ | $24,938(14.7 \%)$ |  |  |
| $\mathbf{2}$ | $921(15.0 \%)$ | $19,666(11.6 \%)$ |  |  |
| $\mathbf{3}$ | $528(8.6 \%)$ | $8,254(4.9 \%)$ |  |  |
| $\mathbf{4}$ | $531(8.7 \%)$ | $6,546(3.9 \%)$ |  |  |
| $\mathbf{5}$ | $554(9.0 \%)$ | $5,388(3.2 \%)$ |  |  |
| $\mathbf{6}$ | $687(11.2 \%)$ | $4,635(2.7 \%)$ |  |  |

Medicare HOSARC
Health Status of the Medicare Dual Eligible
December 15, 2000

| Table 6 <br> Health Status Cohort I Baseline |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Variable | Category | Dual Eligible | Medicare Only | $\chi{ }^{2}$ value | P Value |
|  |  | $\mathrm{N}=6,247$ | N=171,510 |  |  |
| General Health | Excellent | 166 ( 2.7\%) | 10,594 ( 6.2\%) | 3434.7 | <. 001 |
|  | Very Good | 586 ( 9.5\%) | 42,336 (24.9\%) |  |  |
|  | Good | 1,737 (28.2\%) | 68,208 (40.1\%) |  |  |
|  | Fair | 2,471 (40.1\%) | 39,209 (23.0\%) |  |  |
|  | Poor | 1,206 (19.6\%) | 9,878 ( 5.8\%) |  |  |
|  | Missing/Total | $81 / 6,166$ | 1,285 / 170,225 |  |  |
| Health Compared to Last Year | Much Better | 409 ( 6.6\%) | 8,144 ( 4.8\%) | 1278.4 | <. 001 |
|  | Somewhat Better | 736 (12.0\%) | 17,910 (10.5\%) |  |  |
|  | About the Same | 2,953 (48.0\%) | 112,317 (65.9\%) |  |  |
|  | Somewhat Worse | 1,535 (24.9\%) | 27,217 (16.0\%) |  |  |
|  | Much Worse | 520 ( 8.5\%) | 4,749 ( 2.8\%) |  |  |
|  | Missing/Total | 94 / 6,153 | 1,173 / 170,337 |  |  |
| Health Compared to Peers | Excellent | 370 ( 6.1\%) | 23,327 (13.8\%) | 3485.8 | <. 001 |
|  | Very Good | 816 (13.4\%) | 50,756 (30.1\%) |  |  |
|  | Good | 1,589 (26.1\%) | 54,098 (32.1\%) |  |  |
|  | Fair | 2,202 (36.2\%) | 31,420 (18.6\%) |  |  |
|  | Poor | 1,103 (18.1\%) | 8,994 ( 5.3\%) |  |  |
|  | Missing/Total | 167 / 6,080 | 2,915 / 168,595 |  |  |


| Table 7 <br> Depression Questions <br> Percentage Responding Affirmatively Cohort I Baseline |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Depression Questions |  | Dual Eligible | Medicare Only | $\chi{ }^{2}$ value | P Value |
| Sad/Blue for 2 weeks | Question 38 | 2,769 (46.2\%) | 37,500 (22.4\%) | 1,837.9 | <. 001 |
|  | Missing/Total | 257 / 5,990 | 4,222 / 167,288 |  |  |
| Depressed in past year | Question 39 | 2,234 (37.3\%) | 24,156 (14.5\%) | 2,340.0 | <. 001 |
|  | Missing/Total | 265 / 5,982 | 4,460 / 167,050 |  |  |
| Depressed two or more years | Question 40 | 2,131 (35.8\%) | 24,056 (14.4\%) | 2,044.6 | <. 001 |
|  | Missing/Total | 295 / 5,952 | 4,643 / 166,867 |  |  |


| Table 8 <br> Depression Question Comparison <br> Percentage Responding Affirmatively to Multiple Depression Questions <br> Cohort I Baseline |  |  |  |  |
| :--- | :---: | :--- | :--- | :--- |
|  | Dual Eligible | Medicare Only | $\chi^{2}$ Value | P Value |
| Depression Questions |  |  |  |  |
| Questions 38, 39, 40 | $1,448(24.8 \%)$ | $12,641(7.7 \%)$ | $2,189.4$ | $<.001$ |
| Both Question 38 and 39 | $1,982(33.5 \%)$ | $20,750(12.5 \%)$ | $2,197.6$ | $<.001$ |
| Both Question 38 and 40 | $1,686(28.6 \%)$ | $15,836(9.5 \%)$ | $2,259.3$ | $<.001$ |
| Both Question 39 and 40 | $1,572(26.7 \%)$ | $13,798(8.3 \%)$ | $2,357.0$ | $<.001$ |

38. In the past year, have you had 2 weeks or more during which you felt sad, blue or depressed; or when you lost interest or pleasure in things that you usually cared about or enjoyed?
39. In the past year, have you felt depressed or sad much of the time?
40. Have you ever had 2 years or more in your life when you felt depressed or sad most days, even if you felt okay sometimes?

| Table 9SF-36Mean Normed ScoresCohort I Baseline |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| SF-36 ${ }^{\circledR}$ Component | Dual Eligible | Medicare Only | T (df) | P Value |
|  | Mean (SD) | Mean (SD) |  |  |
| Physical Component Summary Score (PCS)* | 33.1 (11.7) | 40.8 (12.1) | 46.6 (164,313) | <. 001 |
| Mental Component Summary Score (MCS)* | 45.1 (12.8) | 52.2 (10.4) | $49.0(164,313)$ | <. 001 |
| Physical Functioning Scale (PF)* | 31.2 (13.6) | 40.3 (13.0) | $53.7(175,858)$ | <. 001 |
| Role-Physical Scale (RP)* | 35.9 (12.1) | 42.8 (12.9) | $40.7(170,624)$ | <. 001 |
| Bodily Pain Scale (BP)* | 38.0 (11.9) | 44.6 (11.4) | $44.7(173,824)$ | <. 001 |
| General Health Scale (GH)* | 36.9 (11.9) | 45.4 (11.3) | 56.5 (173,034) | <. 001 |
| Vitality Scale (VT)* | 40.9 (11.5) | 47.5 (11.1) | 45.3 (173,440) | <. 001 |
| Social Functioning Scale (SF)* | 38.5 (14.0) | 47.5 (12.1) | $56.7(174,016)$ | <. 001 |
| Role-Emotional Scale (RE)* | 40.8 (13.5) | 48.2 (11.6) | $46.9(169,445)$ | <. 001 |
| Mental Health Scale (MH)* | 43.5 (12.7) | 50.8 (10.5) | $52.5(173,171)$ | <. 001 |

*Denotes scales that were significantly different from the national norms for both populations.

Figure 1
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
Marital Status


Figure 2
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
Race and Ethnicity


Figure 3
Cohort I Baseline
Dual Eligible and Medicare Eligible Beneficiaries Educational Level Attained


Figure 4
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
Annual Income Level


Figure 5
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
Chronic Conditions


Figure 6
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
Smoking Behavior


Figure 7
Cohort I Baseline Dual Eligible and Medicare Only Beneficiaries Type of ADLs


Figure 8
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries Number of ADLs Impaired


Figure 9
Cohort I Baseline
Dual eligible and Medicare Only Beneficiaries In general, would you say your health is:


Figure 10
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries Compared to one year ago, how would you rate your health now?


Figure 11
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries In general, compared to other people your age, would you say your health is:


Figure 12
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries Affirmative Responses to Depression Questions


Figure 13
Cohort I Baseline
Dual Eligible and Medicare Only Beneficiaries
SF-36® Scores


